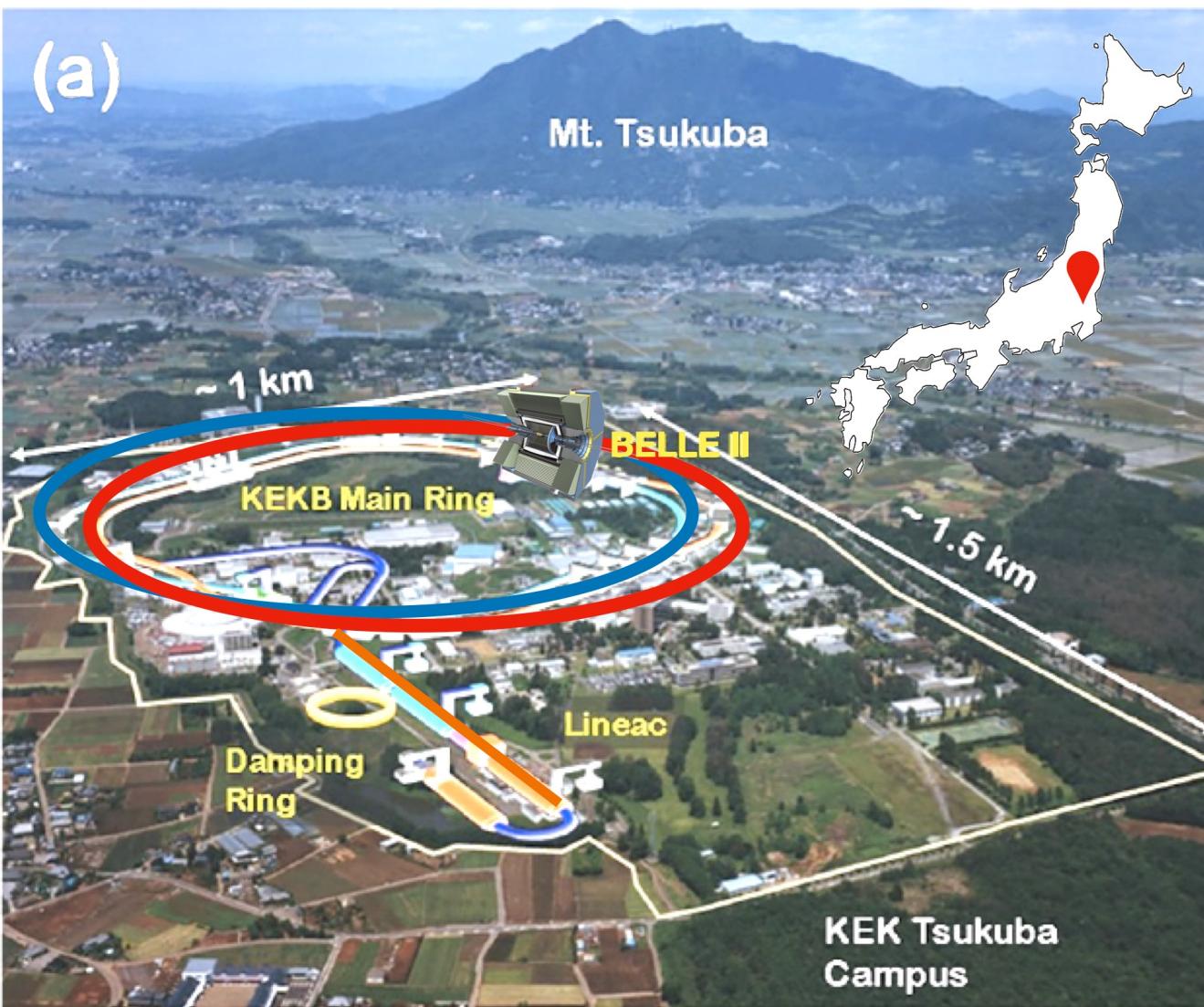


Recent Belle II results related to flavour anomalies

Paolo Rocchetti (University of Melbourne)
on behalf of the Belle II collaboration

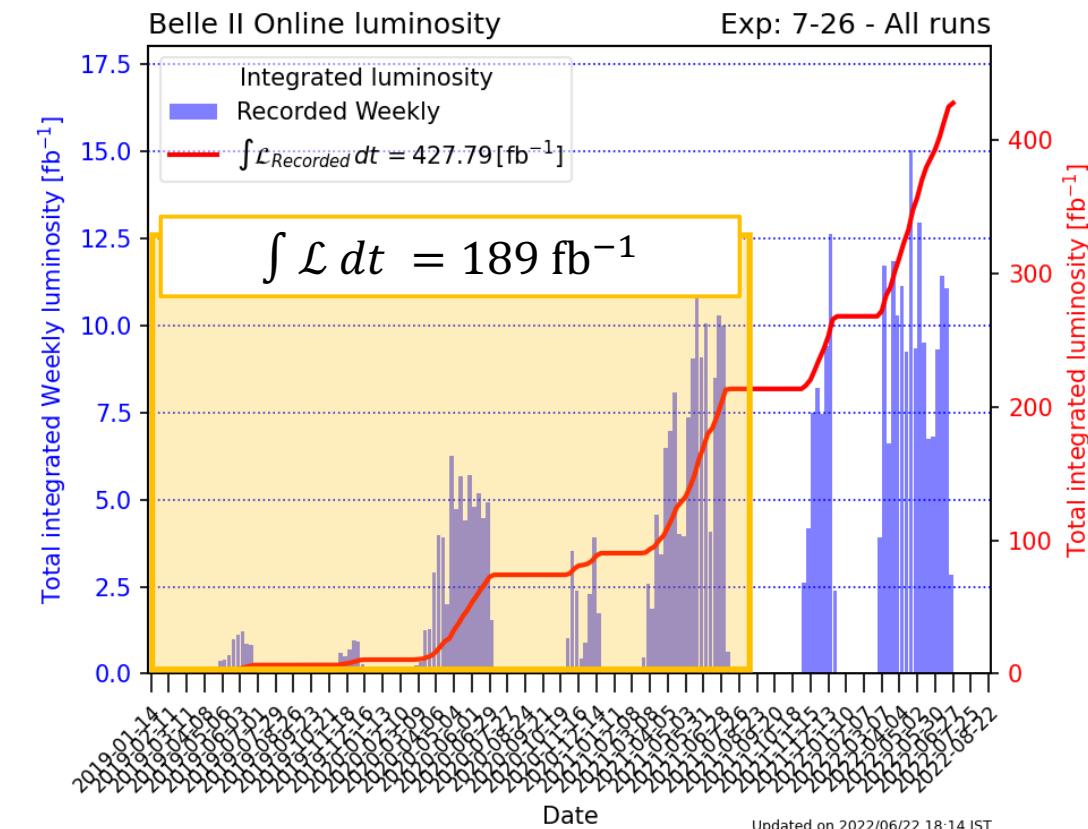
30th Anniversary of the Rencontres du Vietnam
August 8th, 2023

