



MICROBOONE RESULTS AND NEW CONSTRAINTS ON EV-SCALE STERILE NEUTRINOS

Kirsty Duffy for the MicroBooNE Collaboration
UKRI Future Leaders Fellow, University of Oxford
18th Rencontres du Vietnam, ICISE, Quy Nhon

55 cm

Run 3469 Event 53223, Oct.

- MicroBooNE has harnessed the **full power of LArTPC detector technology** to make valuable new precision measurements
- World's first **high-statistics precision cross-section measurements** on argon
- Detailed initial **investigations into MiniBooNE anomaly**
- Further **searches for new physics**
- MicroBooNE is also **laying the groundwork for future LArTPC detector programmes**: SBND, ICARUS (further investigation of the MiniBooNE anomaly) and the future multi-kt neutrino experiment DUNE

MicroBooNE: 85-tonne active mass LArTPC

JINST I2 P02017 (2017)

- Sits in **two neutrino beams** at Fermilab: BNB (on-axis, $\langle E_{\nu\mu} \rangle = 800$ MeV) and NuMI (off-axis, $\langle E_{\nu e} \rangle = 650$ MeV)
- Completed 5 years of beam physics data-taking: **world's largest dataset of neutrino interactions on Argon**
- Several post-operations R&D studies



Thank you to Fermilab Accelerator Division, Cryogenics team, and Operations team!

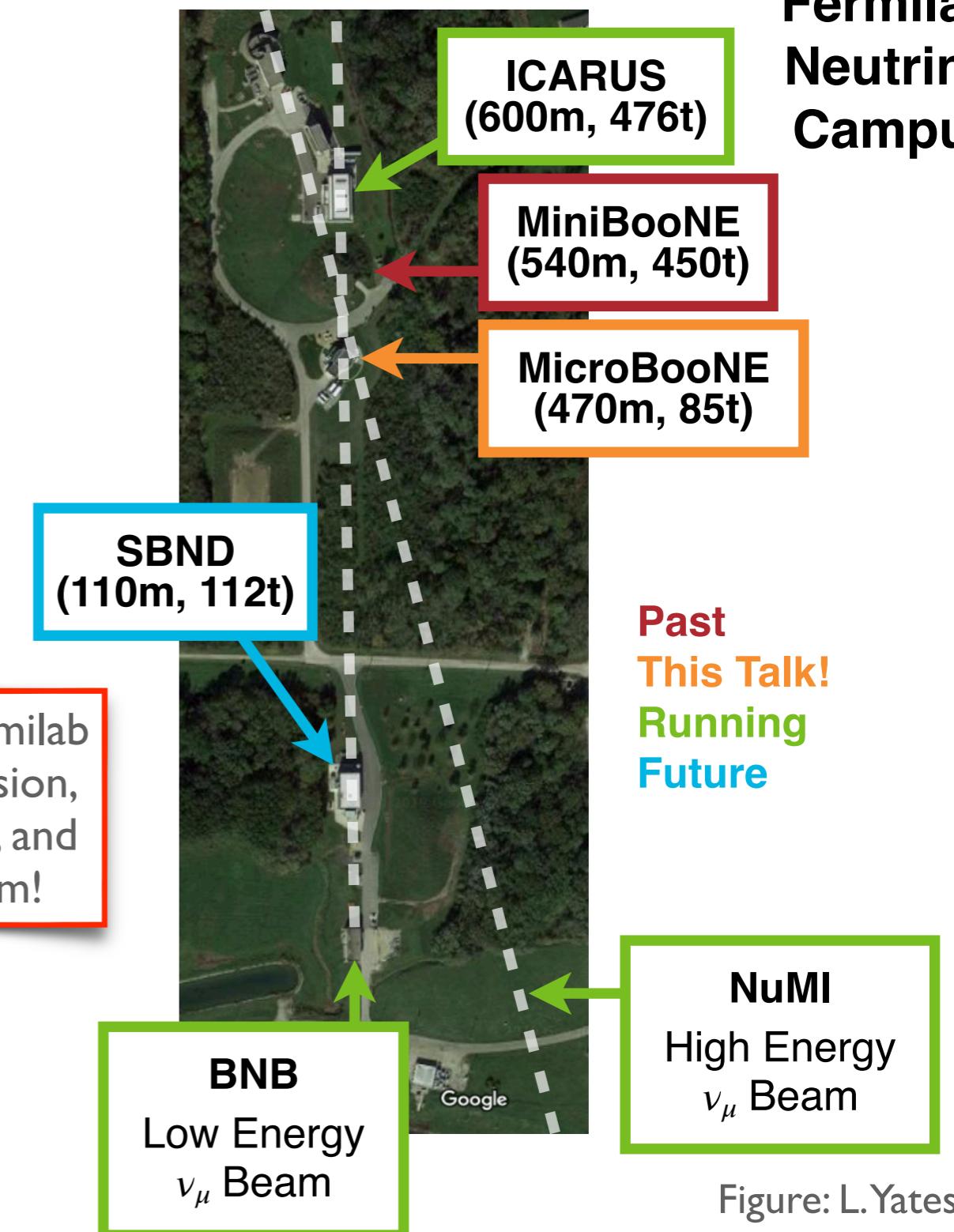
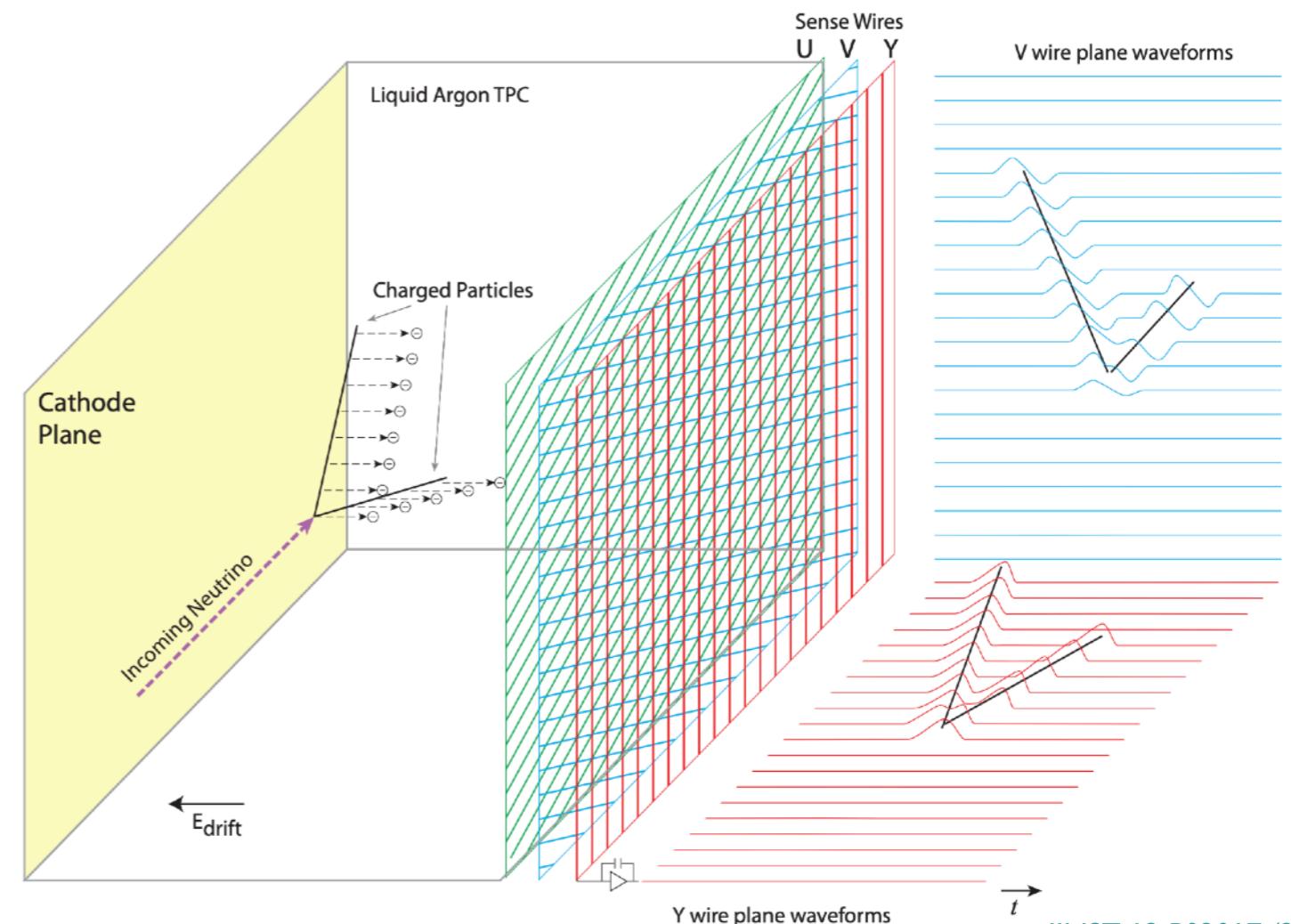


Figure: L. Yates

LIQUID ARGON TIME PROJECTION CHAMBER

- Fully-active tracking calorimeter
- **32 PMTs** collect light from flash at time of interaction
- **3 planes** of wires (vertical, $+60^\circ$, -60°) with **3mm spacing**
- \rightarrow mm-level resolution, low thresholds, excellent particle identification
- \rightarrow incredible precision measurements at scale!



NuMI: Run 5280 Subrun 66 Event 3329

9 cm

Time (drift direction)

Wire number (beam direction)

