

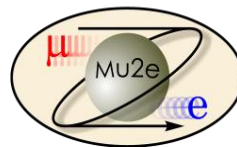


Muon-Based Charged Lepton Flavor Violating Experiments

Dylan Palo – Postdoc at Fermilab

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12 July 2024



Overview

- What is charged lepton flavor violation (CLFV) and why search for it?
- Comparison of different CLFV channels
- CLFV experimental field
- Present CLFV experiments with muons:
 - Signal/background
 - Experimental design
 - Status/results

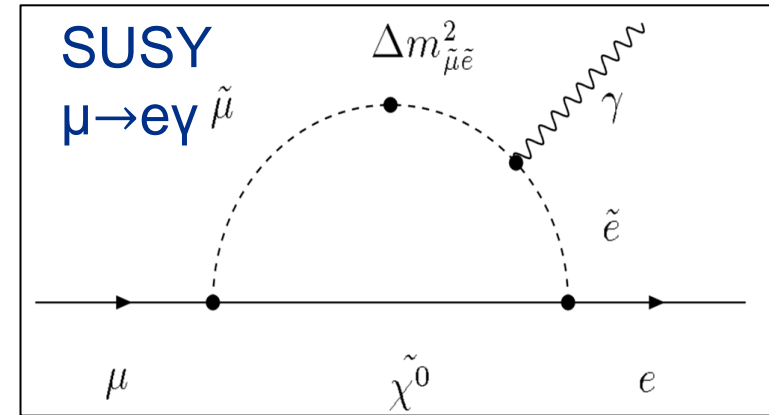
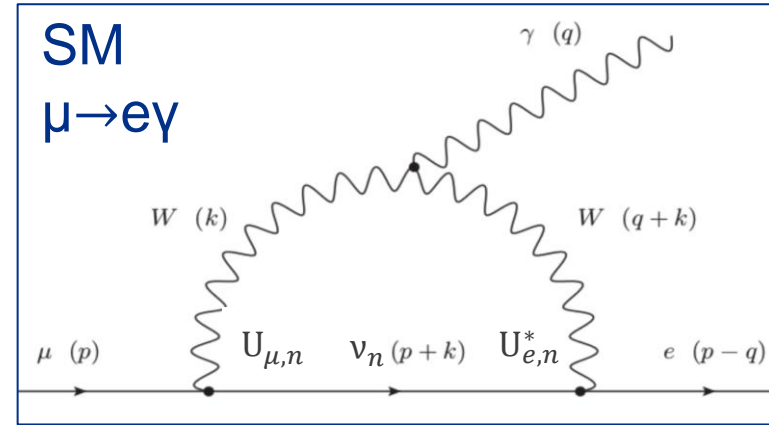
Lepton Flavor Number

- The SM with massless neutrinos contains an inherent symmetries that imply the conservation of lepton flavor number ($L_e L_\mu L_\tau$)
- **Electron, muon and tau flavor number are then conserved in all SM interactions without massive neutrinos**

$$\begin{pmatrix} \nu_\mu \\ \mu_L \end{pmatrix} \rightarrow \exp(i\alpha_\mu) \begin{pmatrix} \nu_\mu \\ \mu_L \end{pmatrix}$$

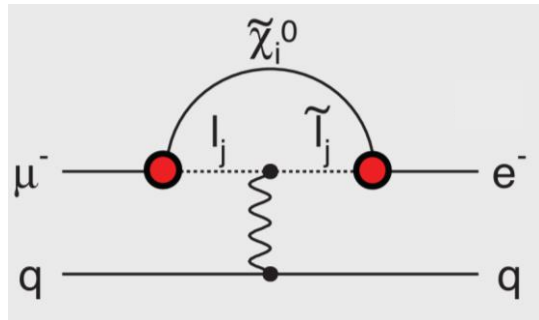
What is Charged Lepton Flavor Violation and Why Search for it?

- Adding neutrino mass results in neutrino oscillation
Observed by several experiments
→ **lepton flavor number violation for neutrinos**
- No observation charged lepton flavor violation (CLFV)
- Exists in the SM e.g. $\mu \rightarrow e\gamma$ **BR** $\sim 10^{-54} \propto \left[\frac{(\Delta m_{\nu}^2)}{m_W^2}\right]^2$
- **Negligible SM rate** →
CLFV discovery implies BSM physics
- Many SM extensions predict a dramatic increase in CLFV BR (e.g. $\mu \rightarrow e\gamma$ SUSY BR $\sim 10^{-15}$)
- Lack of discovery reduces parameter space of many physics models

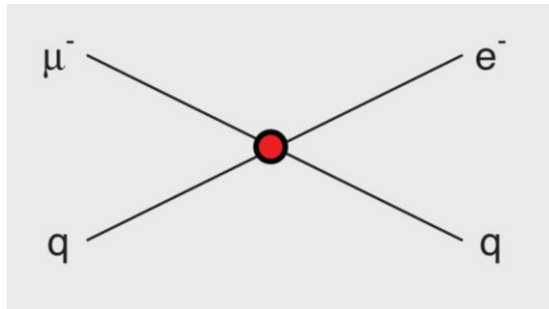


Charged Lepton Violating Theoretical Models

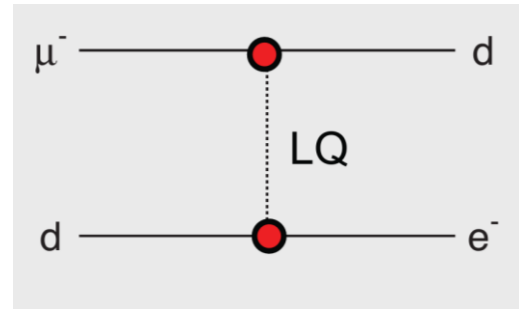
Supersymmetry



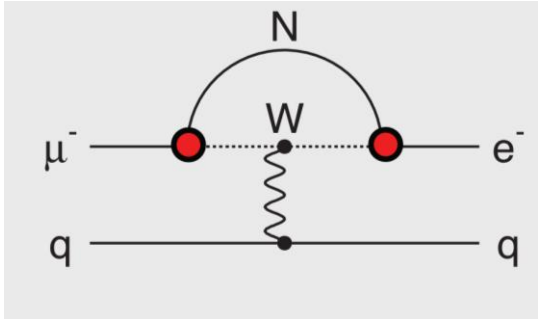
Compositeness



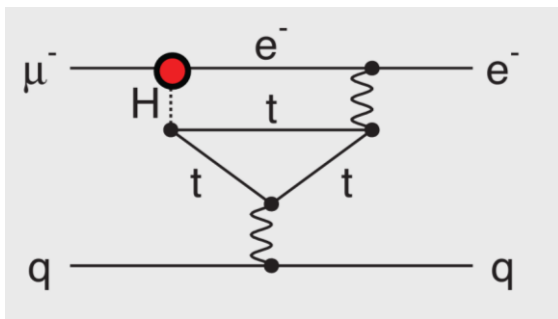
Leptoquark



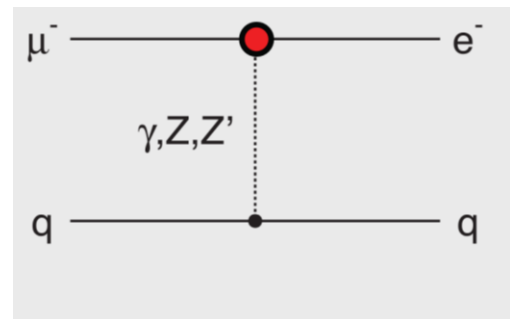
Heavy Neutrinos



Second Higgs Doublet



Heavy Z' Anomal. Z Coupling

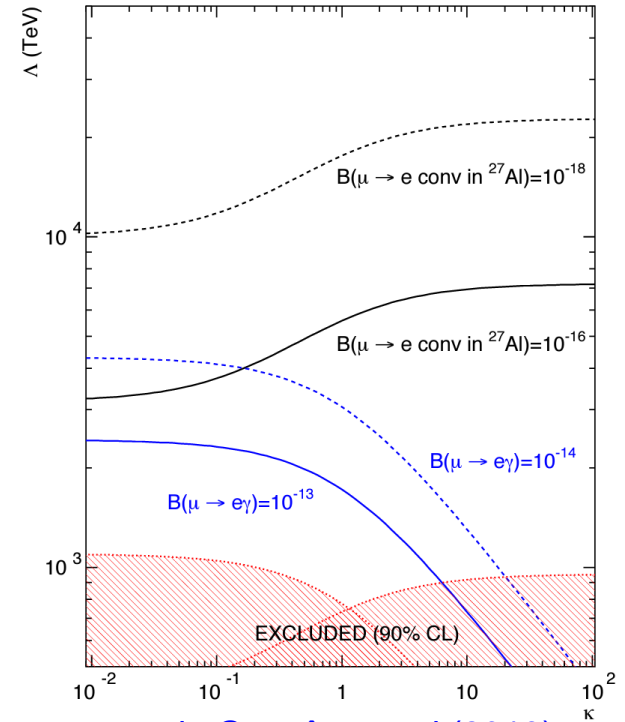


Slide originally by W. Marciano

Charged Lepton Violating Theoretical Models

- By searching through many CLFV channels we can probe the underlying physics
- Compare channels through a model-independent effective Lagrangian with two types of theoretical models
e.g. $\mu \rightarrow e\gamma$ / $\mu N \rightarrow eN$
 - If $\kappa \ll 1$ (e.g. SUSY):
 $BR(\mu \rightarrow e\gamma) \sim BR(\mu N \rightarrow eN)/\alpha$
 - If $\kappa \gg 1$ (e.g. leptoquarks):
 $\mu N \rightarrow eN$ is at tree level and $\mu \rightarrow e\gamma$ is at loop level
- Experiments are complementary! In $\kappa \ll 1$ models, $\mu \rightarrow e\gamma$ result should be validated and checked by $\mu N \rightarrow eN$ experiment
- $\mu N \rightarrow eN$ far more sensitive in $\kappa \gg 1$ models than $\mu \rightarrow e\gamma$

$$\mathcal{L}_{\text{CLFV}} = \frac{m_\mu}{(\kappa + 1)\Lambda^2} \bar{\mu}_R \sigma_{\mu\nu} e_L F^{\mu\nu} + h.c. + \frac{\kappa}{(1 + \kappa)\Lambda^2} \bar{\mu}_L \gamma_\mu e_L (\bar{u}_L \gamma^\mu u_L + \bar{d}_L \gamma^\mu d_L) + h.c.$$



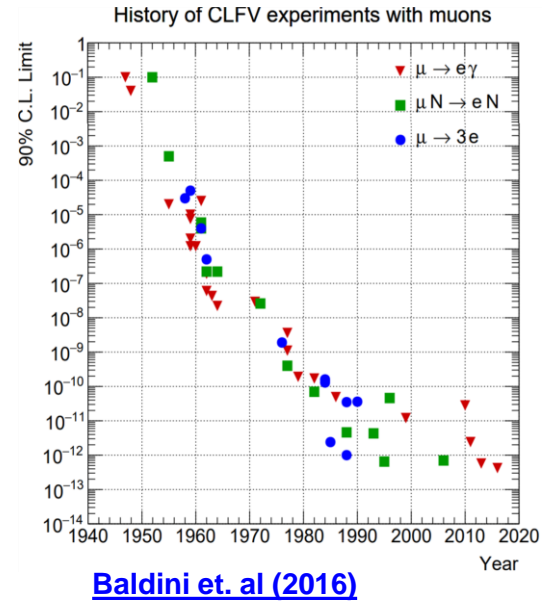
[de Gouvêa, et. al \(2013\)](#)



CLFV History With Muons

- Three ‘golden channels’ of CLFV through muons. All sensitive to a wide range of physics BSM
 - $\mu^+ \rightarrow e^+ \gamma$
 - $\mu^- N \rightarrow e^- N$
 - $\mu^+ \rightarrow e^+ e^- e^+$
- Searches have yet to find signal, but improved due to improved accelerators, detector technology, and experience from predecessors
- **This talk will focus on the active searches for these three processes**