The Belle II experiment

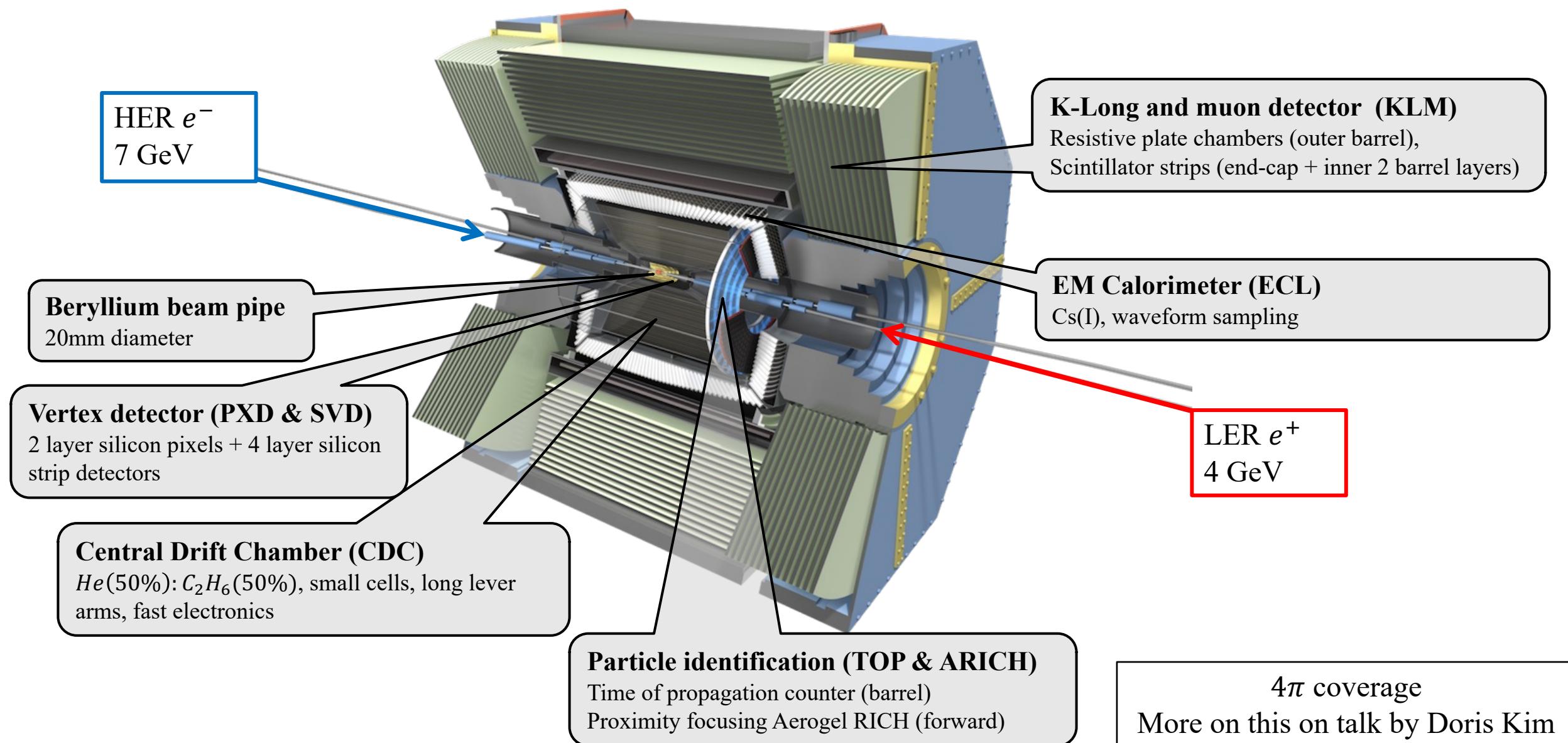


The following Belle II measurements are done at 189 fb^{-1}

- Electron-Positron (e^+e^-) collider
 $e^- (7 \text{ GeV}) \rightarrow \leftarrow (4 \text{ GeV}) e^+$
- E_{CM} at $\Upsilon(4S)$ resonance (10.58 GeV)
- B -factory $\Upsilon(4S) \rightarrow B\bar{B}$ (at least 96%)



The Belle II detector

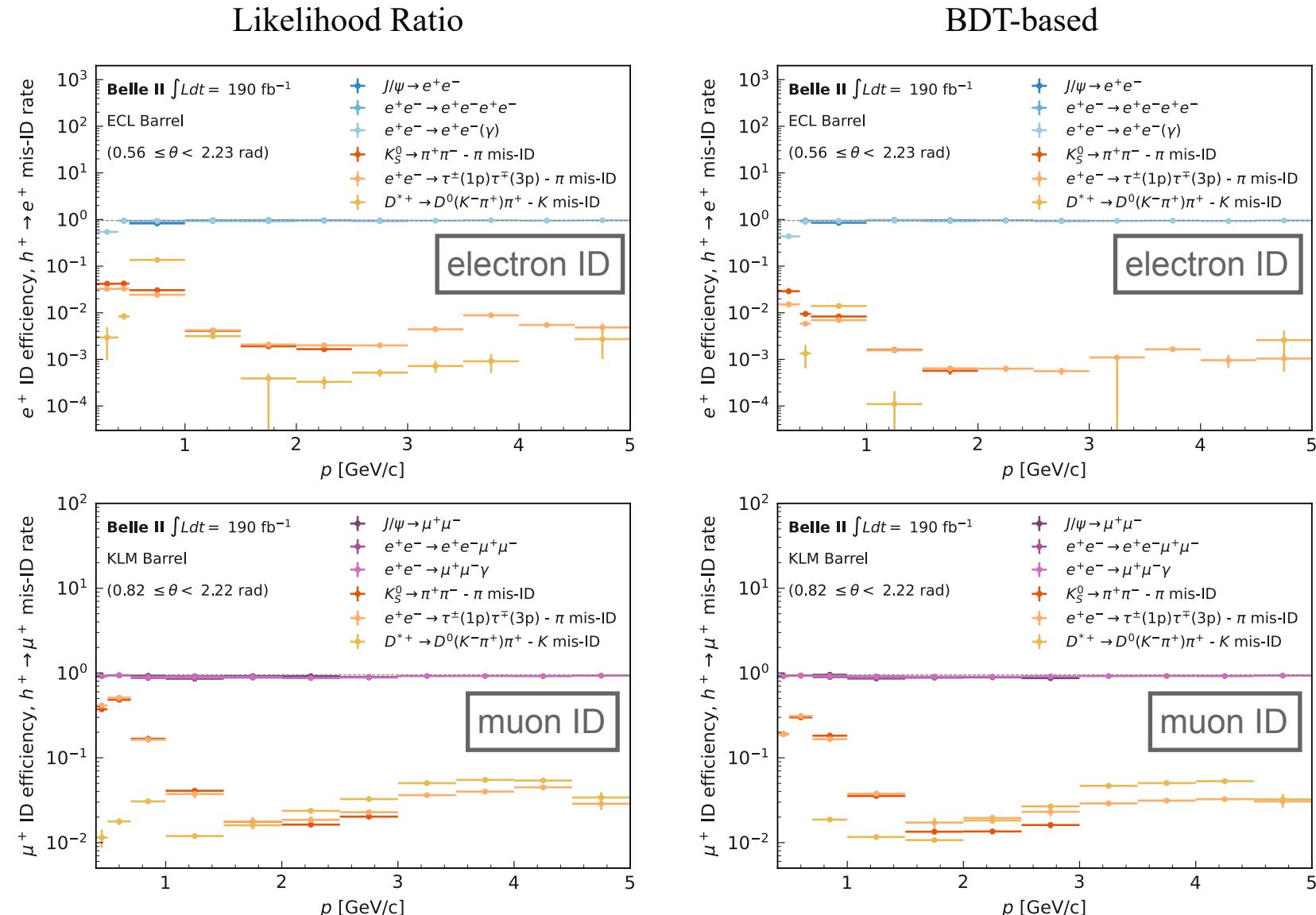


Lepton Identification

- Particle identification (PID) – identify “long-lived” particles passing through the detector by interacting with matter
- One of the most crucial part of determining the sensitivity of a measurement
- Lepton identification algorithm works based on likelihood ratio or BDT

$$\text{ID}_\mu = \frac{\mathcal{L}_\mu}{\sum_{i=e, \mu, \pi, K, p, d} \mathcal{L}_i}$$

- New BDT-based lepton identification superior across the momentum spectrum, especially $< 0.6 \text{ GeV}/c$
- Data/MC correction factors have associated systematics for the efficiency at the 0.5-1.5% level

