

LIGHT STERILE NEUTRINO AND MASS-RELATED OBSERVABLES

Based on arXiv 2405.04176

Debashis Pachhar



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Outline

- Introduction
- Motivation
- Possible mass spectra for $3+1$ scenario
- Effect of an extra light sterile state on mass-related observables
- Conclusion

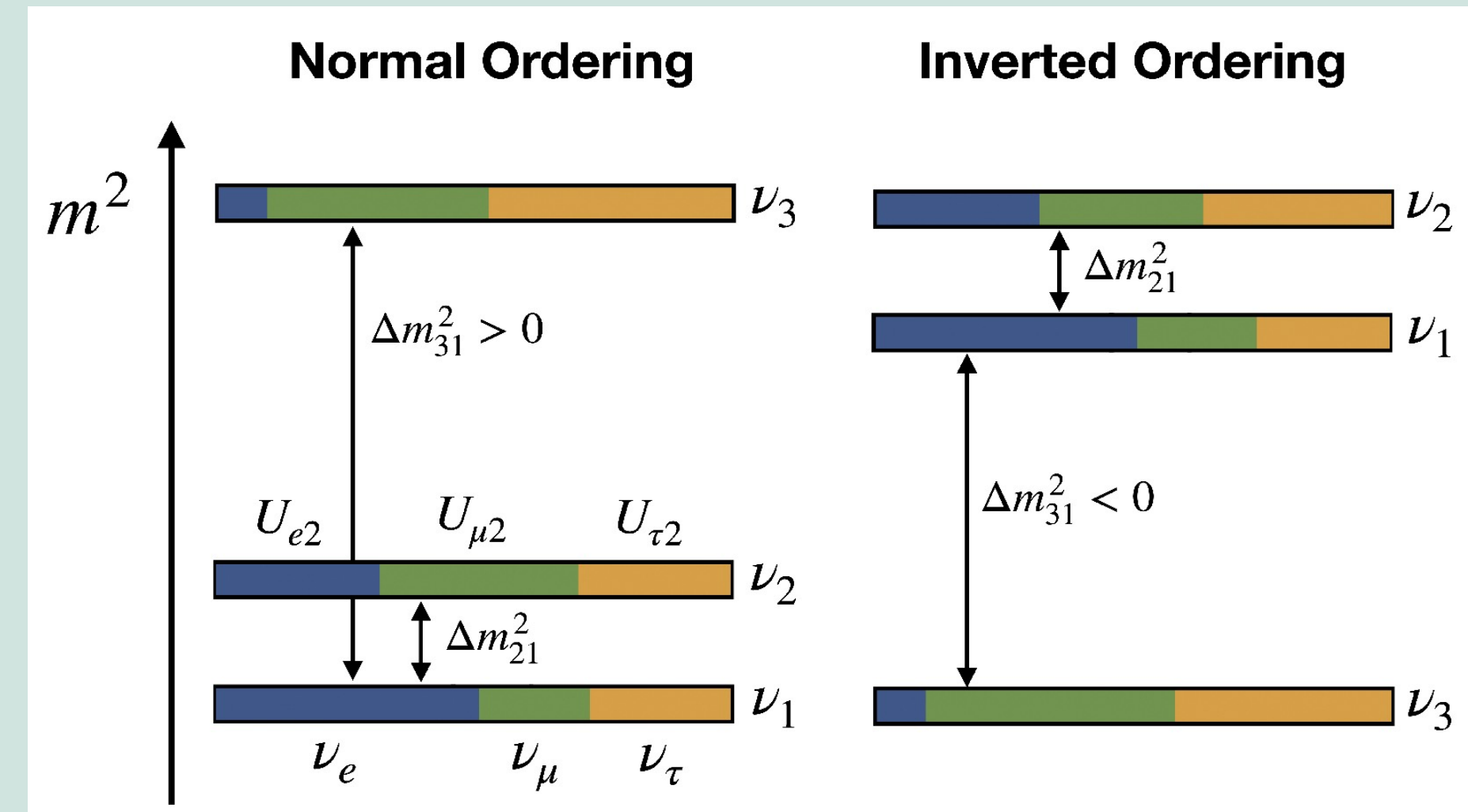
3 flavor Framework

$$|\nu_i\rangle = U_{i\alpha} |\nu_\alpha\rangle$$

- The mixing matrix is described by three angles $(\theta_{12}, \theta_{13}, \theta_{23})$, one Dirac phase (δ_{13}) and **two Majorana phases (α, β)**

$$U_{PMNS} = \mathbb{R}_{23}(\theta_{23}) \mathbb{S}_{13}(\theta_{13}, \delta_{13}) \mathbb{R}_{12}(\theta_{12}) \mathbb{P}$$

$$\mathbb{P} = \text{diag}(1, e^{i\alpha}, e^{i\beta})$$



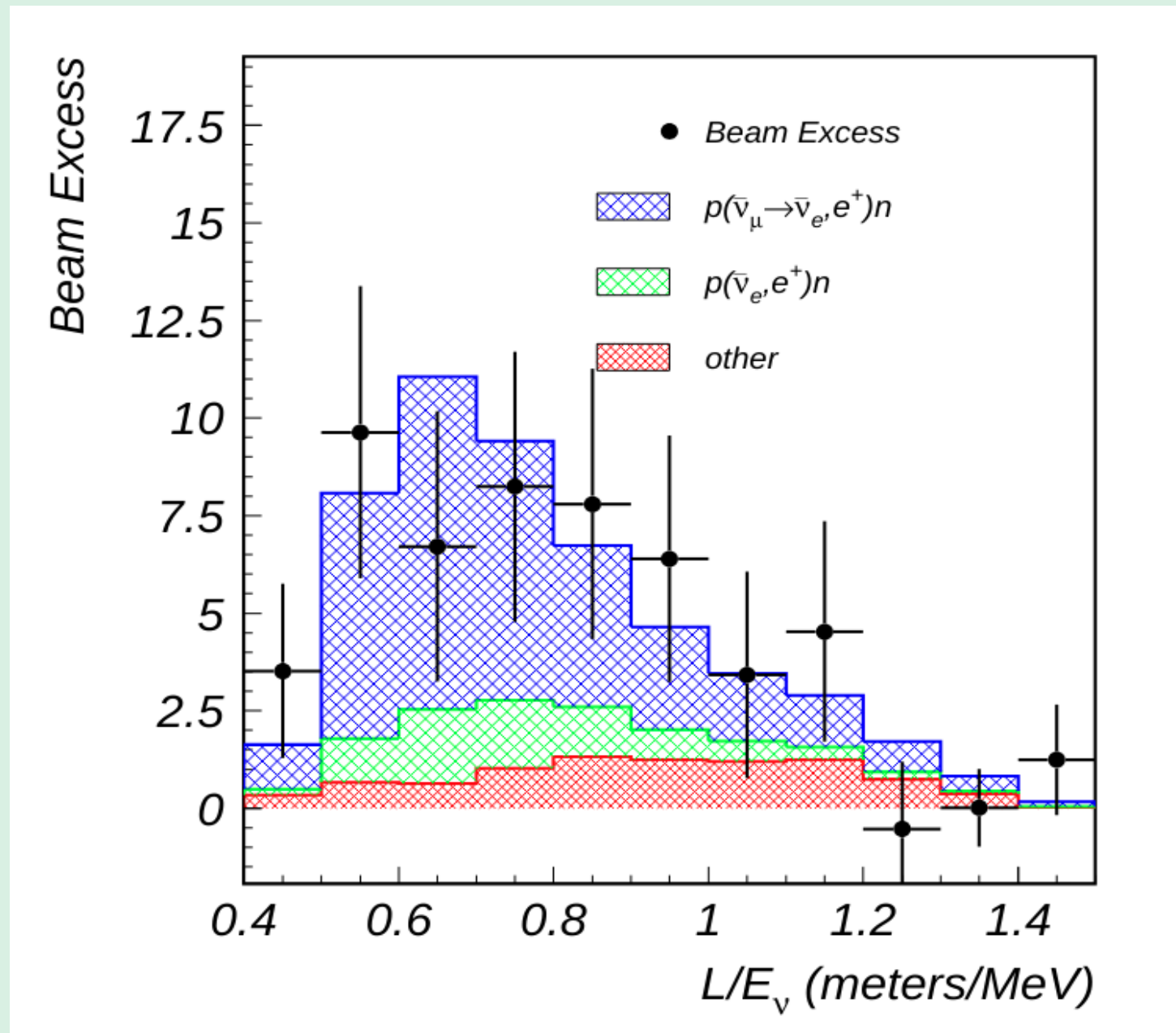
- Known in Standard Picture [\(Nufit 5.3, 2024\)](#)

- $\Delta m_{21}^2 = (6.82 - 8.04) \times 10^{-5} eV^2$
- $|\Delta m_{31}^2| = (2.42 - 2.59) \times 10^{-2} eV^2$
- $\sin^2 \theta_{12} = (0.275 - 0.344)$
- $\sin^2 \theta_{13} = (0.023 - 0.024)$
- $\sin^2 \theta_{23} = (0.407 - 0.620)$

- Unknown in Standard Picture
- θ_{23} octant : $\theta_{23} > 45^\circ$ / $\theta_{23} < 45^\circ$
- Mass ordering :
 $\Delta m_{31}^2 > 0$ / $\Delta m_{31}^2 < 0$
- Value of CP phase $(\delta_{CP}) = \delta_{13}$
- Absolute mass scale
- Dirac/Majorana

(light) sterile neutrino

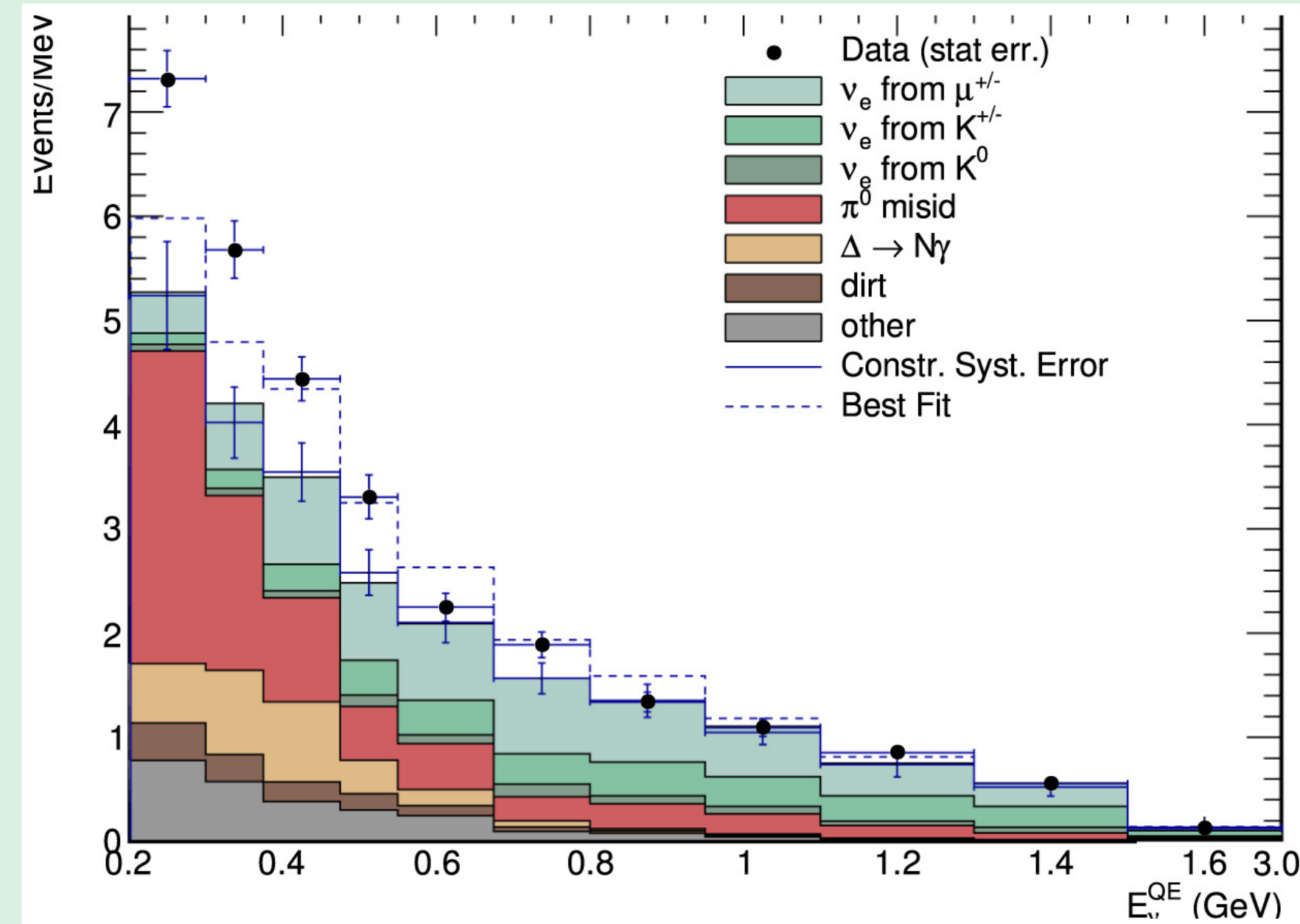
LSND



$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ at 3.8σ (C.Athanassopoulos et al, PRL 1995)

