

# Viable anisotropic k-inflation models in the presence of one-form or two-form fields

Tuan Q. Do

PIAS, Phenikaa University

Based on

EPJC**81**(2021)77 [arXiv: 2007.04867]; EPJC**84**(2024)105 [arXiv: 2309.02690]  
(in collab. with Tuyen M. Pham, Duy H. Nguyen, and W. F. Kao)

The 20th Rencontres du Vietnam

The 29th International Symposium on Particles, String and Cosmology (PASCOS  
2024)

ICISE, Quy Nhon — 07 - 13 July, 2024

# Contents

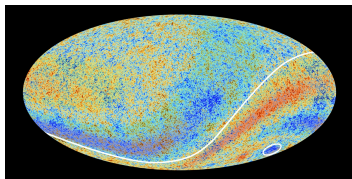
- 1 Motivations
- 2 Non-canonical anisotropic inflation models
- 3 Tensor-to-scalar ratio
- 4 Conclusions

# I. Motivations

- **Cosmological Principle**: our universe is just simply homogeneous and isotropic on large scales as described by the spatially flat **Friedmann-Lemaitre-Robertson-Walker** (FLRW) spacetime:

$$ds^2 = -dt^2 + a^2(t) \left( dx^2 + dy^2 + dz^2 \right),$$

- The cosmological principle has played as a basic assumption of all standard inflationary models.
- Two **anomalies**, the hemispherical asymmetry and the cold spot of the cosmic microwave background (CMB) temperature, which was firstly observed by WMAP and then confirmed by Planck, **cannot be explained** by all standard inflationary models based on **Cosmological Principle**.



Two CMB anomalies. (Credit: Planck collaboration)

# I. Motivations

- If the cosmological principle was broken down in the early universe, would it still be invalid in the late time universe ?
- Recently, there have been some recent observational studies claiming that **the current universe might be anisotropic**, in contrast to the prediction of the cosmic no-hair conjecture !? c.f. Colin et al., *A&A*631(2019)L13

A&A 631, L13 (2019)

*Letter to the Editor*

## Evidence for anisotropy of cosmic acceleration\*

Jacques Colin<sup>1</sup>, Roya Mohayaee<sup>1</sup>, Mohamed Rameez<sup>2</sup> and Subir Sarkar<sup>3</sup>

- There has existed the **cosmic no-hair conjecture proposed by Hawking and his colleagues** claiming that a final state of our universe should be homogeneous and isotropic, regardless of any inhomogeneous and/or anisotropic initial states. A complete proof for this conjecture, however, has been a great challenge for several decades.  
c.f. Gibbons and Hawking, *PRD*15(1977)2738; Hawking and Moss, *PLB*110(1982)35; Wald, *PRD*28(1983)2118; Kleban and Senatore, *JCAP*10(2016)022; Carroll and Chatwin-Davies, *PRD*97(2018)046012.
- The above anomalies suggest that the cosmic no-hair conjecture may be **violated** !  
→ **How to violate this conjecture ?**

## I. Motivations

- Recently, a **counterexample to the cosmic no-hair conjecture** has been found by Kanno, Soda, and Watanabe (KSW) in a model, in which an unusual, supergravity-motivated coupling between scalar and vector fields,  $f^2(\phi)F_{\mu\nu}F^{\mu\nu}$ , is introduced,

$$S_{\text{KSW}} = \int d^4x \sqrt{-g} \left[ \frac{R}{2} - \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi) - \frac{1}{4} f^2(\phi) F_{\mu\nu} F^{\mu\nu} \right].$$

c.f. Watanabe, Kanno, and Soda, PRL102(2009)191302; Kanno, Soda, and Watanabe, JCAP12(2010)024.

- Other counterexamples have also been confirmed to exist in some **non-canonical extensions** of the KSW model, e.g., the string-inspired Dirac-Born-Infeld model.  
c.f. Do and Kao, PRD84(2011)123009; Ohashi, Soda, and Tsujikawa, PRD88(2013)103517.
- Stable anisotropic inflation has been shown to appear in a modified scenario of KSW model, in which the one-form field (a.k.a. vector field) is replaced by a two-form field.  
c.f. Ohashi, Soda, and Tsujikawa, PRD87(2013)083520; JCAP12(2013)009; Ito and Soda, PRD92(2015)123533.
- What if a combination of non-canonical scalar and two-form fields admits stable anisotropic inflation ?
- Which field is the better player in the light of Planck data, one-form field or two-form field ?