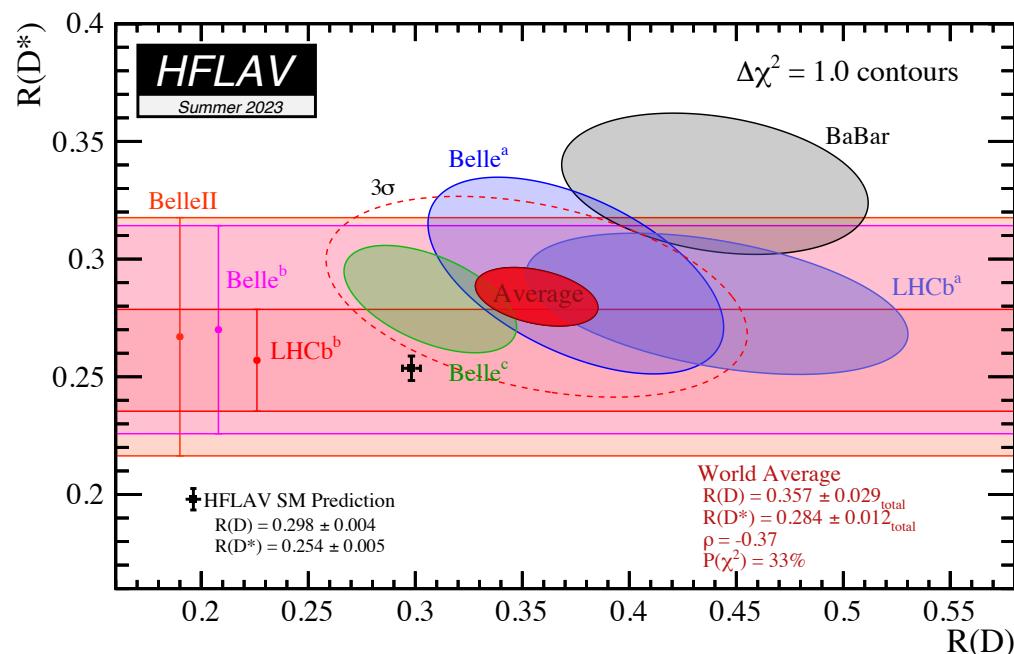


First $R(D^*)$ Measurement from Belle II

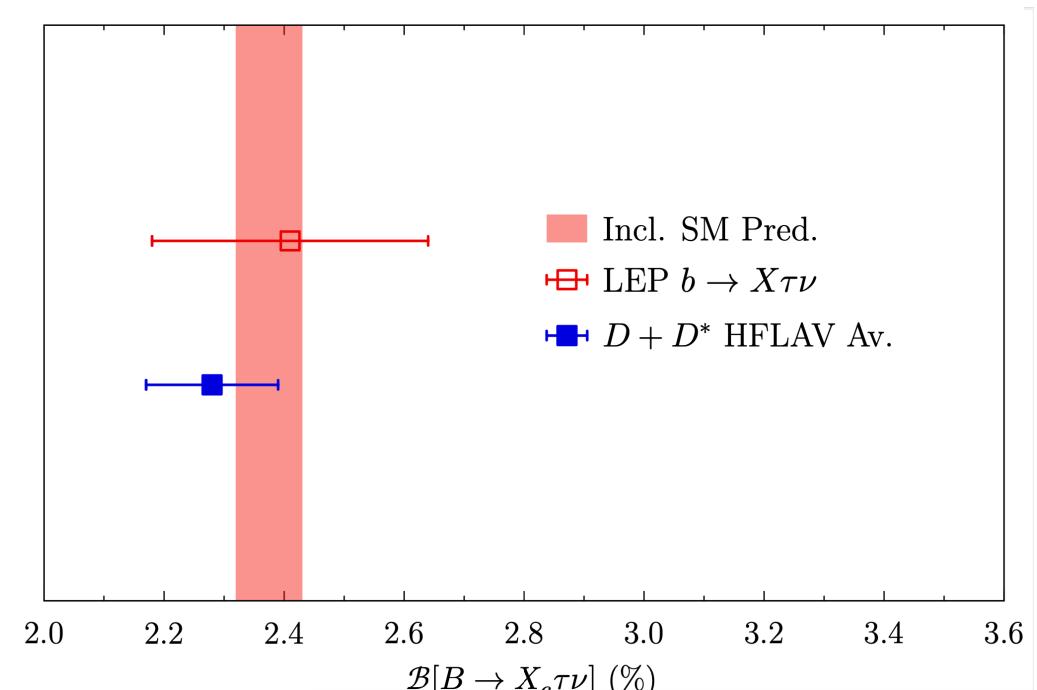
Introduction to flavour anomalies in $b \rightarrow c$ decays

- Flavour anomalies have been observed due to deviations from the Standard model in processes involving leptons
- New physics could introduce additional interactions with each lepton, affecting the predicted rate of $b \rightarrow c$ decays
- New interactions involving the $b \rightarrow c$ quark transition can be probed in $R(D^{(*)})$ or $R(X_{\tau/\ell})$

$$R(D^{(*)}) = \frac{Br(B \rightarrow D^{(*)}\tau\nu)}{Br(B \rightarrow D^{(*)}\ell\nu)} \quad \longleftrightarrow \quad \ell \in \{e, \mu\} \quad \longleftrightarrow \quad R(X_{\tau/\ell}) = \frac{Br(B \rightarrow X\tau\nu)}{Br(B \rightarrow X\ell\nu)}$$



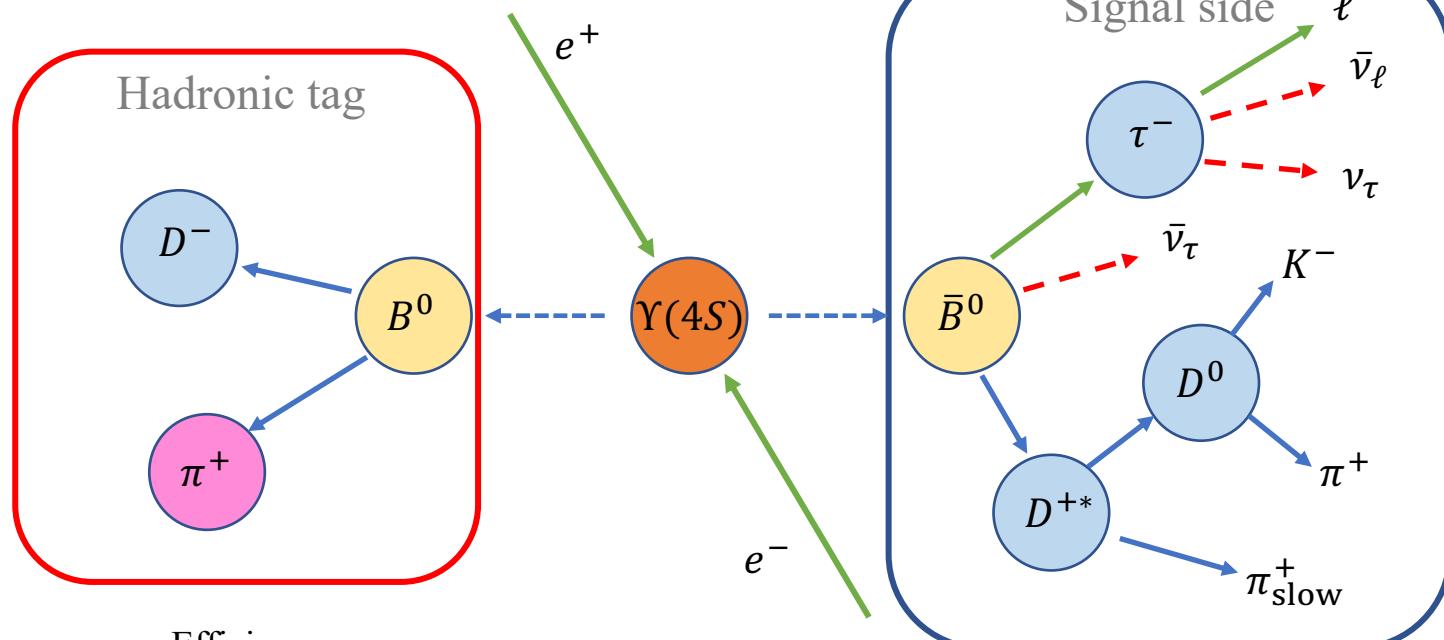
Tension with Standard model of $> 3\sigma$



Consistent with Standard Model expectation

$R(D^*)$ reconstruction

Hadronic B-tagging
 (Full Event Interpretation)
[Comp. and Soft. for Big Sci. 3, 6 \(2019\)](#)



Efficiency
 $B^0: 0.27\%, B^+: 0.35\%$
[Belle II arXiv:2008.06096](#)