L: 30 m; $20 \text{ MeV} < E < 52.8 \text{ MeV}$

MiniBooNE

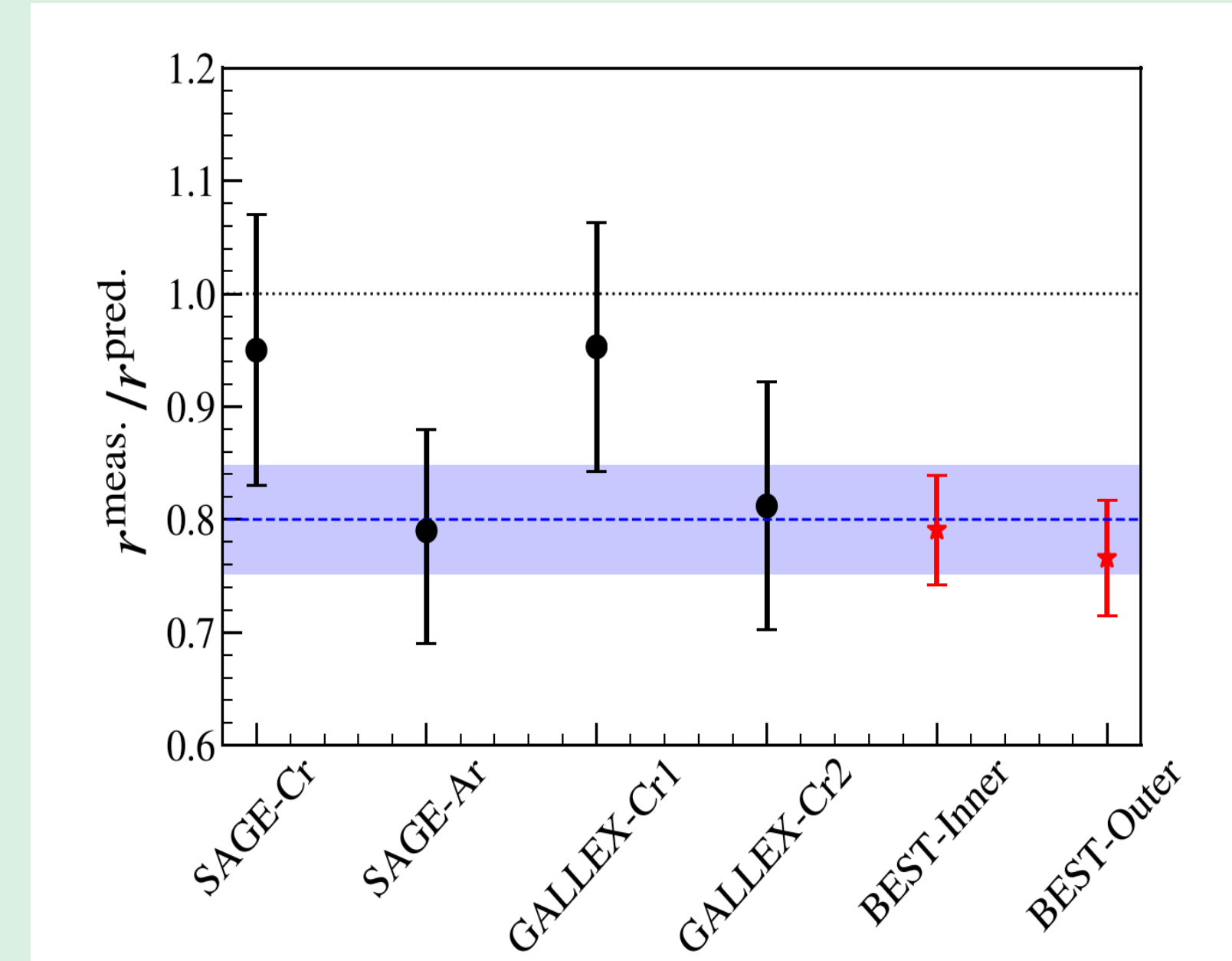


$\nu_\mu \rightarrow \nu_e$ at 4.8σ (Aguilar-Arevalo et al., PRL, 2009)

L: 540 m; $200 \text{ MeV} < E < 3 \text{ GeV}$

$L/E \sim 1$ suggests $\Delta m^2 \sim 1 \text{ eV}^2$

Gallium Anomaly



Deficit in ν_e at GALLEX, SAGE, BEST (Barinov et al., 2021)

Presence of a sterile neutrino with $\Delta m^2 \sim \text{eV}^2$ can explain these.

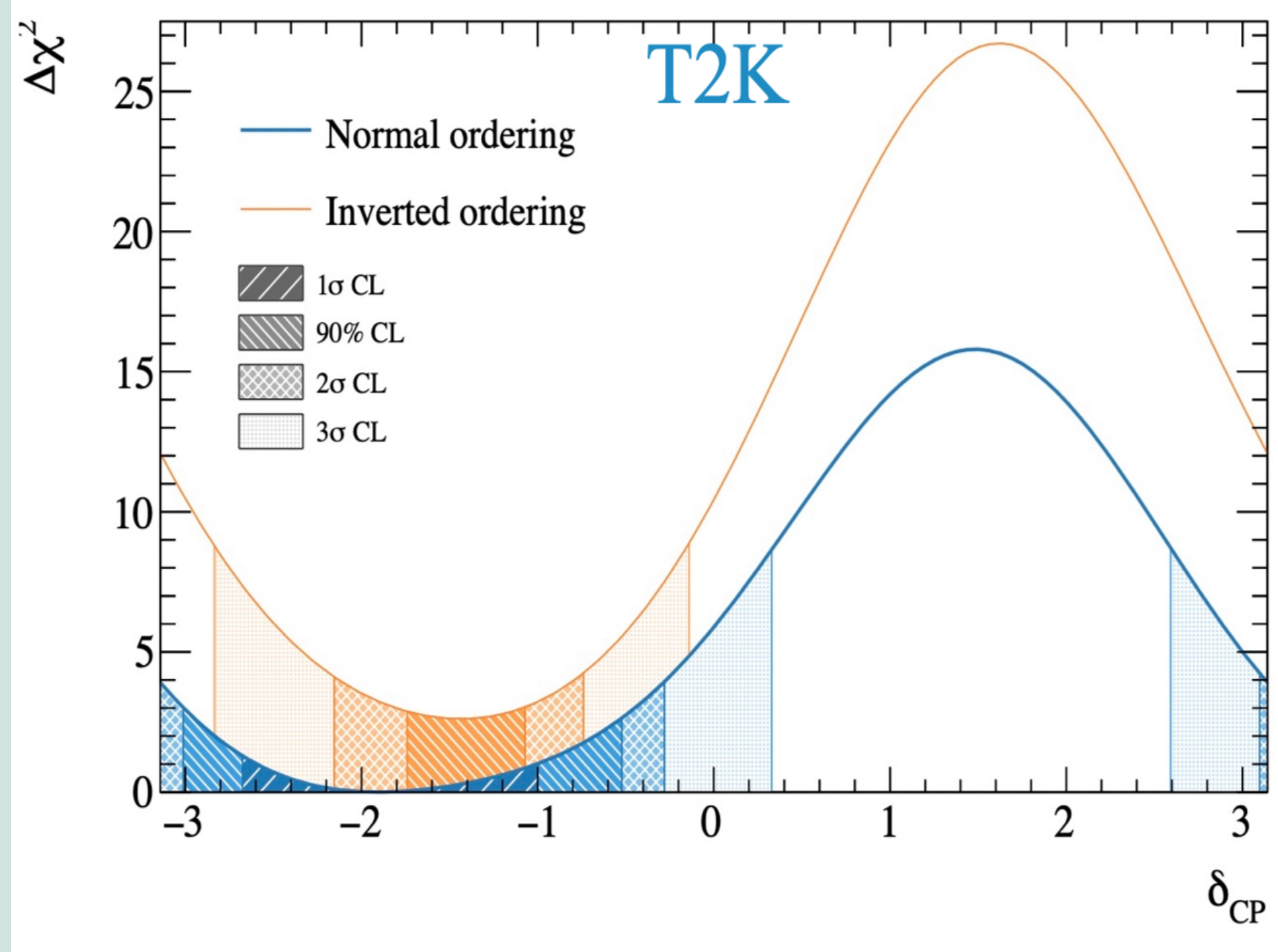
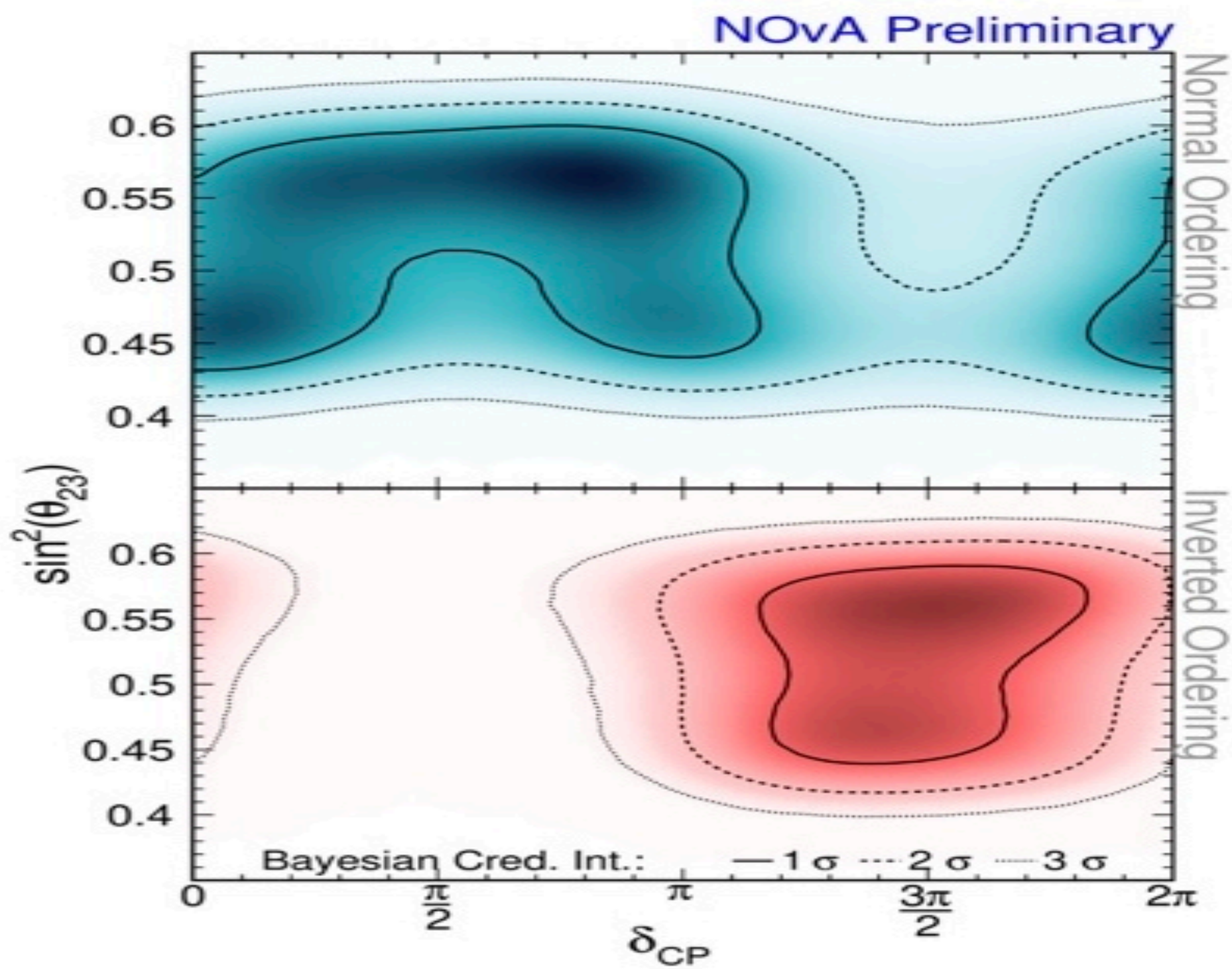
(very light) sterile neutrino

- Long Baseline Experiments :