μBooNE

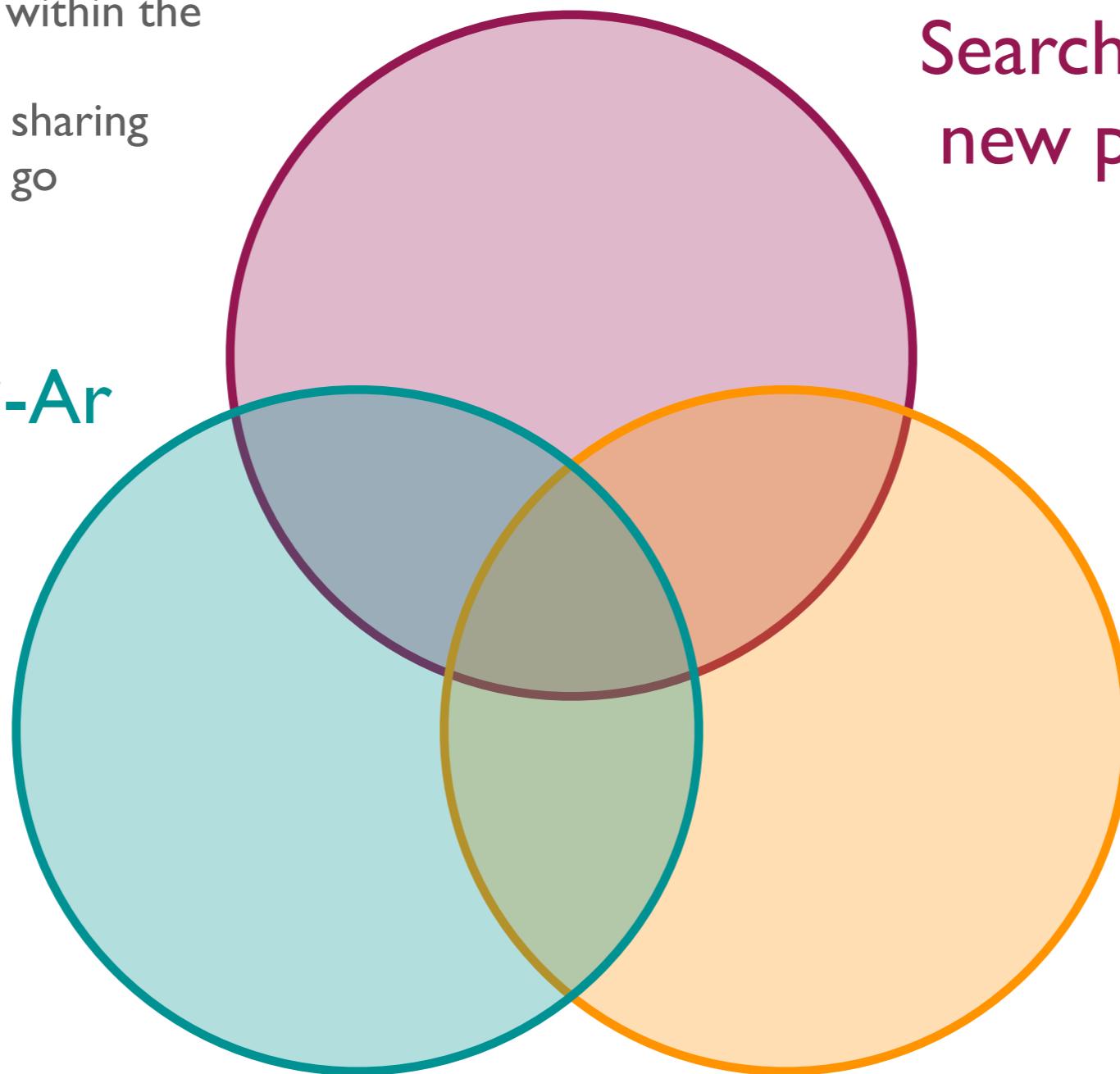
MICROBOOONE'S SCIENTIFIC AND TECHNICAL ACCOMPLISHMENTS

More than **45 publications** within the last 5 years

More than **75 public notes** sharing with the community as we go

Searching for new physics

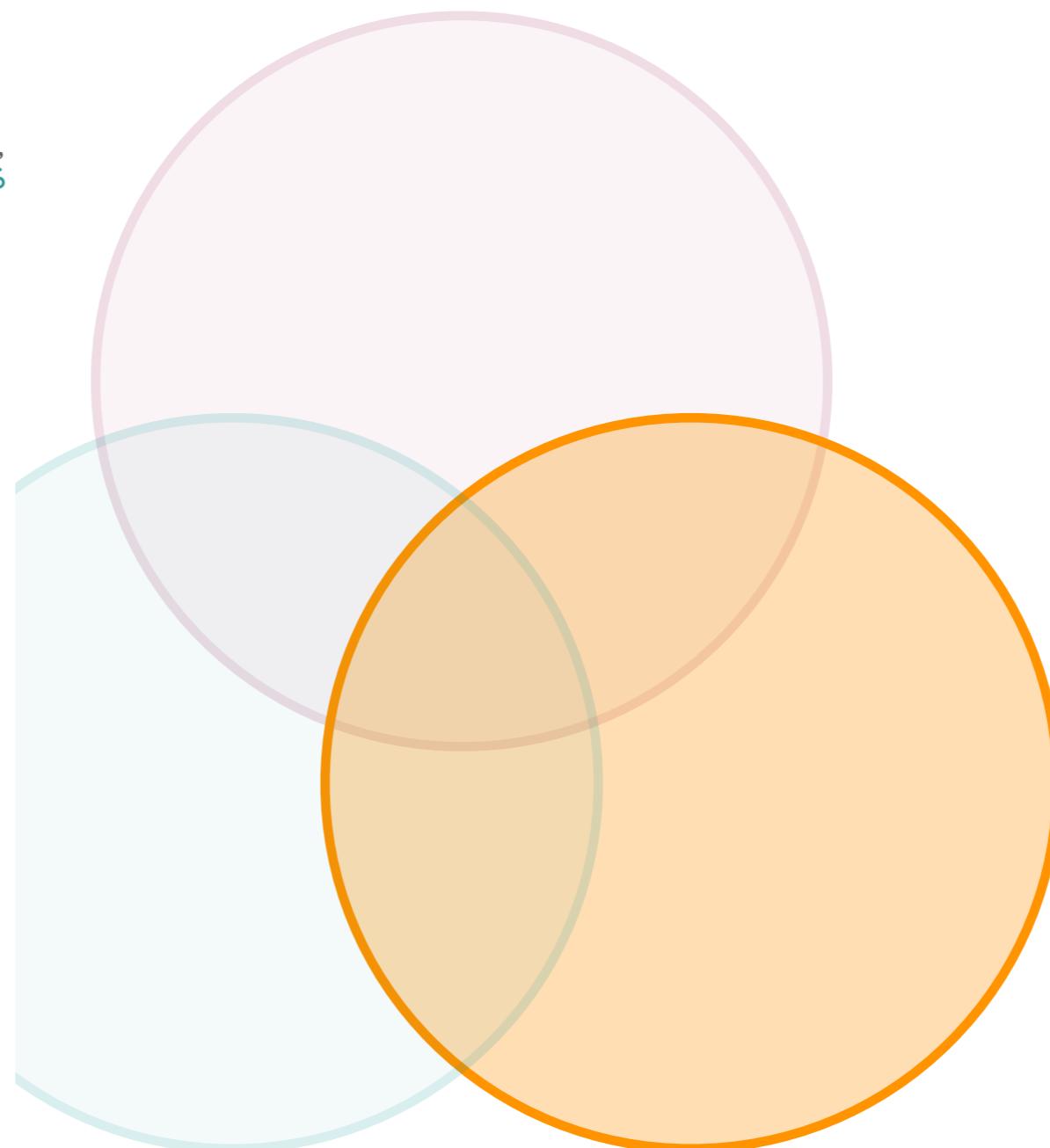
Understanding ν-Ar interactions



Understanding LArTPCs and developing techniques

MICROBOONE'S SCIENTIFIC AND TECHNICAL ACCOMPLISHMENTS

Radon Mitigation arXiv:2203.10147 [physics.ins-det]
Novel Simulation Modification Eur. Phys. J. C 82, 454 (2022)
Longitudinal Diffusion Measurement JINST 16 P09025 (2021)
Detector design and construction JINST 12 P02017 (2017), JINST 12 P12030 (2017), JINST 14 P04004 (2019), JINST 16 P02008 (2021)
Measurement of Space Charge Effect JINST 15 P12037 (2020)
Measurement of O(100) MeV γ s JINST 15 P02007 (2020)
Laser E-field Measurement JINST 15 P07010 (2020)
Charge/Energy Calibration JINST 15 P03022 (2020)
Noise Characterisation and Filtering JINST 12 P08003 (2017)
Evaluation of Michel electron reconstruction JINST 12 P09014 (2017)
Multiple Coulomb Scattering Method JINST 12 P10010 (2017)
Demonstration of <2ns Timing Resolution
MICROBOONE-NOTE-1115-PUB
Deep Learning Reconstruction JINST 12 P03011 (2017), Phys. Rev. D 99, 092001 (2019), JINST 16 P02017 (2021), Phys. Rev. D 103, 092003 (2021), Phys. Rev. D 103, 052012 (2021), JINST 16 T12017 (2021), arXiv:2201.05705 [hep-ex]
Wire-Cell Reconstruction and Signal Processing JINST 13 P07007 (2018), JINST 13 P07006 (2018), JINST 16 P06043 (2021), Phys. Rev. Applied 15, 064071 (2021), JINST 17 P01037 (2022)
Pandora Reconstruction Eur. Phys. J. C 78, 82 (2018), Eur. Phys. J. C 79, 673 (2019), J. High Energ. Phys. 2021, 153 (2021)
Atmospheric muon rate measurement JINST 16 P04004 (2021)



Understanding
LArTPCs and
developing
techniques

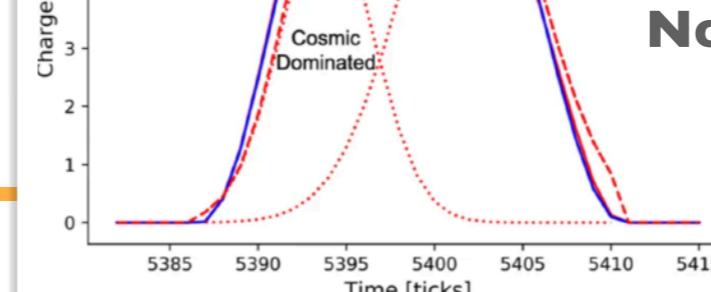
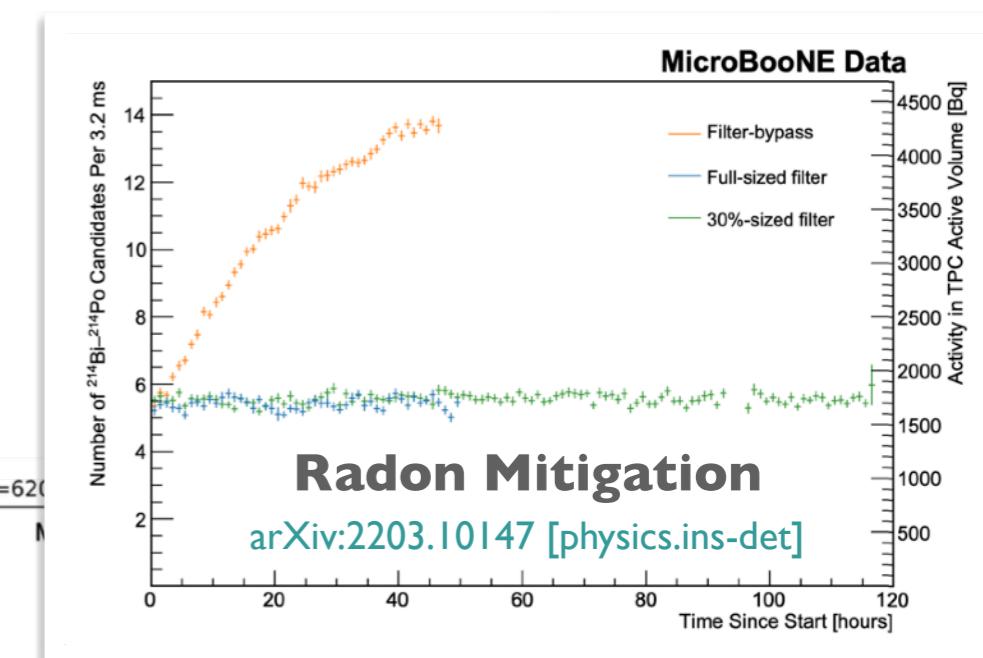
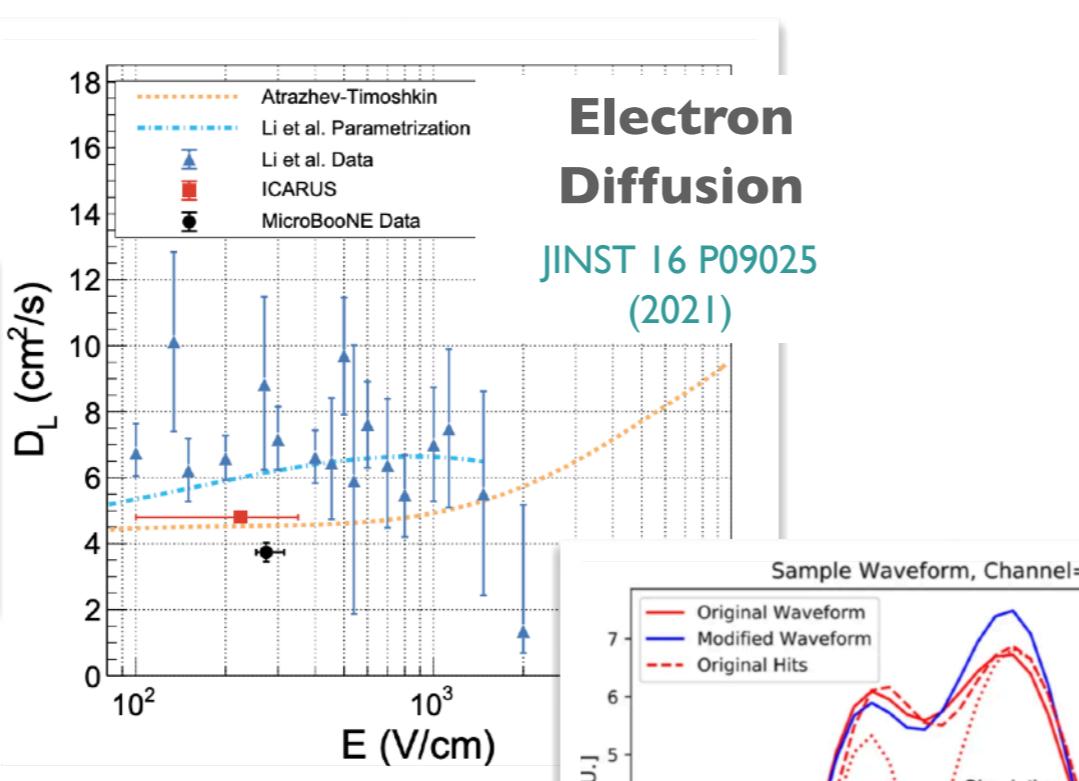
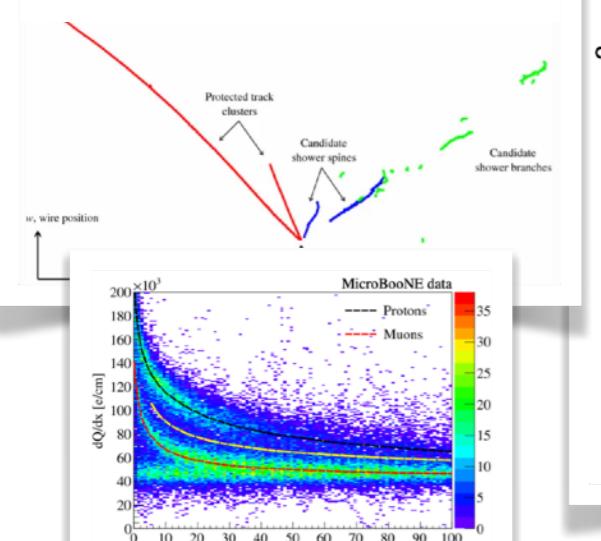
UNDERSTANDING LArTPCs AND DEVELOPING TECHNIQUES

- MicroBooNE has contributed to significant advances in LArTPC detector physics, modelling, and reconstruction
- Post-operations R&D studies are just beginning to bear fruit

Reconstruction

Eur. Phys. J. C 78, 82 (2018)
 JINST 17 P01037 (2022)
 Phys. Rev. D 103, 052012 (2021)