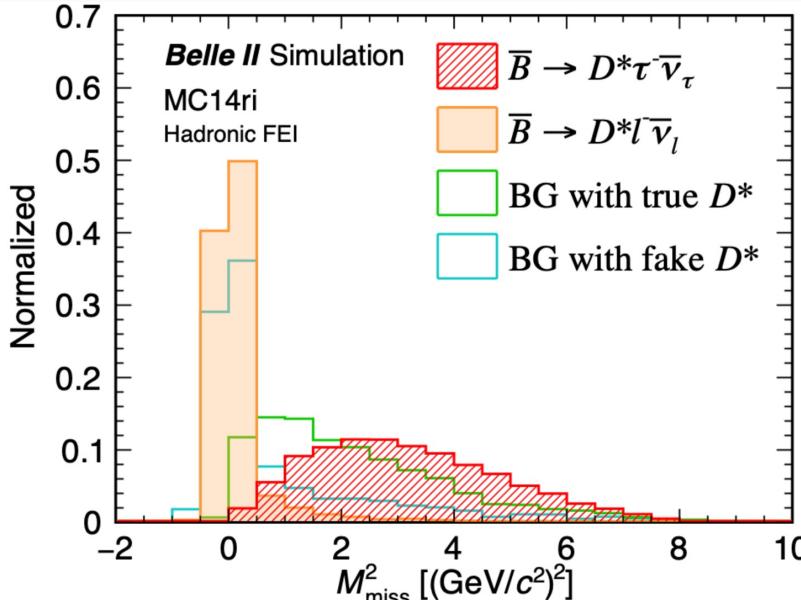
Precise knowledge of B_{tag} kinematics, strong kinematic reconstruction constraints for sig. side with 3 ν 's

Exclusive signal B modes
 Reconstruct the signal-side B meson through specific decay channels

- Tag one B -meson from *hadronic* decays and analyse remaining B (**signal side**)
- Reconstruction of
 $\Rightarrow \bar{B}^0 \rightarrow D^* \tau^- \bar{\nu}_\tau$
 $\Rightarrow \bar{B}^0 \rightarrow D^* \ell^- \bar{\nu}_\ell, \ell \in \{e, \mu\}$
- Leptonic τ decays
- Three D^* decay channels:
 $\Rightarrow D^{*+} \rightarrow D^0 \pi^+$
 $\Rightarrow D^{*+} \rightarrow D^+ \pi^0$
 $\Rightarrow D^{*0} \rightarrow D^0 \pi^0$

$R(D^*)$ extraction

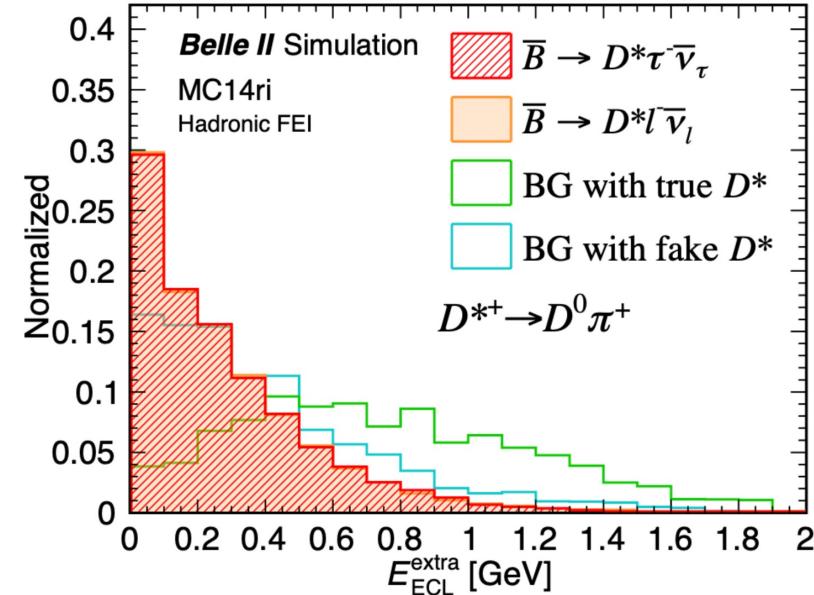
- 2D extended binned maximum likelihood fit to missing mass squared (M_{miss}^2) and extra ECL energy (E_{ECL}^{extra})



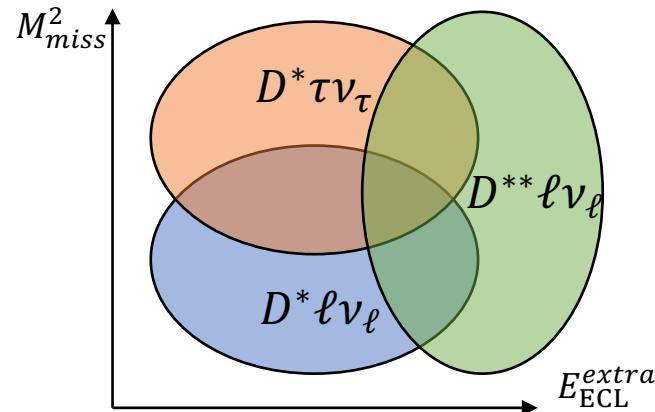
$$M_{miss}^2 = (p_{e^+ e^-} - p_{B_{tag}} - p_{D^*} - p_\ell)^2$$

- Simultaneous fit the three D^* decays channels:
 - $\Rightarrow D^{*+} \rightarrow D^0 \pi^+$
 - $\Rightarrow D^{*+} \rightarrow D^+ \pi^0$
 - $\Rightarrow D^{*0} \rightarrow D^0 \pi^0$
- $R(D^*)$ extracted from fit using $R(D^*) = \frac{N_{D^*\tau\nu}}{(N_{D^*\ell\nu}/2)} \cdot \frac{\varepsilon_{D^*\ell\nu}}{\varepsilon_{D^*\tau\nu}}$

N_χ : no. of χ events extracted from fit
 ε_χ : reconstruction efficiency for χ events



E_{ECL}^{extra} : Sum of cluster energy not used in reco.

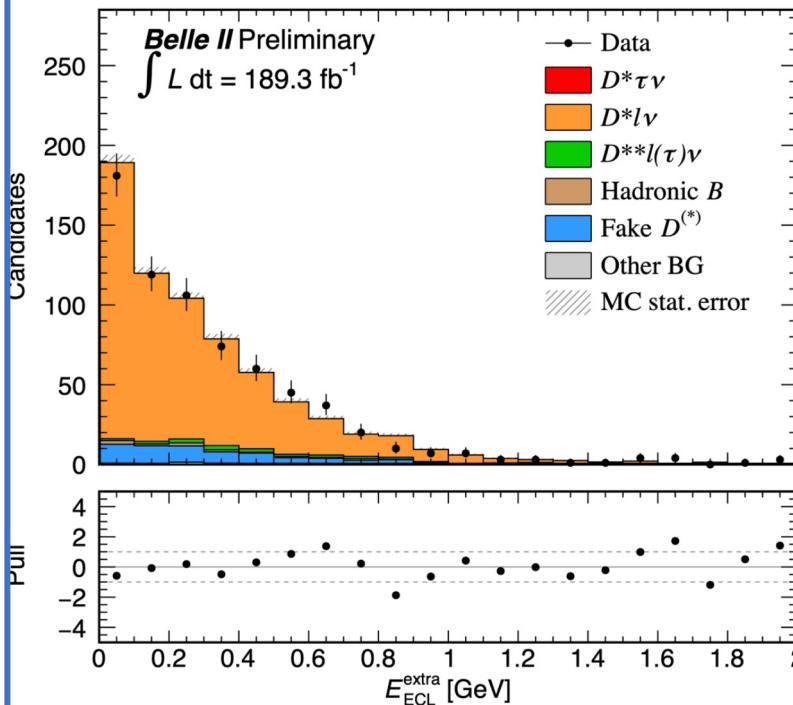


Sample composition evaluation

$\bar{B} \rightarrow D^* \ell^- \bar{\nu}_\ell$ and major background contributions from $\bar{B} \rightarrow D^{**} \ell^- \bar{\nu}_\ell$ and fake D^* in three side-band regions are evaluated.

