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Formation of primordial black holes from Affleck-Dine mechanism

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Refs. Kasai, MK, Murai [arXiv:2205.10148](https://arxiv.org/abs/2205.10148)
Kasai, MK, Murai, Neda [arXiv:2405.09790](https://arxiv.org/abs/2405.09790)



1. Introduction

- **Supermassive Black Holes (SMBHs)** ($> 10^6 M_{\odot}$) exist in the centers of almost all massive galaxies
- PTA experiments reported the detection of GWs that may be produced by binaries of SMBHs
- Recent GWST observation suggests SMBHs with $10^{6-10} M_{\odot}$ at $z \sim 10$
- **Primordial black holes (PBHs)** ($\sim 10^4 M_{\odot}$) are seeds for SMBHs?

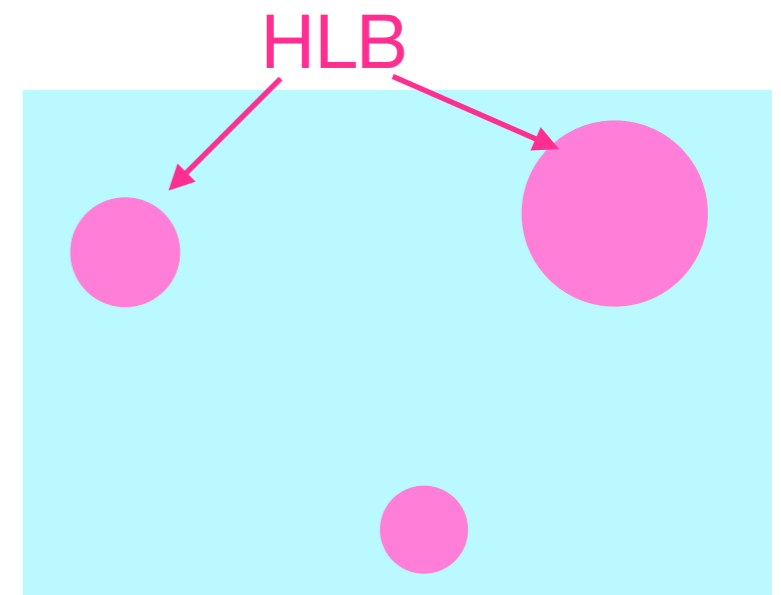
$$f_{\text{PBH}} = \frac{\Omega_{\text{PBH}}}{\Omega_{\text{DM}}} \simeq 3 \times 10^{-9}$$

- However, PBHs with $> 10^4 M_{\odot}$ are excluded by CMB spectral distortion if PBHs are produced from Gaussian density fluctuations
- Need highly non-gaussian fluctuations
- We consider PBH formation from high lepton bubble (HLBs) produced by Affleck-Dine mechanism

Dolgov, Silk (1993)

Dolgov MK Kevlishvili (2009)

Hsegawa, MK (2018)

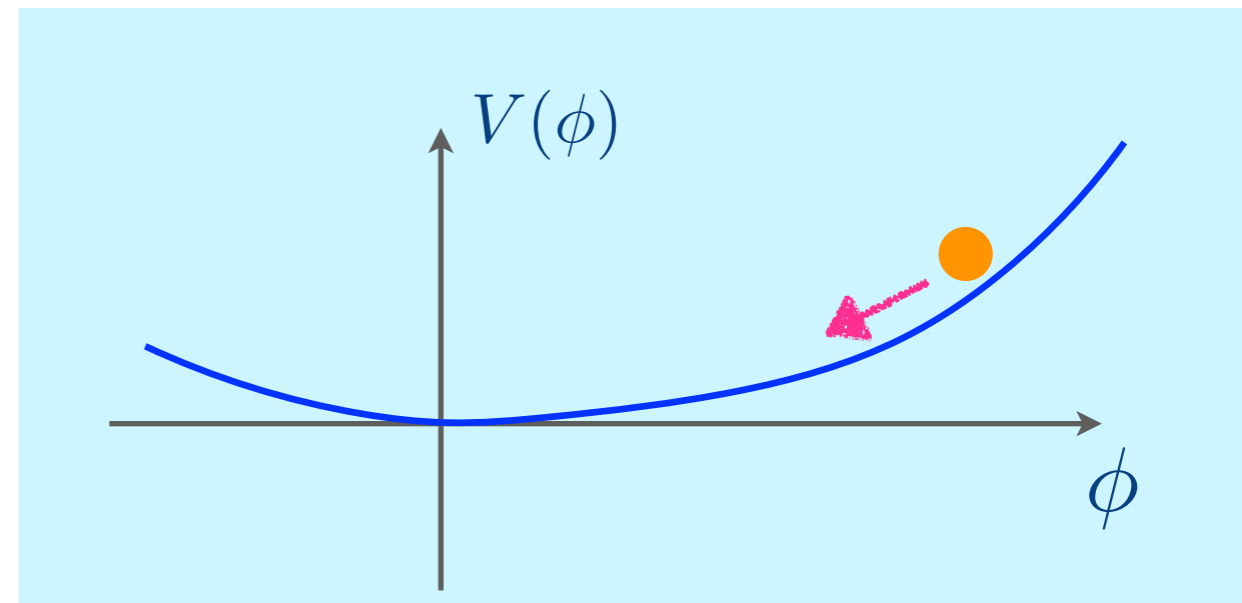


2. Affleck-Dine mechanism and Q-ball

2.1 Affleck-Dine mechanism

- Flat directions in the scalar potential of minimal SUSY standard model (MSSM) \ni (squark, slepton, Higgs)
- One of flat directions = AD field Φ
- AD field has a baryon number or/and a **lepton number**
- The flat direction is lifted by the effects of SUSY breaking and the existence of the cutoff scale
- Potential