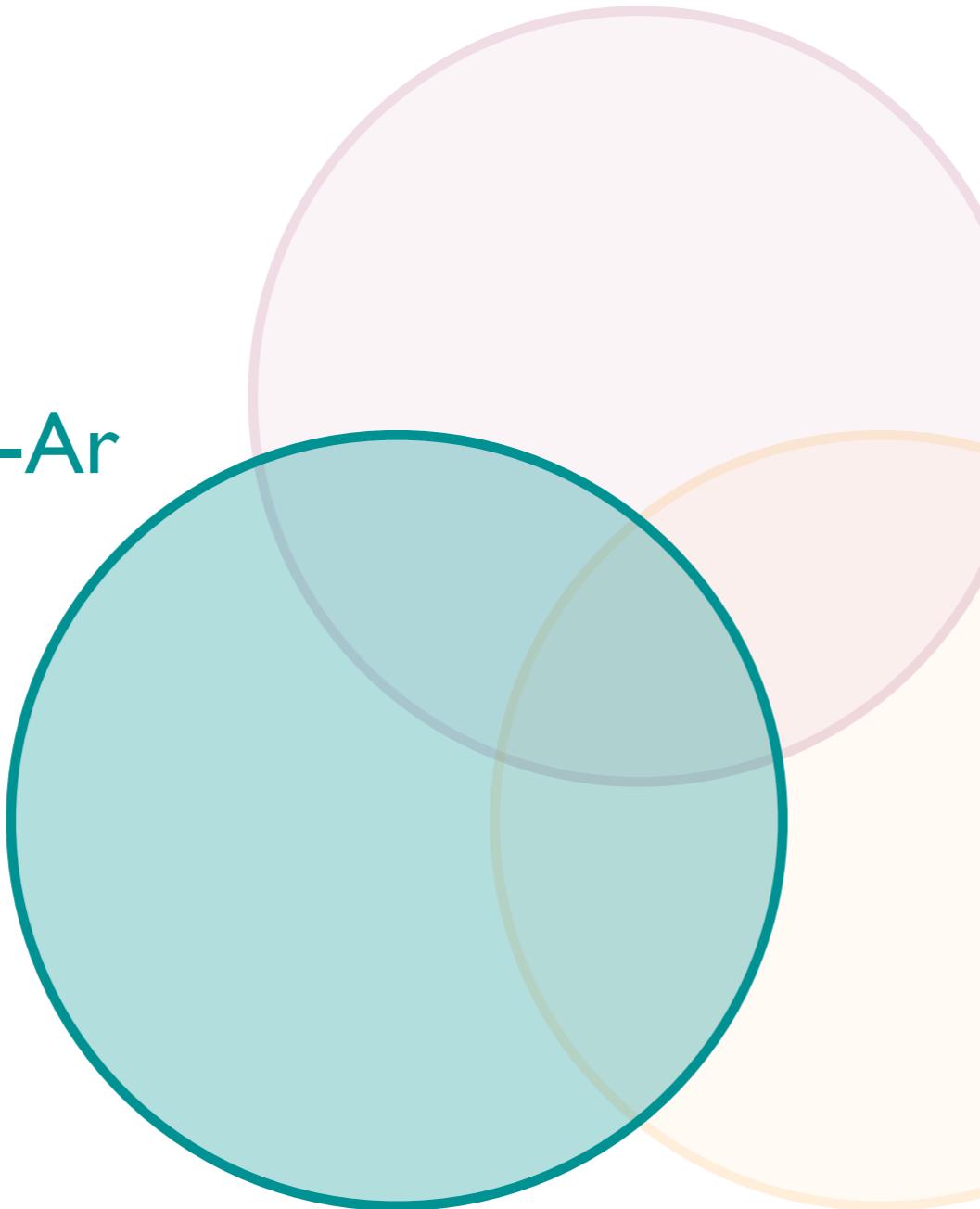
and more



Slide from
L. Yates

MICROBOONE'S SCIENTIFIC AND TECHNICAL ACCOMPLISHMENTS

Understanding ν -Ar interactions



- NC π^0 cross section [arXiv:2205.07943 \[hep-ex\]](https://arxiv.org/abs/2205.07943)
- CC0 π GENIE Tune [Phys. Rev. D 105, 072001 \(2022\)](https://doi.org/10.1103/PhysRevD.105.072001)
- ν_μ CC inclusive energy-dependent cross section [Phys. Rev. Lett. 128, 151801 \(2022\)](https://doi.org/10.1103/PhysRevLett.128.151801)
- ν_e CC inclusive differential cross section [Phys. Rev. D 105, L051102 \(2022\)](https://doi.org/10.1103/PhysRevD.105.L051102)
- ν_e CC inclusive flux-averaged cross section [Phys. Rev. D 104, 052002 \(2021\)](https://doi.org/10.1103/PhysRevD.104.052002)
- ν_μ CC0 π Np cross section [Phys. Rev. D 102, 112013 \(2020\)](https://doi.org/10.1103/PhysRevD.102.112013)
- ν_μ CCQE-like cross section [Phys. Rev. Lett. 125, 201803 \(2020\)](https://doi.org/10.1103/PhysRevLett.125.201803)
- ν_μ CC inclusive differential cross section [Phys. Rev. Lett. 123, 131801 \(2019\)](https://doi.org/10.1103/PhysRevLett.123.131801)
- ν_μ CC π^0 cross section [Phys. Rev. D 99, 091102\(R\) \(2019\)](https://doi.org/10.1103/PhysRevD.99.091102)
- Charged particle multiplicity measurement [Eur. Phys. J. C 79, 248 \(2019\)](https://doi.org/10.1140/epjc/s10050-019-6780-0)
- ν_μ CC0 π 2p cross section [MICROBOONE-NOTE-1117-PUB](#)
- ν_μ Lambda production sensitivity [MICROBOONE-NOTE-1112-PUB](#)
- NC π^0 differential cross section [MICROBOONE-NOTE-1111-PUB](#)
- Methodology for ν_μ CCinc multi-dim measurement [MICROBOONE-NOTE-1110-PUB](#)
- ν_e CC0 π cross section [MICROBOONE-NOTE-1109-PUB](#)
- ν_μ CC0 π 1p TKI cross sections [MICROBOONE-NOTE-1108-PUB](#)
- Progress towards ν_μ CCpi0 measurement [MICROBOONE-NOTE-1107-PUB](#)
- ν_μ NC elastic cross section [MICROBOONE-NOTE-1101-PUB](#)
- Progress towards CC0 π Np multi-differential measurement [MICROBOONE-NOTE-1099-PUB](#)

CHARGED-CURRENT INCLUSIVE MEASUREMENTS

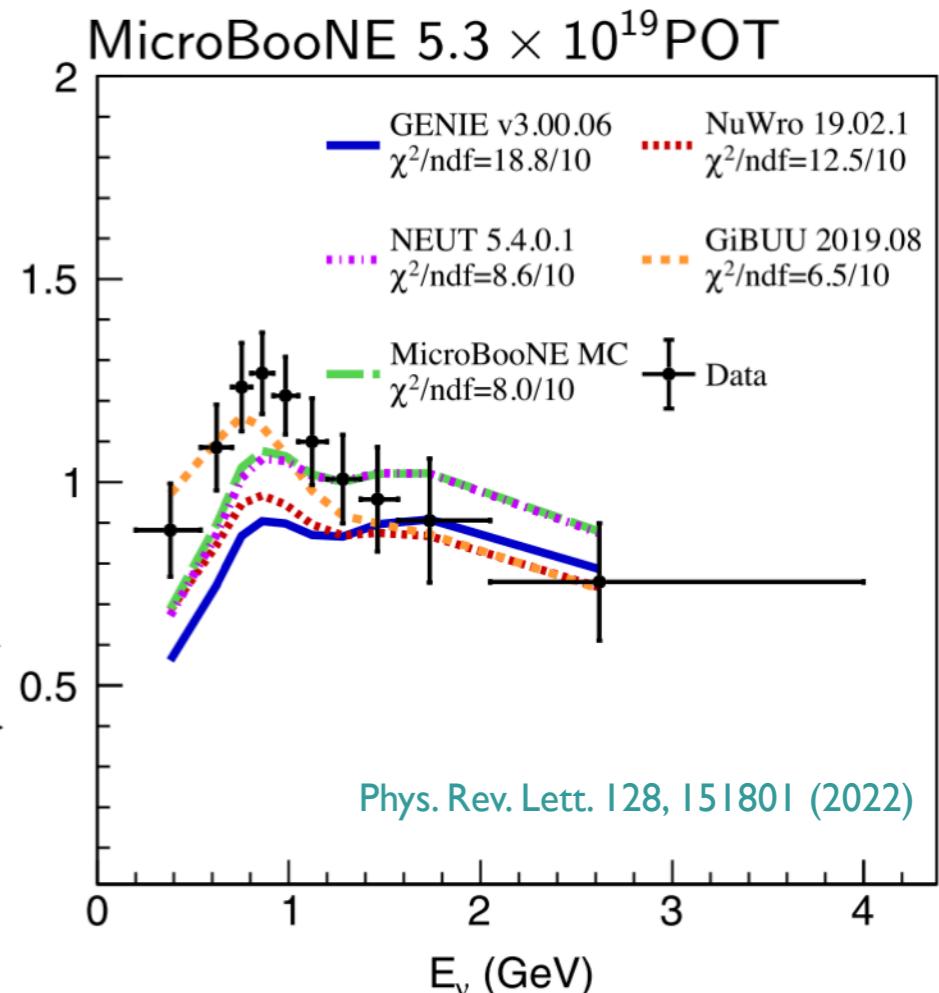
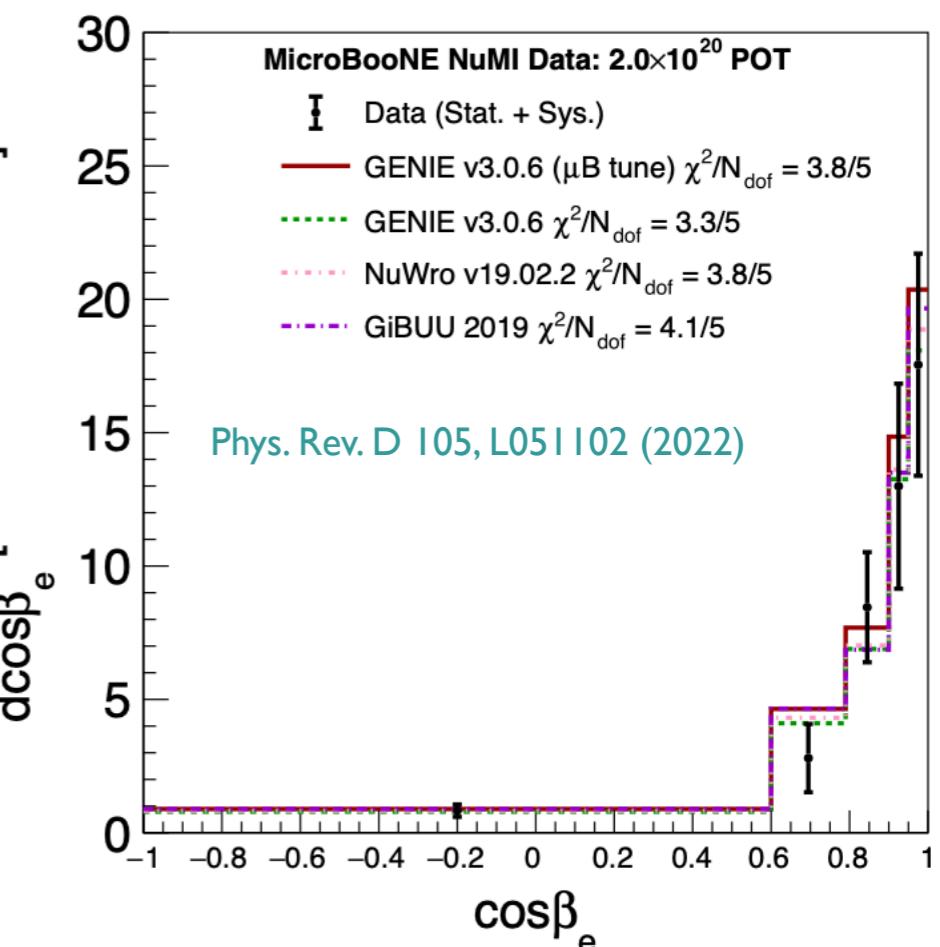
ν_e CC inclusive

- first measurement on argon as a function of scattering angle and electron energy
- excellent overall test of neutrino-nucleus generator predictions

ν_μ CC inclusive

- first measurement on argon as a function of neutrino energy and energy transfer
- enabled by extensive validation of missing energy model
- stringent test of hadronic part of the interaction

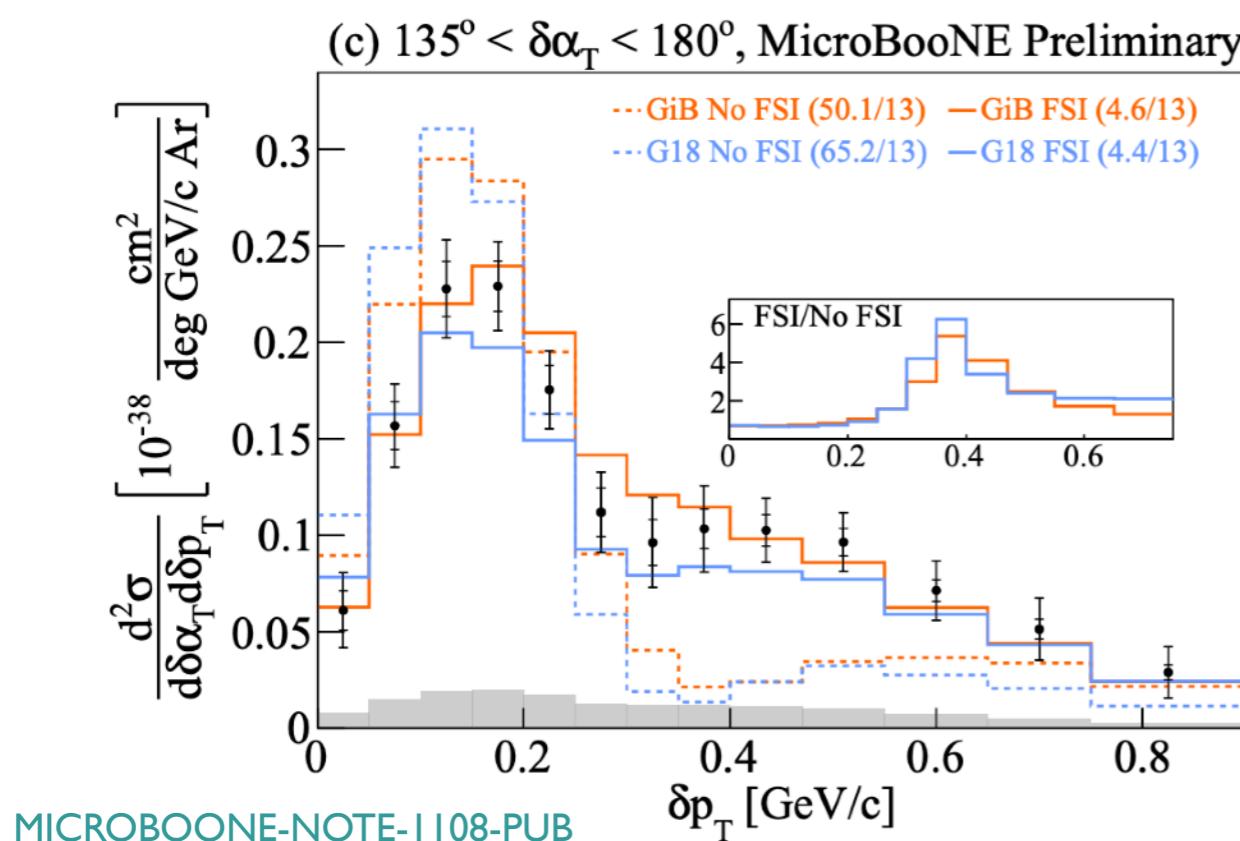
More to come: higher statistics, multi-differential