T2K : $L=295 \text{ KM}$, $E=0.7 \text{ GeV}$

NO ν A: $L=810 \text{ KM}$, $E=2.0 \text{ GeV}$

Son Cao , 2310.09855

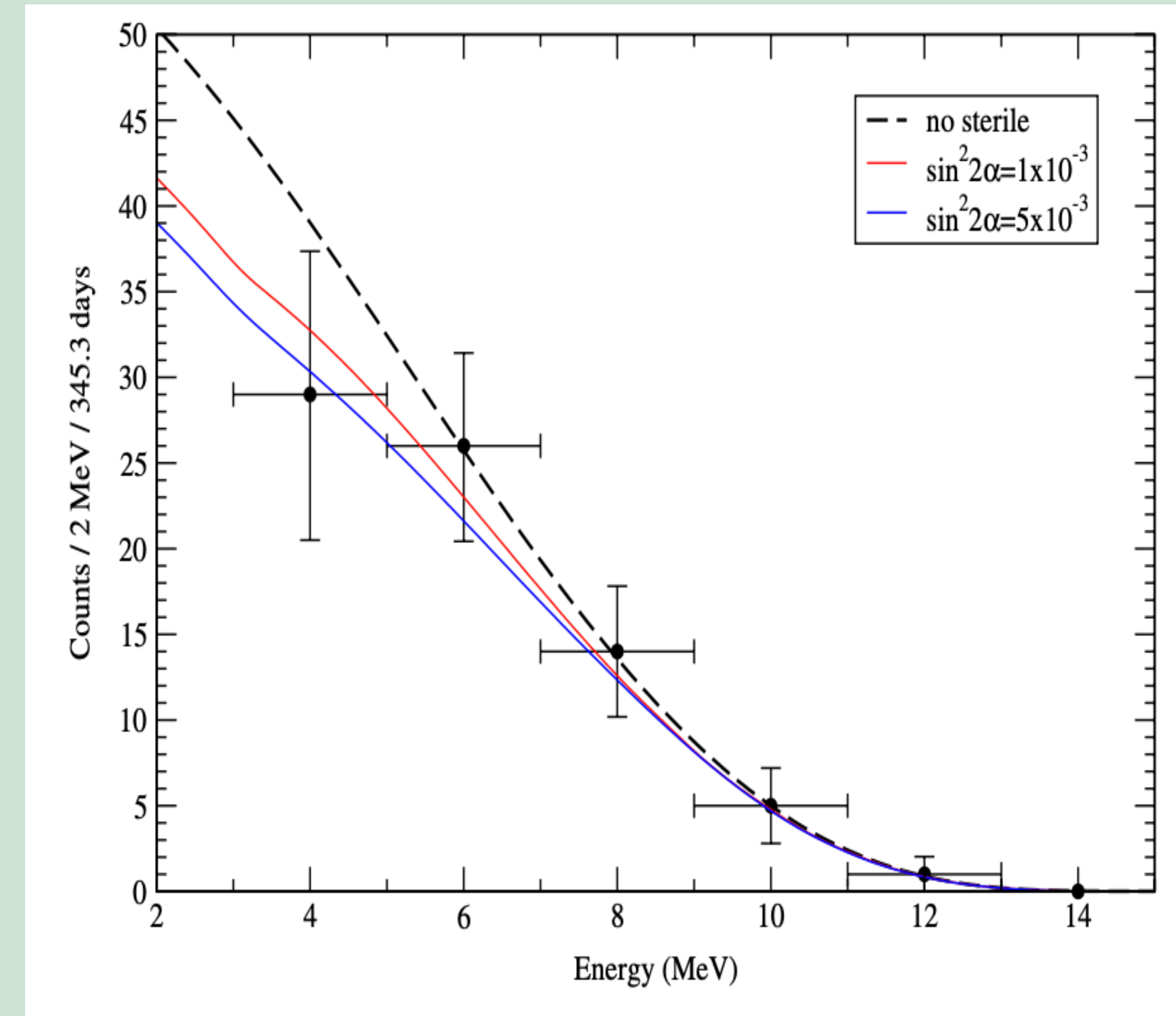


- T2K, NO ν A tension can be improved with introduction of $\Delta m_{41}^2 = 10^{-2} eV^2$ sterile neutrino (de Gouvea et al. , PRD, 2022)

(Ultra-light) sterile neutrino

[PhysRevD. 83, 113011]

- Borexino is a solar neutrino experiment in Gran Sasso, Italy
- Results from Borexino doesn't show signatures of upturn of energy spectrum below 8 MeV expected from MSW solution to the Solar Neutrino Problem. [Phys. Rev. C 81, 055504; Phys. Rev. D 82, 033006; Phys.Rev.D 83, 052010]
- ✓ Possible solution is extra sterile neutrino:
- ✓ $\Delta m_s^2 \sim 10^{-5} \text{ eV}^2$
- ✓ Mixing with active states $\sin^2 2\alpha \sim 10^{-5} : 10^{-3}$

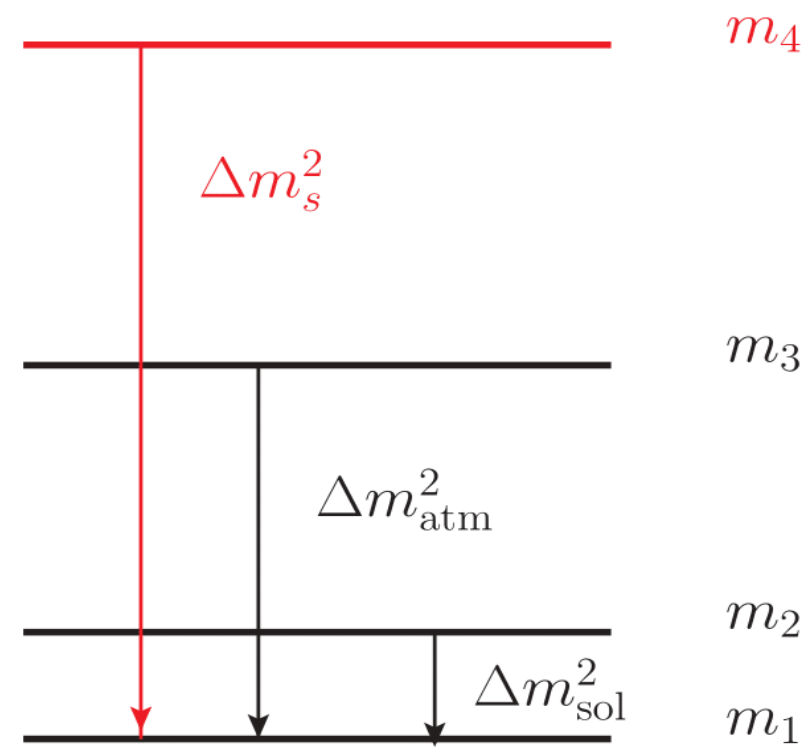


$$\Delta m_{sol}^2 \simeq 7 \times 10^{-5} eV^2, \quad \Delta m_{atm}^2 \simeq 2.5 \times 10^{-3} eV^2$$

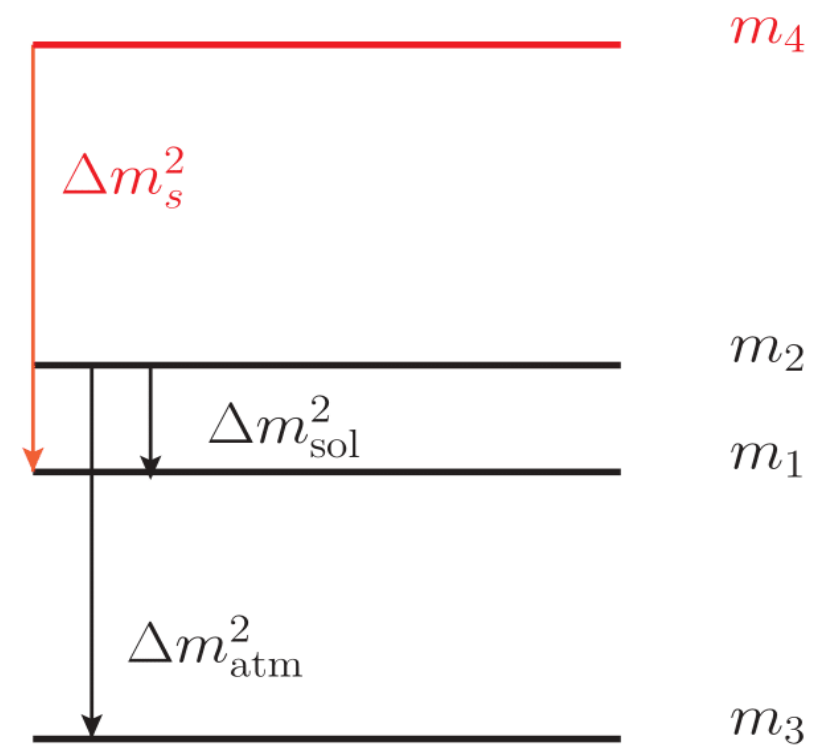
$$\Delta m_s^2 = 10^{-4} eV^2, 0.01 eV^2, 1.3 eV^2$$

$$\Delta m_s^2 \gtrsim \Delta m_{atm}^2$$

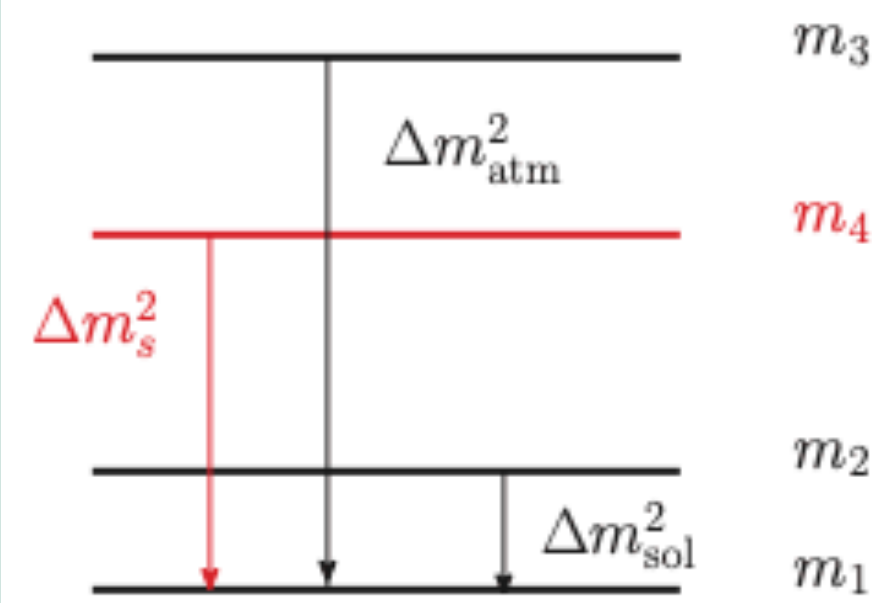
$$\Delta m_s^2 \lesssim \Delta m_{atm}^2$$