We assume here



$$V(\phi) = (m_\phi^2 + c_H H^2) |\phi|^2 + \lambda^2 \frac{|\phi|^{2(n-1)}}{M_p^{2(n-3)}} + A \frac{\phi^n}{M_p^{(n-3)}} + h.c.$$

V_{susy} : SUSY
breaking mass term

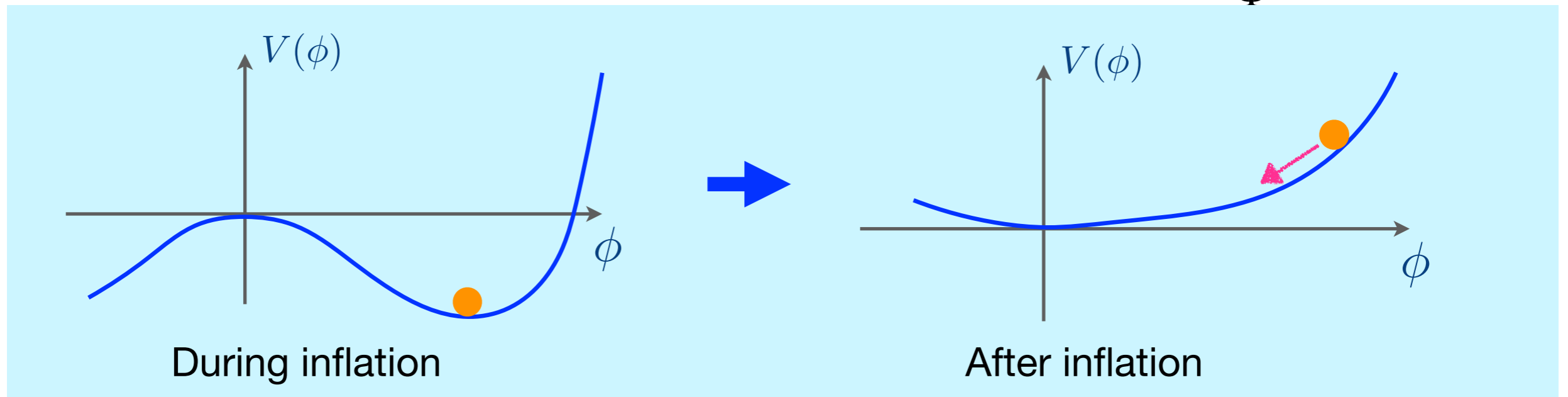
Hubble induced
mass term

V_{NR} : Non-renormalizable
term ($n \geq 4$)

V_A : A-term
($A \sim m_{3/2}$)

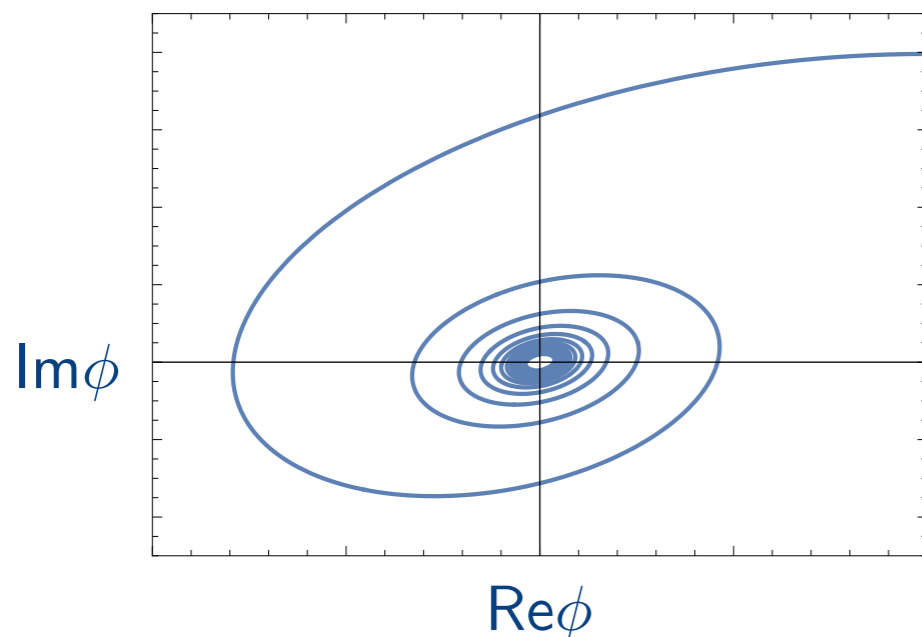
2.1 Affleck-Dine mechanism

- During inflation AD field has a large field value (if $c_H < 0$)
- After inflation AD field starts to oscillate when $H \sim m_\Phi$



- AD field is kicked into phase direction due to θ -dependent potential

V_A : A-term



$$n_L \sim \dot{\theta} |\phi|^2$$

Lepton number generation

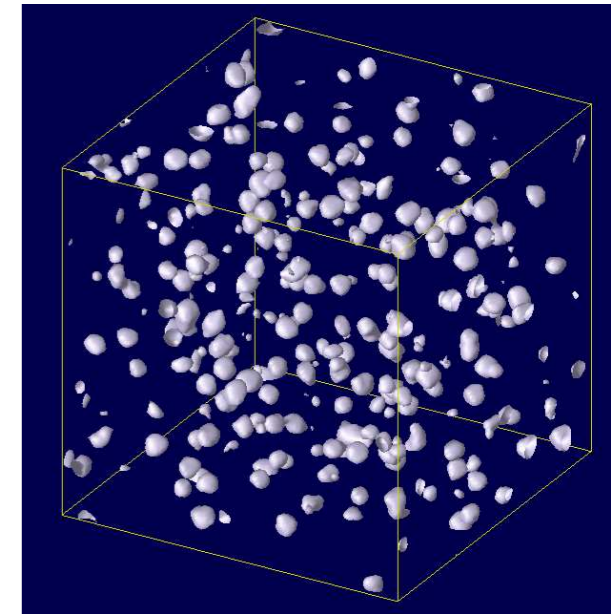
$$\eta_L = \frac{n_L}{s} \sim \frac{T_R}{m_{3/2}} \left(\frac{\phi_{\text{ocs}}}{M_p} \right)^2$$