LOOKING INTO THE DETAILS

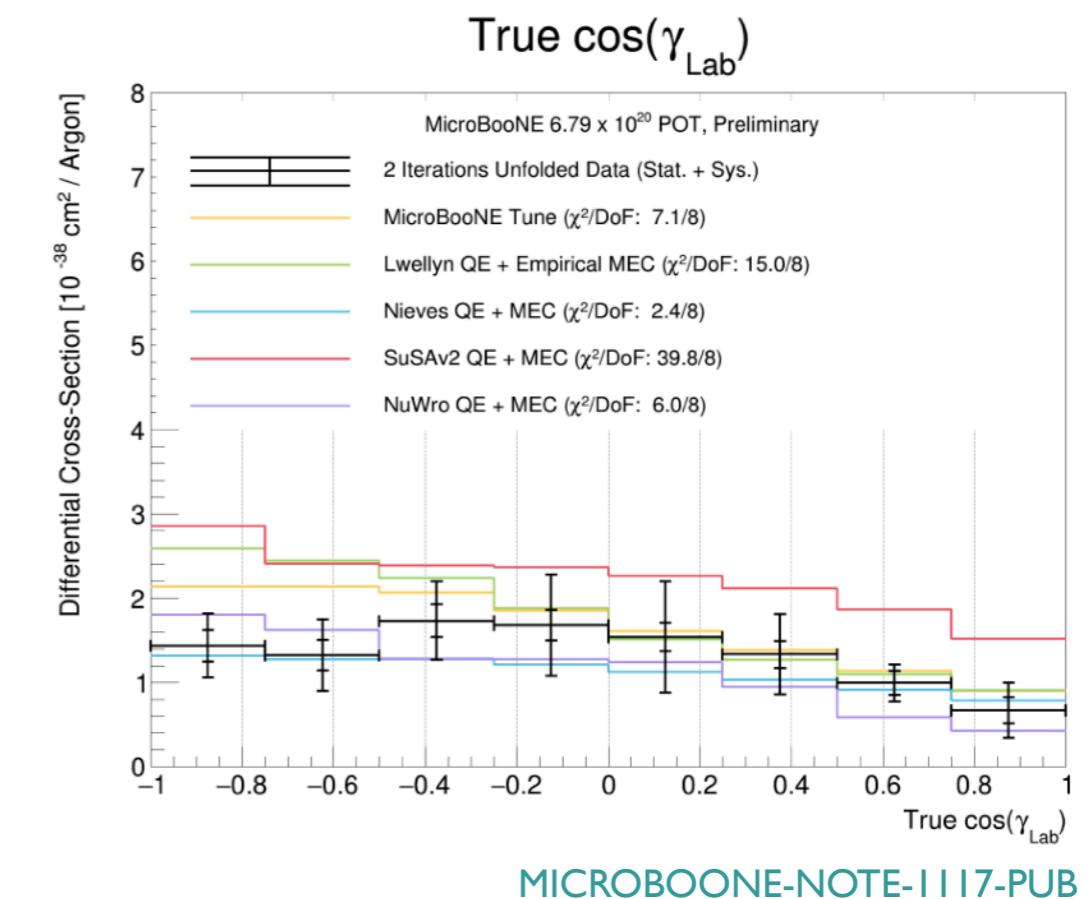
$\nu_\mu \text{ CC0}\pi^1 p$ Transverse Variables

- first double-differential cross section in these variables on argon
- especially sensitive to nuclear effects



$\nu_\mu \text{ CC0}\pi^2 p$

- dominated by 2p2h/MEC processes
- first ever direct measurement of 2-proton cross section



NEUTRAL PION PRODUCTION

Important background to ν_e searches in LArTPCs
 (MicroBooNE and future experiments: DUNE,
 SBN)
 $\rightarrow \pi^0 \rightarrow \gamma\gamma$ looks like ν_e if one photon missed

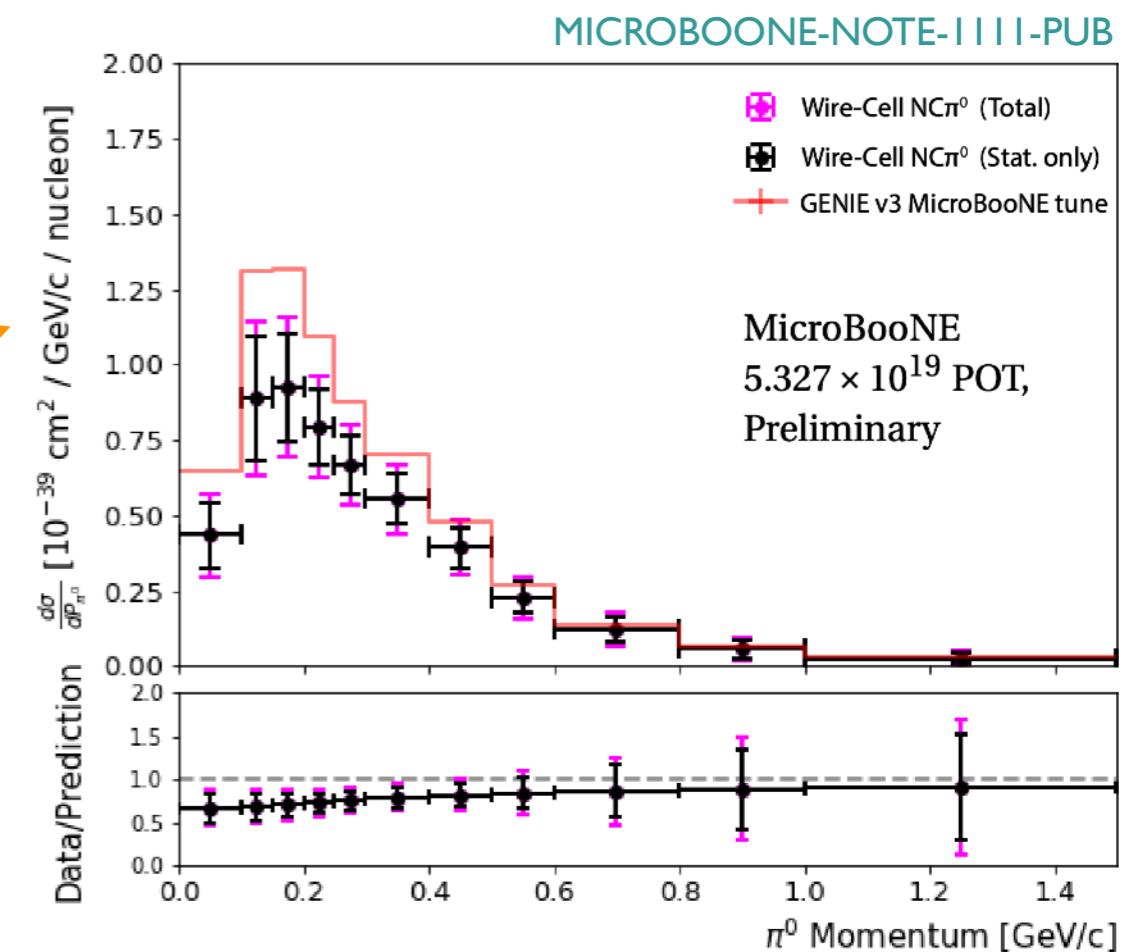
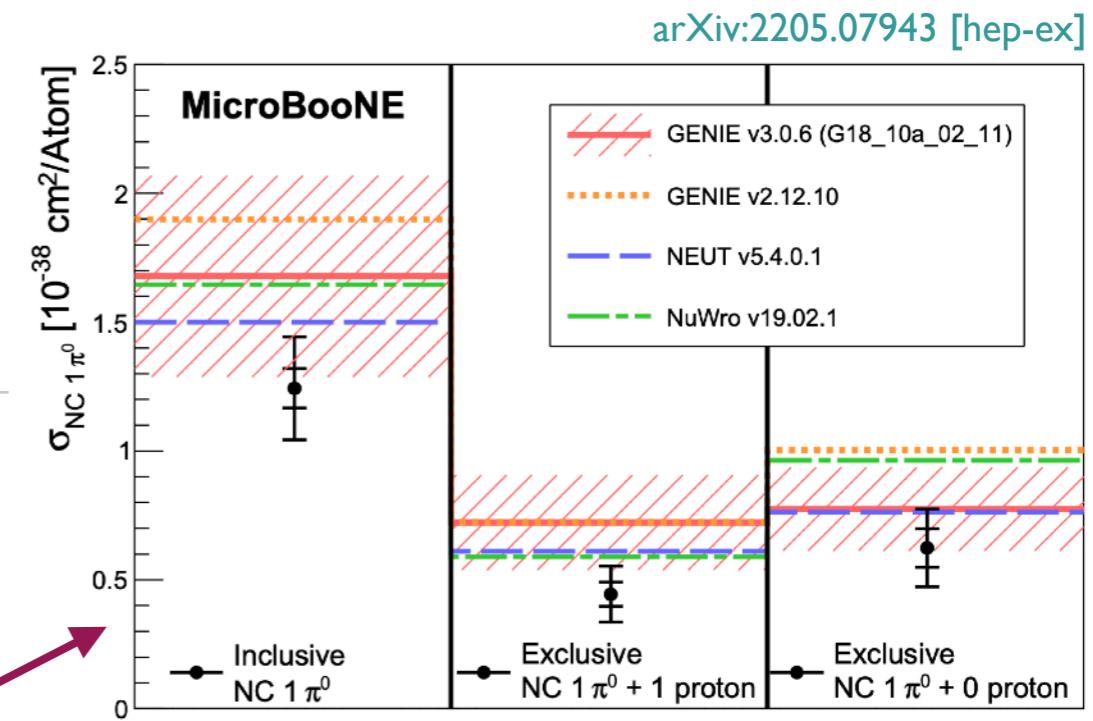
**First NC π^0 measurement on argon with
 $\langle E_\nu \rangle \sim 1 \text{ GeV}$**

\rightarrow separated into 0p and 1p channels
 \rightarrow deficit observed compared to all models

**Differential cross-section measurement
 well under way**

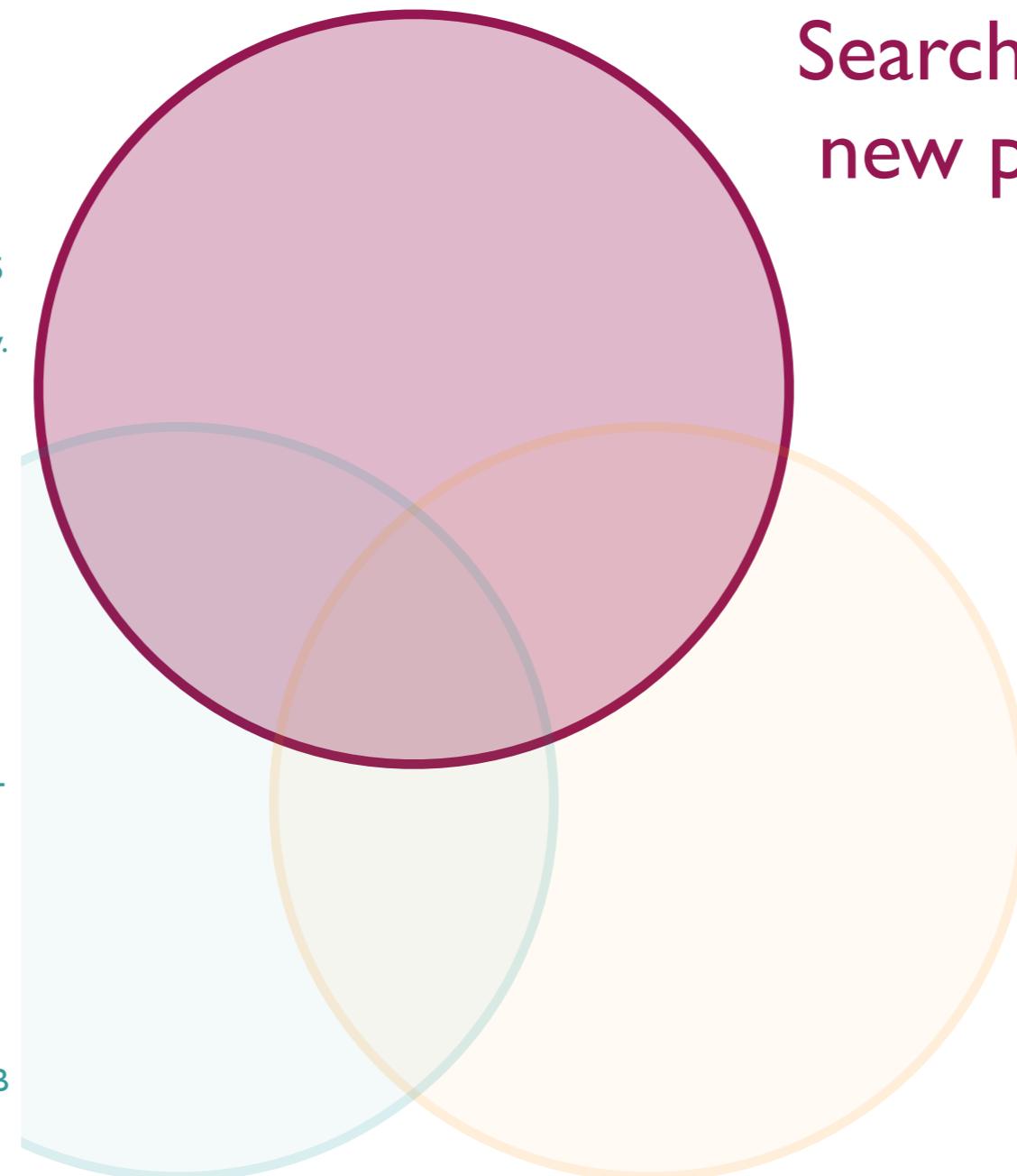
\rightarrow current result limited by statistics (only few % of available data used)