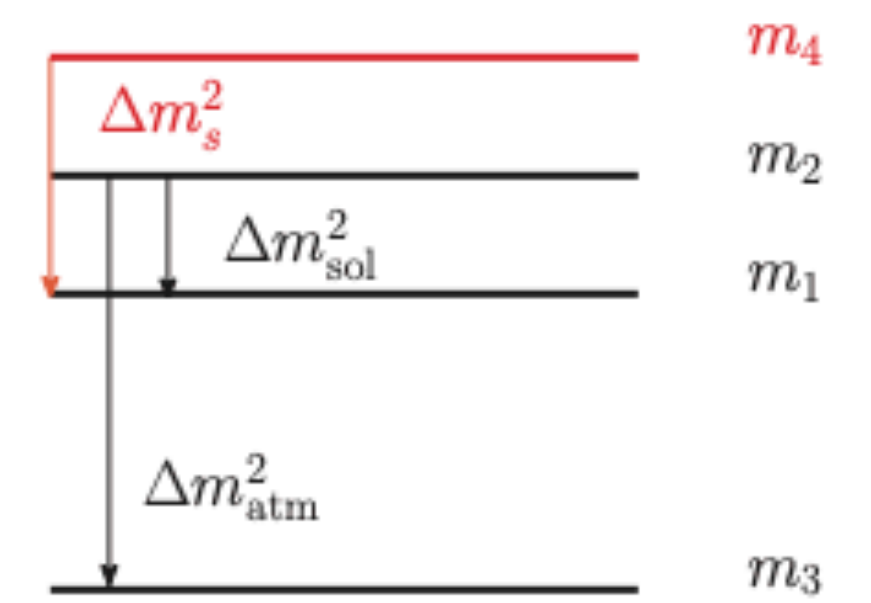
SNO-NO



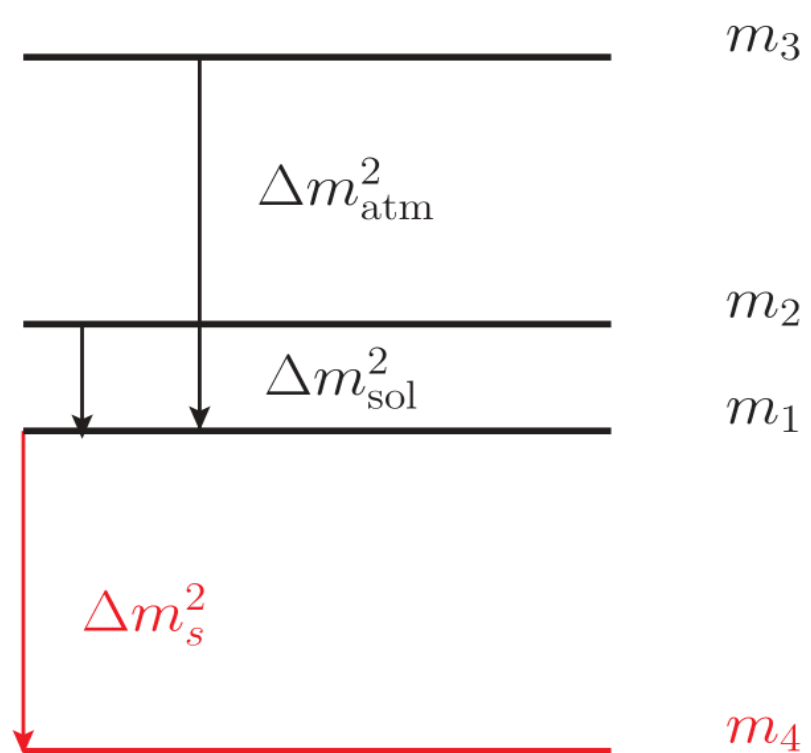
SNO-IO



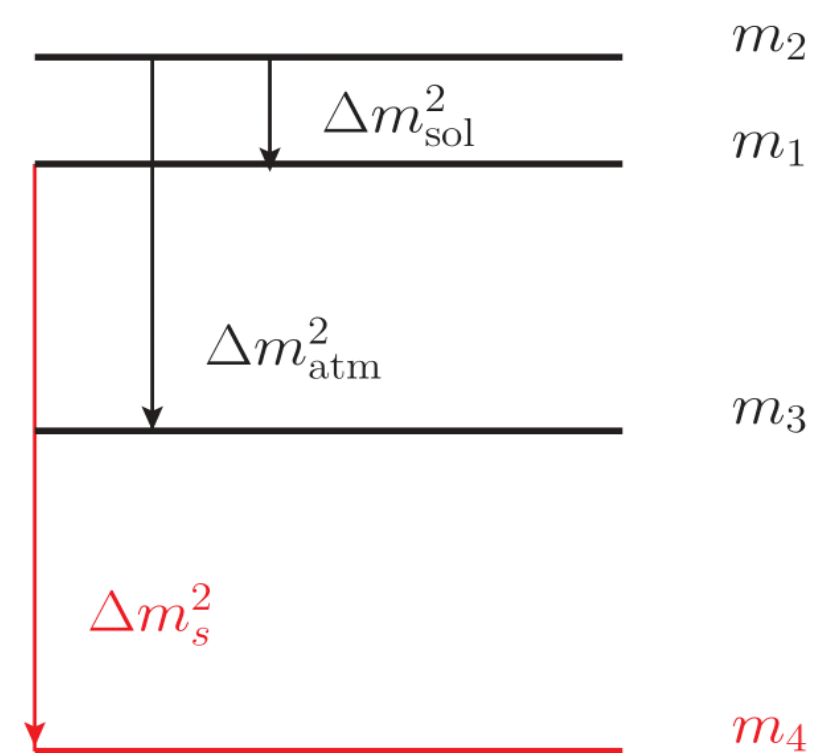
SNO-NO



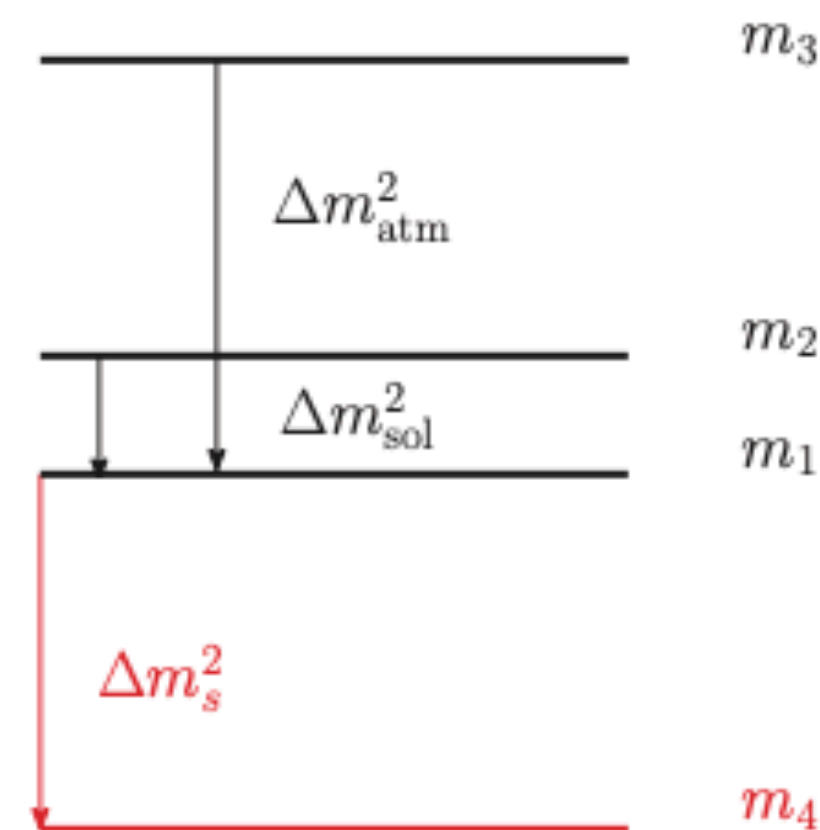
SNO-IO



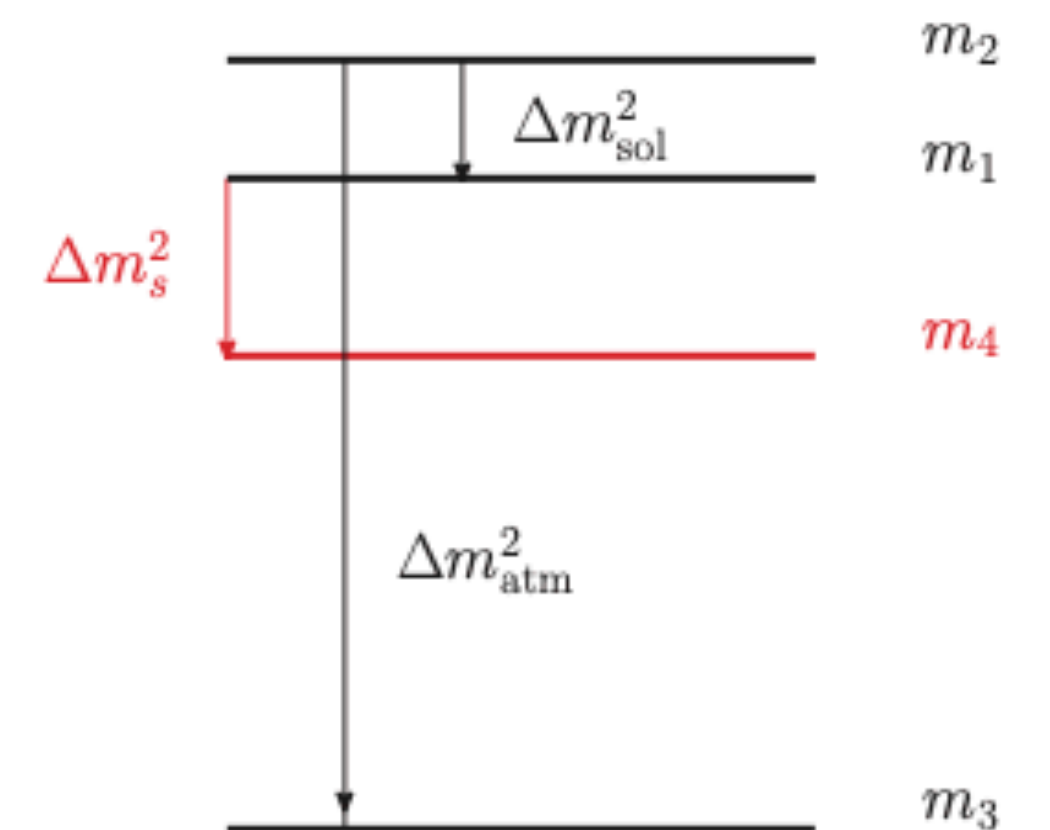
SIO-NO



SIO-IO



SIO-NO



SIO-IO

Mass Spectrum of 3+1 framework

3+1 Framework

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{pmatrix} = \begin{pmatrix} u_{e1} & u_{e2} & u_{e3} & u_{e4} \\ u_{\mu1} & u_{\mu2} & u_{\mu3} & u_{\mu4} \\ u_{\tau1} & u_{\tau2} & u_{\tau3} & u_{\tau4} \\ u_{s1} & u_{s2} & u_{s3} & u_{s4} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}$$

- 4×4 unitary matrix
- Parametrised by **6** angles, **3** Dirac phase and **4** Majorana Phase

$$U = \mathbb{R}_{34}(\theta_{34}) \mathbb{S}_{24}(\theta_{24}, \delta_{24}) \mathbb{S}_{14}(\theta_{14}, \delta_{14}) \mathbb{R}_{23}(\theta_{23}) \mathbb{S}_{13}(\theta_{13}, \delta_{13}) \mathbb{R}_{12}(\theta_{12}) \mathbb{P},$$

Mass Observable

$$u_{e1} = c_{12}^2 c_{13}^2 c_{14}^2$$

$$u_{e2} = s_{12}^2 c_{13}^2 c_{14}^2 e^{i\alpha}$$

$$u_{e3} = s_{13}^2 c_{14}^2 e^{i\beta}$$

$$u_{e4} = s_{14}^2 e^{i\gamma}$$

Cosmology:

$$\Sigma m_i < 0.12 \text{ eV}$$

Plank Collaboration (2018)

Direct Measurement

$$m_\beta^2 = \sum_i |U_{ei}|^2 m_i^2 \quad m_\beta \leq 0.8 \text{ eV}$$

KATRIN Exp.
(Nat. Phys. 18, 160-166 (2022))

Neutrinoless Double Beta Decay

$$m_{\beta\beta} = \sum_i U_{ei}^2 m_i \quad m_{\beta\beta} \leq (36 - 156) \text{ meV}$$

Phys. Rev. Lett. 130.051801

STERILE NEUTRINO AND COSMOLOGY

- Massless sterile neutrinos contribute to N_{eff}
- Massive sterile neutrinos affect N_{eff} and Σm_i
- These parameter are bounded from cosmological observations like CMB, LSS, BBN etc

$$\Delta N_{\text{eff}} = N_{\text{eff}} - N_{\text{eff}}^{\text{SM}} = 3.044 \pm 0.002$$