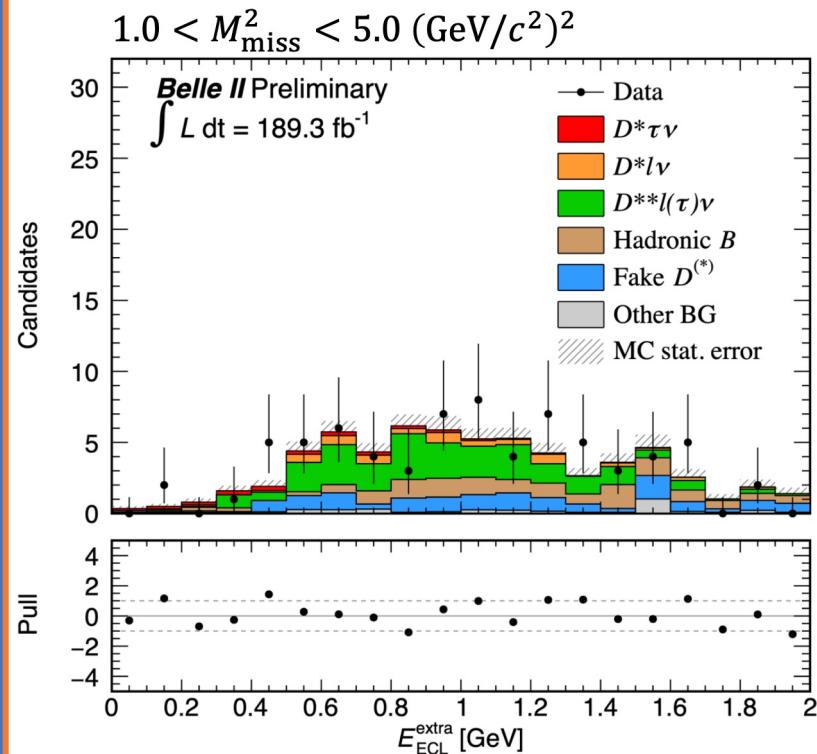
q^2 [GeV $^2/c^2$] side band for $\bar{B} \rightarrow D^* \ell^- \bar{\nu}_\ell$

- $q^2 = (p_\ell + p_\nu)^2 < 3.5$ GeV
- Below m_τ threshold



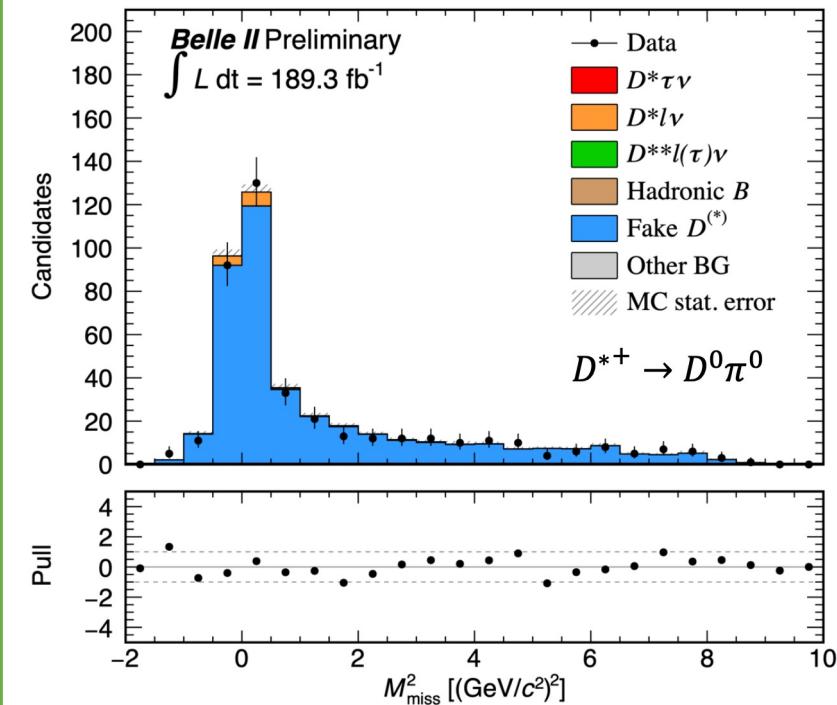
$\bar{B} \rightarrow D^{**} \ell^- \bar{\nu}_\ell$ enhanced side band

- An additional π^0 is required to $B\bar{B}$
- $\bar{B} \rightarrow D^{**} \ell^- \bar{\nu}_\ell$ have unknown rates and can mimic $\bar{B} \rightarrow D^* \tau^- \bar{\nu}_\tau$

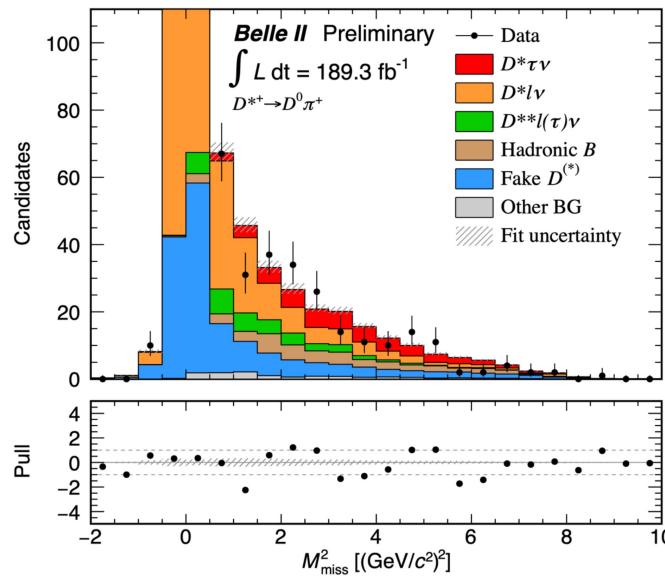


$\Delta M_{D^*} = (M_{D^*} - M_D)$ side bands for fake D^*

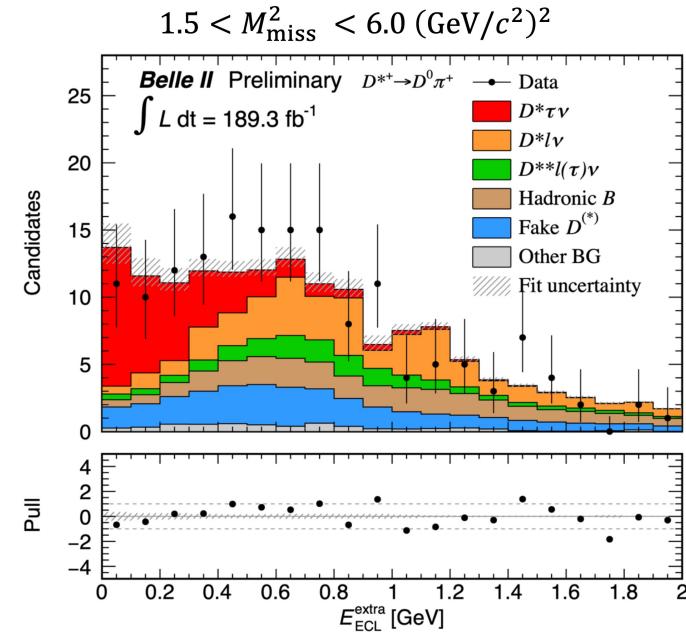
- Constrain the fake D^* yields in the signal regions with calibration factors at the ΔM_{D^*} side bands.