2.2 Q-balls from AD mechanism

Kusenko Shaposhnikov (1998)

Kasuya MK (1999)

- AD field oscillation has spatial instabilities if the potential is flatter than ϕ^2
- AD field fragments into spherical lumps called Q-balls
 - ▶ Q-balls with lepton number are called **L-balls**
- Q-ball properties depend on SUSY-breaking models
- We consider **gauge-mediated SUSY breaking** models

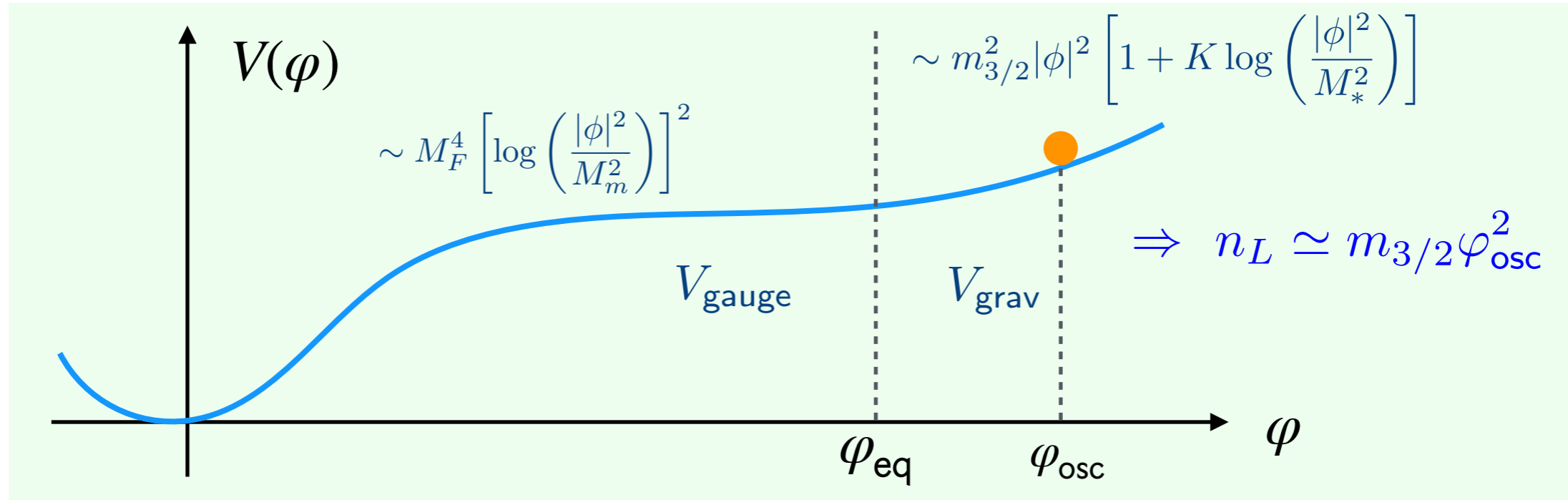


Hiramatsu MK Takahashi (2010)

$$V(\Phi) = \underbrace{M_F^4 \left[\log \left(1 + \frac{|\Phi|^2}{M_{\text{mess}}^2} \right)^2 \right]}_{V_{\text{gauge}}} + \underbrace{m_{3/2}^2 |\Phi|^2 \left[1 + K \log \left(\frac{|\Phi|^2}{M_*^2} \right) \right]}_{V_{\text{grav}}}$$

- ▶ Q-balls are always formed when V_{gauge} dominates the potential
- ▶ Q-balls are formed if $K < 0$ when V_{grav} dominates the potential

2.3 L-ball formation



- AD field starts oscillation with amplitude $\varphi_{\text{osc}} > \varphi_{\text{eq}}$ at $H \sim m_{3/2}$
- If $K > 0 \Rightarrow$ L-balls do not form until $\varphi < \varphi_{\text{eq}}$

► L-ball formation is delayed [delayed-type L-ball]

- L-ball mass, radius and energy per lepton number

$$M_Q = \frac{2\sqrt{2}\pi}{3} \zeta M_F Q^{3/4} \quad R_Q = \frac{1}{\sqrt{2}} \zeta^{-1} M_F Q^{-1/4}$$

$$\omega = dM_Q/dQ = \sqrt{2} \zeta M_F Q^{-1/4} \quad \zeta \sim 3.6$$

Hisano Nojiri Okada (2001)

- L-balls decay into neutrinos with decay rate $\Gamma_Q \simeq \frac{1}{Q} \frac{\omega_Q^3}{4\pi^2} 4\pi R_Q^2$

3. Inhomogeneous AD and PBHs

Dolgov, Silk (1993)
Dogov MK Kevlishvili (2009)
Hsegawa, MK (2018)

3.1 Inhomogeneous AD mechanism

- Modified AD mechanism can produce lepton (baryon) number inhomogeneously
- Two unconventional assumptions:
 - ▶ Hubble mass is positive during inflation and becomes negative after inflation
 - ▶ Thermal mass overcomes Hubble mass after inflation
- Potential for AD field

$$V = \begin{cases} (m_\phi^2 + c_I H^2) |\phi|^2 + V_{NR} + V_A & \text{(during inflation)} \\ (m_\phi^2 - c_M H^2) |\phi|^2 + V_{NR} + V_A + V_T & \text{(after inflation)} \end{cases}$$

$$V_T = \begin{cases} c_1 T^2 |\phi|^2 & |\phi| \lesssim T \\ c_2 T^4 \ln(|\phi|^2 / T^2) & |\phi| \gtrsim T \end{cases}$$