## II. Non-canonical anisotropic inflation models

- A general action of non-canonical anisotropic inflation based on one-form field (a.k.a. vector field)  $A_\mu$  is given by

$$S = \int d^4x \sqrt{-g} \left[ \frac{R}{2} + P(\phi, X) - \frac{1}{4} f^2(\phi) F_{\mu\nu} F^{\mu\nu} \right].$$

- $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$  is the field strength of the one-form field  $A_\mu$ .
- A general action of non-canonical anisotropic inflation based on two-form field (a.k.a. Kalb-Ramond field)  $B_{\mu\nu}$  is given by

$$S = \int d^4x \sqrt{-g} \left[ \frac{R}{2} + P(\phi, X) - \frac{1}{12} f^2(\phi) H_{\mu\nu\rho} H^{\mu\nu\rho} \right].$$

- $H_{\mu\nu\rho} = \partial_\mu B_{\nu\rho} + \partial_\nu B_{\rho\mu} + \partial_\rho B_{\mu\nu}$  is the field strength of  $B_{\mu\nu}$ .
- $P(\phi, X)$  is an arbitrary function of scalar field  $\phi$  and its kinetic term defined as  $X \equiv -(1/2)\partial_\mu\phi\partial^\mu\phi$ .

c.f. Armendariz-Picon, Damour, and Mukhanov, PLB458(1999)209.

- We consider the form of  $P(\phi, X)$  coming from the well-known  $k$ -inflation PLB458(1999)209:

$$P(\phi, X) = K(\phi)X + L(\phi)X^2,$$

here  $K(\phi)$  and  $L(\phi)$  are arbitrary functions of  $\phi$ .

## II. Non-canonical anisotropic inflation models

- Bianchi type I spacetime, which is homogeneous but anisotropic:

$$ds^2 = -dt^2 + \exp[2\alpha(t) - 4\sigma(t)] dx^2 + \exp[2\alpha(t) + 2\sigma(t)] (dy^2 + dz^2).$$

- $\sigma(t)$  acts as a **deviation from the isotropy** determined by  $\alpha(t)$ , i.e.,  $\sigma(t) \ll \alpha(t)$ .
- **One-form field**:  $A_\mu(t) = [0, A_x(t), 0, 0]$ .
- **Two-form field**:  $\frac{1}{2} B_{\mu\nu} dx^\mu \wedge dx^\nu = v_B(t) dy \wedge dz$ .
- Choosing the following ansatz:

$$\alpha = \zeta \log(t), \quad \sigma = \eta \log(t), \quad \phi = \xi \log(t) + \phi_0$$

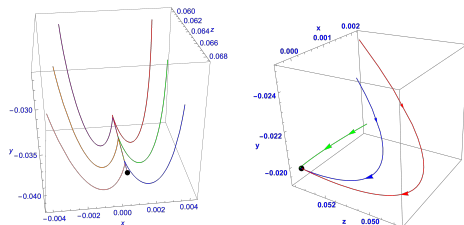
along with exponential functions:

$$K(\phi) = k_0 e^{\kappa\phi}, \quad L(\phi) = l_0 e^{\lambda\phi}, \quad f(\phi) = f_0 e^{\rho\phi}$$

→ **power-law inflation**:  $\exp[2\alpha(t) - 4\sigma(t)] = t^{2\zeta - 4\eta}$ ,  $\exp[2\alpha(t) + 2\sigma(t)] = t^{2\zeta + 2\eta}$ .

## II. Non-canonical anisotropic inflation models

- Non-trivial couplings between scalar and one-(two-)form fields all admit **stable anisotropic inflationary solutions**:



(From left to right) Attractive behavior of anisotropic inflationary solution of **k-inflation one-form** and **two-form** models, respectively.

- Small anisotropy:

$$\frac{\Sigma}{H} \equiv \frac{\dot{\sigma}}{\dot{\alpha}} = \frac{\eta}{\zeta} \simeq -\frac{\lambda}{4\rho} \quad (k\text{-inflation one-form field model})$$

$$\frac{\Sigma}{H} \equiv \frac{\dot{\sigma}}{\dot{\alpha}} = \frac{\eta}{\zeta} \simeq \frac{3\lambda}{19\rho} \quad (k\text{-inflation two-form field model})$$

→  $|\Sigma/H| \ll 1$  if  $|\lambda| \ll \rho$  during the inflationary phase.