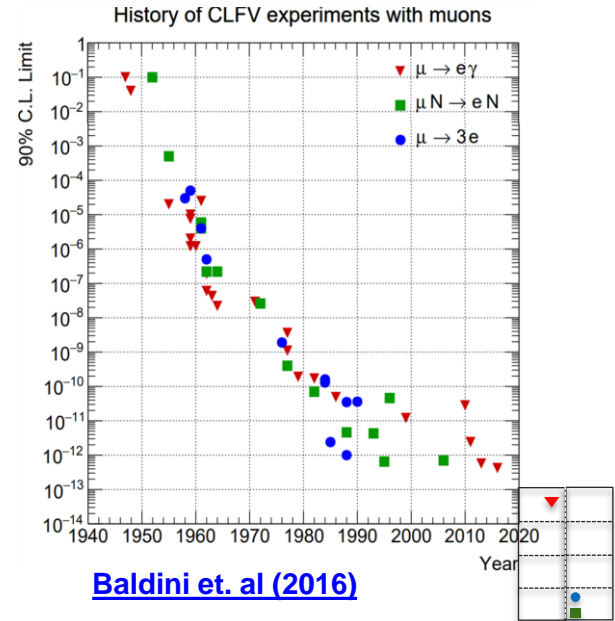
Past



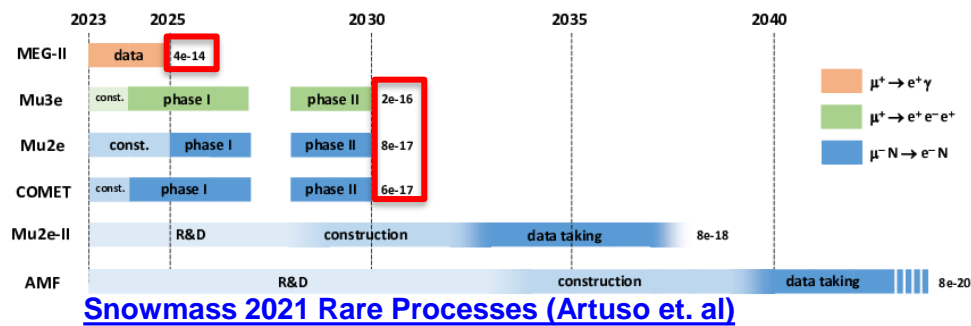
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Past

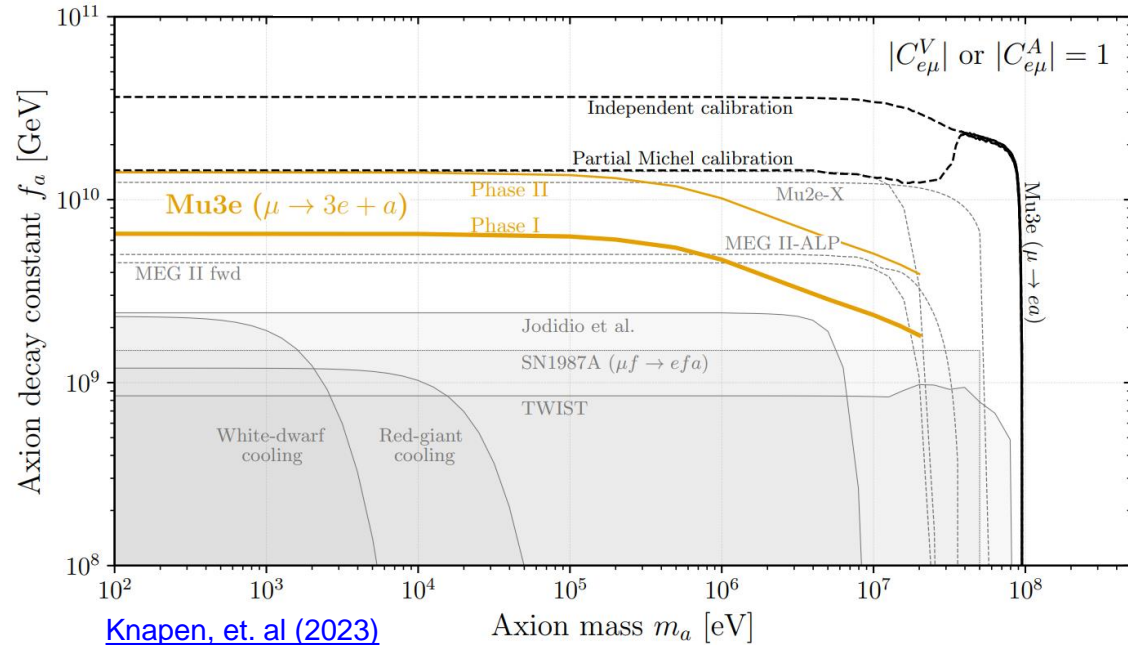
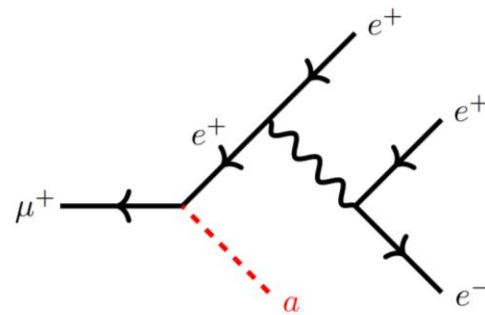


Future



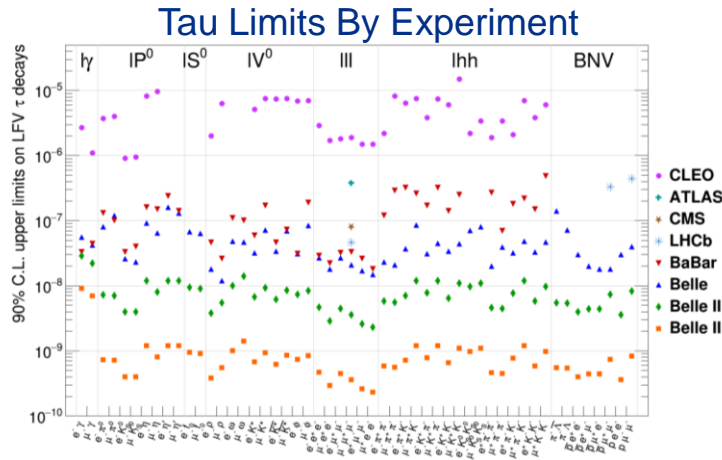
Additional CLFV Muon Searches

- These muon experiments can also probe additional channels
- Examples:
 - Recent significant interest in searching for axions:
 Mu3e $\mu^+ \rightarrow e^+e^-e^+\alpha$
 MEG II $\mu^+ \rightarrow e^+\gamma\alpha$
 - Mu2e and COMET phase I will also search for $\mu^-N \rightarrow e^+N'$
(violation of total lepton number)



Other CLFV Searches

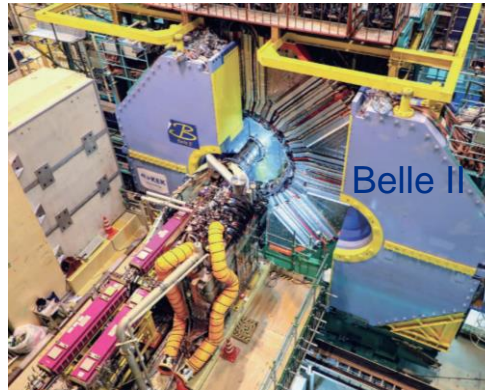
- CLFV is actively being explored through other channels:
 - Belle II, LHCb: τ decays
e.g. $\tau \rightarrow e\gamma$
 - NA62 (CERN): K^+ decays
e.g. $K^+ \rightarrow \pi\mu e$
 - BES III: J/ψ decays
e.g. $J/\psi \rightarrow e\tau$
 - ATLAS+CMS: Higgs decays
e.g. $H \rightarrow e\tau$



	NA62 UL (90%C.L.)
$BR(K^+ \rightarrow \pi^- \mu^+ \mu^+)$	$< 4.2 \times 10^{-11}$
$BR(K^+ \rightarrow \pi^- e^+ e^+)$	$< 5.3 \times 10^{-11}$
$BR(K^+ \rightarrow \pi^- \pi^0 e^+ e^+)$	$< 8.5 \times 10^{-10}$
$BR(K^+ \rightarrow \pi^- \pi^0 \mu^+ e^+)$	analysis started
$BR(K^+ \rightarrow \pi^- \mu^+ e^+)$	$< 4.2 \times 10^{-11}$
$BR(K^+ \rightarrow \pi^+ \mu^- e^+)$	$< 6.6 \times 10^{-11}$
$BR(\pi^0 \rightarrow \mu^- e^+)$	$< 3.2 \times 10^{-10}$
$BR(K^+ \rightarrow \pi^+ \pi^0 \mu^- e^+)$	analysis started
$BR(K^+ \rightarrow \mu^- \nu e^+ e^+)$	$< 8.1 \times 10^{-11}$
$BR(K^+ \rightarrow e^- \nu \mu^+ \mu^+)$	analysis ongoing

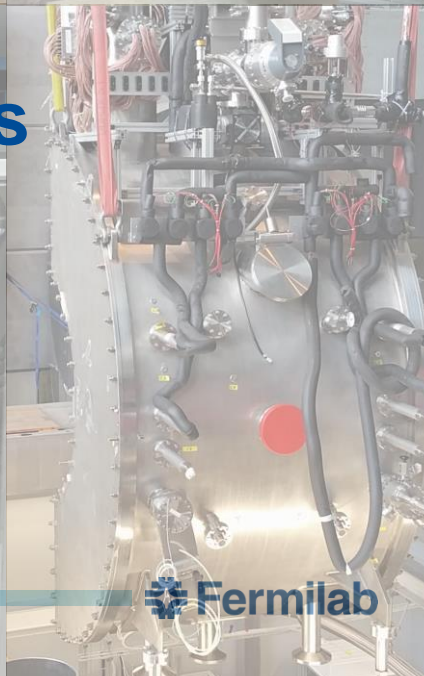
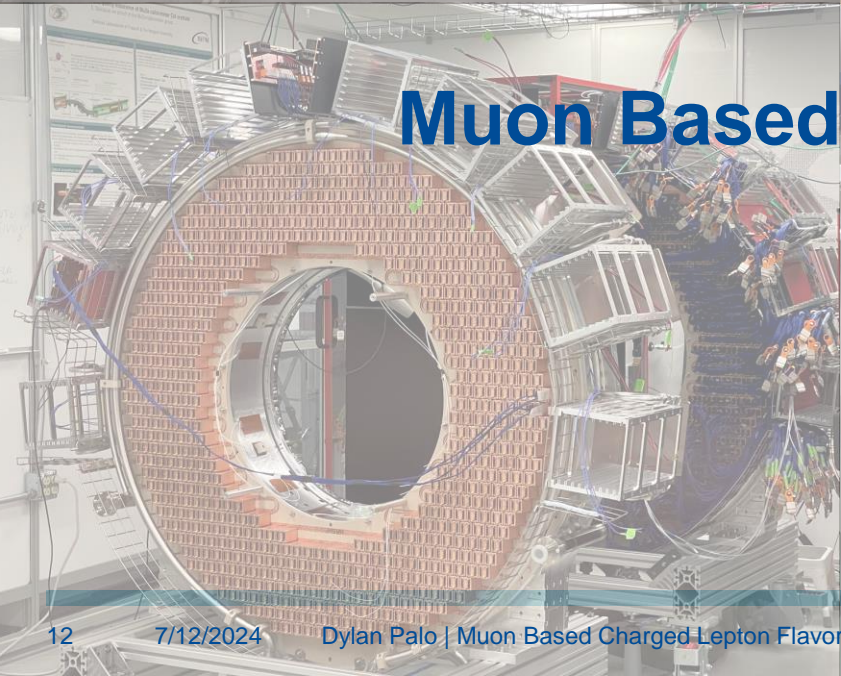
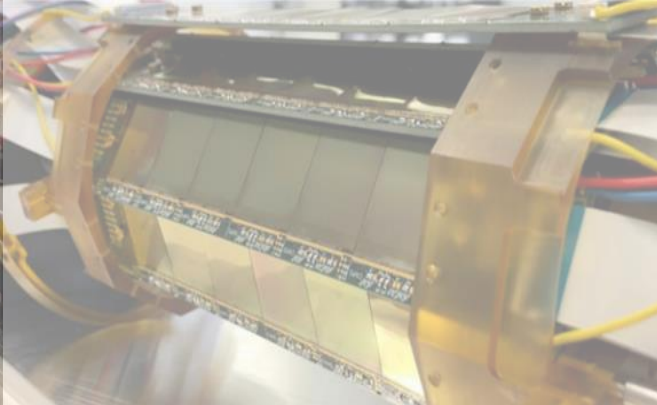
[Snowmass Whitepaper \(2022\)](#)