3.1 Inhomogeneous AD mechanism

- During inflation

- ▶ $c_H > 0$ (positive Hubble mass)
- ▶ Flat potential $c_H \ll 1$

- Quantum fluctuations of AD field

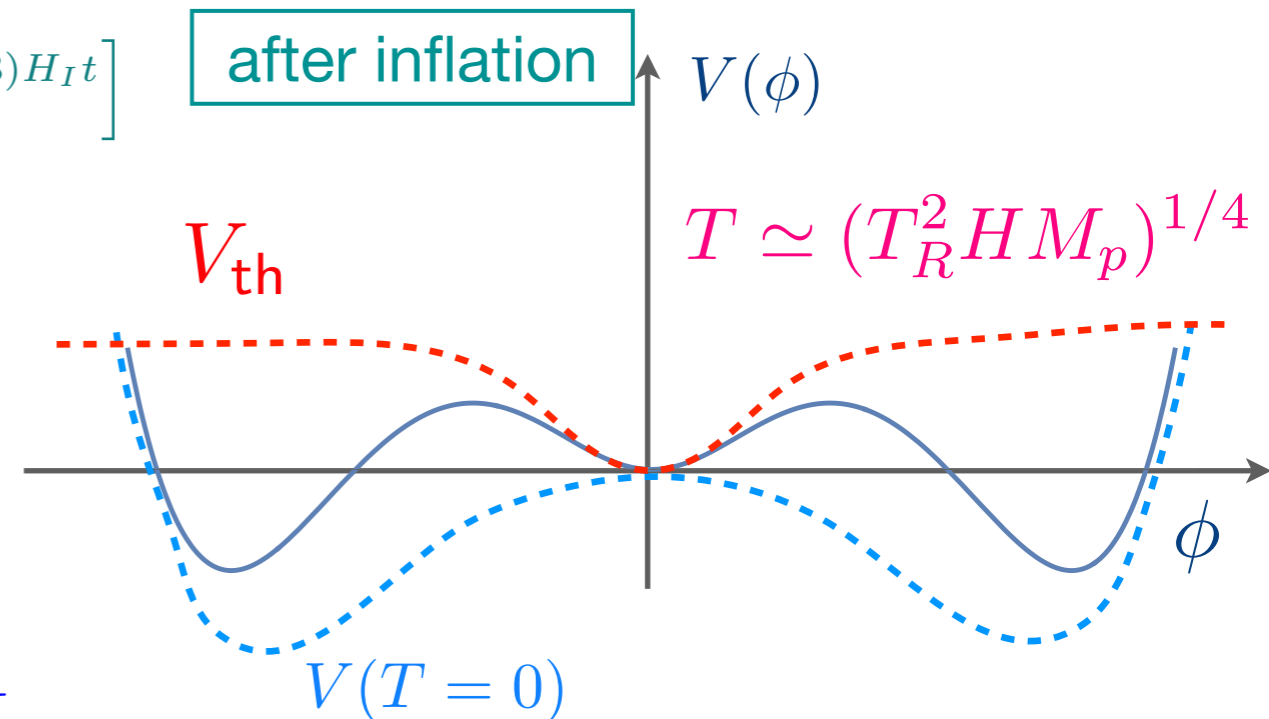
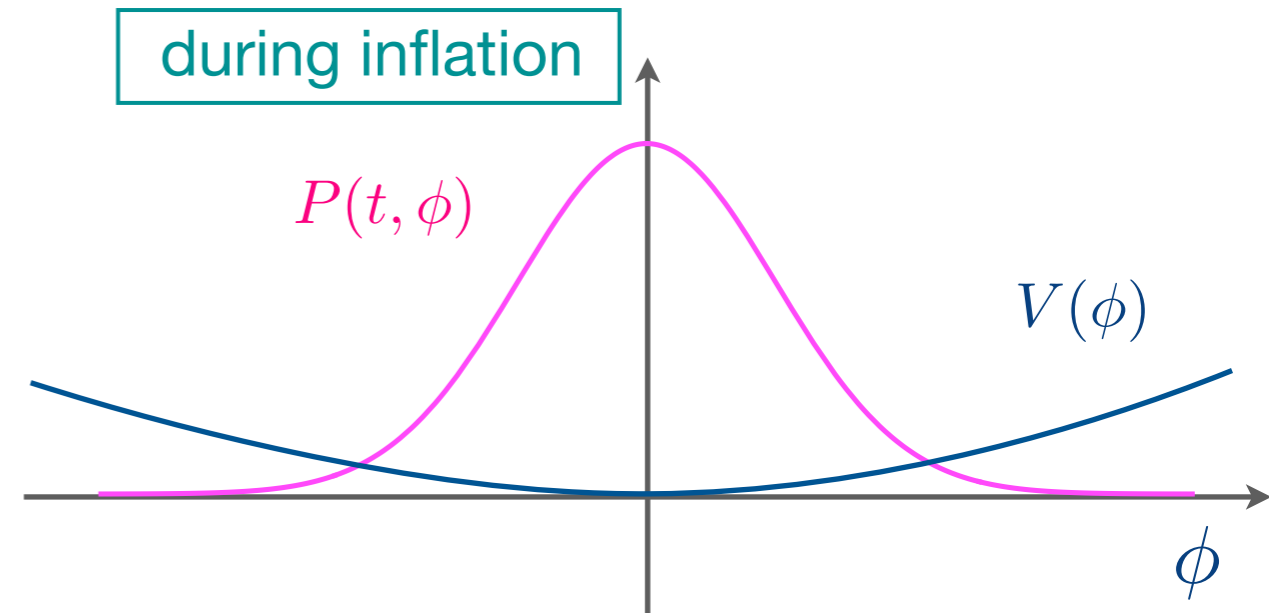
- ▶ Gaussian distribution

$$P(t, \phi) = \frac{1}{2\pi\sigma(t)^2} \exp\left[-\frac{|\phi|^2}{2\sigma(t)^2}\right]$$

$$\sigma^2 = \left(\frac{H_I}{2\pi}\right)^2 \left(\frac{2}{3c_H}\right) \left[1 - e^{-(2c_H/3)H_I t}\right]$$