### III. Tensor-to-scalar ratio

- **Tensor-to-scalar ratios**: The most important test of any inflationary models.
- Since the statistical isotropy of CMB is broken, **the scalar power spectrum is modified** as [Ackerman, Carroll, & Wise, PRD75\(2007\)083502](#)

$$\mathcal{P}_k^{\zeta(0)} \rightarrow \mathcal{P}_{k,\text{ani}}^{\zeta} = \mathcal{P}_k^{\zeta(0)} (1 + g_* \cos^2 \theta).$$

- ▶  $g_*$  characterizes the **deviation from the spatial isotropy**, i.e.,  $|g_*| < 1$ .
- ▶  $\theta$  is the angle between the comoving wave number  $\mathbf{k}$  with the *privileged* direction  $\mathbf{V}$  close to the ecliptic poles.
- ▶  $\mathcal{P}_k^{\zeta(0)}$ , the isotropic scalar power spectrum ( $g_* = 0$ ), for non-canonical scalar field is defined as

$$\mathcal{P}_k^{\zeta(0)} = \mathcal{P}_{k,\text{nc}}^{\zeta(0)} = \frac{1}{8\pi^2 M_p^2} \frac{H^2}{c_s^* \epsilon} \Big|_{c_s^* k_* = a_* H_*}$$

where  $c_s^2 \equiv \partial_X p / \partial_X \rho \leq 1$  is the **speed of sound** of scalar perturbation and  $\epsilon \equiv -\dot{H}/H^2 \ll 1$  is the **slow-roll parameter**.

c.f. [Armendariz-Picon, Damour, & Mukhanov, PLB458\(1999\)219](#)

### III. Tensor-to-scalar ratio

- Observational constraints of  $g_*$ :
  - ▶  $g_* = 0.29 \pm 0.031$  at  $9\sigma$  using the 5-year WMAP data.  
c.f. Groeneboom, Ackerman, Wehus, & Eriksen, *AJ*722(2010)452.
  - ▶  $g_* = 0.002 \pm 0.016$  at 68% CL using the Planck 2013 data.  
c.f. Kim & Komatsu, *PRD*88(2013)011301(R).
  - ▶  $|g_*| < 0.072$  at 95% CL using the 9-year WMAP data.  
c.f. Ramazanov & G. Rubtsov, *PRD*89(2014)043517.
  - ▶  $-0.041 < g_* < 0.036$  at 95% CL using the Planck 2015 data.  
c.f. Ramazanov, Rubtsov, Thorsrud, & Urban, *JCAP*03(2017)039.
  - ▶  $-0.09 < g_* < 0.08$  at 95% CL using the LSS surveys data.  
c.f. Sugiyama, Shiraishi, & Okumura, *MNRAS*473(2018)2737.
- Our goal: Calculate the corresponding  $g_*$  for non-canonical anisotropic inflation using the standard Bunch-Davies (BD) vacuum state for the non-canonical scalar field:

$$\zeta_{\text{nc}}^{(0)}(k, \eta) = \frac{H}{2\sqrt{c_s}\epsilon M_{\text{p}}k^{3/2}} (1 + ic_s k\eta) e^{-ic_s k\eta},$$

where superscript (0) denotes the (approximated) de Sitter background.

c.f. Chen, Huang, Kachru, & Shiu, *JCAP*01(2007)002

### III. Tensor-to-scalar ratio (one-form field case)

- The full power spectrum in the Heisenberg interaction picture for the scalar perturbation, up to the second order, is given by

$$\begin{aligned} & \langle 0 | \hat{\zeta}_{\text{nc}}(k, \eta) \hat{\zeta}_{\text{nc}}(k', \eta) | 0 \rangle \\ & \simeq \frac{2\pi^2}{k^3} \delta^3(\mathbf{k} + \mathbf{k}') \mathcal{P}_{k,\text{nc}}^{\zeta(0)} + \frac{2\pi^2}{k^3} \delta^3(\mathbf{k} + \mathbf{k}') \frac{c_s^4 E_x^2 N_{c_s k}^2}{\pi^2 \epsilon^2 M_p^4} \sin^2 \theta, \end{aligned}$$