[NA62 Collaboration \(I. Panichi, 2023\)](#)



Motivation and Search Summary

- Active work on many different channels of CLFV!
- **CLFV discovery is unambiguous evidence of physics beyond the standard model**
- **Require the work from many different experiments to get a better picture to the underlying physics**



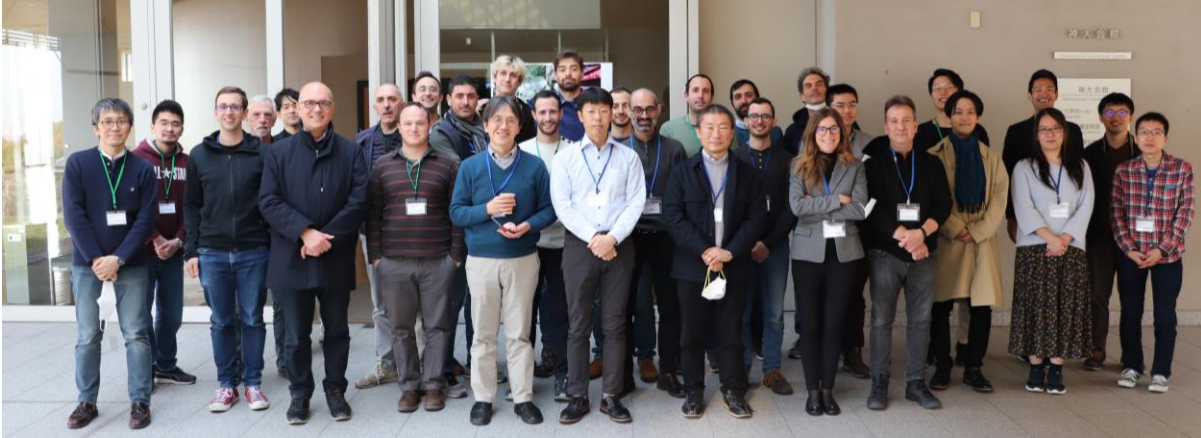
Muon Based CLFV Experiments

Focus of Muon CLFV Searches

- **Background suppression:**
 - Rare decay searches conveniently have no SM background
 - Instead, the background consists of:
 - Time coincidences of 2+ SM interactions
 - **Suppressed by precise timing and vertex resolution**
 - SM interaction resulting in small momentum neutrinos; process almost mimics the signal
 - **Suppressed by momentum/energy resolution**
 - **Includes detector requirements of low material budgets to suppress MS**
 - Additional background via cosmics, pions, etc.
- **High beam rates:**
 - Used to achieve strong limits, but requires detectors (gain loss), trigger, electronics, computational power to handle the high beam rate ($\sim 10^{10} \mu/s$)
 - Must suppress time coincidences to handle the high beam rate
- **Calibration:**
 - Requires precise calibration and alignment to achieve optimal resolutions mentioned above
 - Requires measurements of resolutions and expected background for the final analysis

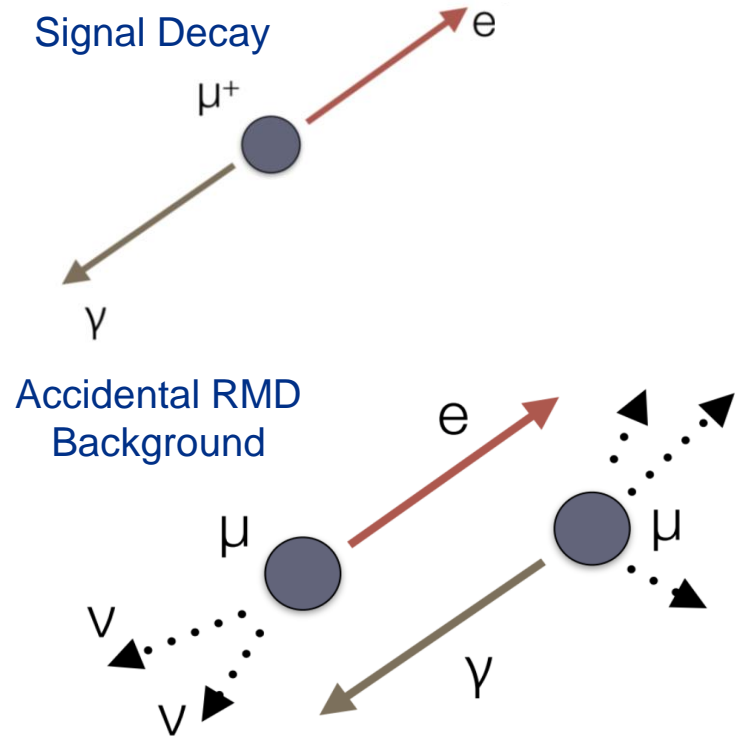
MEG II Experiment

- Search for $\mu^+ \rightarrow e^+ \gamma$ at Paul Scherrer Institut (PSI)
- Upgrade of MEG experiment.. Aims for x10 sensitivity improvement ($6 * 10^{-14}$ 90% CL)
- Uses the PSI proton ring cyclotron
 - 590 MeV protons
 - Unbunched surface muon beam produced:
Stop rate $\sim 4 \times 10^7$ Hz,
28 MeV muons



MEG II Experiment: Signal/Background

- $\mu^+ \rightarrow e^+ \gamma$ signal: 2-body decay at rest, e/γ have equal and opposite momentum ($m_\mu/2$)
- Background:
 - RMD (radiative muon decay) :
 $\mu^+ \rightarrow \gamma e^+ \nu_\mu \bar{\nu}_e$ (small E $\nu_\mu \bar{\nu}_e$)
 - **Accidental background:** high p_{e^+} coincident with γ from RMD, AIF ($e^+ e^- \rightarrow \gamma\gamma$)
- The experiment uses kinematic measurements of the decay products to distinguish between signal/background

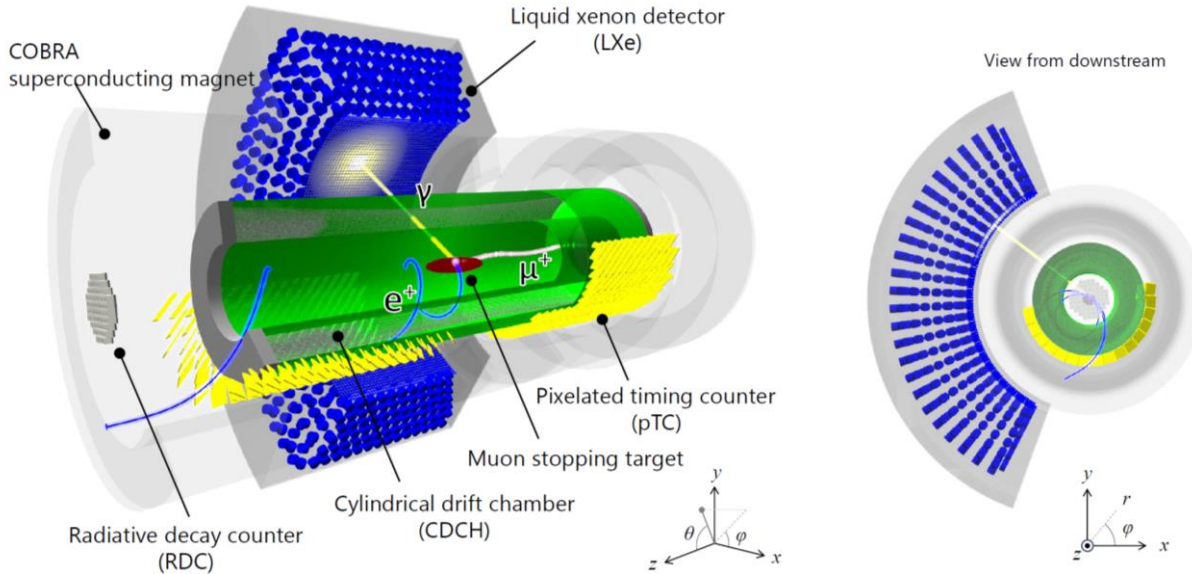


MEG II Experiment: Apparatus

$$N_{acc} \propto R_{\mu^+}^2 \cdot \Delta E_{\gamma}^2 \cdot \Delta p_{e^+} \cdot \Delta \varphi_{e^+\gamma} \cdot \Delta \theta_{e^+\gamma} \cdot \Delta t_{e^+\gamma} \cdot T$$

Max B ~ 1.3 T

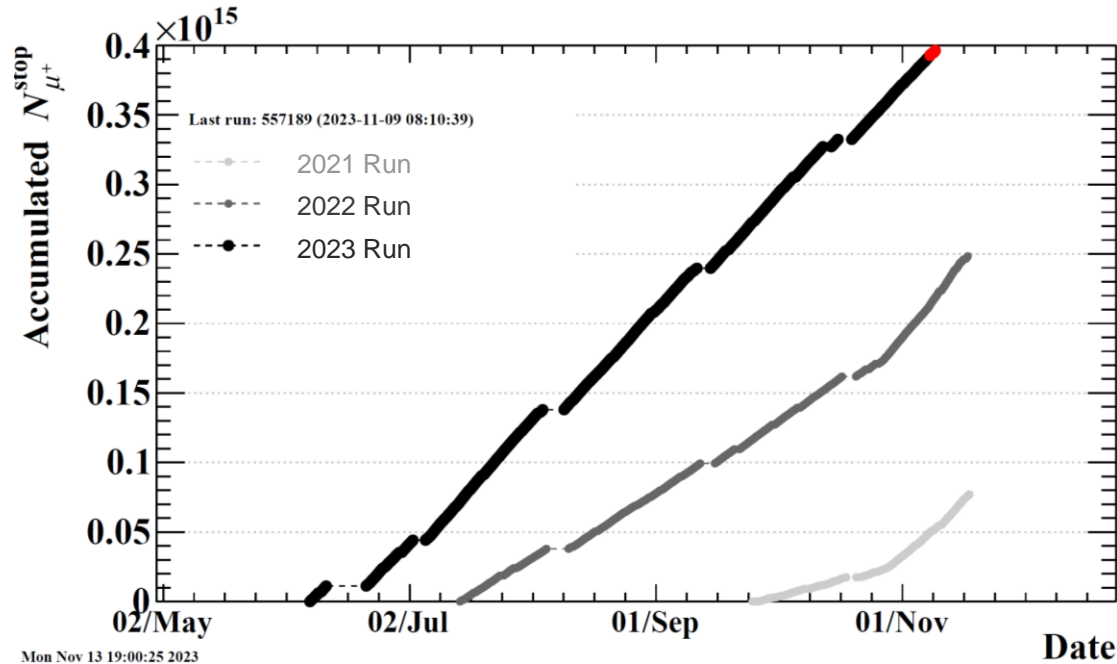
- Stopped μ^+ decay in target; decay products (e , γ) are measured in various detectors
- Similar design to MEG I, but all detectors have been upgraded
- Kinematic estimates at target by propagating e^+ to the target, then projecting γ to e^+ target vertex ($\Delta \theta_{e^+\gamma}$, $\Delta \varphi_{e^+\gamma}$, $\Delta t_{e^+\gamma}$, E_{γ} , p_{e^+})