CC π^0 measurement in progress, along with more rare searches e.g. hyperon production



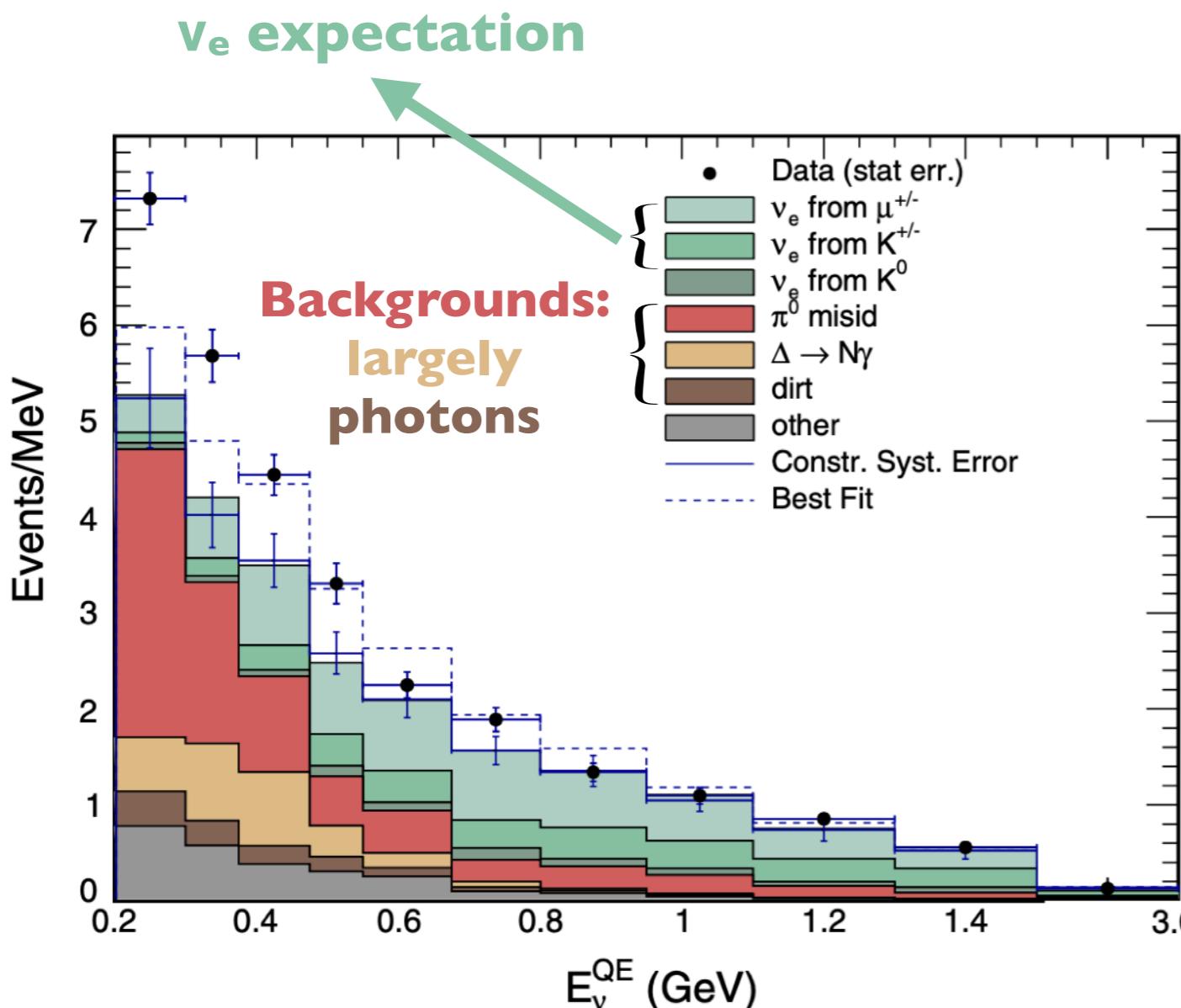
MICROBOONE'S SCIENTIFIC AND TECHNICAL ACCOMPLISHMENTS

N Δ single- γ low-energy excess search [Phys. Rev. Lett. 128, 111801 \(2022\)](#)
 ν_e 1e0 π^+ p low-energy excess search [Phys. Rev. D 105, 112003 \(2022\)](#)
 ν_e 1e0 π^+ Np low-energy excess search [Phys. Rev. D 105, 112004 \(2022\)](#)
 ν_e 1eX low-energy excess search [Phys. Rev. D 105, 112005 \(2022\)](#)
 Electron-like low-energy excess search summary [Phys. Rev. Lett. 128, 241801 \(2022\)](#)
 Higgs Portal Scalar Search [Phys. Rev. Lett. 127, 151803 \(2021\)](#)
 HNL search [Phys. Rev. D 101, 052001 \(2020\)](#)
 Updated HNL and HPS search [arXiv:2207.03840 \[hep-ex\]](#)
 $\nu_e + \nu_\mu$ inclusive 3+1 oscillation measurement
[MICROBOONE-NOTE-1116-PUB](#)
 Reconstruction impact on ν_e 1e0 π^+ Np low-energy excess search
[MICROBOONE-NOTE-1114-PUB](#)
 Neutron-antineutron oscillation [MICROBOONE-NOTE-1113-PUB](#)
 ν_μ 1 μ 0 π^+ p disappearance analysis results [MICROBOONE-NOTE-1106-PUB](#)
 $\nu_e + \nu_\mu$ 0 π^+ p 3+1 oscillation sensitivity [MICROBOONE-NOTE-1105-PUB](#)
 Progress towards new N Δ measurement
[MICROBOONE-NOTE-1104-PUB](#)
 NC Coherent single γ search [MICROBOONE-NOTE-1103-PUB](#)
 Inclusive single γ search [MICROBOONE-NOTE-1102-PUB](#)



Searching for
new physics

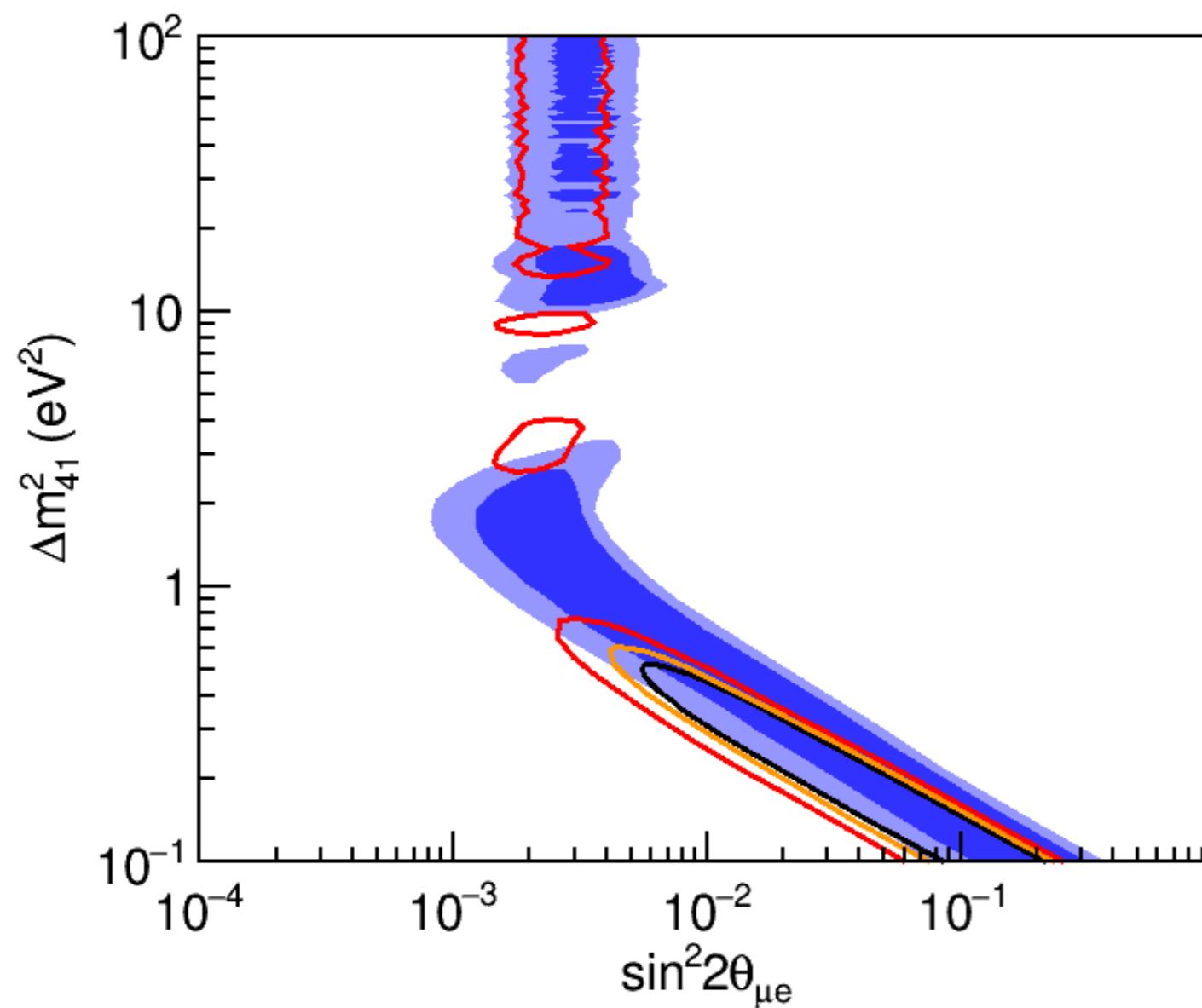
THE MINIBOONE LOW-ENERGY EXCESS (LEE)



- 4.8 σ excess of measured v_e and \bar{v}_e over prediction, focused at low energy
- Consistent with prior results from the LSND experiment: combined significance of 6.1 σ
- Source of excess not known:
 - could be v_e
 - photons look identical to v_e in MiniBooNE detector
 - or something else? (I'll come back to this)

Phys. Rev. D 103, 052002

THE MINIBOONE LOW-ENERGY EXCESS (LEE)