with  $N_{c_s k} \simeq 60$  the e-fold number and  $E_x \equiv (f/a^2) A_x^{(0)'}$ . This implies

$$\blacktriangleright \mathcal{P}_{k,\text{nc}}^{\zeta} = \mathcal{P}_{k,\text{nc}}^{\zeta(0)} \left( 1 + \frac{8c_s^5 E_x^2 N_{c_s k}^2}{\epsilon M_p^2 H^2} \sin^2 \theta \right) \simeq \boxed{\mathcal{P}_{k,\text{nc}}^{\zeta(0)} \left( 1 - \frac{8c_s^5 E_x^2 N_{c_s k}^2}{\epsilon M_p^2 H^2} \cos^2 \theta \right)}.$$

$$\blacktriangleright \boxed{g_* = -c_s^5 \frac{8E_x^2 N_{c_s k}^2}{\epsilon M_p^2 H^2} = c_s^5 g_*^0 < 0},$$

where  $\boxed{g_*^0 = -\frac{8E_x^2 N_{c_s k}^2}{\epsilon M_p^2 H^2} < 0}$  for canonical anisotropic inflation.

$$\rightarrow |g_*| \ll |g_*^0| \text{ if } c_s^2 \ll 1.$$

- Scalar spectral index:**

$$n_s - 1 \equiv \left. \frac{d \ln \mathcal{P}_{k,\text{nc}}^{\zeta}}{d \ln k} \right|_{c_s^* k_* = a_* H_*} \simeq \boxed{-2\epsilon - \tilde{\eta} - s + \left( \frac{2}{N_{c_s k}} - 5s \right) \frac{2g_*}{3-2g_*}}.$$

### III. Tensor-to-scalar ratio (one-form field case)

- The full tensor power spectrum for the non-canonical scalar field is given by

$$\langle 0 | \hat{h}_{ij}(\mathbf{k}) \hat{h}_{ij}(\mathbf{k}') | 0 \rangle = \frac{2\pi^2}{k^3} \delta^3(\mathbf{k} + \mathbf{k}') \left( \mathcal{P}_{k,\text{nc}}^{h(0)} + \frac{4E_x^2 N_k^2}{\pi^2 M_p^4} \sin^2 \theta \right),$$

which implies  $\mathcal{P}_{k,\text{nc}}^h \simeq \mathcal{P}_{k,\text{nc}}^{h(0)} \left( 1 - \frac{\epsilon_{g_*}^0}{4} \sin^2 \theta \right)$ , similar to that of canonical scalar field.

- Here,  $\mathcal{P}_{k,\text{nc}}^{h(0)}(\mathbf{k}) = \frac{2}{\pi^2} \frac{H^2}{M_p^2} \Big|_{k_* = a_* H_*} = 16c_s \epsilon \mathcal{P}_{k,\text{nc}}^{\zeta(0)}(\mathbf{k})$  is the isotropic tensor power spectrum for non-canonical scalar field.

c.f. Armendariz-Picon, Damour, & Mukhanov, PLB458(1999)219.

- Tensor spectral index:  $n_t \equiv \frac{d \ln \mathcal{P}_{k,\text{nc}}^h}{d \ln k} \Big|_{k_* = a_* H_*} \simeq -2\epsilon.$

### III. Tensor-to-scalar ratio (one-form field case)

- For non-canonical isotropic inflation:  $r_{\text{nc}}^{\text{iso}} = 16c_s \epsilon$ .

c.f. Armendariz-Picon, Damour, & Mukhanov, PLB458(1999)219.

- The full tensor-to-scalar ratio for non-canonical anisotropic inflation:

$$r_{\text{nc}}^{\text{one-form}} \equiv \frac{\mathcal{P}_{k,\text{nc}}^h}{\mathcal{P}_{k,\text{nc}}^\zeta} = 16c_s \epsilon \frac{1 - \frac{1}{4} \epsilon g_*^0 \sin^2 \theta}{1 - c_s^5 g_*^0 \sin^2 \theta} \simeq \boxed{16c_s \epsilon \frac{6 - \epsilon g_*^0}{6 - 4c_s^5 g_*^0}},$$

with the average value of  $\sin^2 \theta$  as  $\langle \sin^2 \theta \rangle = 2/3$  Ohashi, Soda, & Tsujikawa, JCAP12(2013)009.