- After inflation

- ▶ $c_H < 0$ (negative Hubble mass)
- ▶ Thermal effect due to inflaton decay

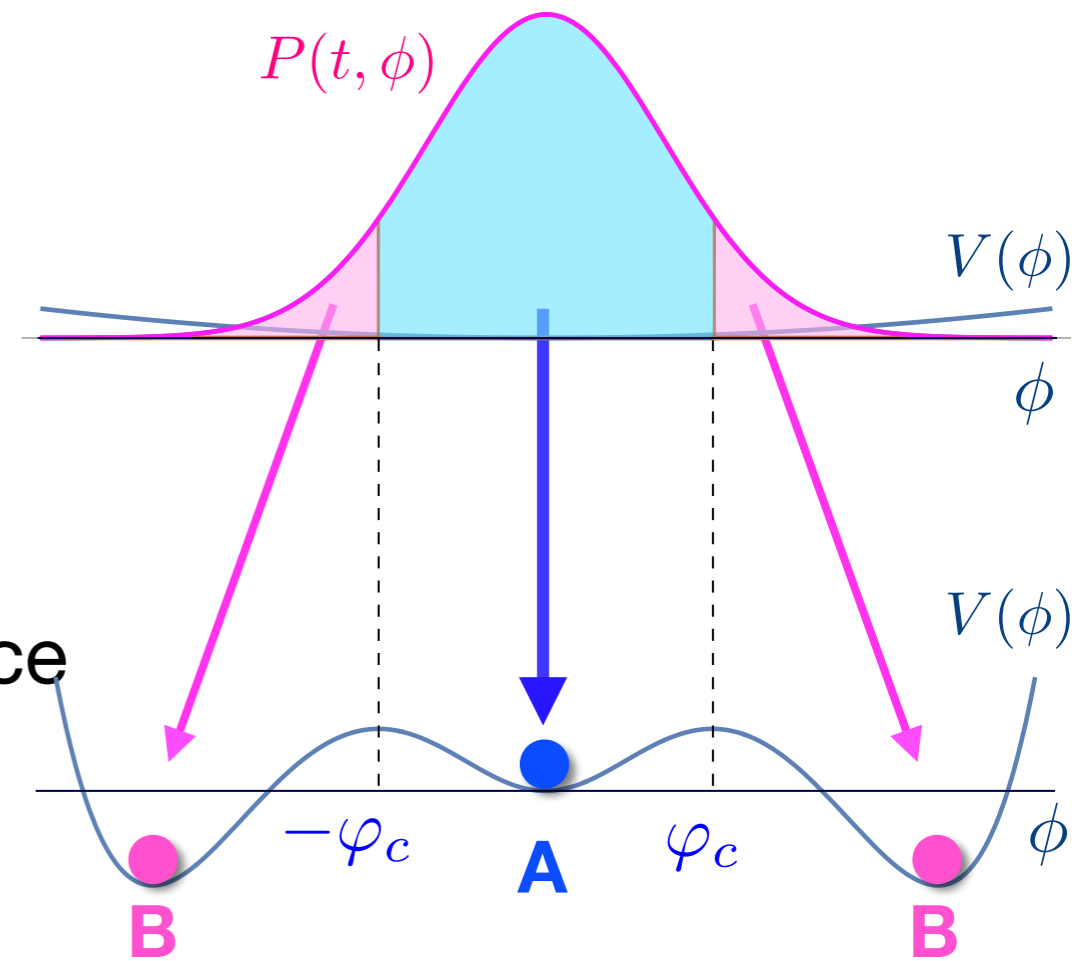


→ multi-vacua

$$\Delta \equiv \frac{T_R^2 M_p}{H_I^3} \gtrsim 1$$

3.1 Inhomogeneous AD mechanism

- Regions with $|\phi| < \varphi_c$ go to A-vacuum
 - ▶ no lepton number generation
- Regions with $|\phi| > \varphi_c$ go to B-vacuum
 - ▶ lepton number generation takes place (same way as the standard AD)
 - ▶ Efficient AD leotogenesis

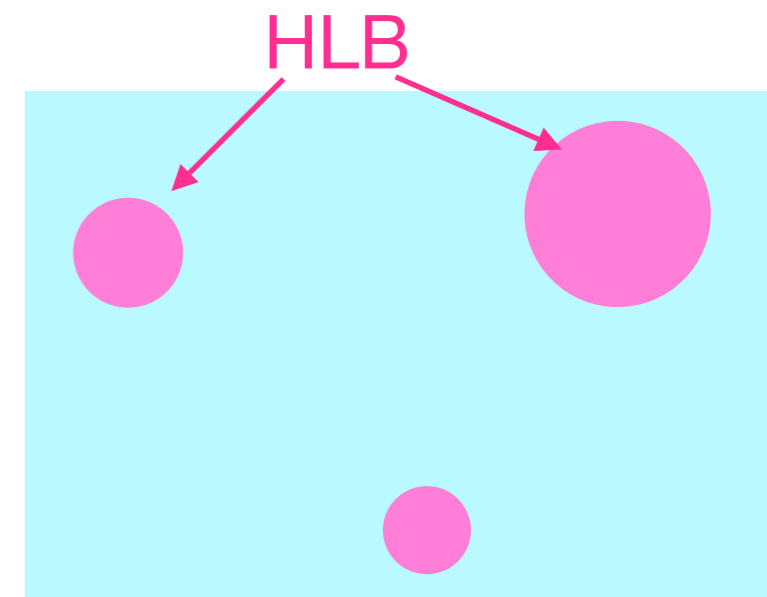


➔ Formation of high-lepton bubbles (HLBs)