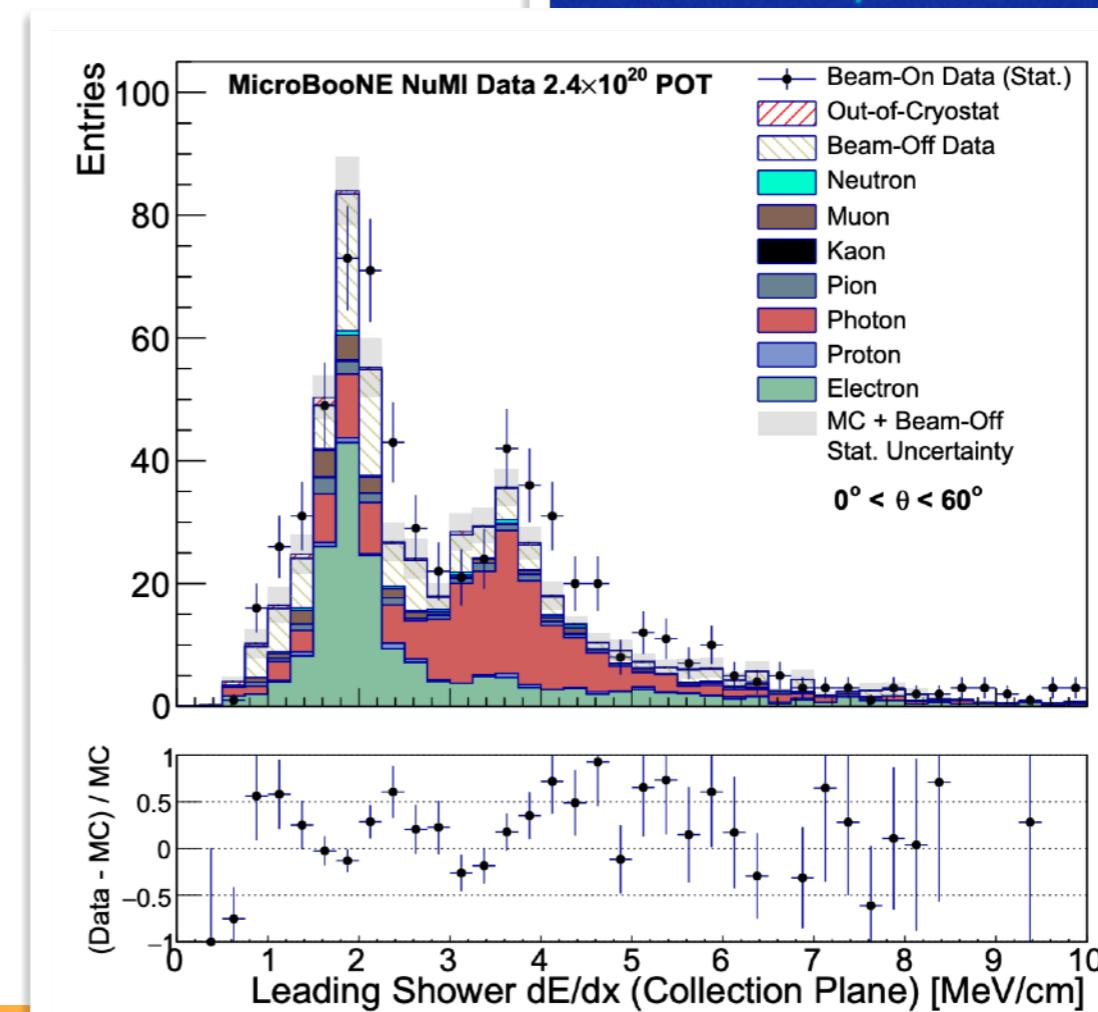
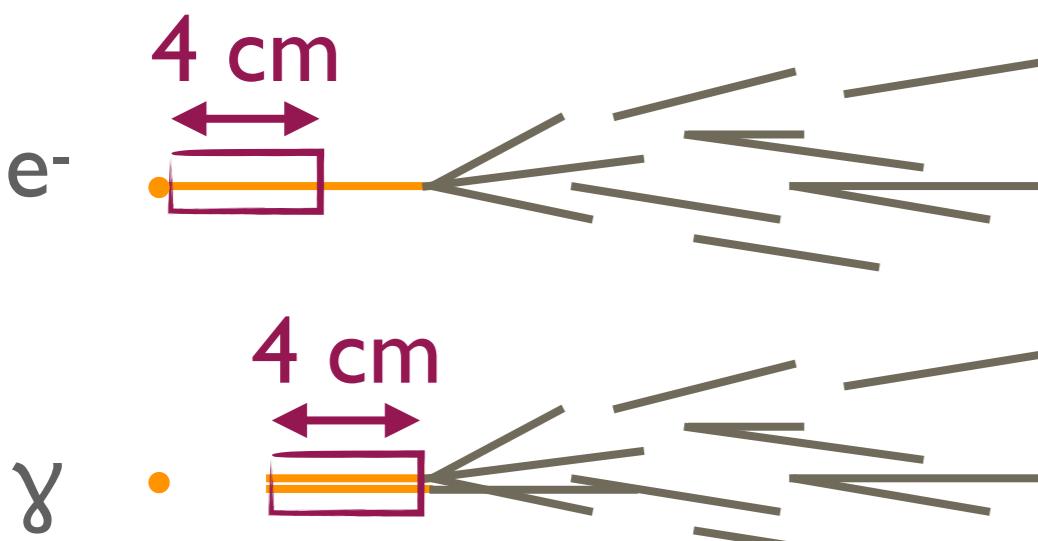


- LSND 90% CL (allowed)
- LSND 99% CL (allowed)
- MiniBooNE 90% CL (allowed)
- MiniBooNE 95% CL (allowed)
- MiniBooNE 99% CL (allowed)
- If interpreted as **ν_e appearance through a two-flavour neutrino oscillation**, best fit $\Delta m^2 = 0.04$ eV²
- **Not consistent with any known neutrino flavour** → new “sterile” neutrino?

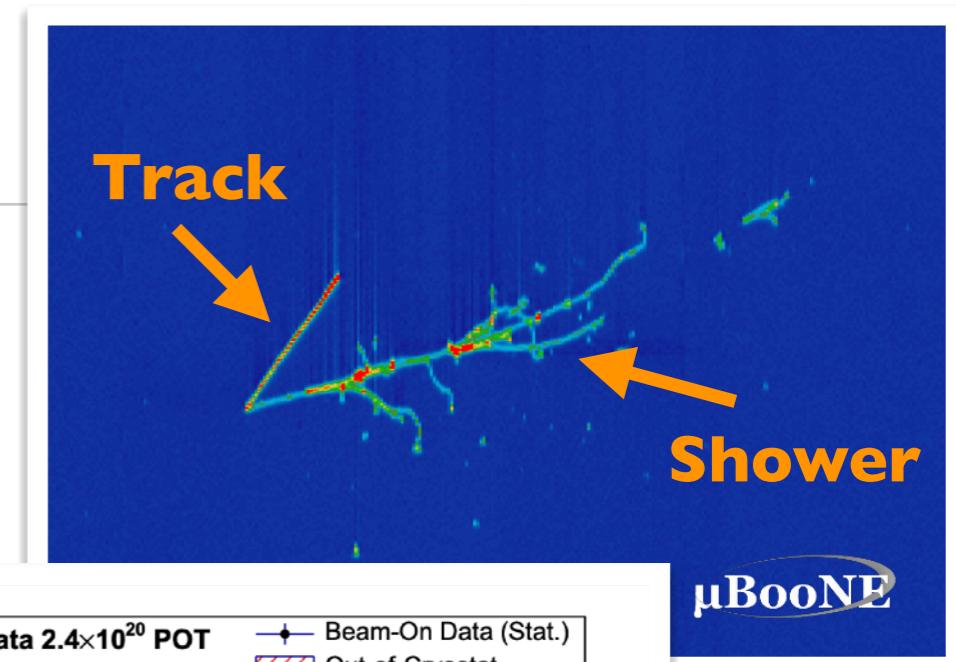
Phys. Rev. D 103, 052002

LArTPC STRENGTH: ELECTRONS AND PHOTONS

- **Electrons and photons produce showers in LArTPCs**
- Distinguish using dE/dx at start of shower and start point



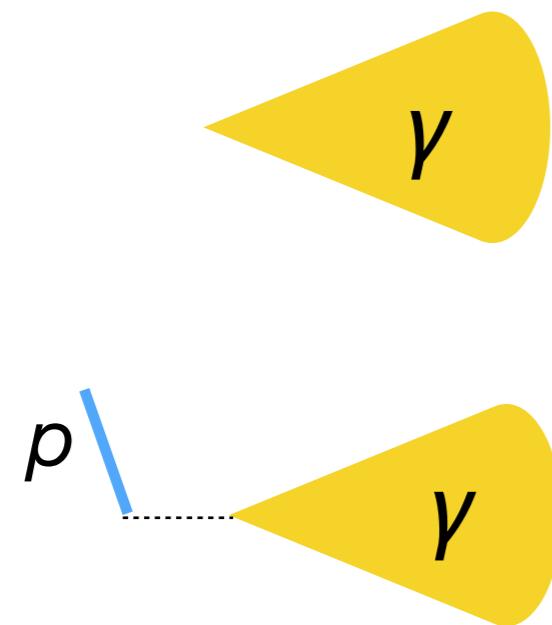
Phys. Rev. D 104,
052002 (2021)