- In the canonical limit  $c_s \rightarrow 1$ , the above formula will recover that derived for canonical scalar field.

c.f. Ohashi, Soda, & Tsujikawa, JCAP12(2013)009.

### III. Tensor-to-scalar ratio (two-form field case)

- The corresponding full tensor-to-scalar ratio for non-canonical anisotropic inflation when the one-form field is replaced by the two-form field:

$$r_{\text{nc}}^{\text{two-form}} = \frac{16c_s \epsilon}{1 + c_s^5 g_*^0 \cos^2 \theta} = \boxed{16c_s \epsilon \frac{3}{3 + c_s^5 g_*^0}},$$

with the average value  $\langle \cos^2 \theta \rangle = 1/3$  Ohashi, Soda, & Tsujikawa, JCAP12(2013)009.

- Scalar spectra index:  $n_s - 1 \simeq \boxed{-2\epsilon - \tilde{\eta} - s - \left( \frac{2}{N_{c_s k}} - 5s \right) \frac{g_*}{3 + g_*}}$ .
- NOTE:  $\boxed{g_* = c_s^5 g_*^0 > 0}$ , with  $\boxed{g_*^0 = \frac{2E_{yz}^2 N_{c_s k}^2}{\epsilon H^2 M_p^2} > 0}$ , in contrast to the one-form field case.
- In the canonical limit  $c_s \rightarrow 1$ , the above formula will recover that derived for canonical scalar field.

c.f. Ohashi, Soda, & Tsujikawa, JCAP12(2013)009.

### III. Tensor-to-scalar ratio: one-form field vs. two-form field

- For the **power law inflation**:

- ▶ One-form field case:  $c_s^2 \simeq -\frac{\lambda}{48\rho} \ll 1$ ,  $\epsilon \simeq -\frac{\lambda}{\rho} \ll 1$ ,  $\tilde{\eta} = s = 0$ .
- ▶ Two-form field case:  $c_s^2 \simeq -\frac{5\lambda}{228\rho} \ll 1$ ,  $\epsilon \simeq -\frac{\lambda}{2\rho} \ll 1$ ,  $\tilde{\eta} = s = 0$ .

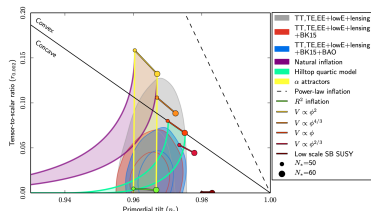
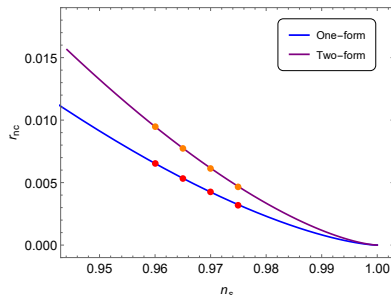


Fig. 8. Marginalized joint 68% and 95% CL regions for  $n_s$  and  $r$  at  $k = 0.002 \text{ Mpc}^{-1}$  from Planck alone and in combination with BK15 or BK15+BAO data, compared to the theoretical predictions of selected inflationary models. Note that the marginalized joint 68% and 95% CL regions assume  $dn_s/d \ln k = 0$ .

Tensor-to-scalar ratios of anisotropic inflation of  $k$ -inflation one-form and two-form models are highly consistent with the latest date of the Planck 2018 [A.&A.641(2020)A10]. The parameters have been chosen as  $g_*^0 = -0.03$  (one-form field case),  $g_*^0 = +0.03$  (two-form field case),  $10^{-2} \leq c_s \leq 10^{-1}$ .

- Planck 2018:  $r < 0.063$  at 95% CL [A.&A.641(2020)A10].
- CMB-S4: target  $r > 0.003$  at  $> 5\sigma$  CL [Ap.J.926(2022)54].

## IV. Conclusions

- Both one-form and two-form fields can **cause stable spatial anisotropies** during the inflationary phase due to their non-minimal coupling with the scalar field of  $k$ -inflation model.
- Anisotropic  $k$ -inflation models in the presence of one-form or two-form fields turn out to be **cosmologically viable**.
- One-form field seems to be a **better player** than two-form field in the light of the data of Planck 2018.



Thank you all for your attention !