[MEG II Collaboration](#)

MEG II Experiment: Status

- Experiment has successfully taken 3 physics runs
- Physics result [published](#) based on 2021 data. **The 2021 run showed the detectors can handle the beam rates and achieve resolutions required for improved sensitivity**

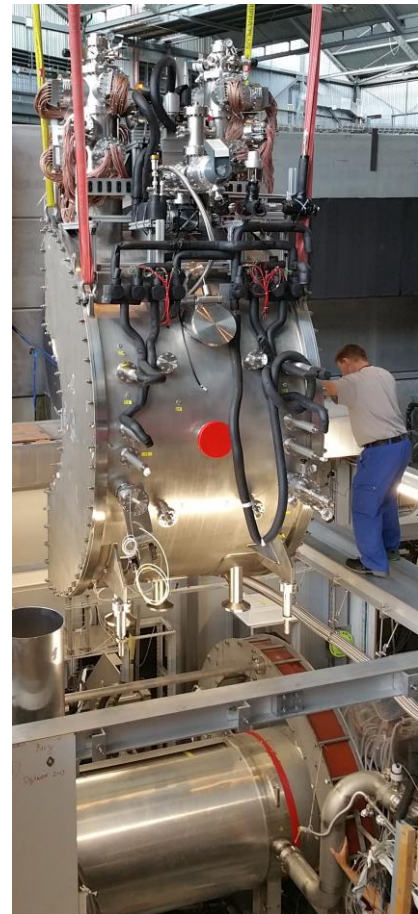
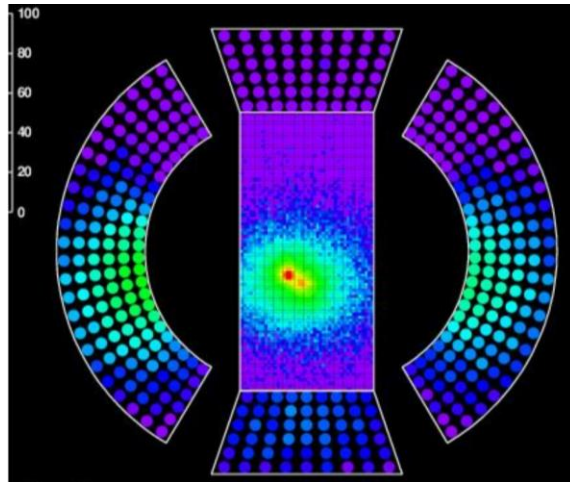
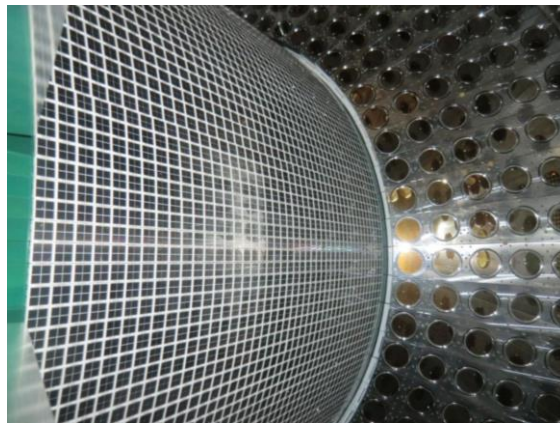


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MEG II γ : LXe Detector

- One of world's largest liquid Xe detector (800 L)
- Upgrade: inner face PMTs replaced by 4092 15x15 mm² MPPCs (Multi-Pixel Photon Counters)
- Other sides remain covered by PMT photon counters

Kinematic Resolution	MEG I	MEG II 2021 Measured
E_γ (%)	2.4	1.9
t_γ (ps)	60	70
$u_\gamma / v_\gamma / w_\gamma$ (mm)	5/5/6	2.4/2.4/5

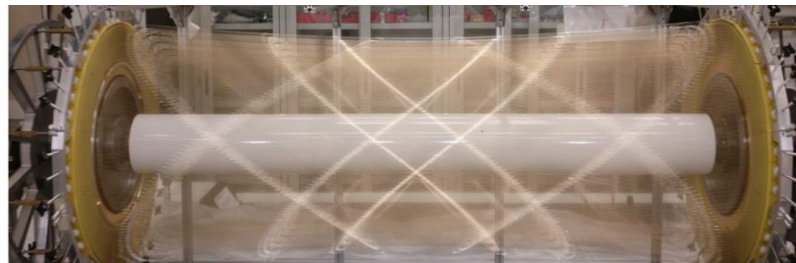


Images from [MEG II Collaboration](#)

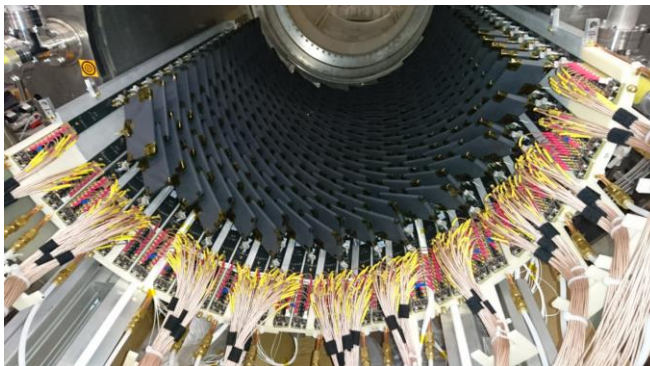
MEG II e^+ : CDCH + SPX

- Cylindrical Drift Chamber (CDCH):
 - Ultra-light open cell stereo drift chamber with 1150 readout drift cells
- Pixelated Timing Counter (SPX):
 - Scintillation tile detector (500 tiles) equipped with SiPM readout
 - High hit multiplicity to improve resolution

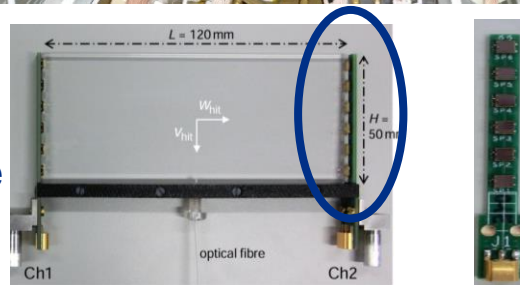
CDCH



Downstream
SPX



Single
Scintillator Tile



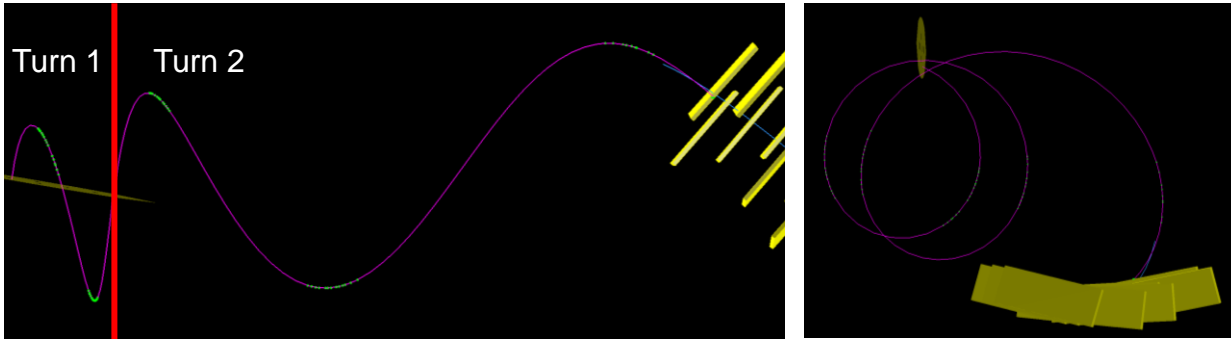
Images from [MEG II Collaboration](#)

Kinematic Core σ	MEG I	MEG II Measured
p_{e^+} (keV)	380	89
$\theta_{e^+} / \varphi_{e^+}$ (mrad)	9.4 / 8.7	7.2/4.1
t_{e^+} (ps)	70	~ 40
z_{e^+} / y_{e^+} (mm)	2.4/1.2	2.0/0.74
e^+ Efficiency	30	78

MEG II e^+ Kinematic Resolution Estimates

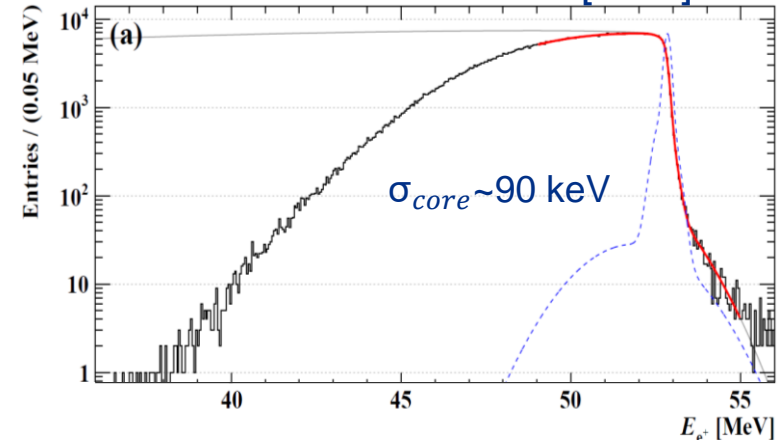
- Data-driven e^+ kinematic resolution estimate compares two independently measured/fit turns on a single e^+ track: double turn analysis
- Compare kinematics at a common plane between the turns
- Michel edge used for momentum scale, resolution, and expected background

Double Turn Event

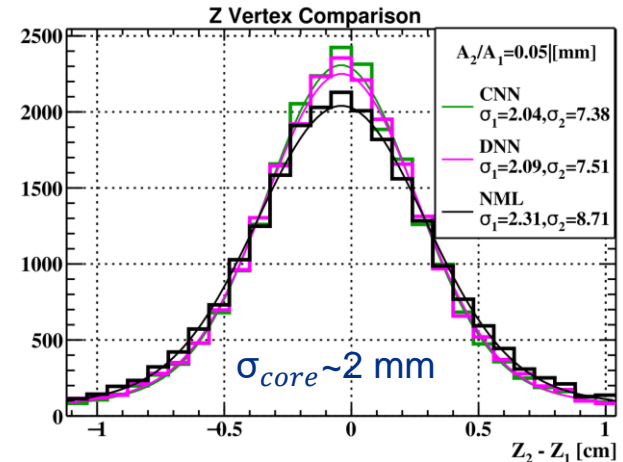


MEG II Collaboration

Michel e^+ Momentum [MeV]