- Oscillation of AD field forms L-balls
 - ▶ L-balls decay into neutrinos at $T \sim \mathcal{O}(\text{MeV})$
- Volume fraction of HLBs with size k^{-1}

$$\beta(k) = \frac{d}{dN} \int_{\varphi > \varphi_c} d\varphi P(N, \varphi)$$

N : e-fold $\propto \ln k$



3.2 PBH formation in inhomogeneous AD mechanism

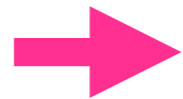
- L-balls behaves like matter

► Density contrast between inside and outside of HLBs

$$\delta \equiv \frac{\rho_{\text{HLB}} - \bar{\rho}}{\bar{\rho}} \sim \frac{1}{T} \left(\frac{\rho_Q}{s} \right)_{\text{HLB}} \simeq \frac{\omega_Q}{T} \eta_L^{\text{in}} \simeq \frac{m_{3/2}}{T} \eta_L^{\text{in}}$$

- Density contrast increases as the cosmic temperature decreases

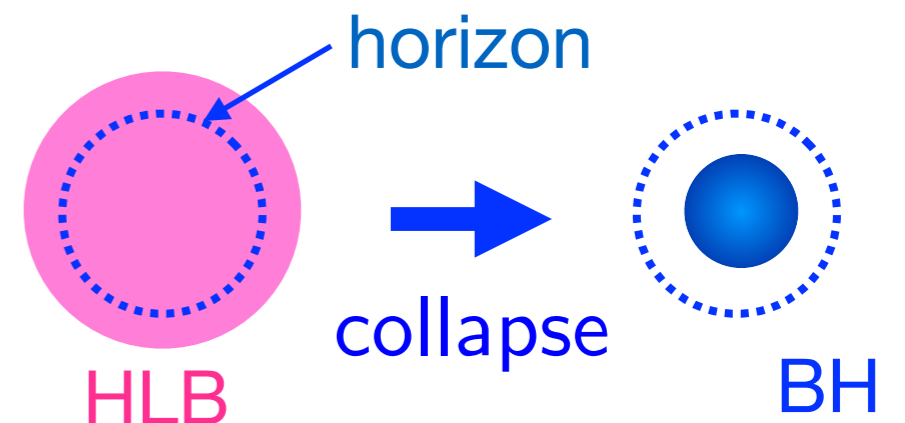
$$\delta \gtrsim \delta_c \simeq 0.4 \quad \text{for} \quad T \lesssim 3 \text{ MeV} \left(\frac{m_{3/2} \eta_L^{\text{in}}}{\text{MeV}} \right)$$



PBH formation when HLBs reenter the horizon

- PBH mass has a lower cutoff

$$M_c \sim 10^4 M_\odot \left(\frac{m_{3/2} \eta_L^{\text{in}}}{\text{MeV}} \right)^{-2}$$



- PBH mass fraction at formation time

$$\beta_{\text{PBH}}(M_{\text{PBH}}) = \beta(M_{\text{PBH}}) \theta(M_{\text{PBH}} - M_c) \longrightarrow f_{\text{PBH}} \sim \int d(\ln M) \beta_{\text{PBH}}(M) \frac{T(M)}{T_{\text{eq}}}$$