FIRST INVESTIGATION OF THE MINIBOONE LOW-ENERGY EXCESS

Photon search

Target $\Delta \rightarrow N\gamma$:
 $l\gamma 0p$ and $l\gamma 1p$

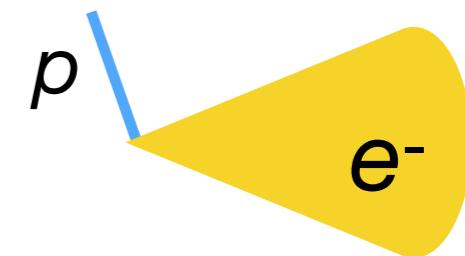


Phys. Rev. Lett. 128, 111801

Electron searches

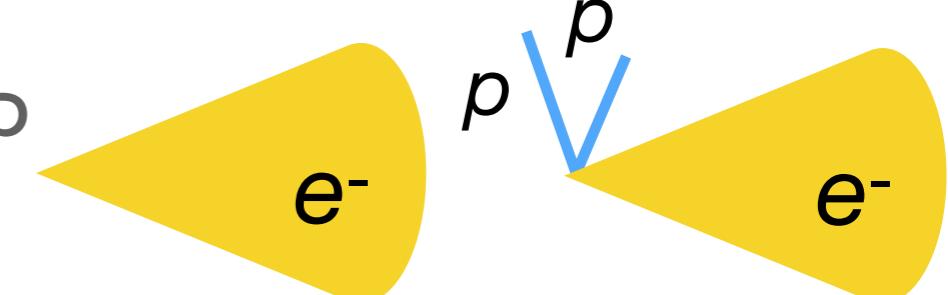
Phys. Rev. D 105, 112003

CCQE-like:
 $l e l p$



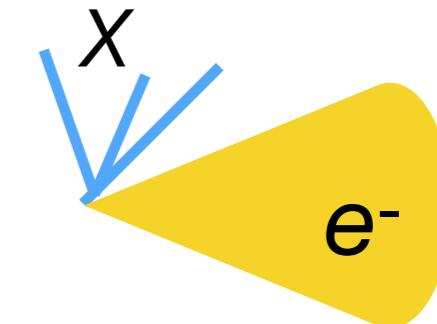
Phys. Rev. D 105, 112004

CC0 π : $l e 0p$
 and $l e Np$



Phys. Rev. D 105, 112005

Inclusive:
 $l e X$

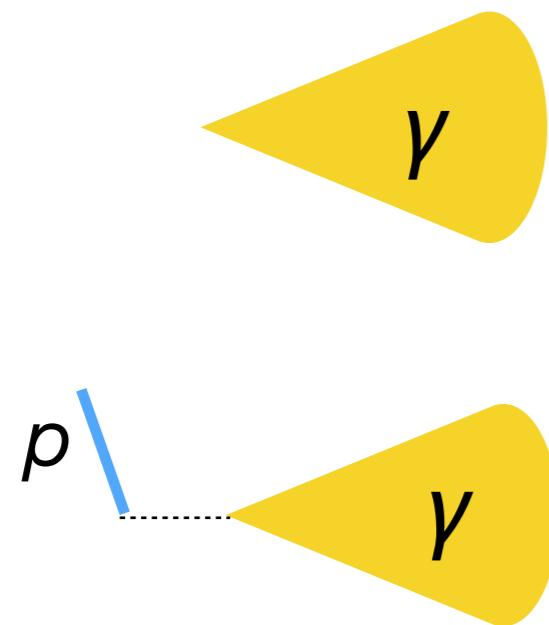


Phys. Rev. Lett. 128, 241801

FIRST INVESTIGATION OF THE MINIBOONE LOW-ENERGY EXCESS

Photon search

Target $\Delta \rightarrow N\gamma$:
 $I\gamma 0p$ and $I\gamma 1p$

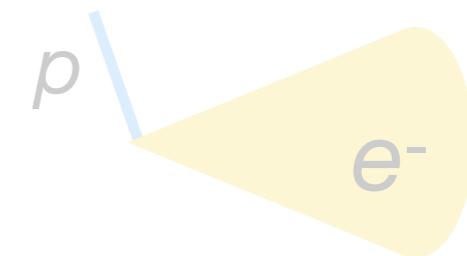


Phys. Rev. Lett. 128, 111801

Electron searches

Phys. Rev. D 105, 112003

CCQE-like:
 $Ie 0p$



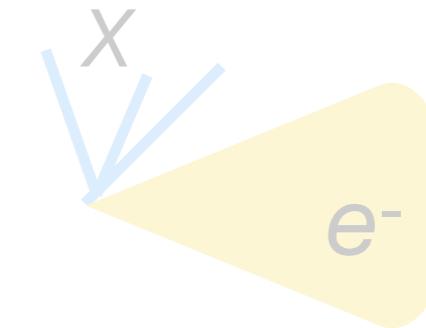
Phys. Rev. D 105, 112004

CC0π: $Ie 0p$
 and $Ie Np$



Phys. Rev. D 105, 112005

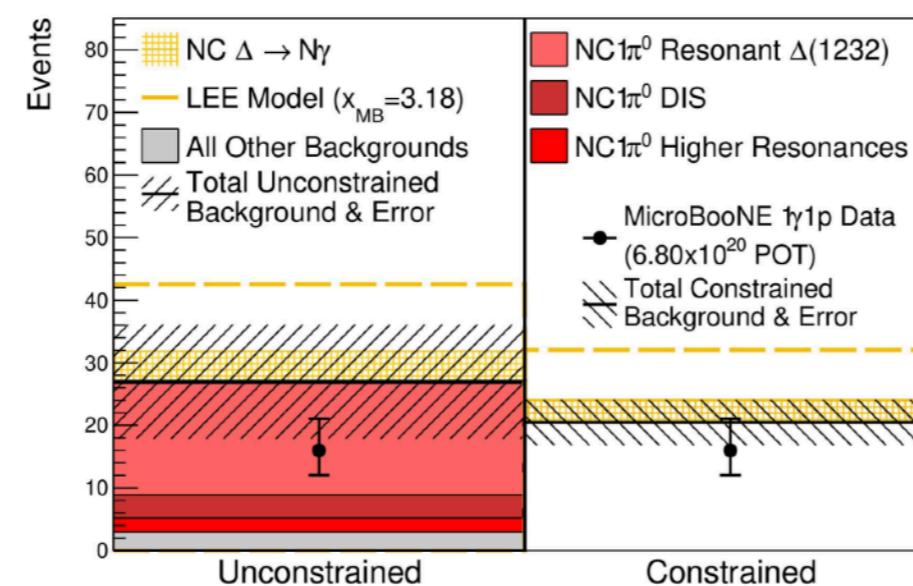
Inclusive:
 $Ie X$



Phys. Rev. Lett. 128, 241801

NC- Δ SINGLE PHOTON SEARCH

Phys. Rev. Lett. 128, 111801

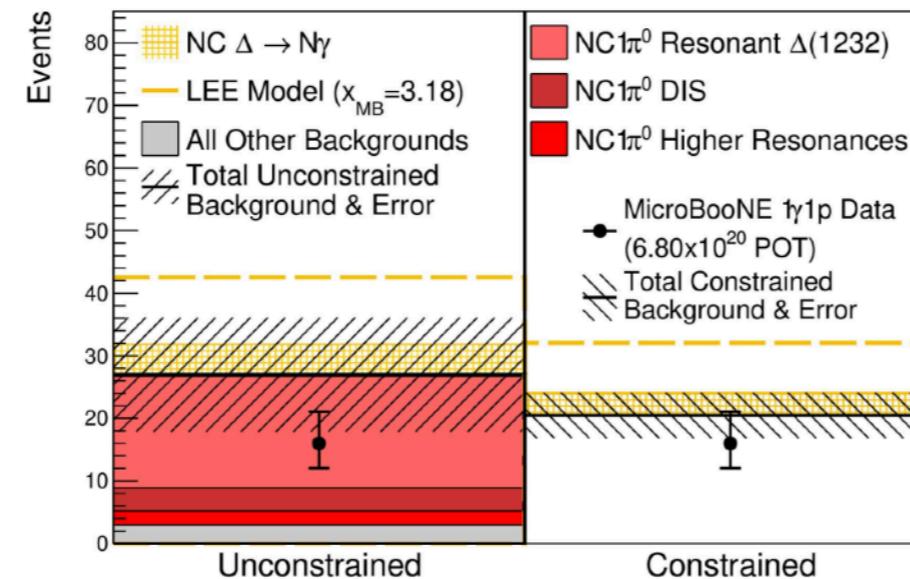


1γ1p

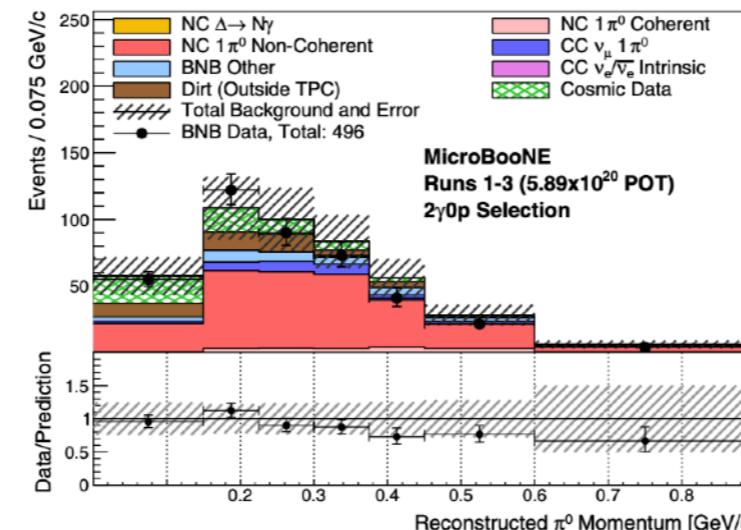
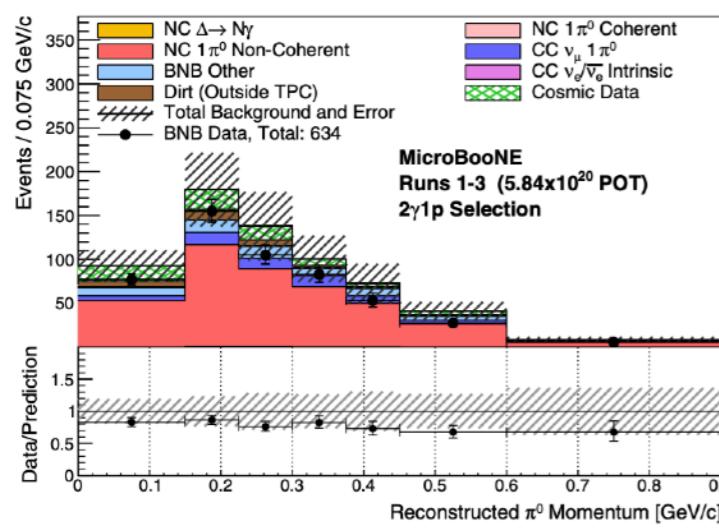
NC- Δ SINGLE PHOTON SEARCH

Phys. Rev. Lett. 128, 111801

π^0 -rich sample
→ constraint on
backgrounds in
signal region



1 γ 1p



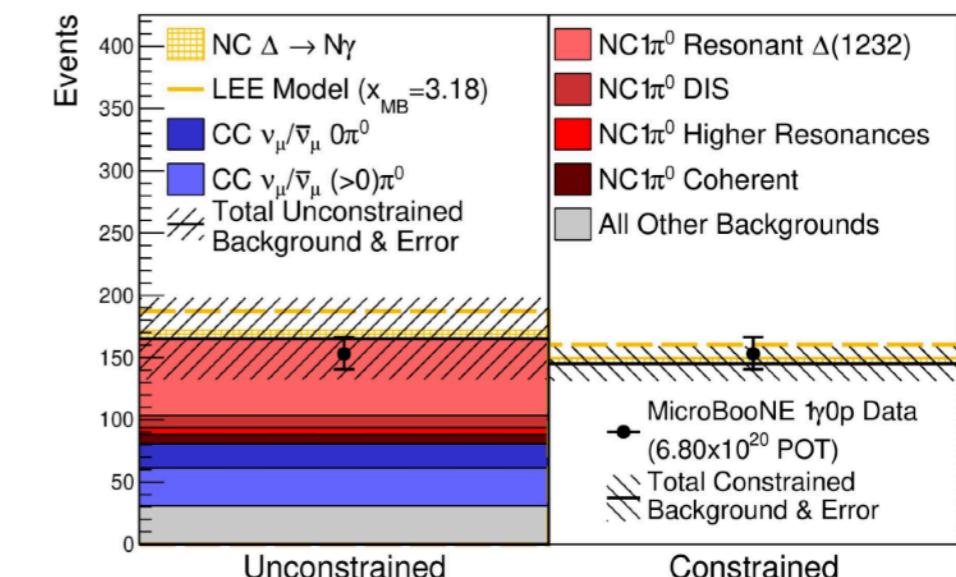
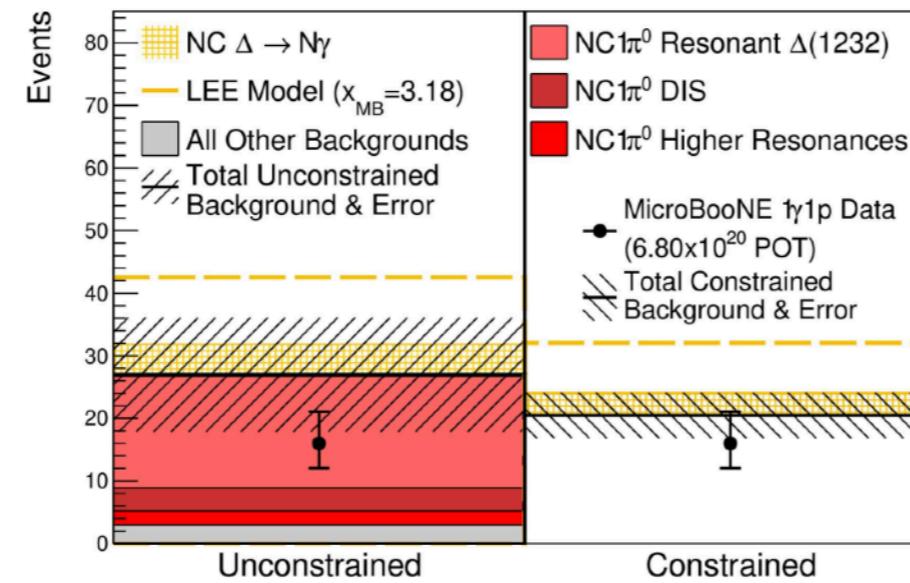
2 γ 1p

2 γ 0p

NC- Δ SINGLE PHOTON SEARCH

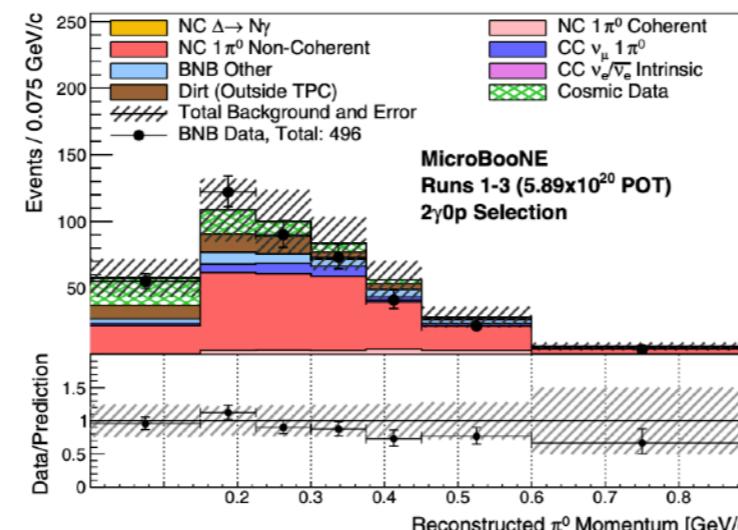
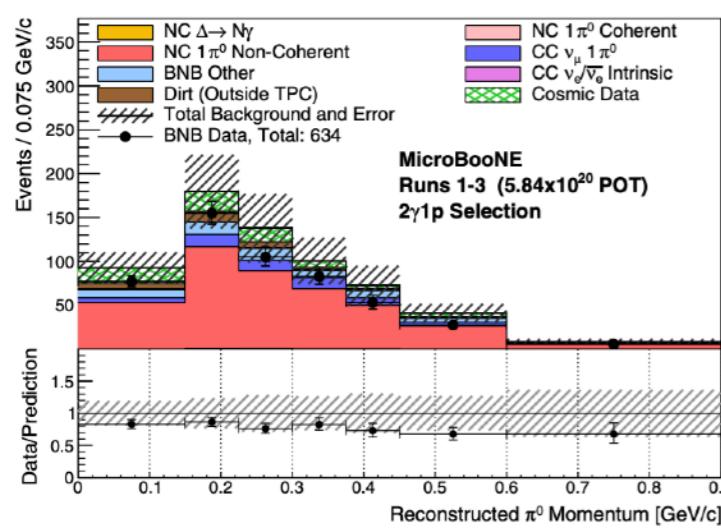
Phys. Rev. Lett. 128, 111801

π^0 -rich sample
→ constraint on
backgrounds in
signal region



1γ1p

1γ0p



2γ1p

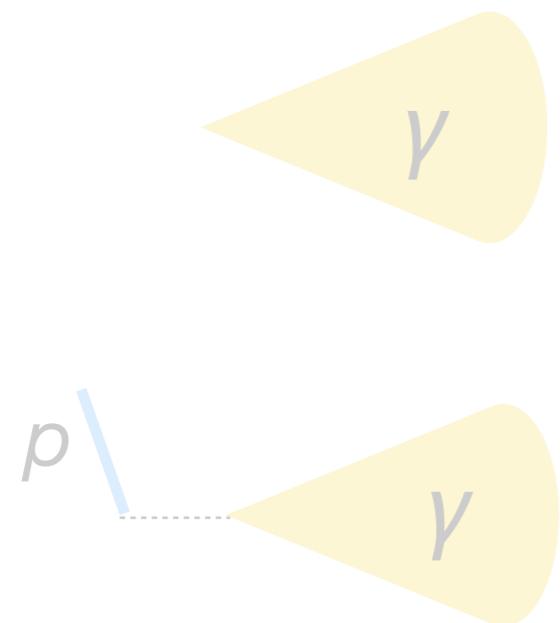
2γ0p

Reject $\Delta \rightarrow N\gamma$
x3.18 increase as
explanation of
excess at 94.8% CL