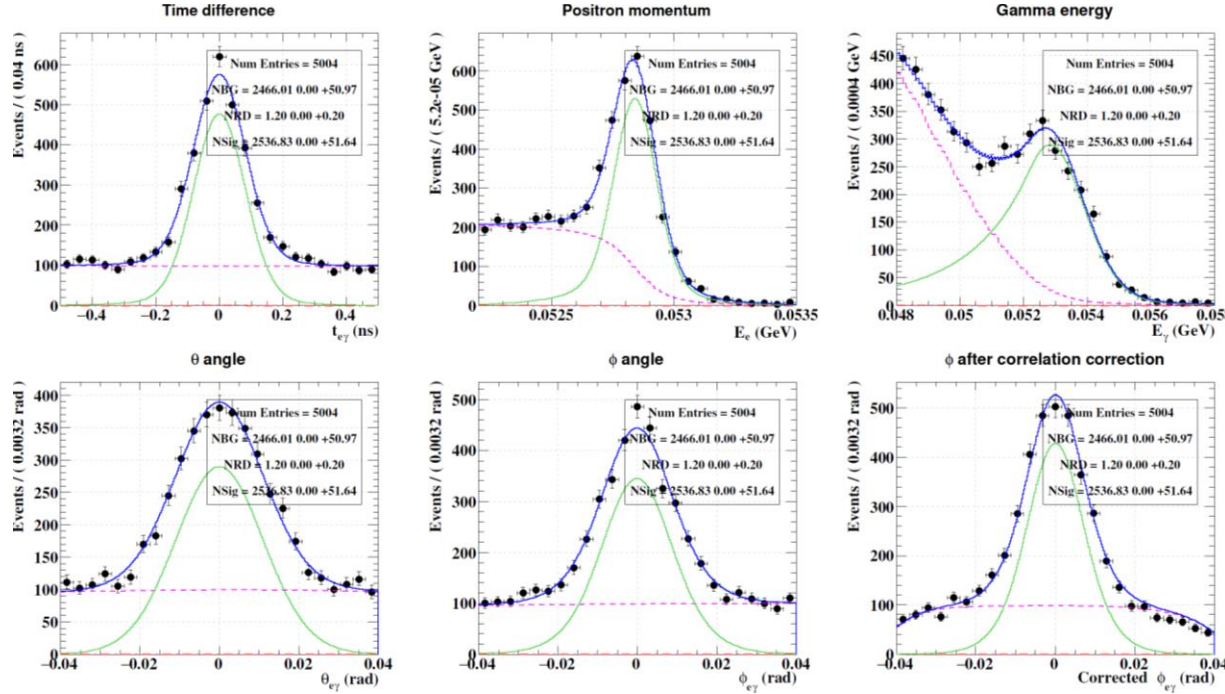
Double Turn Vertex Z2-Z1 [cm]



Fermilab

MEG II Signal and Background PDFs

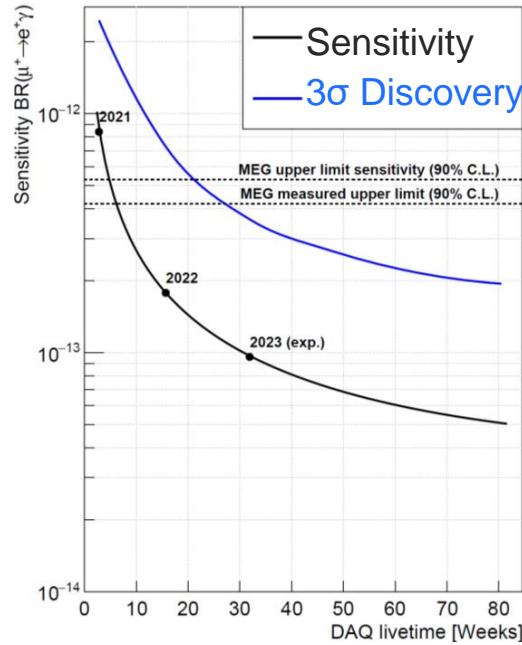
- Using resolutions and background studies, build the probability density functions for the signal and the background
- Input to likelihood physics analysis



[MEG II Collaboration \(2023\)](#)

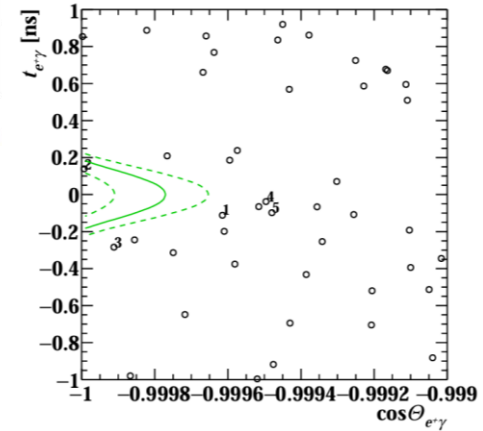
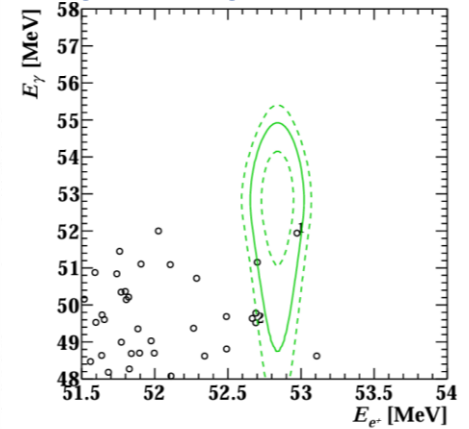
MEG II 2021 Physics Result

- Unblinding resulted in a limit consistent with the median toy MC experiment with a null signal (sensitivity)
- No events centered inside the 5D signal region
- Based on resolutions and expected total data, we expect to reach the goal of x10 sensitivity improvement on MEG
- Analysis of 2022 data expected to be published this fall; aim to remain taking data until PSI shutdown in 2027



MEG II Collaboration (2023)

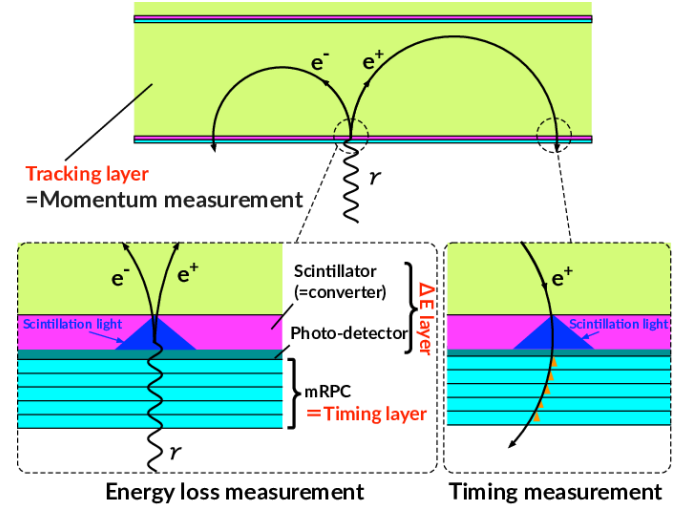
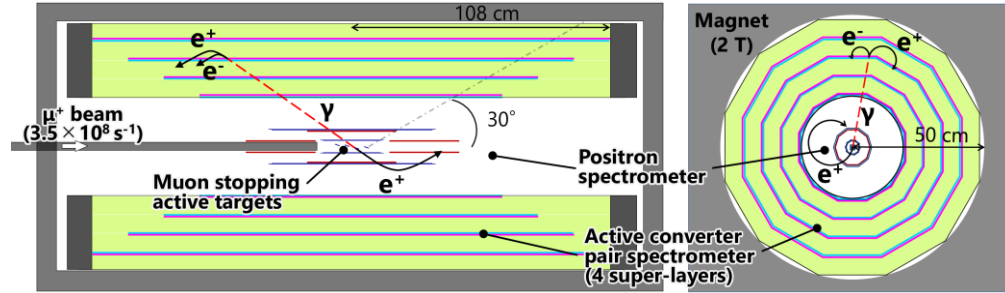
Signal Region 2D Slices



Dataset	Sensitivity (10^{-13})	Single Event Sensitivity (10^{-13})	Limit (10^{-13})
MEG II 2021	8.8	3.8	7.5

Future $\mu^+ \rightarrow e^+ \gamma$

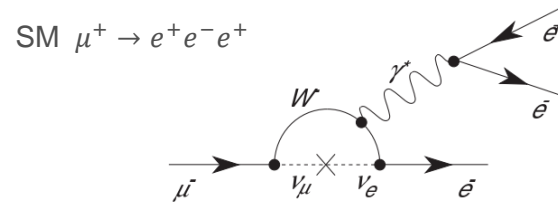
- Future experiments will likely need to cope with higher beam rate; suppress time coincidences, pileup effects, gain loss, etc.
- Consider positron spectrometer using pixel detector (Mu3e)
- Convert photon to achieve improved photon resolution, but at the cost of efficiency
- Can gain in sensitivity by having a vertex constraint via photon angle estimate



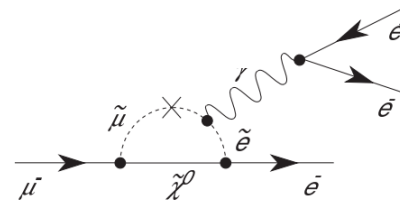
[F. Renga, C. Voena \(2023-2024\)](#)

Mu3e Experiment

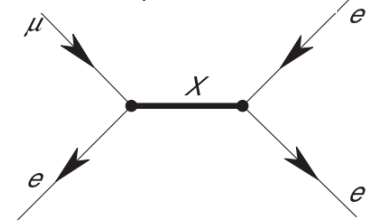
- Also located at PSI
- Search for $\mu^+ \rightarrow e^+e^-e^+$
- Planning to improve the sensitivity of the search by four orders of magnitude! ($10^{-12} \rightarrow 10^{-16}$)
- Slides focus on phase one (10^{-15} level sensitivity)



SUSY $\mu^+ \rightarrow e^+e^-e^+$

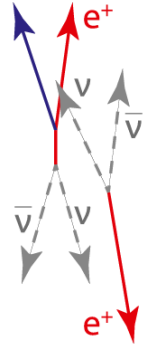
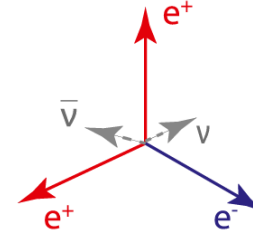
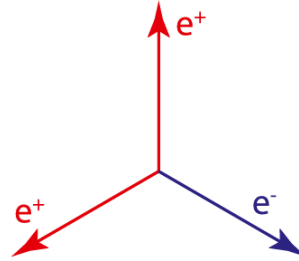


Tree-Level $\mu^+ \rightarrow e^+e^-e^+$



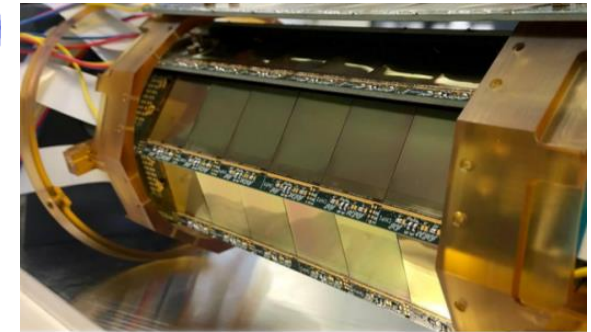
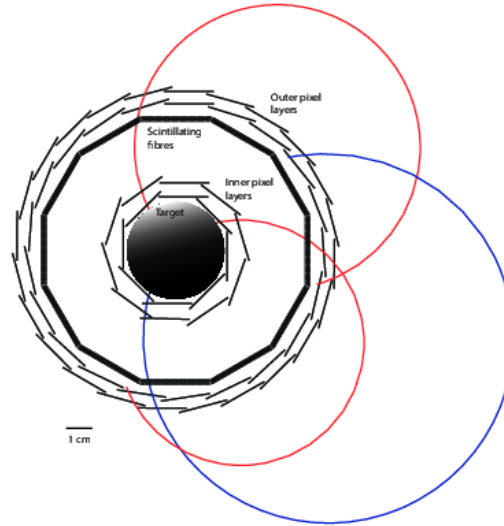
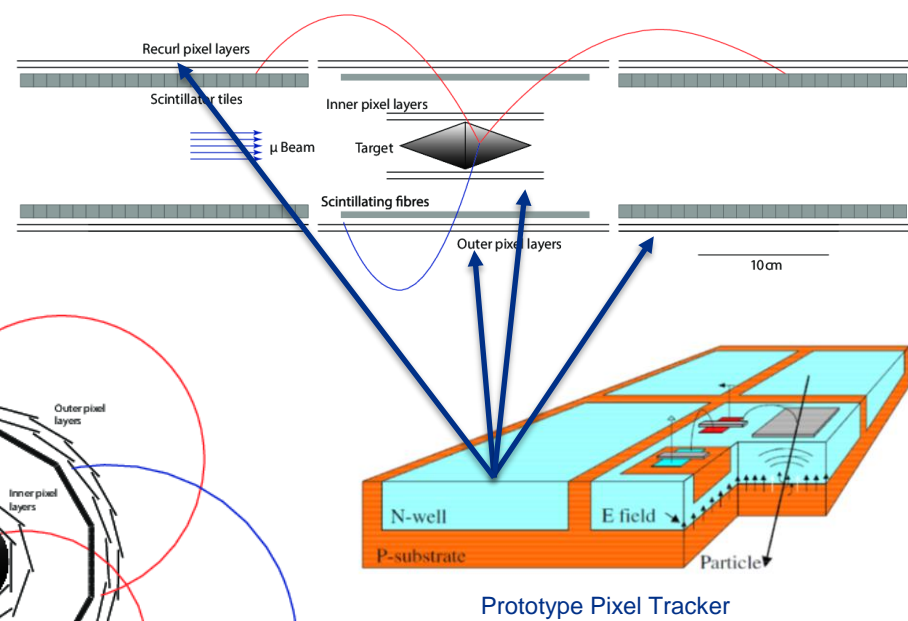
Mu3e Experiment Signal/Background