2203.07323

- 10 Parameter Cosmological Model

$$N_{\text{eff}} = 3.11^{+0.37}_{-0.36}$$

$$\Sigma m_i < 0.16 \text{ eV}$$

Di Valentino, PRD, 2015

- Extended Cosmological Model

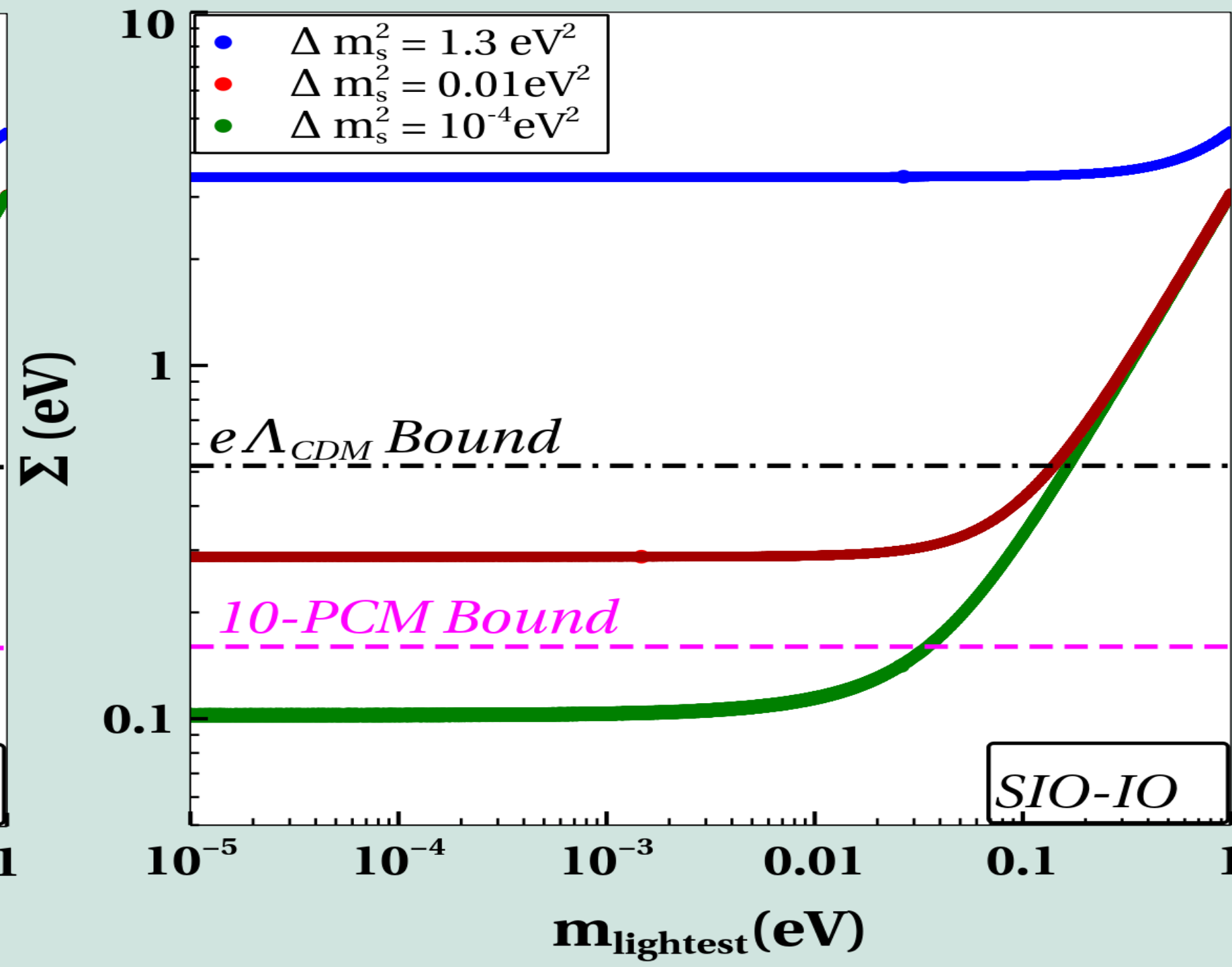
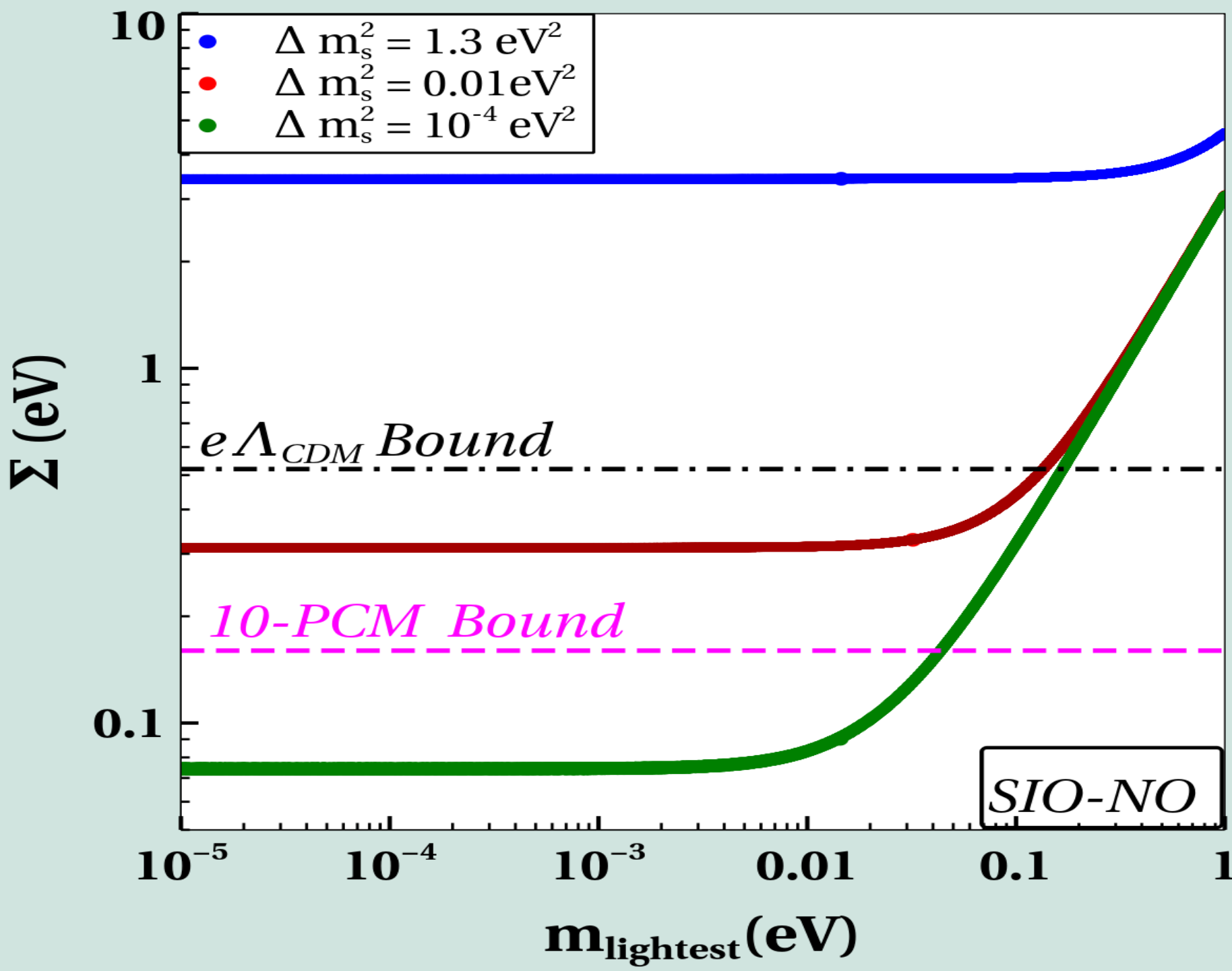
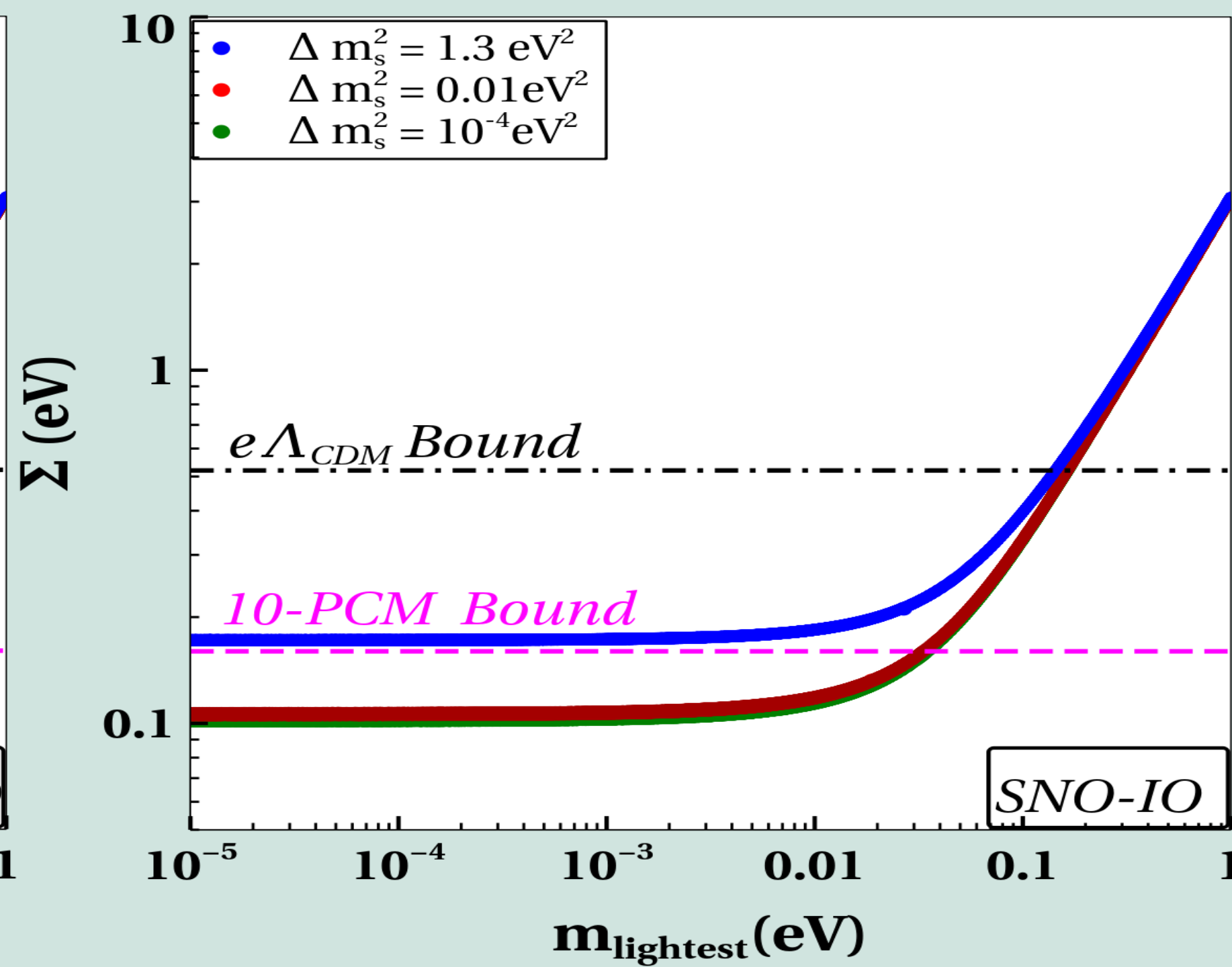
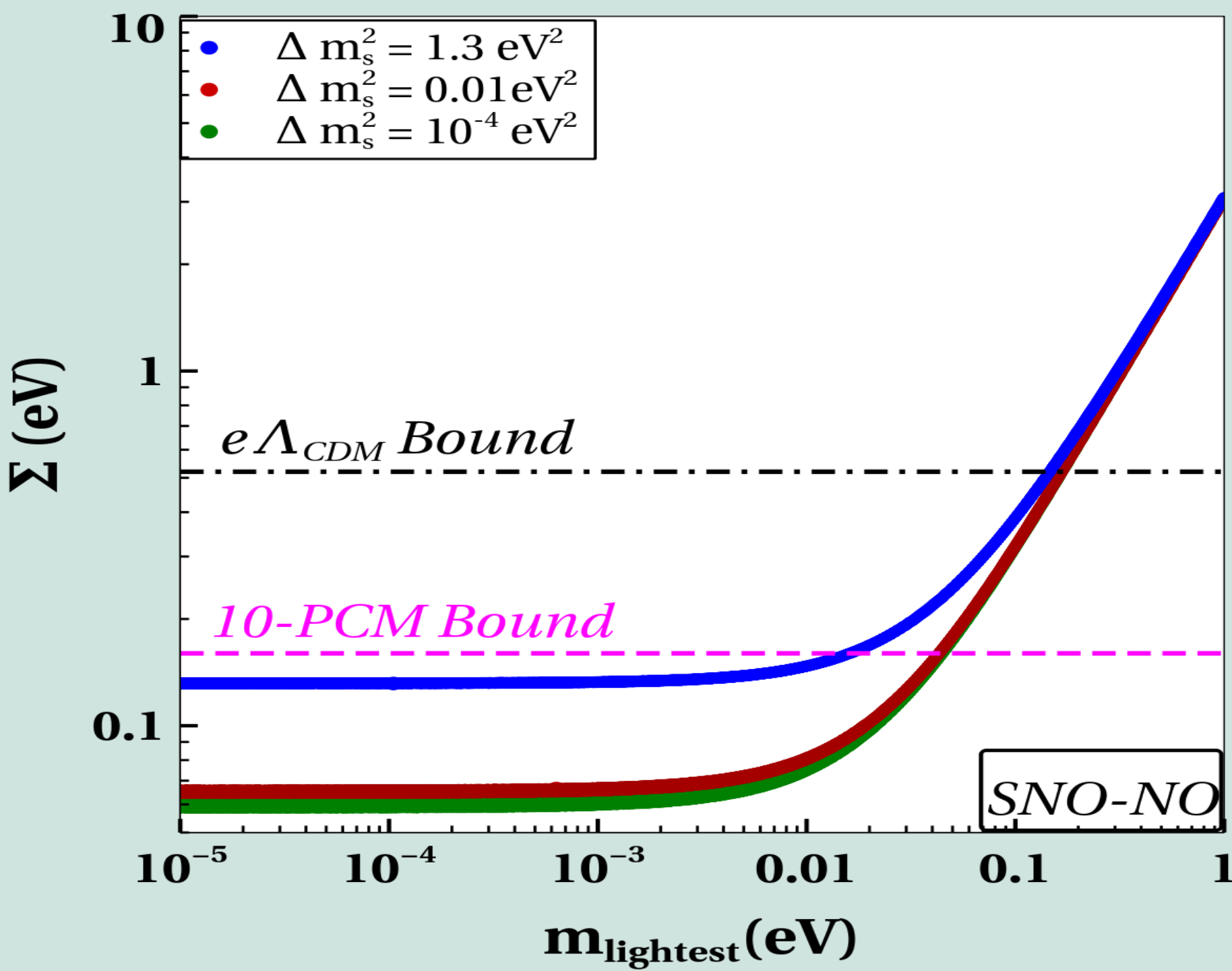
$$N_{\text{eff}} = 3.11^{+0.52}_{-0.48}$$

$$\Sigma m_i < 0.54 \text{ eV}$$

- Fully thermalised neutrino $\Delta N_{\text{eff}} \approx 1$, ruled out by cosmological observations
- Can be evaded with secret interaction, low reheating temperature etc.