$R(D^*)$ post-fit results

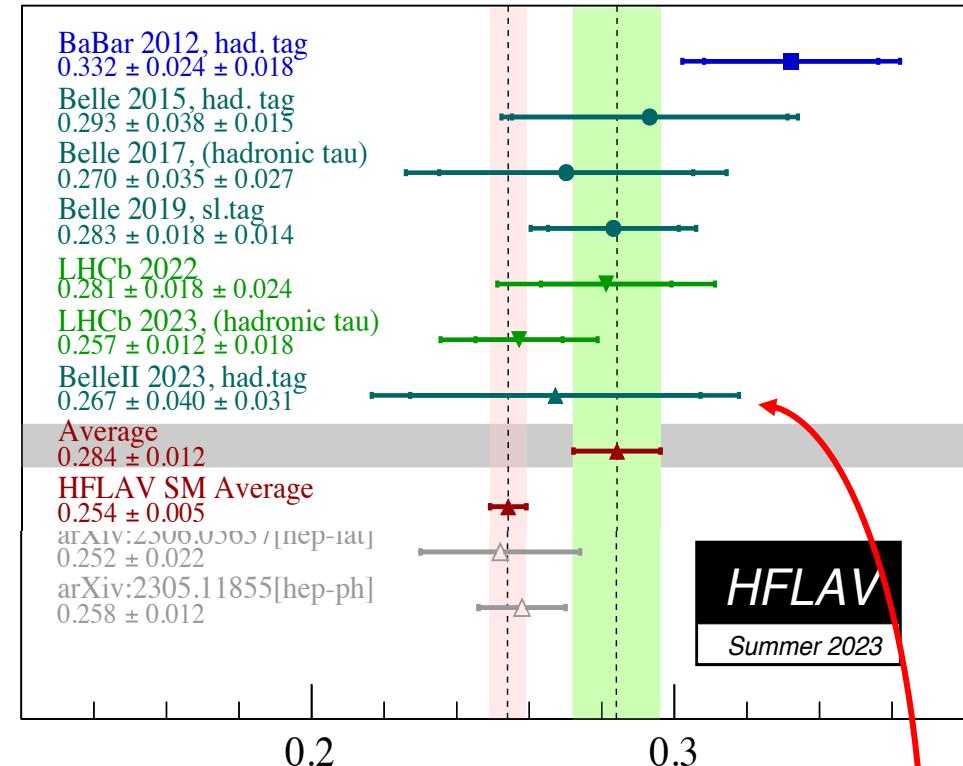


- First $R(D^*)$ result from Belle II



$$R(D^*) = 0.267^{+0.041}_{-0.039} (\text{stat.})^{+0.028}_{-0.033} (\text{syst.})$$

- 40% improvement in statistical precision over Belle result at the same sample size
- Systematic uncertainties dominated by PDF uncertainties and simulated sample size
- Result consistent with both SM prediction and HFLAV average



HFLAV
 Summer 2023

$R(D^*)$

This measurement

Light-lepton Universality test in angular asymmetries

Light-lepton flavour universality in $\bar{B} \rightarrow D^* \ell^- \bar{\nu}$

- Beyond the Standard Model effects in $R(D^{(*)})$ could affect angular asymmetries in $\bar{B} \rightarrow D^* \ell^- \bar{\nu}$ [[Phys. Rev. D 106, 096015 \(2022\)](#)]
- This effect could induce a violation of the light-lepton (e, μ) flavour universality
- Five angular asymmetries of e, μ in $\bar{B} \rightarrow D^* \ell^- \bar{\nu}$ are tested via the difference in angular observables:

$$\Delta\mathcal{A}_x(w) = \mathcal{A}_x^e(w) - \mathcal{A}_x^\mu(w)$$

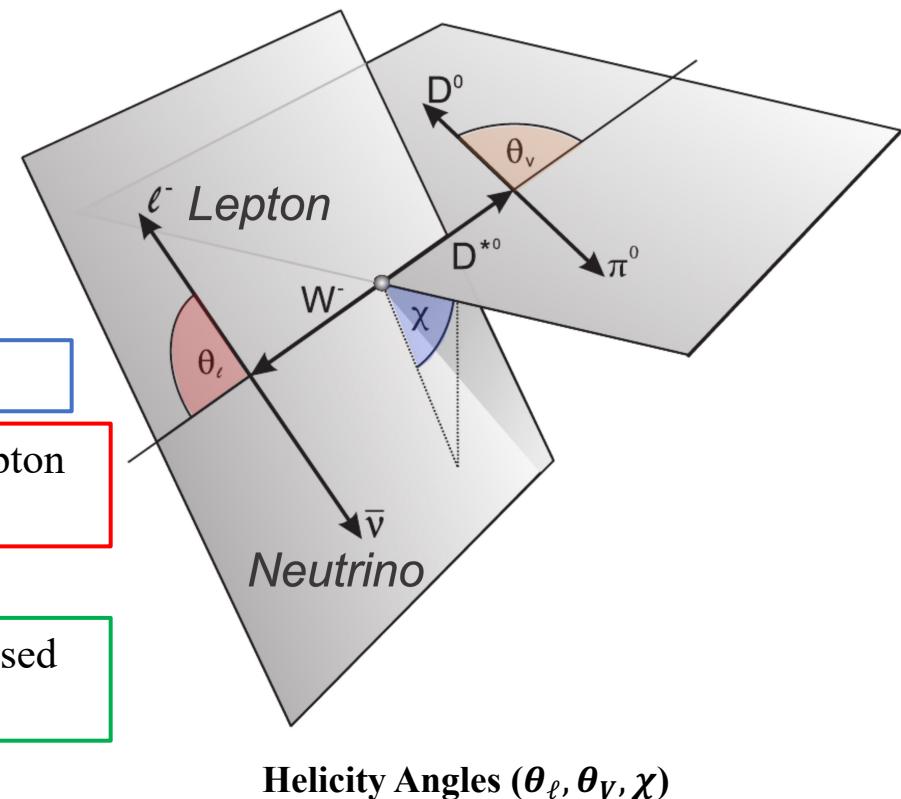
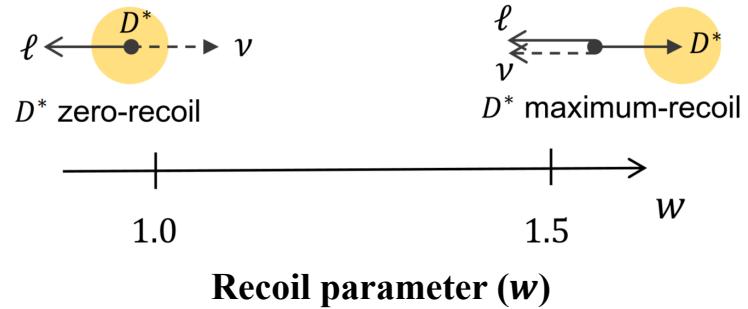
Angular Observable

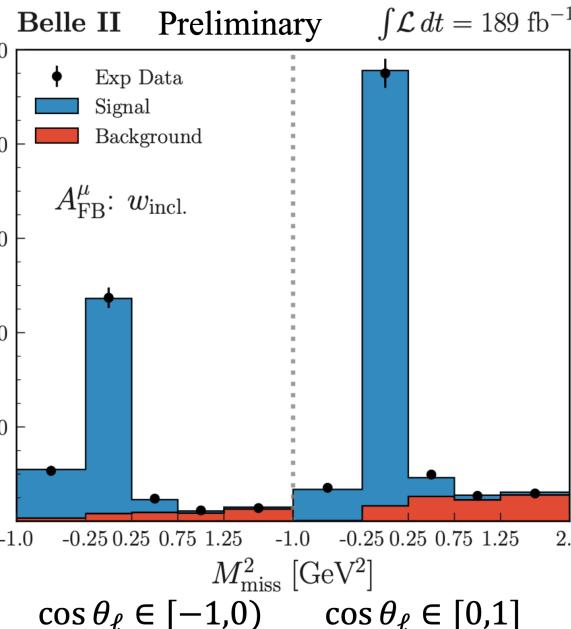
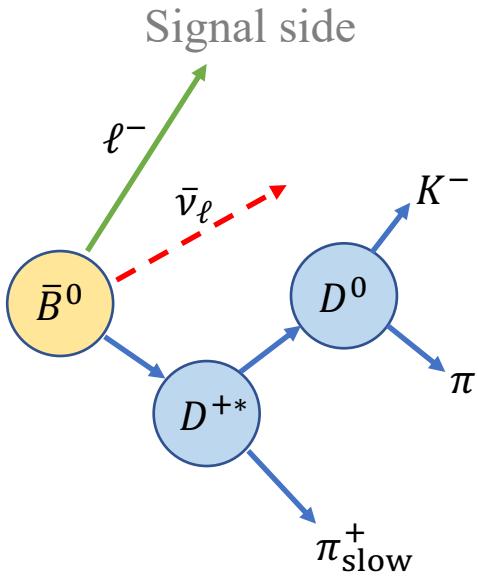
$$\mathcal{A}_x(w) = \left(\frac{d\Gamma}{dw} \right)^{-1} \left[\int_0^1 - \int_{-1}^0 \right] dx \frac{d^2\Gamma}{dw dx}$$

$$w = \frac{m_B^2 + m_{D^*}^2 - (p_B - p_{D^*})^2}{2m_B m_{D^*}}$$

$\mathcal{A}_x(w)$	dx
$A_{FB}(w)$	$d(\cos \theta_\ell)$
$S_3(w)$	$d(\cos 2\chi)$
$S_5(w)$	$d(\cos \chi \cos \theta_V)$
$S_7(w)$	$d(\sin \chi \cos \theta_V)$
$S_9(w)$	$d(\sin 2\chi)$