- Signal is a 3-body decay containing $2 e^+, 1 e^-$ consistent with a muon decay stopped in the target
- Background is analogous to MEG
 - Time+vertex coincidence: Michel e^+ coincidence with another process(es)
 - SM process with negligible neutrino momentum ($\mu^+ \rightarrow e^+ e^- e^+ \bar{\nu} \mu \nu^e$)
- Like MEG, Mu3e relies on kinematic measurements to distinguish!



Mu3e Experiment Experimental Design

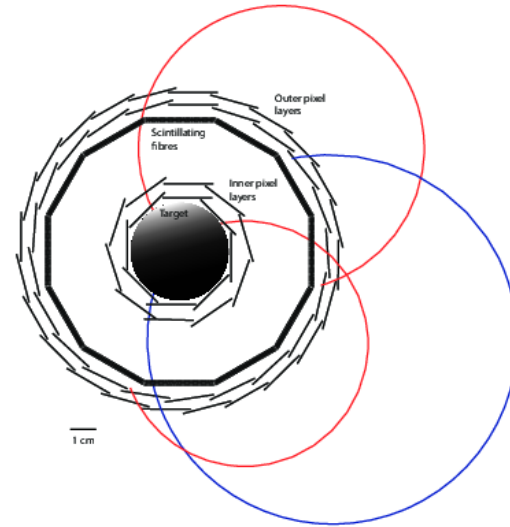
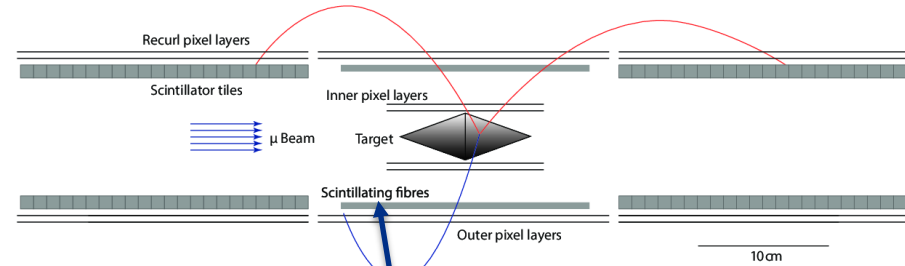
- Mu3e plans to make use of the upgraded muon beam at PSI (reaching $2 * 10^9 \mu/s$)
- Implement a pixel detector to deal with the high-rate environment
- Pixel detector employs 2844 Monolithic active pixel sensors (HV-MAPS) designed for Mu3e (MUPIX)
- To optimize resolution, pixels are made as thin and small as possible ($\sim 100/80 \mu\text{m}$)
- Expected to achieve $\sim < 1 \text{ MeV}$ resolution (RMS of 440/250 keV for phase I/II respectively)



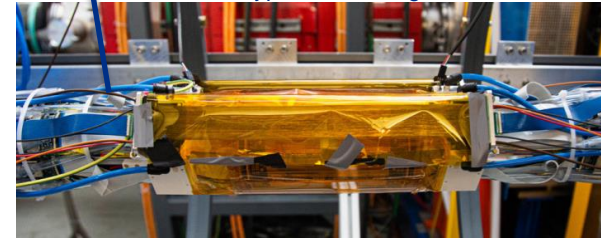
Prototype Pixel Tracker

Mu3e Experiment Experimental Design

- In addition, a series of scintillating fibers/tiles precisely measure the timing
- Immediately after the inner-pixels, the e^+e^- intersect scintillating fibers for initial time estimate at pixel
- Finally, the e^+e^- intersect an array of scintillating tiles for the precise timing measurement
- $\sigma_t < 250/100$ ps in the scintillating fibers/tiles respectively

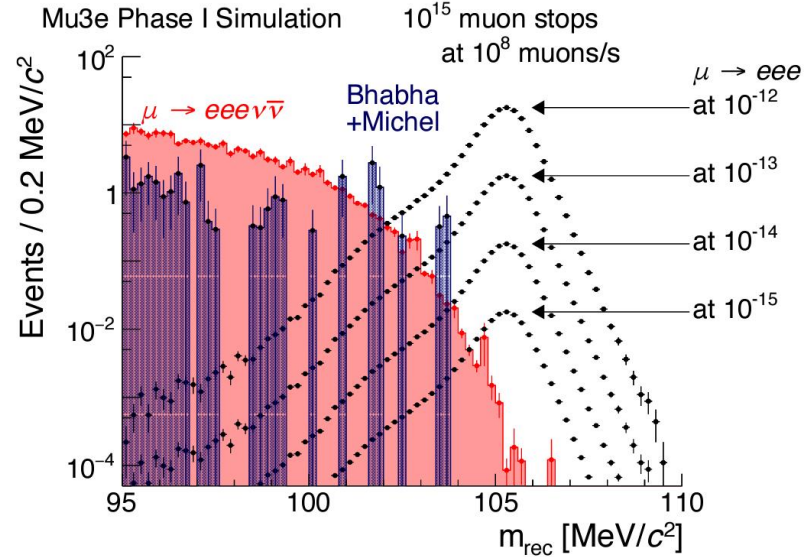
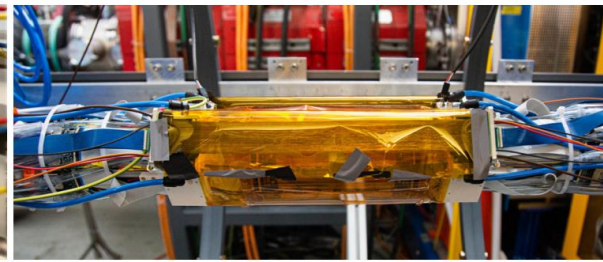
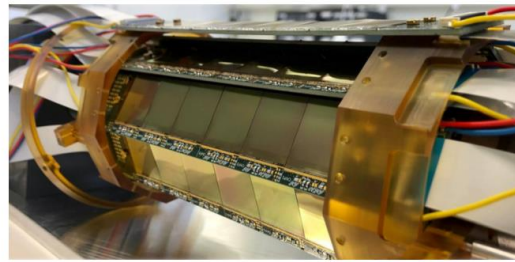


Prototype Scintillating Fiber



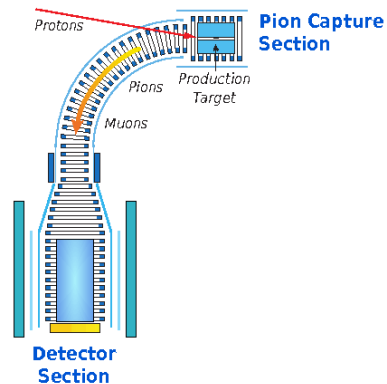
Mu3e Experiment Status

- Prototype detectors have operated in the Mu3e magnet, in helium with beam on
- Plan is to commission the inner detector system in 2024
- **Aiming for a full engineering run in 2025 with physics in 2025/2026**

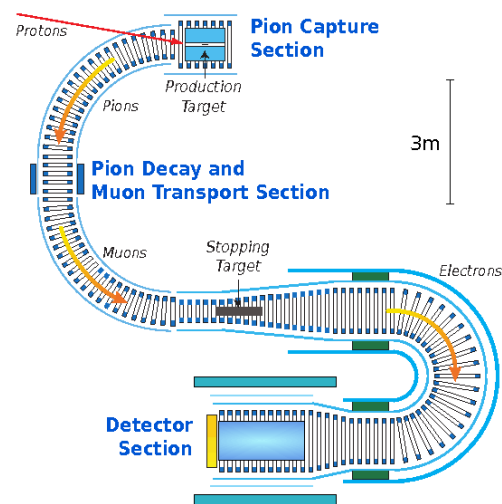


$\mu^- N \rightarrow e^- N$ Mu2e + COMET + DeeMe

- Three upcoming experiments searching for $\mu^- N \rightarrow e^- N$: Mu2e, COMET, and DeeMe
- DeeMe has commissioned their detector and expect to take data in upcoming years (x10 sensitivity improvement over current UL of $7 * 10^{-13}$, SINDRUM II)
- Mu2e and COMET phase 2 aim for **x10000** sensitivity improvement
- **COMET is currently constructing phase I (x100 sensitivity improvement) with the intent of being physics ready by 2026**
- Will focus on Mu2e, but considerations for COMET detector performance are very similar



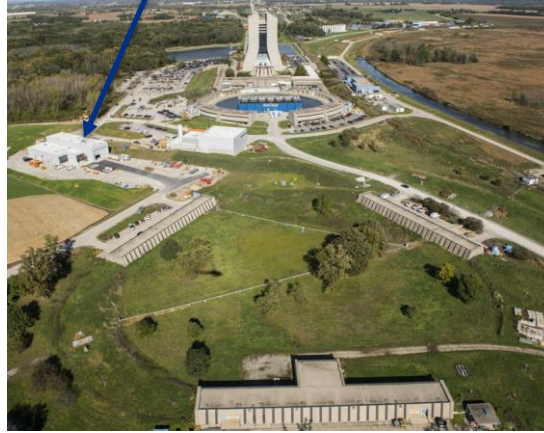
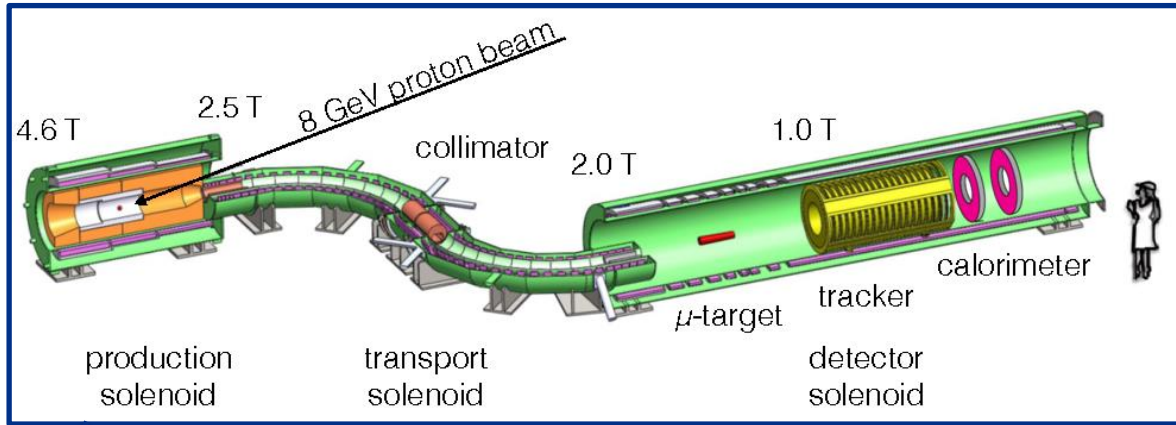
COMET Phase-I