Hagstotz et al., PRD, 2021

- Can be produced through non-resonant oscillation $\Sigma m_i = m_1 + m_2 + m_3 + \left(m_4 \times \Delta N_{\text{eff}} \right)$



- For SNO-NO

lightest $\rightarrow m_1$

$$m_2 = \sqrt{m_1^2 + \Delta m_{sol}^2}$$

$$m_3 = \sqrt{m_1^2 + \Delta m_{atm}^2}$$

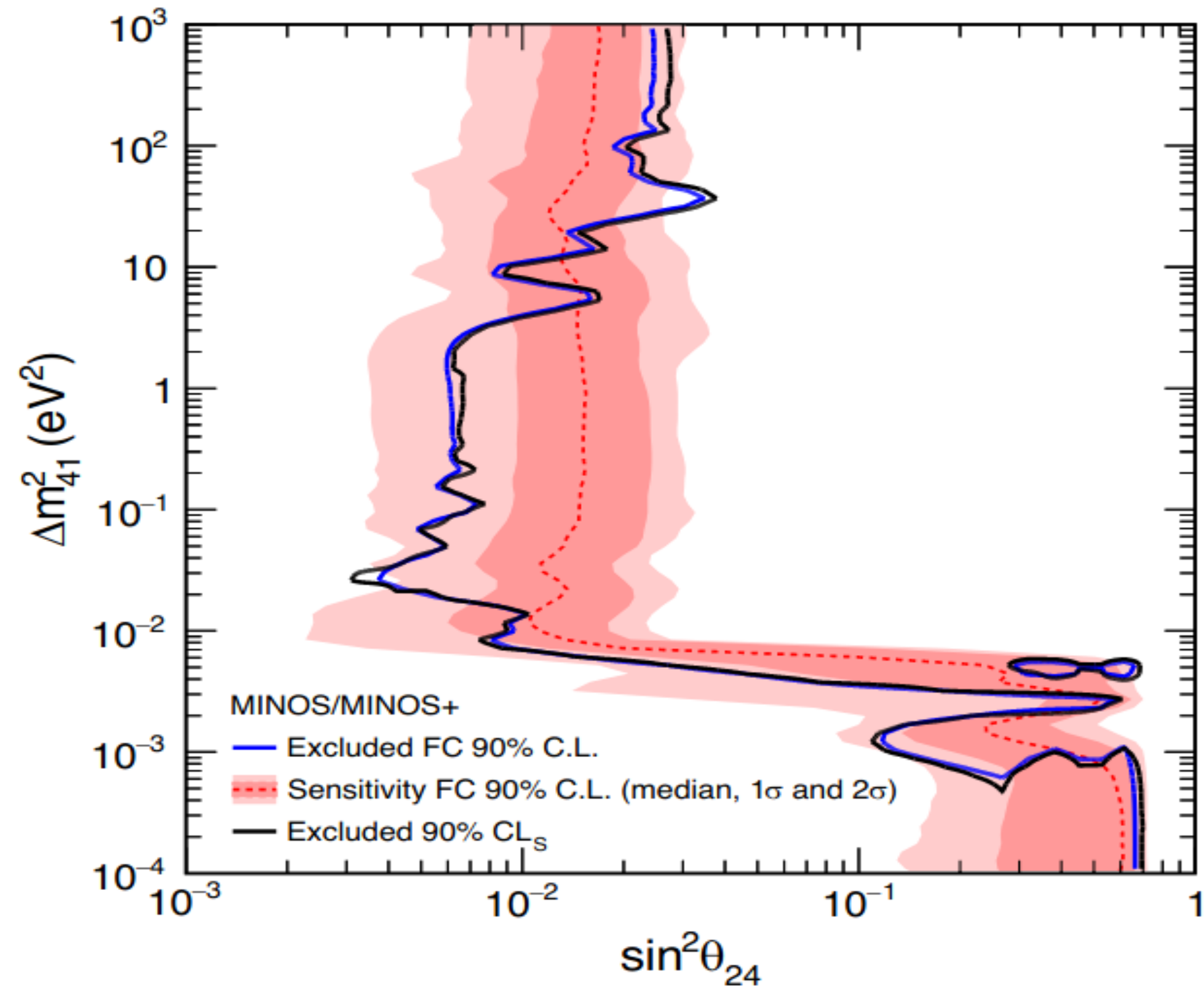
$$m_4 = \sqrt{m_1^2 + \Delta m_s^2}$$

- For $\Delta m_s^2 = 1.3 \text{ eV}^2$, SIO scenarios are disfavoured.

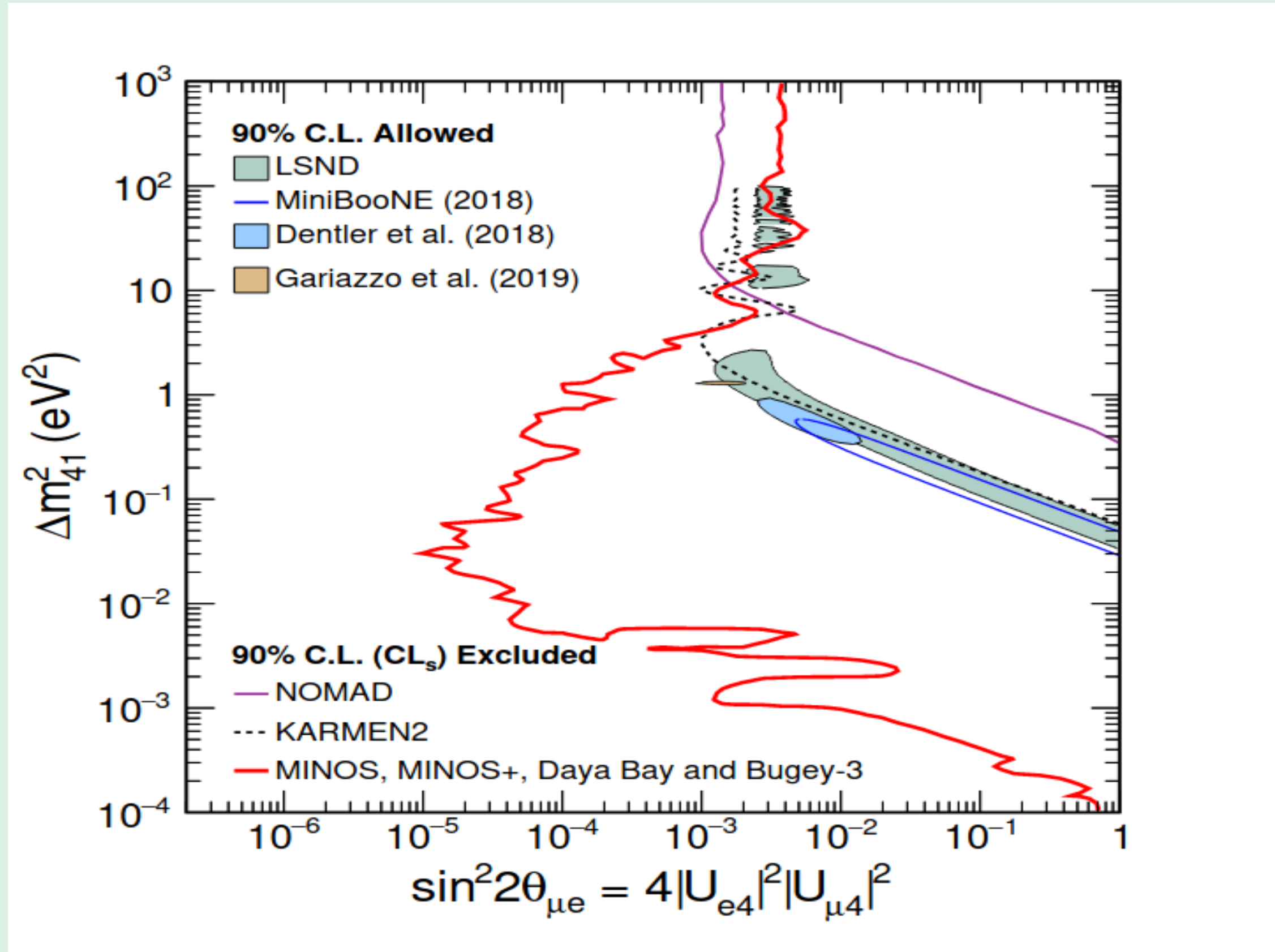
- Cosmology tends to favour SNO scenarios for sterile neutrino.

Allowed θ_{14} From Oscillation Experiment

P.Adamson et al., PRL, 2020



P.Adamson et al., PRL, 2020



Δm_s^2	10^{-4} eV^2	0.01 eV^2	1.3 eV^2
$\sin^2 \theta_{14}$	0.1-0.2	0.0005-0.005	0.001-0.01

Tritium β decay and sterile neutrino



$$m_\beta^2 = \sum_{i=1,4} |U_{ei}|^2 m_i^2$$

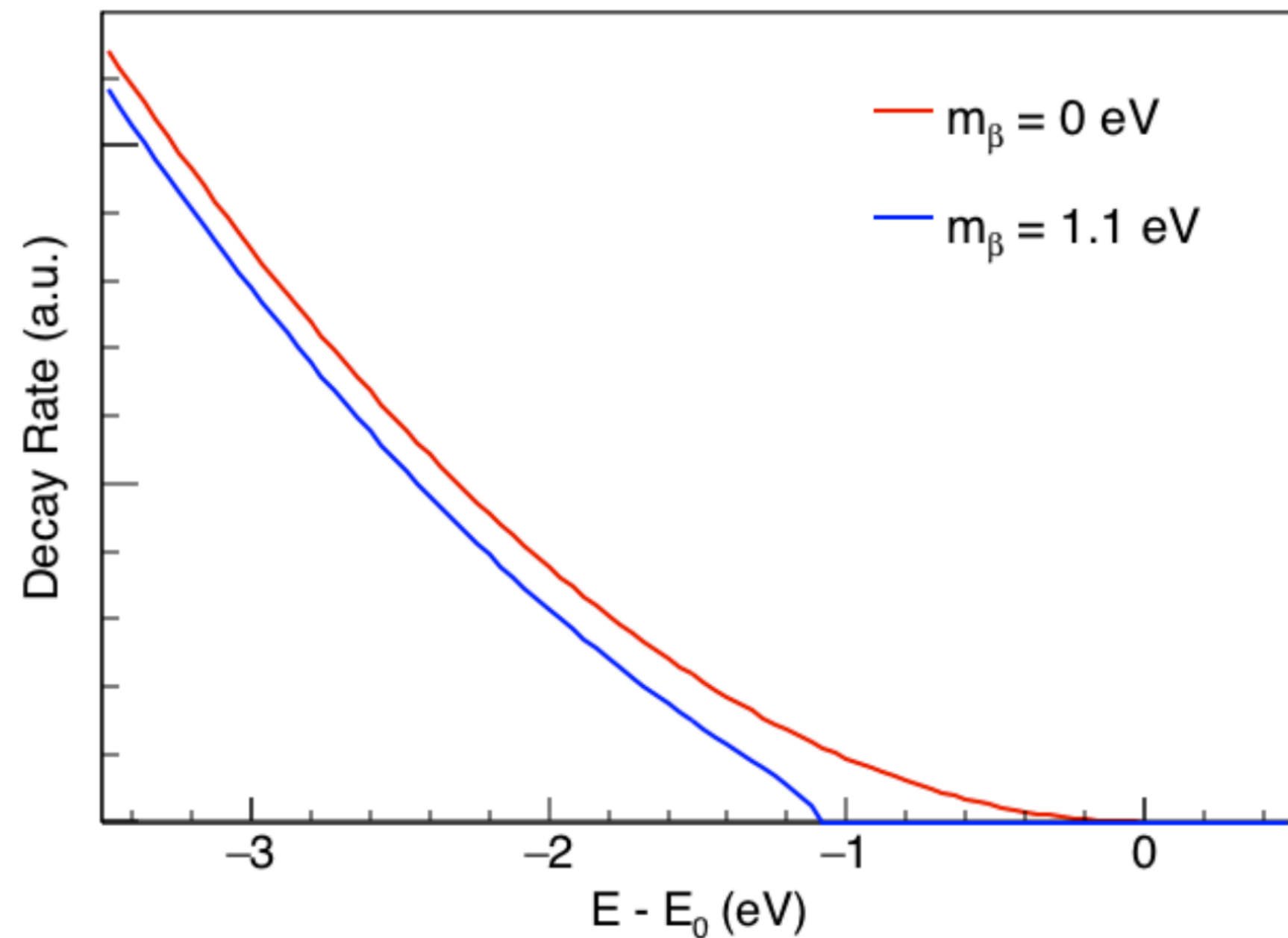
[Esfahani et al, snowmass ,2021](#)