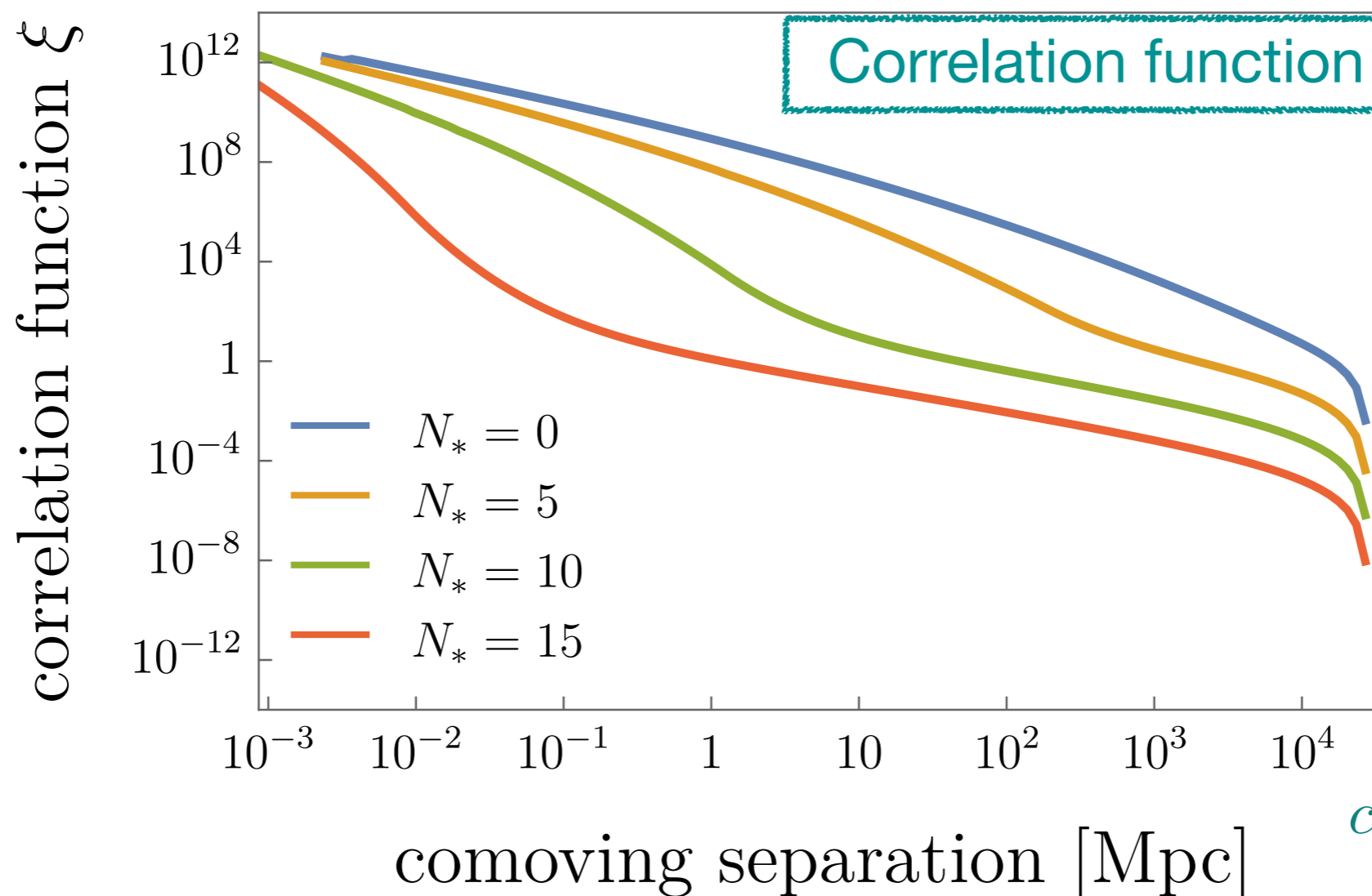
3.3 PBH strong clustering problem

- PBHs from HLBs can account for seeds of Supermassive BHs (SMBHs) avoiding stringent constraints from CMB
- However, Inhomogeneous AD mechanism predicts strong clustering of PBHs [MK Murai Nakatsuka arXiv: 2107.03580](#)
- Two observational effects
 - ▶ Large isocurvature density fluctuations on CMB scales
 - ▶ Large angular correlations of quasars
- In particular, observational data of angular correlation of quasars excludes this scenario [Shinohara, Suyama and Takahashi \(2021\)](#)
- Both effects come from AD field fluctuations at large scales
 - ▶ Need to suppress the large-scale fluctuations

3.4 Modified model

MK Murai Neda arXiv:2405.09790

- Hubble induced mass changes during inflation (e.g. double inflation)
 - ▶ First stage of inflation ($0 < N < N_*$) c_I : large = c_1
 - ▶ Second stage ($N_* < N \lesssim 60$) c_I : small = c_2 ($c'_2 = 2c_1/3 = 0.005$)
- This suppresses PBH clustering at large scales and explain SMBH abundance $f_{\text{PBH}} = 3 \times 10^{-9}$