COMET Phase-II

Mu2e Status

- The Mu2e experiment at Fermilab is presently in the construction phase, with **magnets and detectors expected in the Mu2e hall for cosmic runs starting in 2025**
- Mu2e will have beam for the full 2027 calendar year for commissioning and physics data-taking
- Will detail the beamline, magnet system, and detector system used to optimize the signal/background



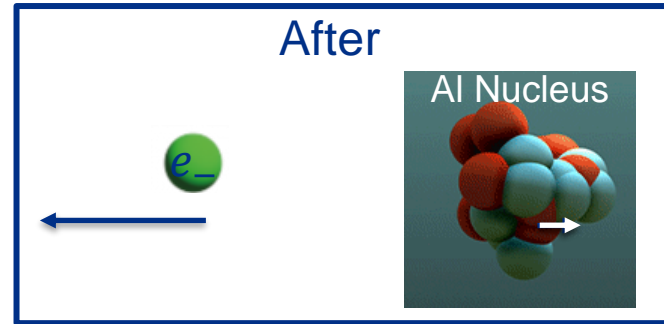
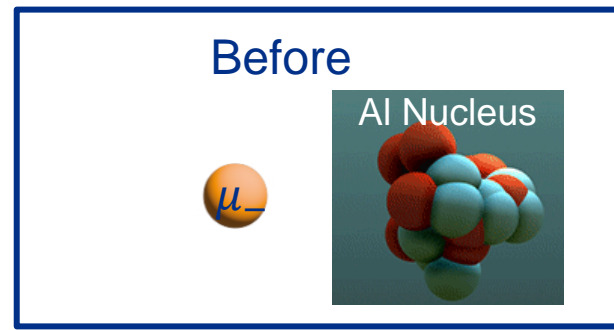
$$\mu^- N \rightarrow e^- N$$

$$R_{\mu e} = \frac{\mu^- + A(Z,N) \rightarrow e^- + A(Z,N)}{\mu^- + A(Z,N) \rightarrow \nu_\mu + A(Z-1,N)}$$

Signal:

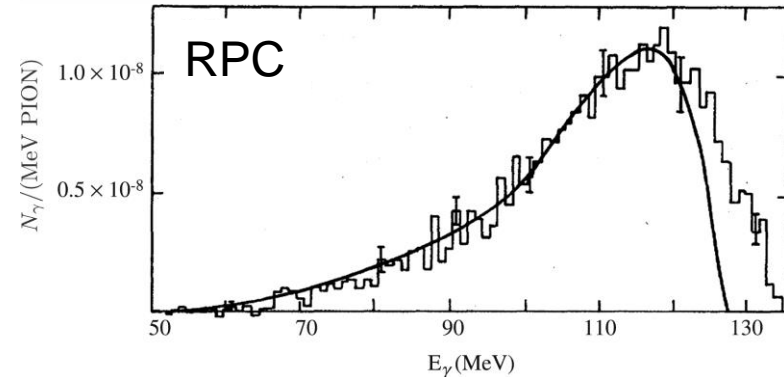
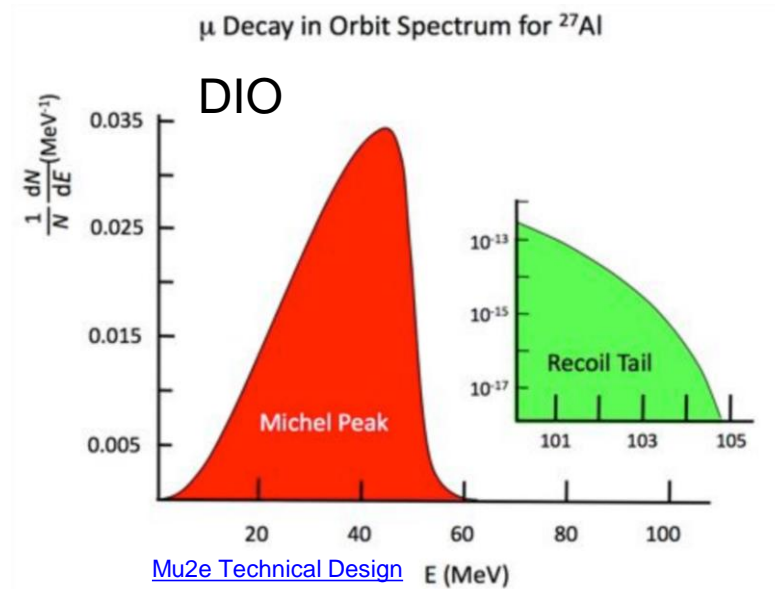
- Conversion of a muon in the field of the target nucleus (1S state)
- Coherent recoil of the muon off the target nucleus
- Results in monochromatic electron:
 $E_e = m_\mu c^2 - B_\mu(Z) - C(A)$
 $= 104.97 \text{ MeV} \sim m_\mu - 0.6 \text{ MeV}$

No time coincidence like other mentioned searches, any signal energy electron can mimic the signal!



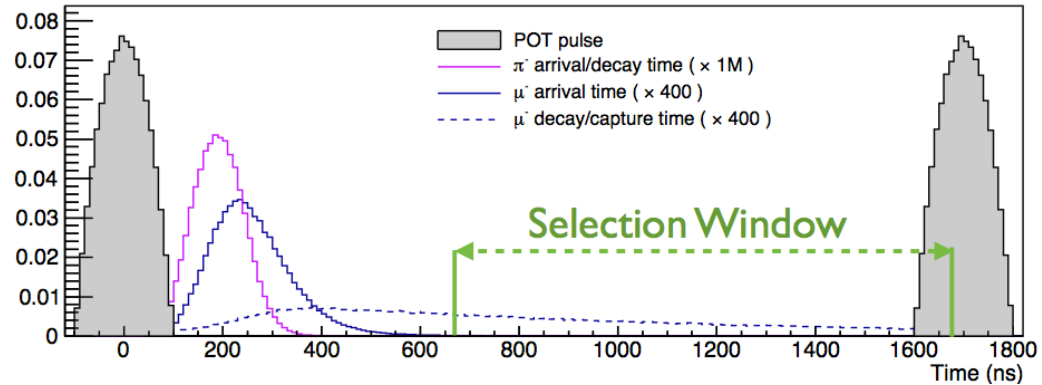
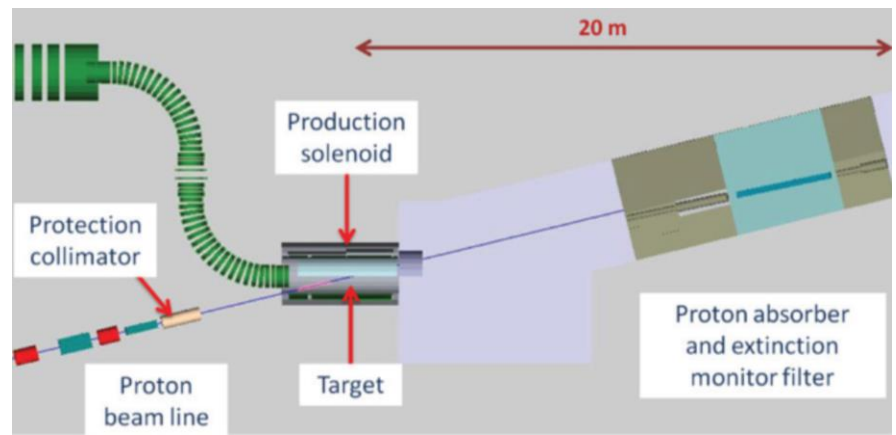
$\mu^- N \rightarrow e^- N$ Main Backgrounds

- Decay in orbit (DIO):
 - Decay in the presence of the nucleus: small “recoil tail” when muon exchanges momentum with the nucleus
 - Analogous to RMD MEG background (negligible momentum neutrinos)
 - **Requires precise momentum resolution**
- Radiative pion capture (RPC)
 - $\pi^- N \rightarrow \gamma N^*$
 - If π hits μ target, can make high energy photon that can produce signal energy electron (e.g. pair produce)
 - **Suppressed by pulsed beam**
- Cosmic rays:
 - Cosmic rays (e.g. muon) can intersect material (stopping target) and can break free an electron at the signal energy
 - Indistinguishable from the signal
 - **Surround entire detector with cosmic ray “veto”**



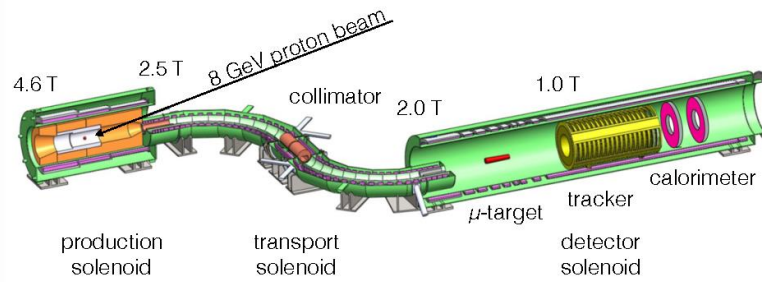
Mu2e Beamline

- Proton beam intersects a tungsten target, results in π that decay to μ in flight
- Unlike MEG II or Mu3e, Mu2e implements a “pulsed” proton beam at ~ 6 MHz (1700 ns)
- Pulses “wait out” the π RPC background: $\tau_\pi \ll \tau_\mu$ in Al (864 ns)
- Start data-collection once π have decayed
- Require extinction of 10^{-10} ; measured by extinction monitor



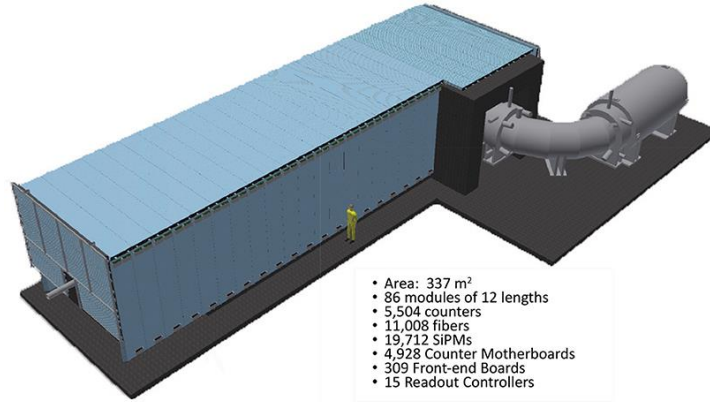
Mu2e Magnets

- Production solenoid removes vast majority of unwanted particles from proton target interactions and remaining protons; backwards π then decay to muons
- Transport solenoid 'S' shape selects negative charge and desired muon momentum
- Detector solenoid is grated; curves signal electrons towards from the μ -target to the tracker+calorimeter

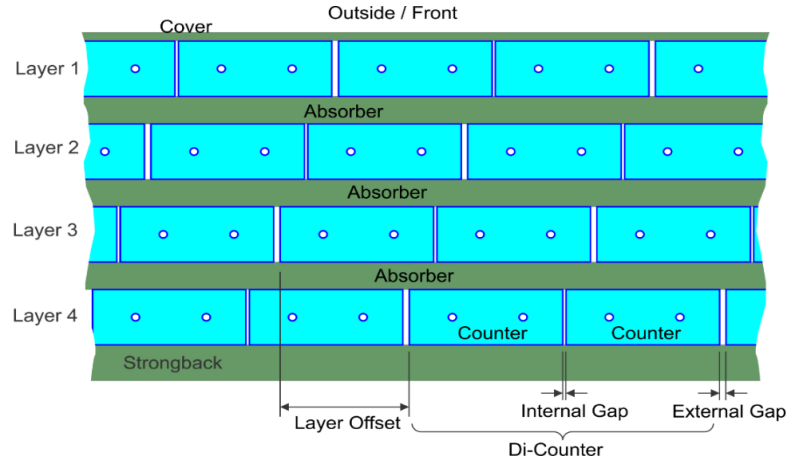


Mu2e CRV

- Cosmic ray veto module surrounds the detector solenoid aiming to eliminate background from cosmics
- 4 layers, O(10k) fibers+SiPMs
- **All modules constructed!**