KATRIN Experiment

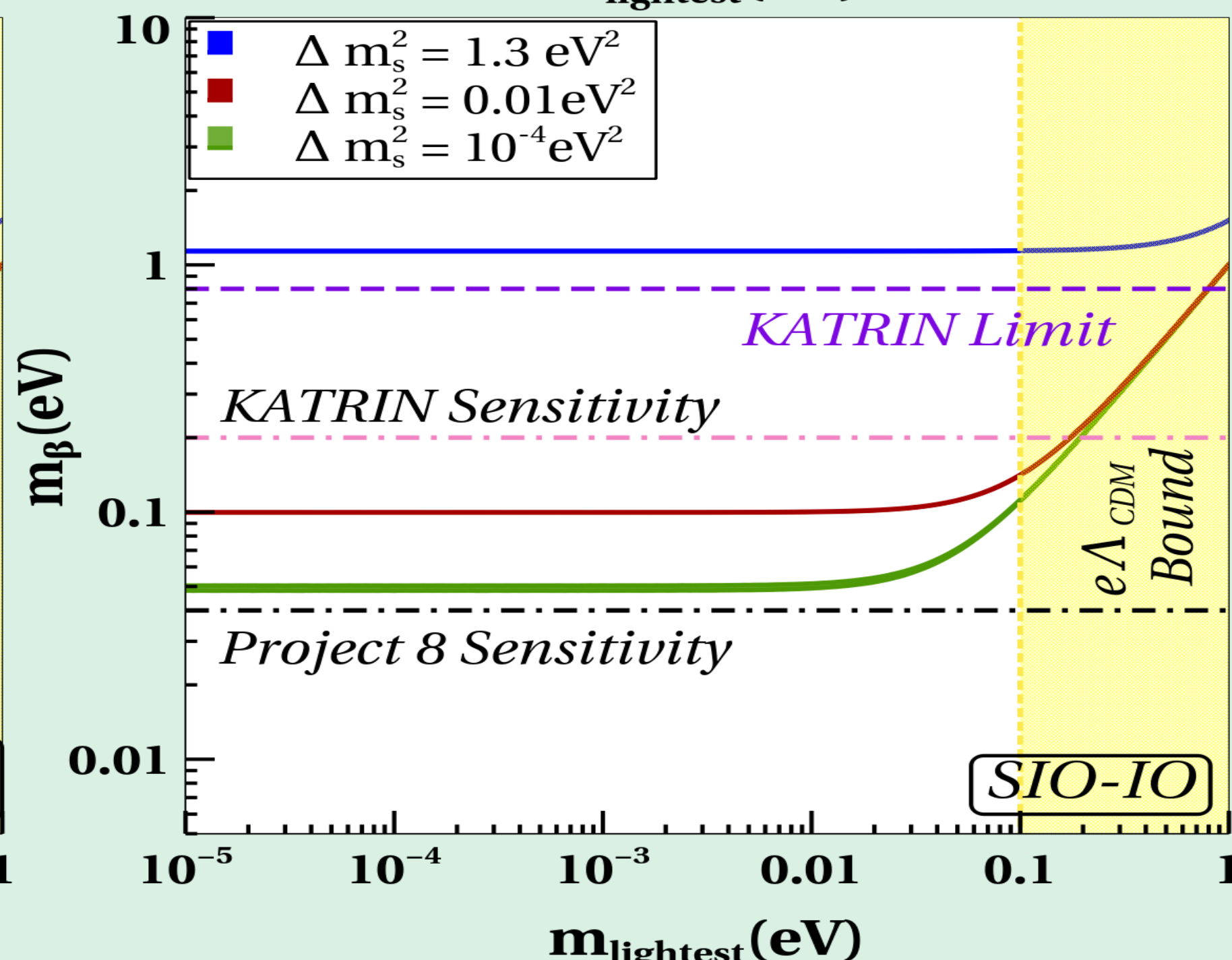
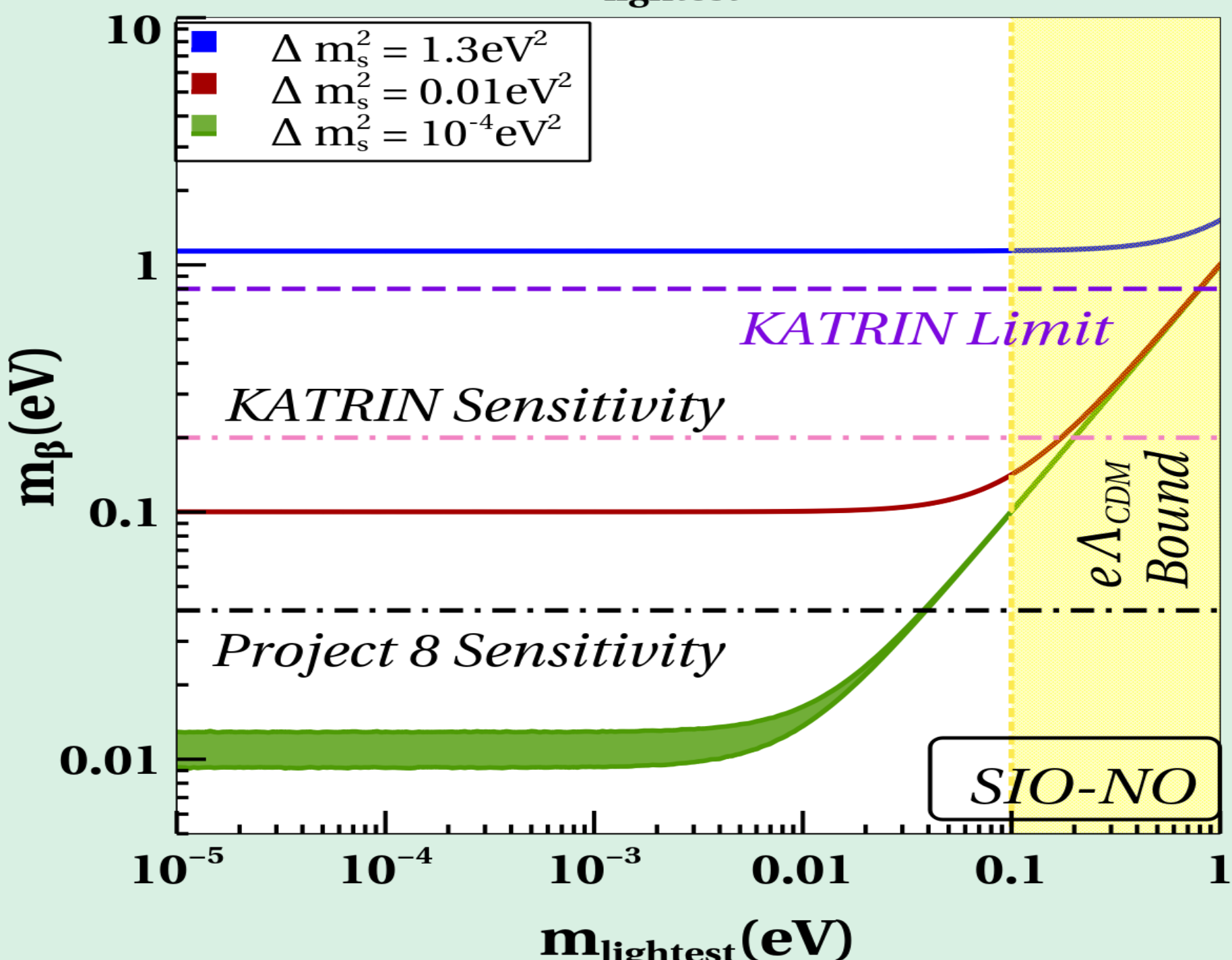
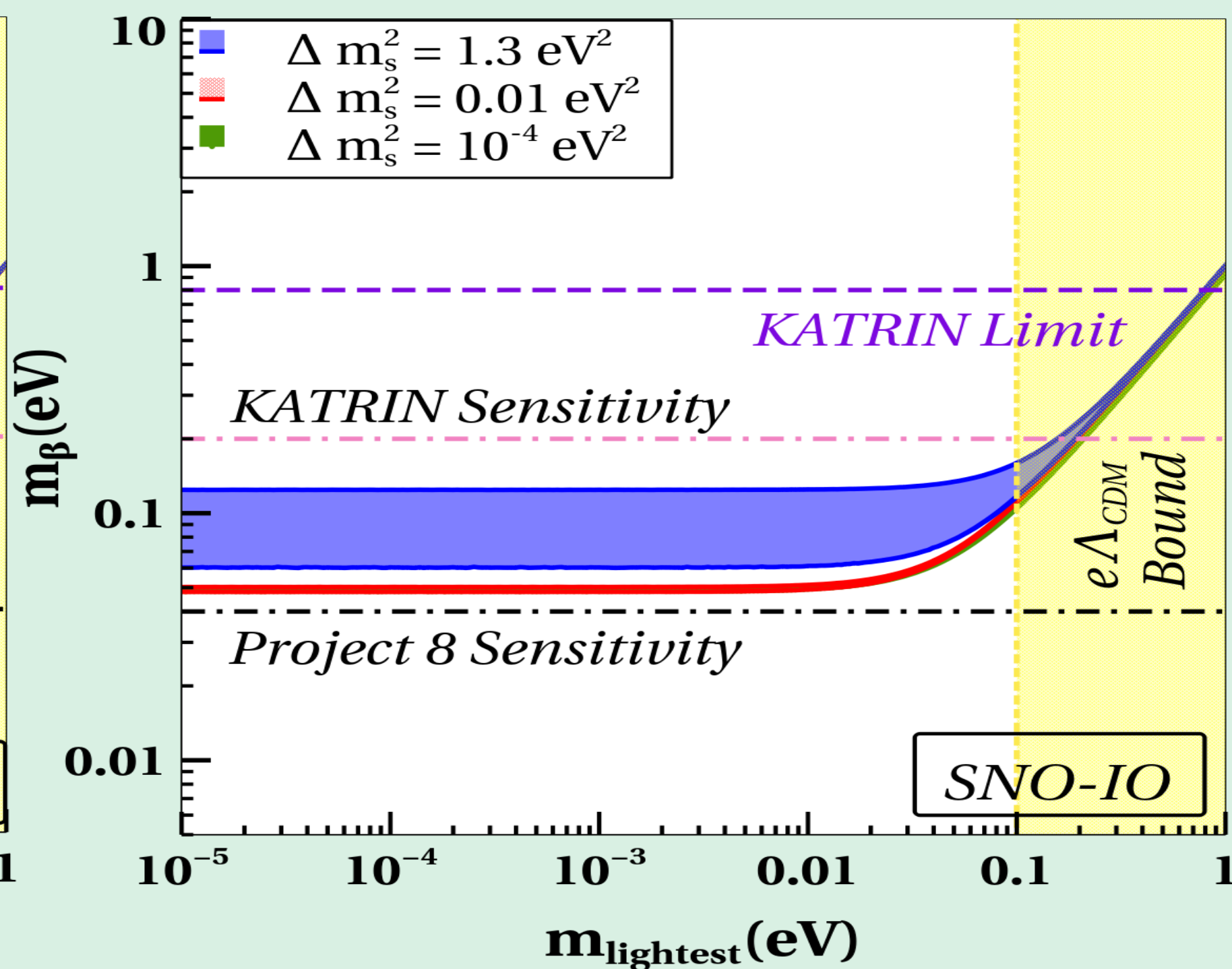
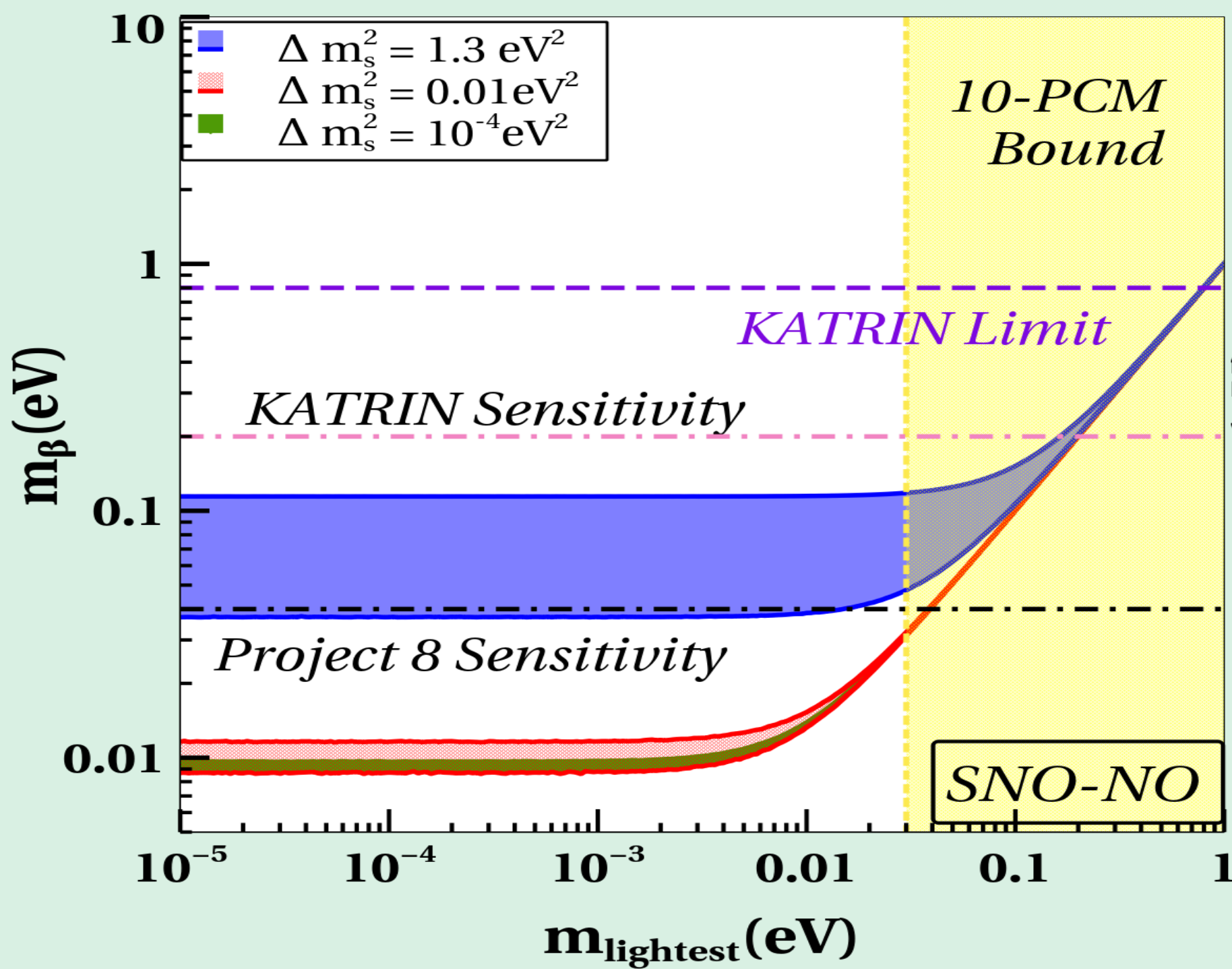
- Measures β decay spectrum from Tritium Isotope
- Current limit $m_\beta \lesssim 0.8 \text{ eV}$
- Projected Sensitivity $m_\beta \lesssim 0.2 \text{ eV}$