- Commonly analysed
- Highly sensitive to lepton flavour universality
- Reduced sensitivity, used as control



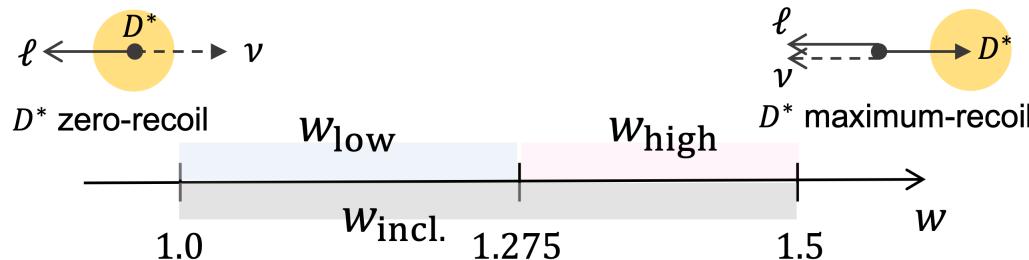


- Tag one B -meson from *hadronic* decays – same as $R(D^*)$
- Analyse remaining B -meson(**signal side**)
- Reconstruction of

$$\Rightarrow \overline{B^0} \rightarrow (D^{*+} \rightarrow D^0 \pi^+) \ell^- \bar{\nu}$$
- Require momentum of lepton above 0.4 GeV
- No tracks remaining apart from the ones used in reconstruction
- Constrain mass of D^{*+} to be as close as possible to PDG value for each event

Angular Asymmetries extraction

- The first universality test using a full set of angular observables as function of recoil w
- 1D binned maximum-likelihood fit to missing mass squared (M_{miss}^2)
- To maximise sensitivity to SM extensions, w separated into $w_{low}, w_{high}, w_{inc}$



$$\mathcal{A}_x(w) = \left(\frac{d\Gamma}{dw} \right)^{-1} \left[\int_0^1 - \int_{-1}^0 \right] dx \frac{d^2\Gamma}{dw dx}$$

$$M_{miss}^2 = (p_{e^+e^-} - p_{B_{tag}} - p_{D^*} - p_\ell)^2$$

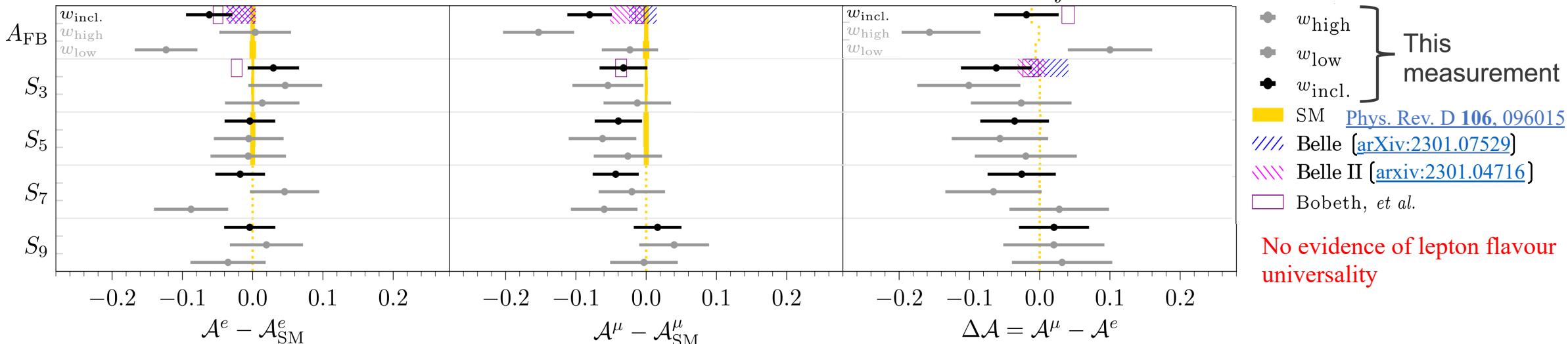
$$A_{FB}: dx = d(\cos \theta_\ell)$$

$$\chi^2 / N_{dof} = 2.0/3 \text{ } (p = 0.57) \text{ on } A_{FB}, S_3, S_5 - w_{inc}$$

$$\chi^2 / N_{dof} = 10.2/6 \text{ } (p = 0.13) \text{ on } A_{FB}, S_3, S_5 - w_{high,low}$$

- Compare asymmetries between e, μ using $\Delta\mathcal{A}_x(w) = \mathcal{A}_x^e(w) - \mathcal{A}_x^\mu(w)$

Belle II



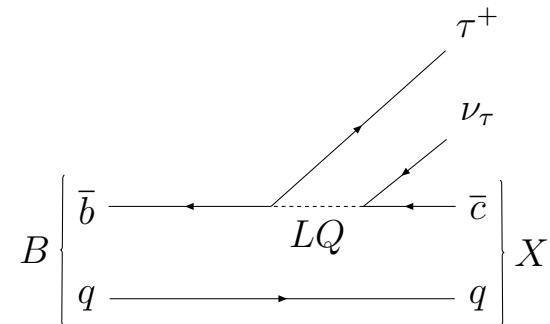
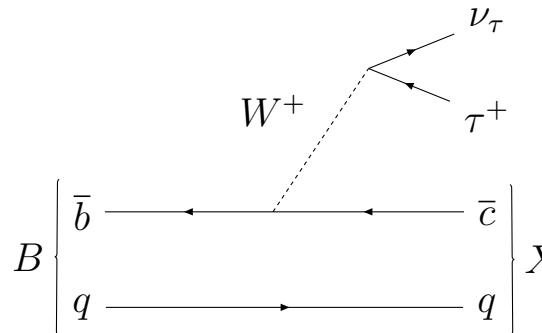
Light-lepton Universality test in $R(X_e/\mu)$

Light-lepton flavour universality in $R(X_{e/\mu})$

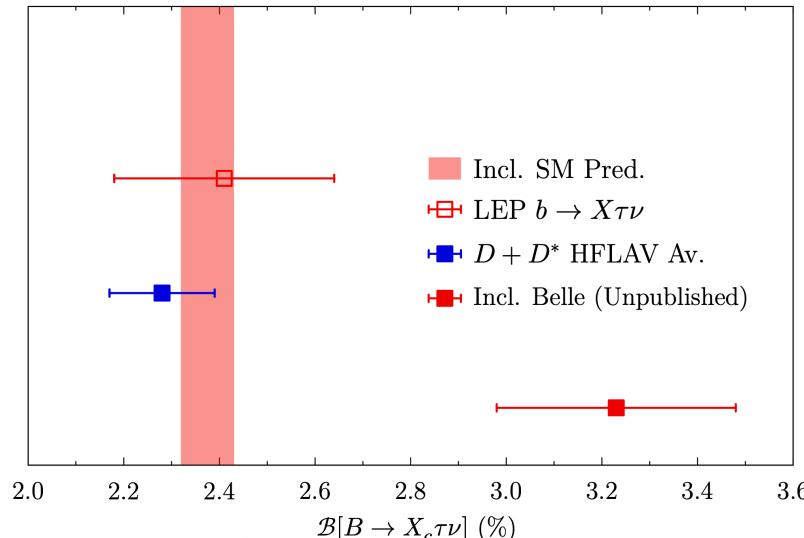
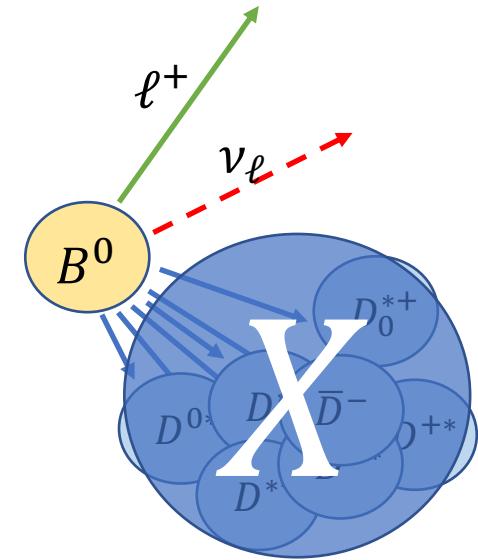
- As a first step towards measuring $R(X_{\tau/\ell})$, we measure $R(X_{e/\mu})$
- Beyond the Standard Model effects in $R(X_{\tau/\ell})$ could affect the light lepton ratio in $R(X_{e/\mu})$

$$R(X_{e/\mu}) = \frac{Br(B \rightarrow X e \nu)}{Br(B \rightarrow X \mu \nu)}$$

- X is the hadronic final state of semileptonic decay from $b \rightarrow c \ell \nu$, rarely $b \rightarrow u \ell \nu$
- Various leptoquark models have been presented to explain anomalies in $b \rightarrow c \ell \nu$