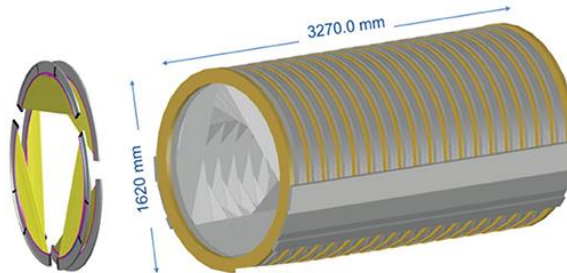
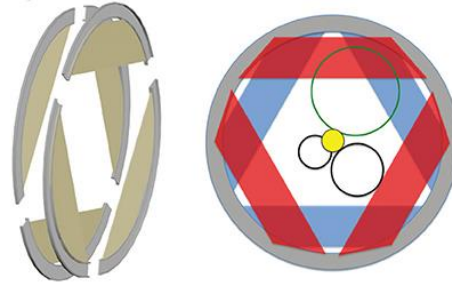


- Area: 337 m²
- 86 modules of 12 lengths
- 5,504 counters
- 11,008 fibers
- 19,712 SiPMs
- 4,928 Counter Motherboards
- 309 Front-end Boards
- 15 Readout Controllers

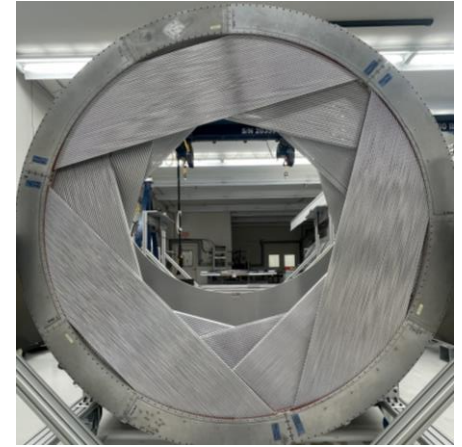


Mu2e Tracker

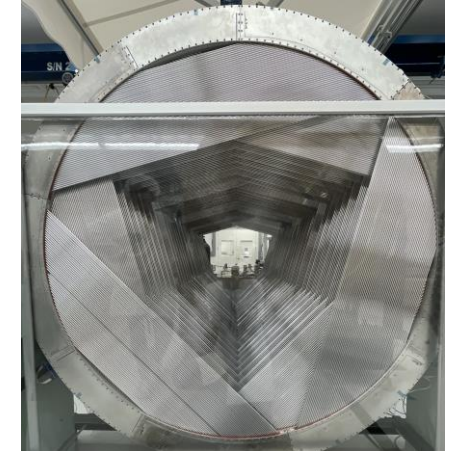
- Mu2e e^- drift chamber
- DIO spectrum at end-point motivates precise momentum resolution requirement (**goal ~150 keV**)
- Vast majority of e^- to escape through inner hole without interaction
- Total of ~20k 5 mm diameter straws
- 120 degree 'panels' with 96 straws
- Oriented and positioned to optimize acceptance, resolution, etc. (216 panels, 36 planes, 18 stations)
- ~1.6 m diameter/3.2 m length
- 33/36 planes completed!
- **Expected completion in Early 2025**



Assembled Station

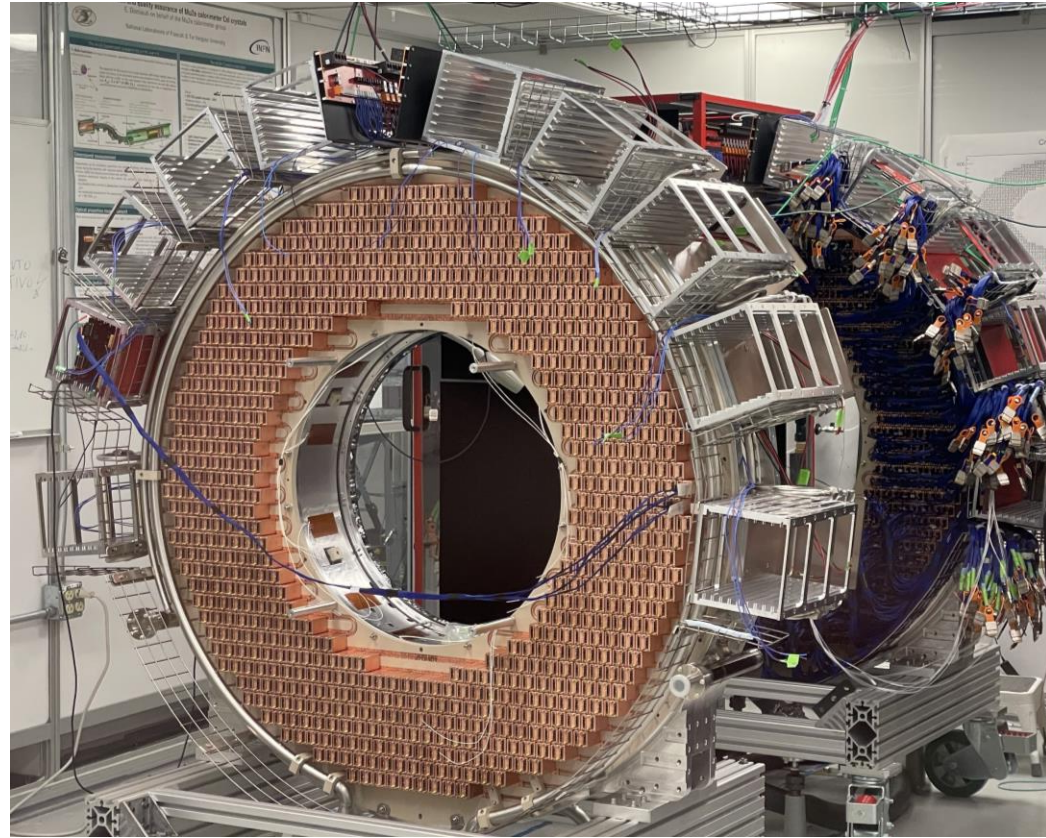


Assembled Planes



Mu2e Calorimeter

- 2 circular disks with a total of 674 undoped CsI crystals
- Objectives:
 - Particle identification (μ at signal momentum)
 - Track seeding (T0)
 - Stand alone trigger
- **Disks are complete; currently cabling and preparing for deliver to hall**

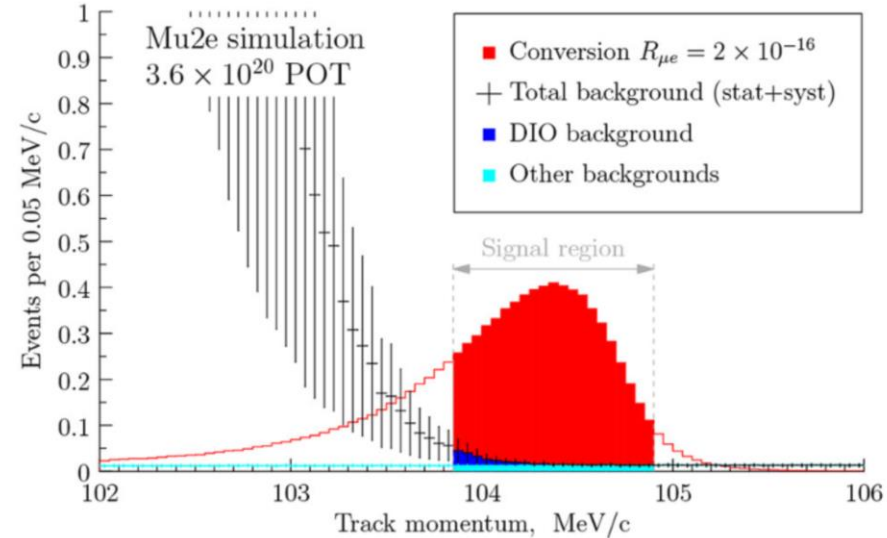


Mu2e Expected Physics Background Rates

- Dominant sources of background are expected from cosmics and DIO (0.35/0.41)

Expected Background for Full Runtime

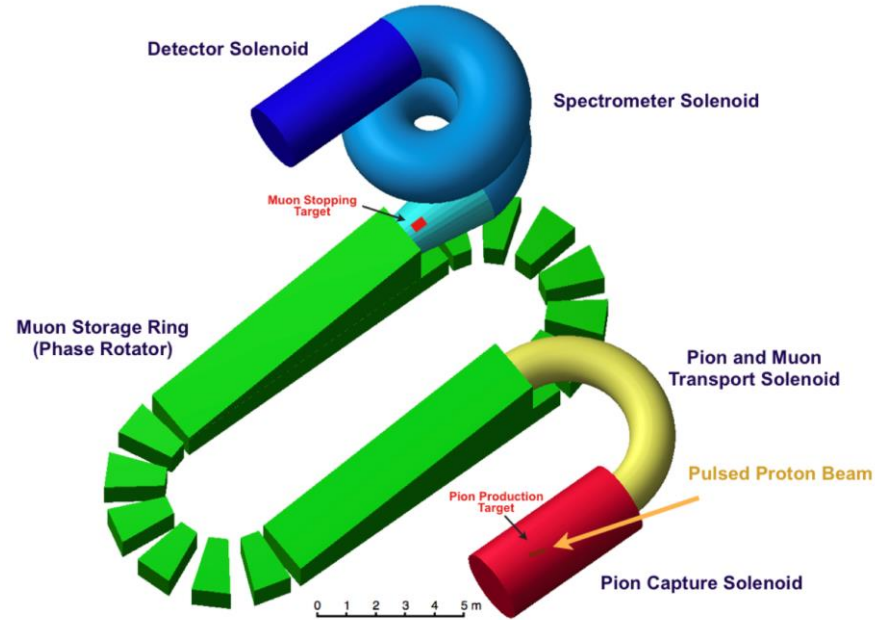
Process	Expected number
Cosmic ray Muons	$0.209 \pm 0.02 \pm 0.06$
DIO	$0.144 \pm 0.03 \pm 0.11$
Antiprotons	$0.040 \pm 0.001 \pm 0.020$
RPC	$0.021 \pm 0.001 \pm 0.002$
Muon DIF	< 0.003
Pion DIF	$0.001 \pm < 0.001$
Beam electrons	$2.1 \pm 1.0 \times 10^{-4}$
RMC	$0.000^{+0.004}_{-0.000}$
Total	0.41 ± 0.03



[Mu2e Collaboration](#)

Mu2e II - AMF - PRISM

- Future $\mu^- N \rightarrow e^- N$ experimental designs being discussed at both US and Japanese facilities are motivated by further background suppression (e.g. Fermilab: B. Echenard et al. 2203.08278)
- Example Improvements:
 - Likely requires alternate beam structure e.g. muon storage ring to suppress background
 - Low momentum muon beam (30 MeV)
 - Different target materials



J. Pasternak et al., 2018;
Adapted from Y. Kuno 2005

Summary

- CLFV discovery implies physics BSM
- MEG II is taking its 4th year of physics data at PSI; first physics result is published and expects to reach goal of x10 improvement beyond MEG
- Mu3e experiment is in the commissioning phase with data-taking starting as soon as 2025 and plans to improve beyond current limit by x10000
- Mu2e and COMET $\mu^- N \rightarrow e^- N$ experiments plan for x10000 sensitivity improvement and physics data-taking in a few years