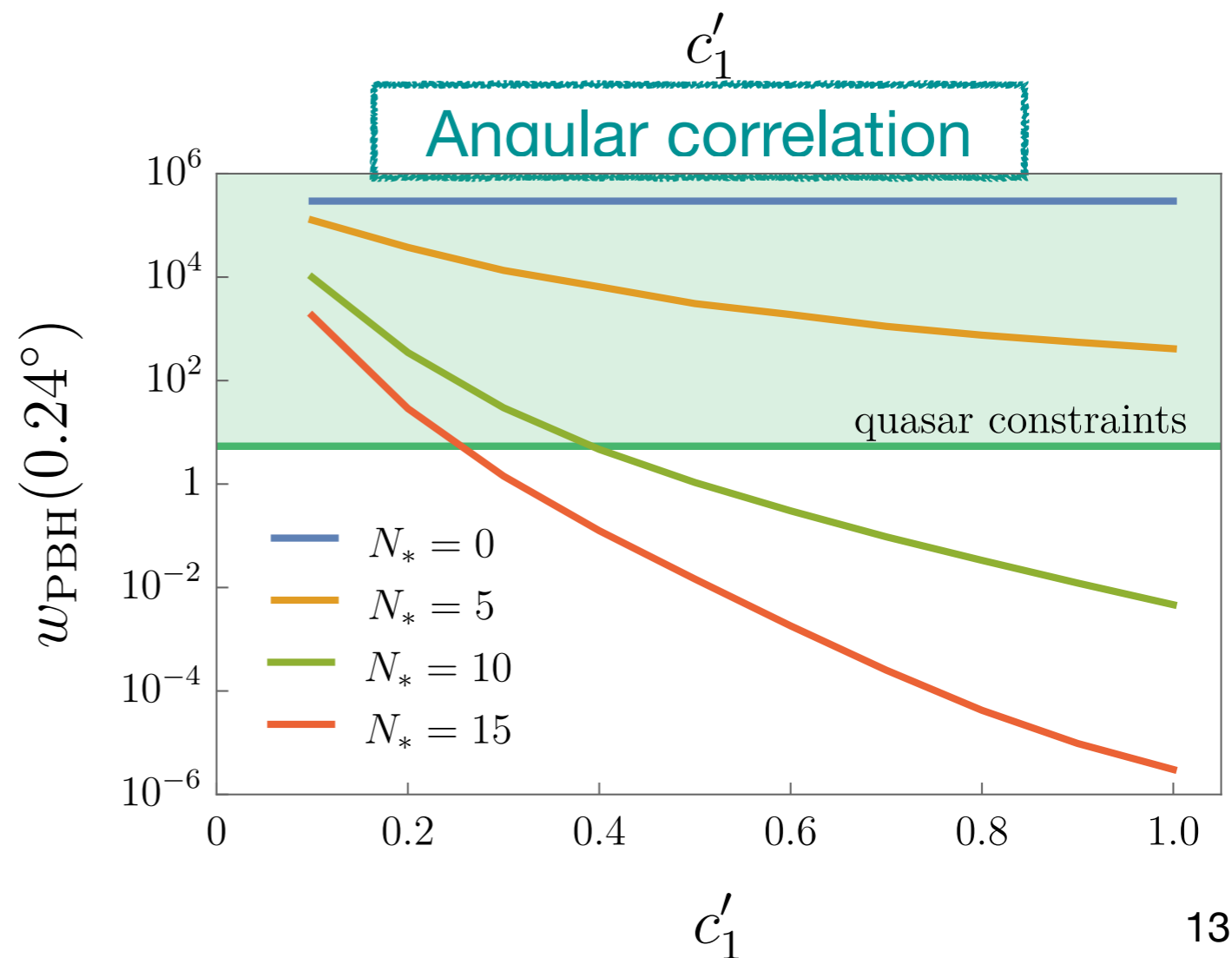
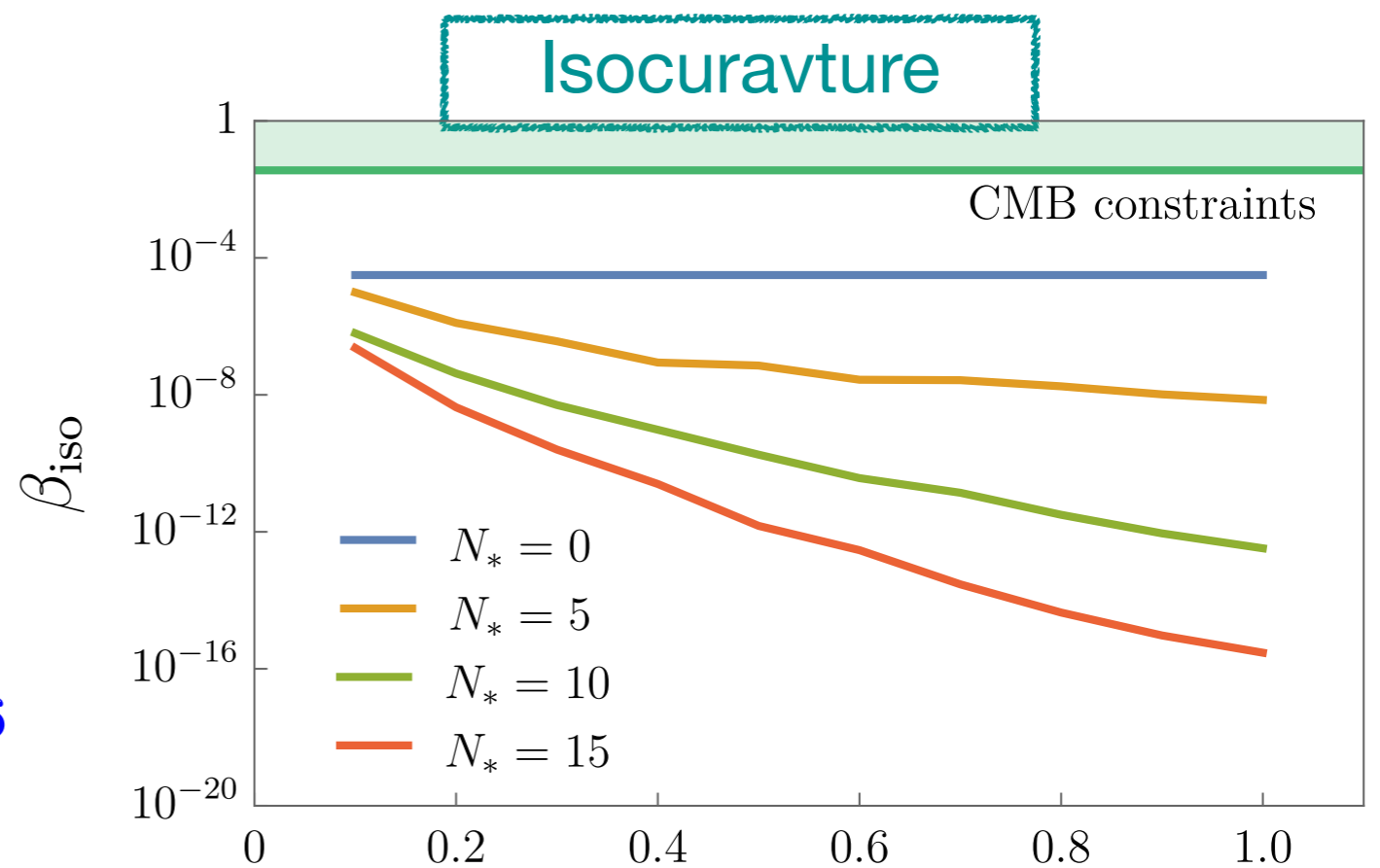
$$c'_1 = 2c_1/3 = 0.5$$

3.4 Modified model

- Isocurvature perturbations are small (even original model satisfies CMB constraint)

$$\beta_{\text{iso}} \equiv \frac{\mathcal{P}_{\text{iso}}(k_{\text{CMB}})}{\mathcal{P}_{\text{iso}}(k_{\text{CMB}}) + \mathcal{P}_{\mathcal{R}}(k_{\text{CMB}})} < 0.036$$


- Angular correlation is small enough for $N_* \gtrsim 10$ and $c'_1 \gtrsim 0.4$



3.4 Modified model

- The model satisfies other constraints for successful PBH formation

- ▶ Decay after PBH formation
- ▶ $\Delta N_{\text{eff}} < 0.24 \leftarrow \nu$ from L-balls
- ▶ $\Omega_{3/2} \leq \Omega_{\text{DM}} \Rightarrow$ gravitino DM
- ▶ Oscillation start with $\varphi_{\text{osc}} > \varphi_{\text{eq}}$


 $m_{3/2} \simeq 0.2 - 1 \text{ GeV}$
 $T_R \lesssim 3 \times 10^4 \text{ GeV}$

- PBHs from HLBs can account for seeds of Supermassive BHs (SMBHs)