- Inclusive reconstruction of the charm system signal-side B
- $p_\ell^B > 1.3$ GeV/c to suppress background



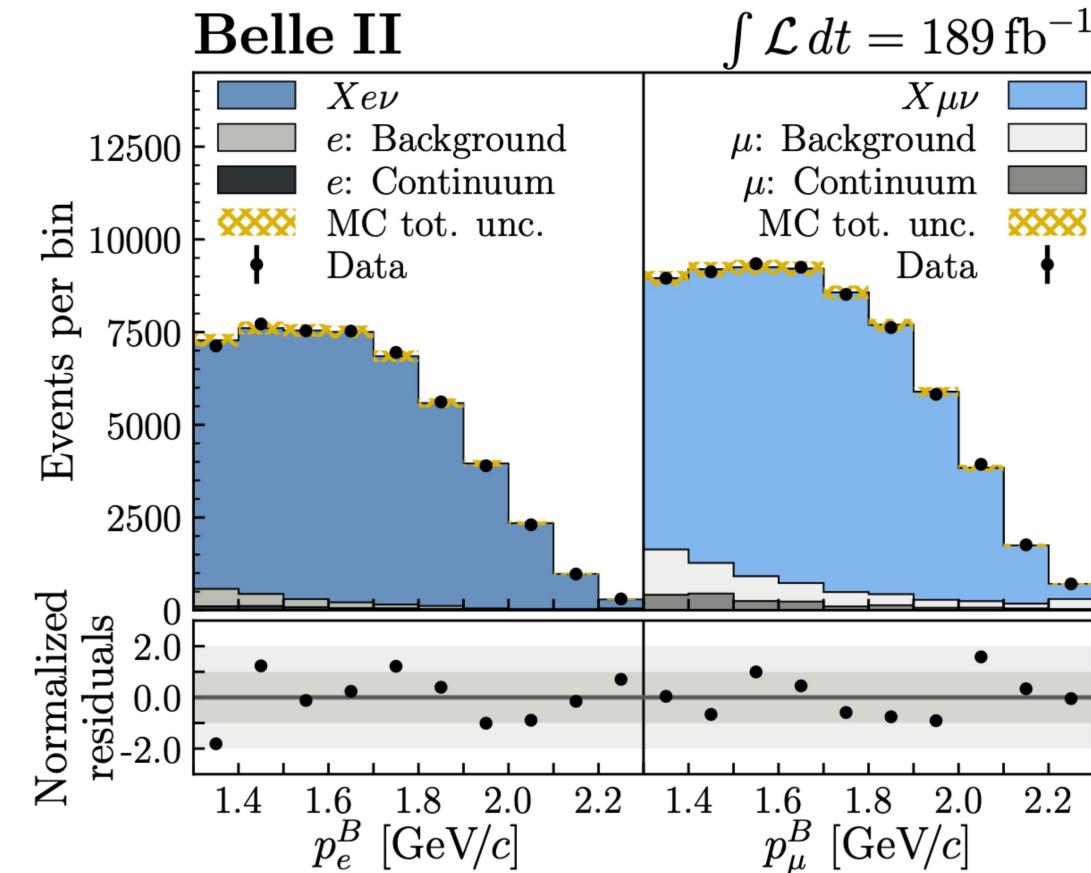
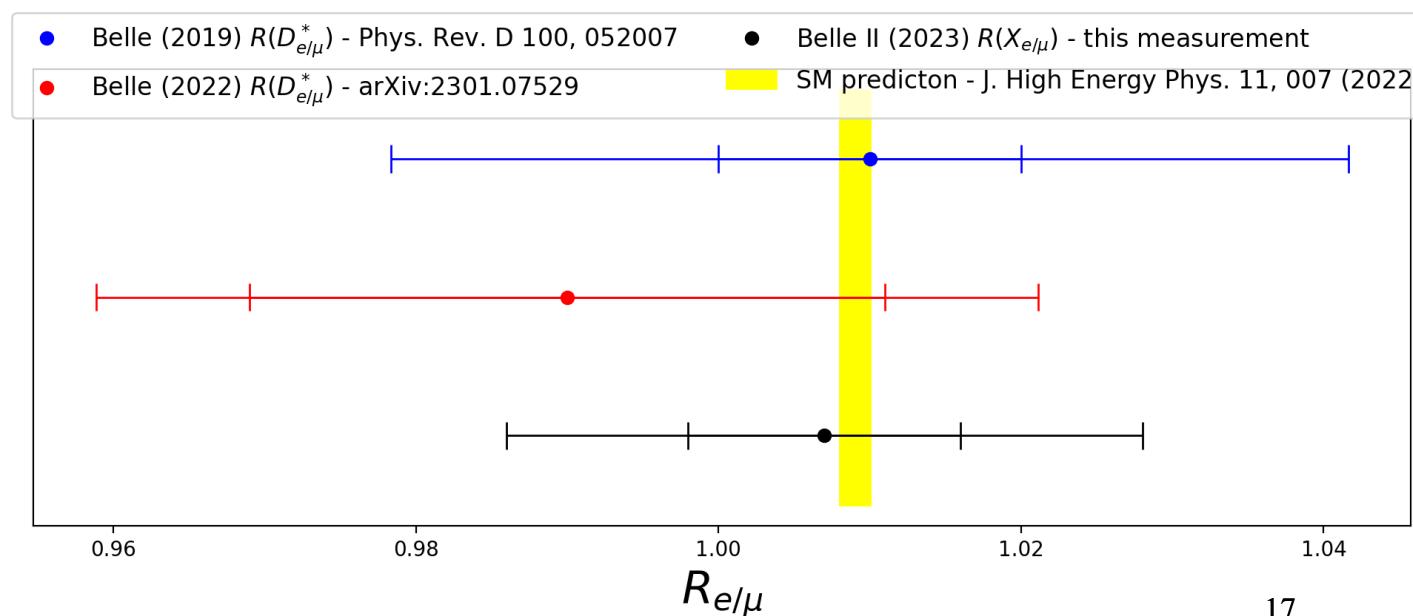
$R(X_{e/\mu})$ extraction

[Phys. Rev. Lett. 131, 051804 \(2023\)](#)

- 1D binned maximum-likelihood fit to lepton momentum of signal B rest-frame
- Control channel ($B^0\bar{B}^0 / B^+B^-$) constrains background yield in signal channel ($B^0\bar{B}^0 / B^+B^-$) through simultaneous fit
- e and μ templates are fitted simultaneously in **10 p_ℓ^B bins** each

$$R(X_{e/\mu}) = 1.007 \pm 0.009 \text{ (stat.)} \pm 0.019 \text{ (sys.)}$$

- ✓ Most precise LFU test with semileptonic B decays to date!
- ✓ Measurement systematically limited by lepton ID-based uncertainties



Signal channel ($B^0\bar{B}^0 / B^+B^-$) post-fit plot

Summary

Lepton Flavour Universality tests shed light on $b \rightarrow c$ decays anomalies.

Current deviations from the Standard Model expectations of $> 3\sigma$ characterise these anomalies.

Belle II performed three measurements to test lepton flavour universality:

- The first $R(D^*)$ result from Belle II

$$R(D^*) = 0.267^{+0.041}_{-0.039}(\text{stat.})^{+0.028}_{-0.033}(\text{syst.})$$

Consistent with both SM prediction and HFLAV average

- The first universality test using angular observables as function of recoil w

Consistent with Standard Model prediction

- The most precise Lepton Flavour Universality test with semileptonic B -decays to date

$$R(X_{e/\mu}) = 1.007 \pm 0.009 \text{ (stat.)} \pm 0.019 \text{ (sys.)}$$

Consistent with Standard Model prediction