PROJECT 8 Experiment

- Used **Cyclotron Radiation Emission Spectroscopy (CRES)** for energy measurement
- $$f = \frac{f_0}{m_e + (E/c^2)}$$
- Projected sensitivity is $m_\beta \lesssim 0.04 \text{ eV}$



Tritium end-point energy spectrum for different m_β scenario



- KATRIN rules out SIO-NO and SIO-IO scenario for $\Delta m_s^2 = 1.3 \text{ eV}^2$

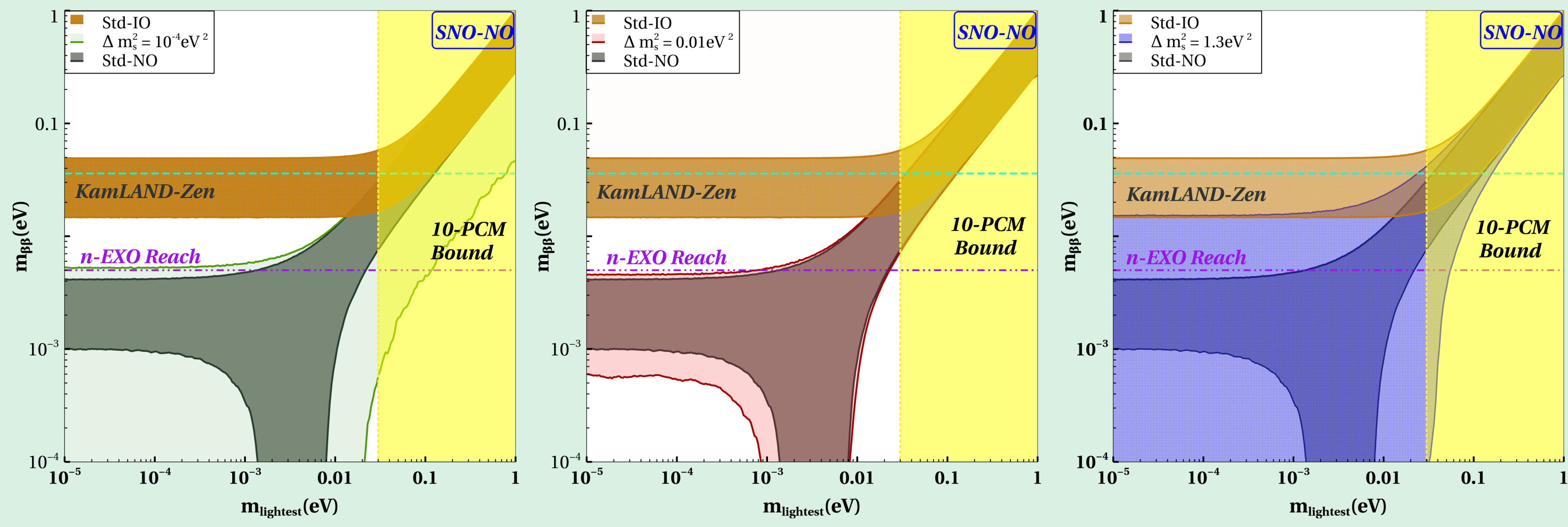
- Future experiments will be important to probe other scenarios

$$m_{\beta\beta} \rightarrow \left| c_{14}^2 c_{12}^2 c_{13}^3 m_1 + c_{14}^2 s_{12}^2 c_{13}^2 m_2 e^{i\alpha} + c_{14}^2 s_{13}^2 m_3 e^{i\beta} + s_{14}^2 m_4 e^{i\gamma} \right| \quad \text{lightest} \rightarrow m_1$$

$$m_4 = \sqrt{m_1^2 + \Delta m_s^2}$$

- For 10^{-4}eV^2 and 1.3eV^2 , cancellation region increase
- For 0.01eV^2 , cancellation shifts on the left

SNO-NO

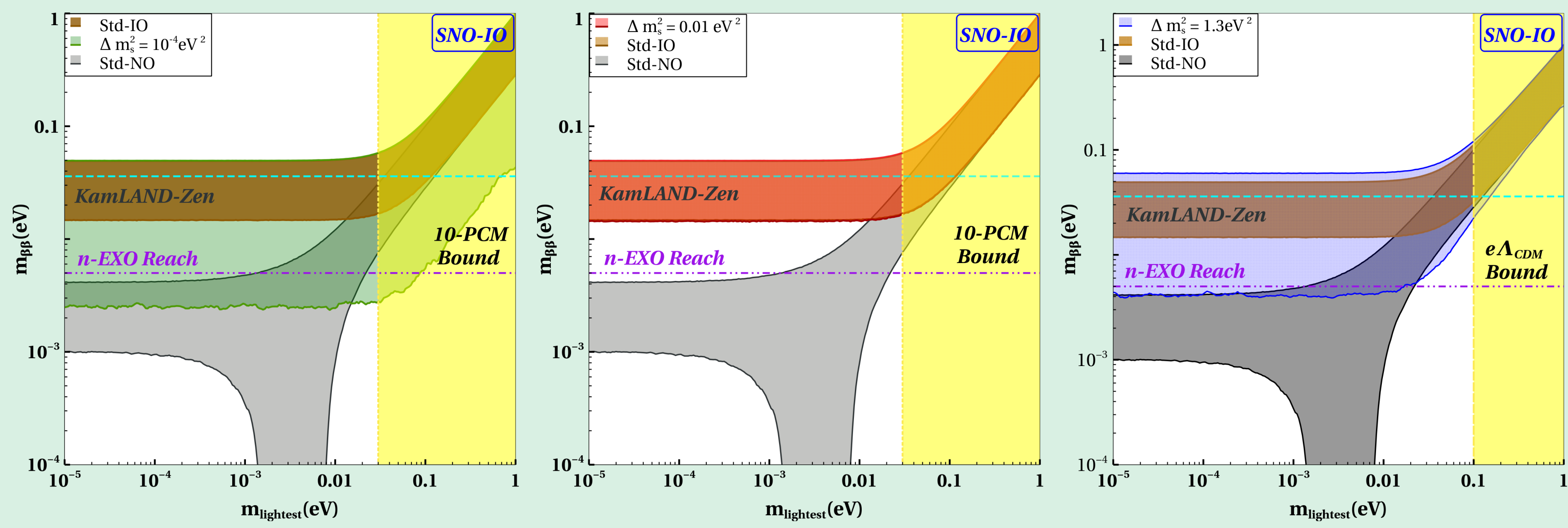


SNO-IO

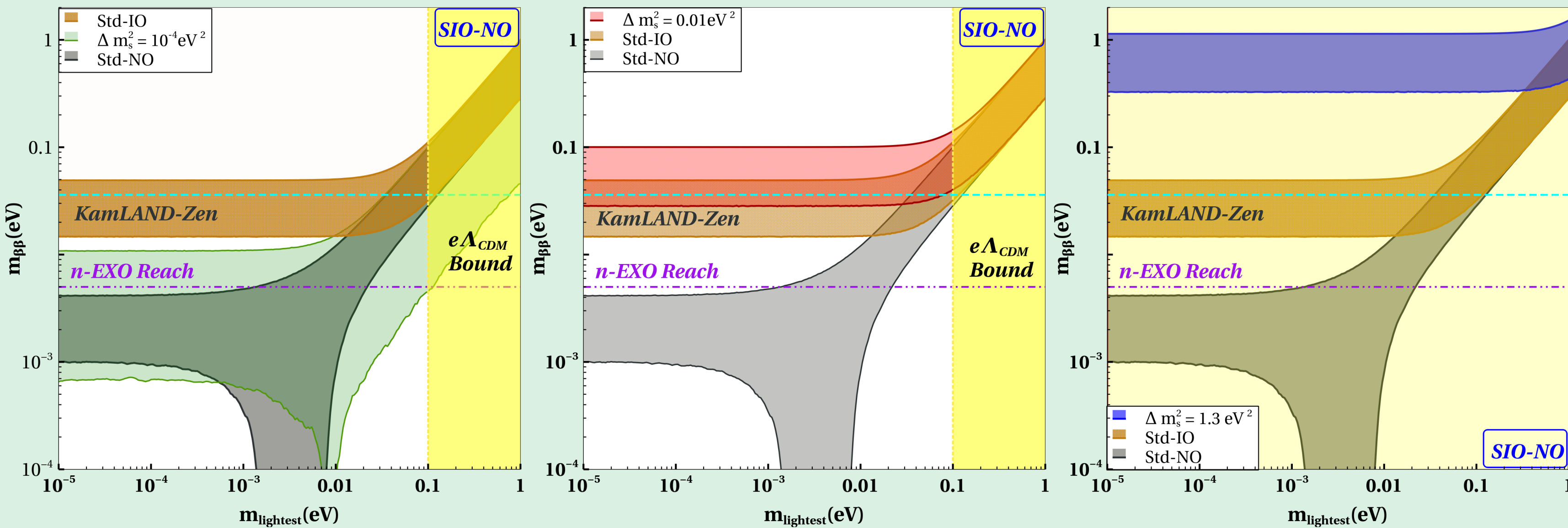
$$\text{lightest} \rightarrow m_3$$

$$m_4 = \sqrt{m_3^2 + \Delta m_{atm}^2 + \Delta m_s^2}$$

- For 10^{-4}eV^2 and 1.3eV^2 , contribution is less than std-IO, greater than std-NO
- For 0.01eV^2 , negligible effect due to small mixing angle



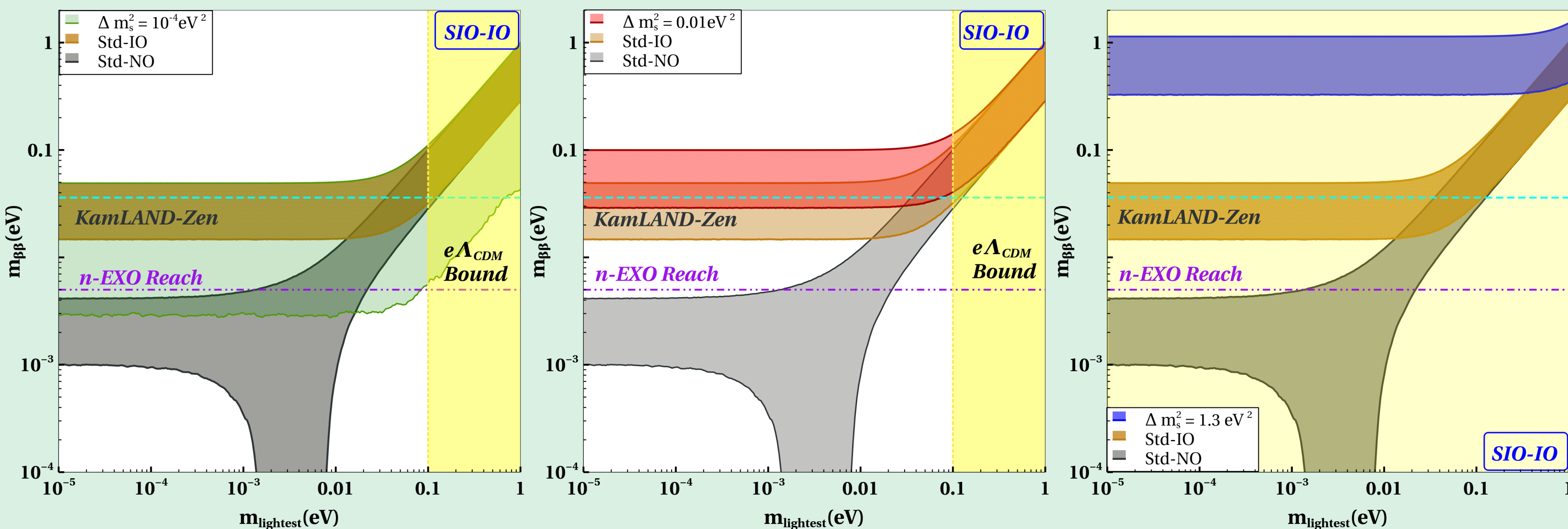
SIO-NO



$lightest \rightarrow m_4$

- Narrow region of cancellation is possible for 10^{-4} eV^2
- For 0.01 eV^2 , maximum parameter space is ruled by KamLAND-Zen
- SIO-NO scenario for 1.3 eV^2 is ruled out from $0\nu\beta\beta$ experiments

SIO-IO



$lightest \rightarrow m_4/m_3$

- For 10^{-4} eV^2 , contribution is less than std-IO, greater than std-NO
- SIO-IO scenario for 1.3 eV^2 is also ruled out from $0\nu\beta\beta$ experiments

SUMMARY

- Addition of one sterile state implies four mass spectra
- We study the implications of the mass spectra on mass-related observables
- Current cosmology allows SNO-NO , SNO-IO for $0.01 eV^2$ and smaller , SIO-NO and SIO-IO for $\Delta m_s^2 = 10^{-4} eV^2$
- KATRIN experiment completely ruled out the SIO-NO and SIO-IO scenario for $\Delta m_s^2 = 1.3 eV^2$
- Future experiments like Project 8 will be able to probe SNO-IO and SIO-IO completely
- $0\nu\beta\beta$ experiments like KamLAND-Zen also rules out SIO scenarios for additional sterile state with $1.3 eV^2$ mass-squared difference
- KamLAND Zen experiment almost rules out the SIO-IO scenario $\Delta m_s^2 = 0.01 eV^2$

THANK

YOU