FIRST INVESTIGATION OF THE MINIBOONE LOW-ENERGY EXCESS

Photon search

Target $\Delta \rightarrow N\gamma$:
 $I\gamma 0p$ and $I\gamma 1p$

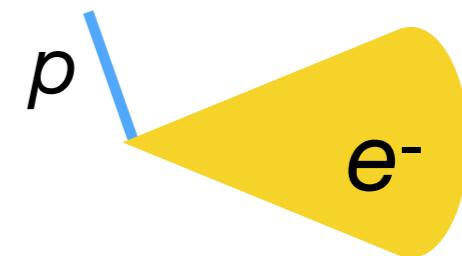


Phys. Rev. Lett. 128, 111801

Electron searches

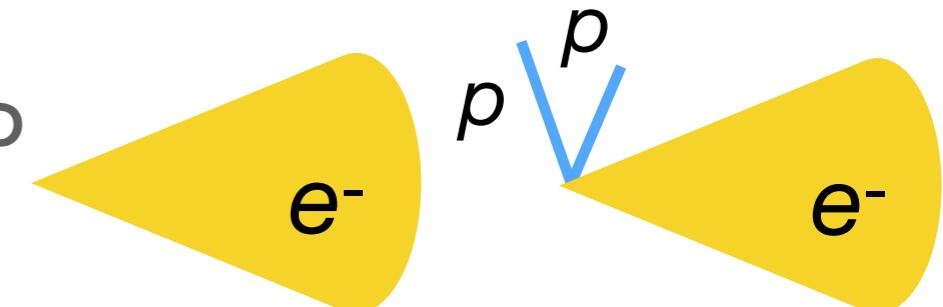
Phys. Rev. D 105, 112003

CCQE-like:
 $Ie 0p$



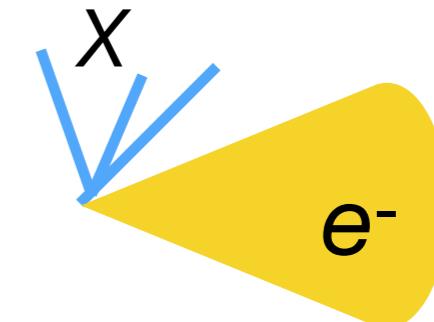
Phys. Rev. D 105, 112004

CC0π: $Ie 0p$
 and $Ie Np$

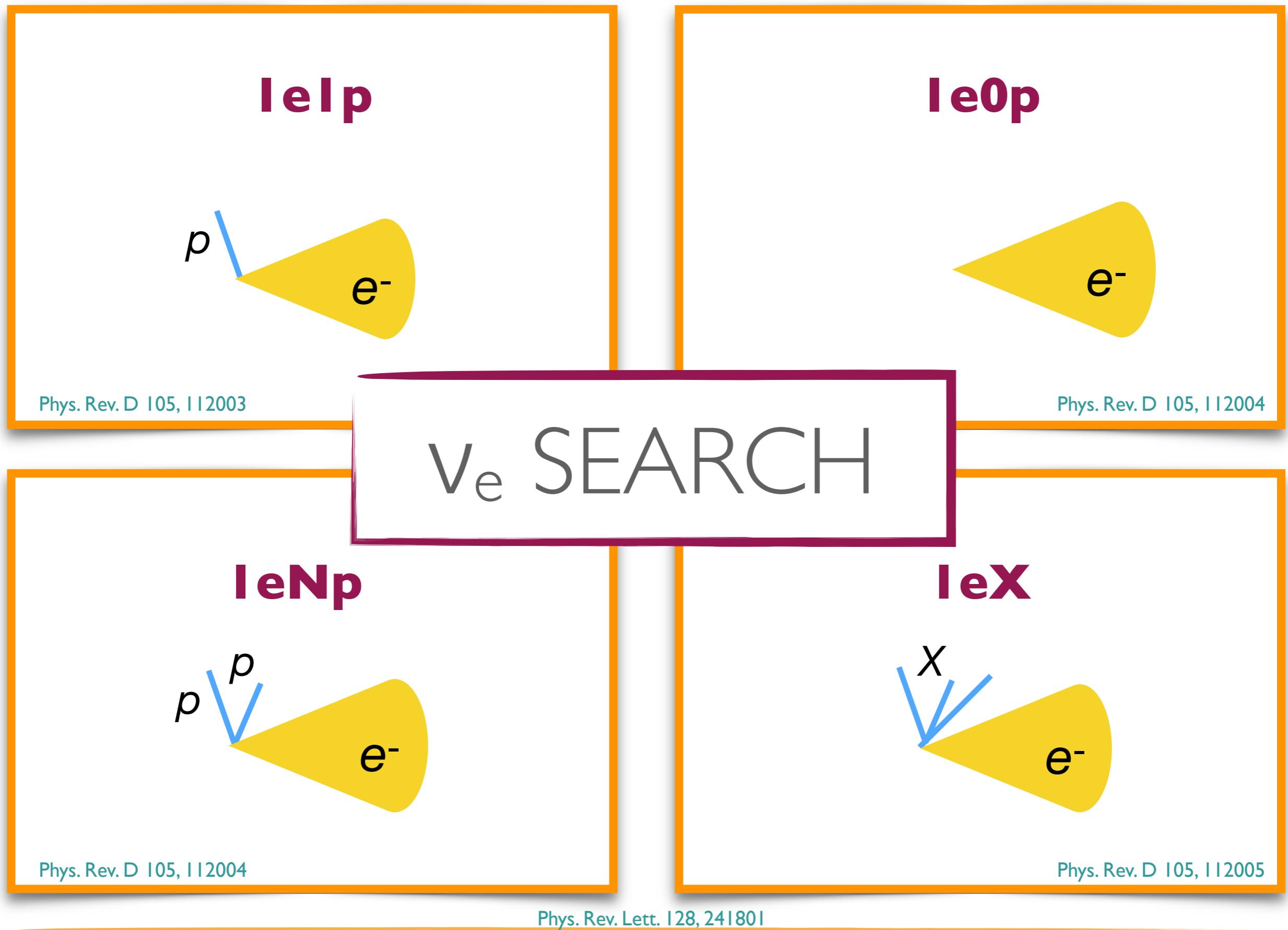


Phys. Rev. D 105, 112005

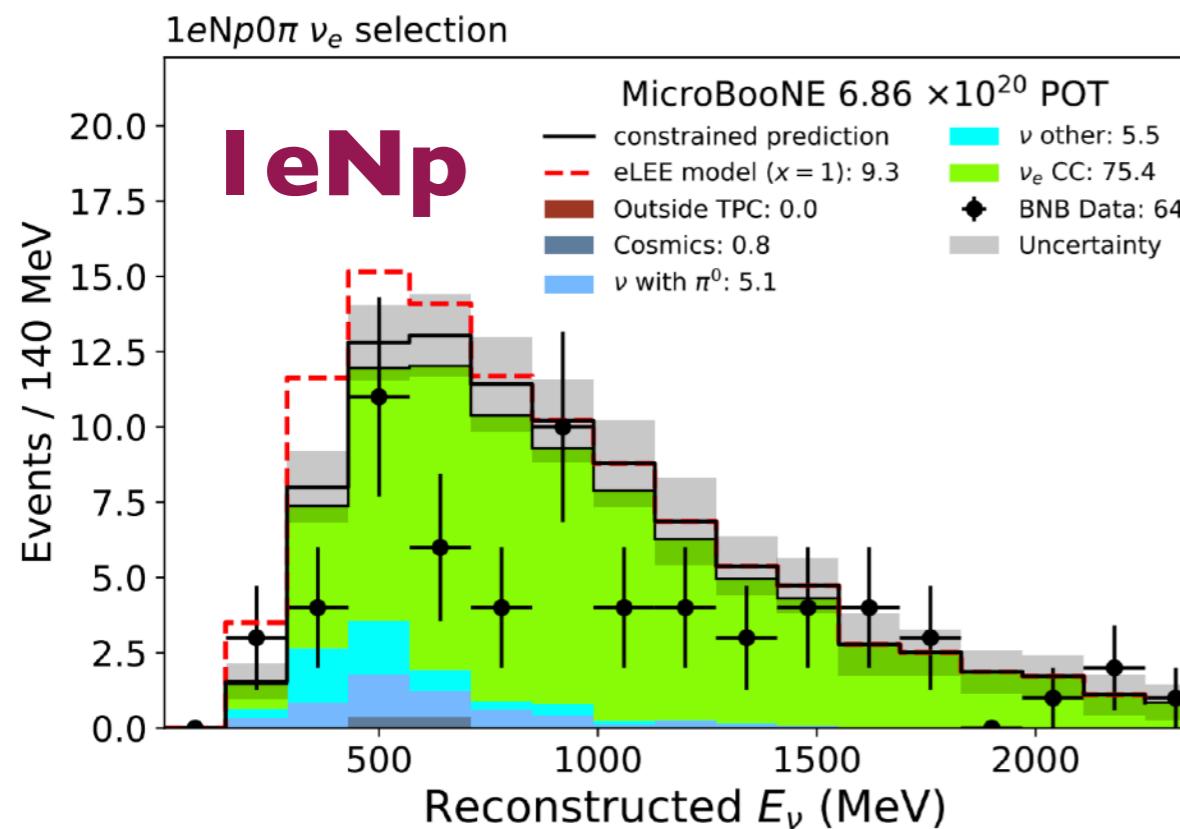
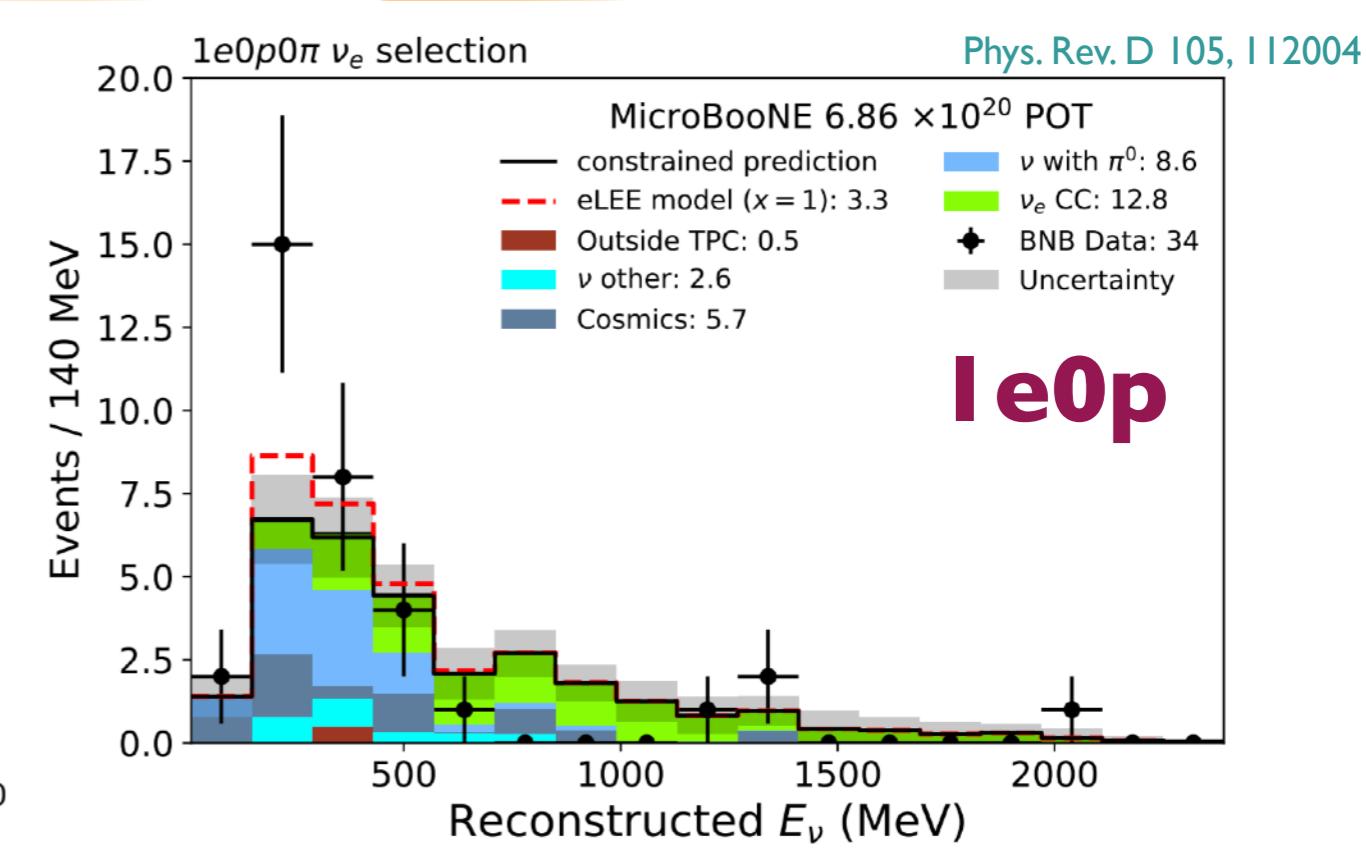
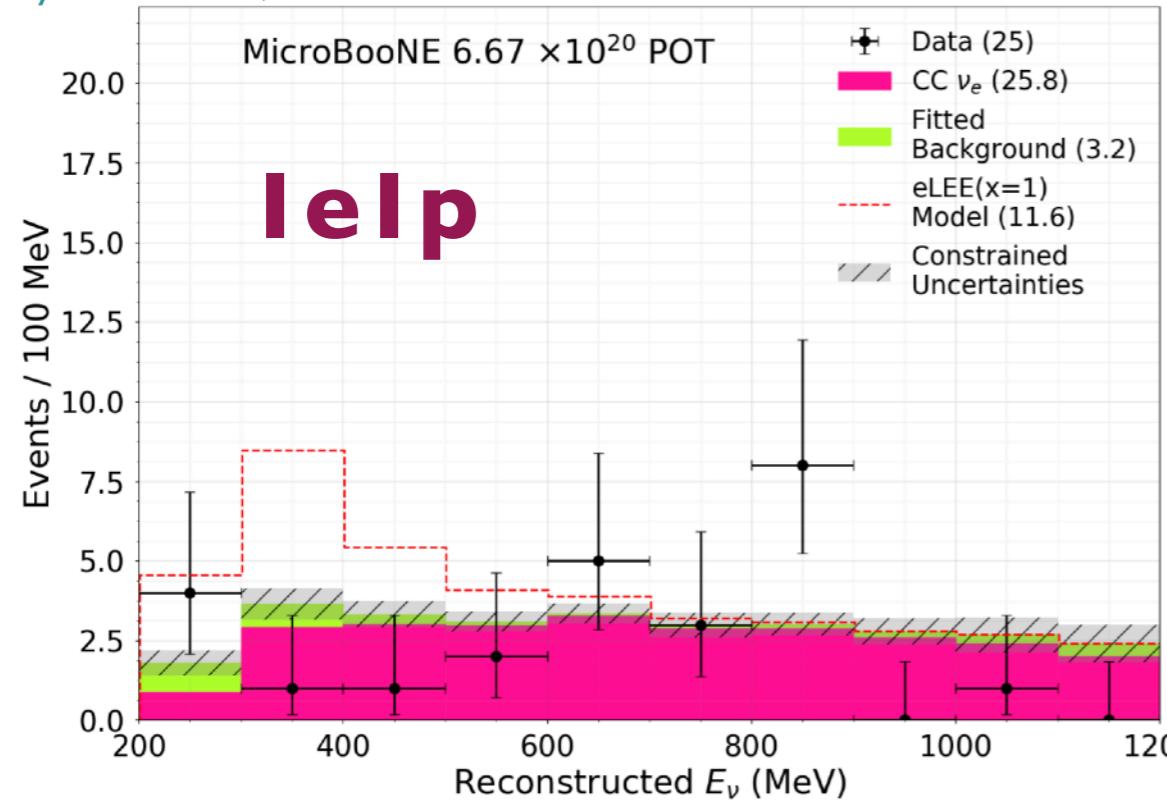
Inclusive:
 $Ie X$



Phys. Rev. Lett. 128, 241801

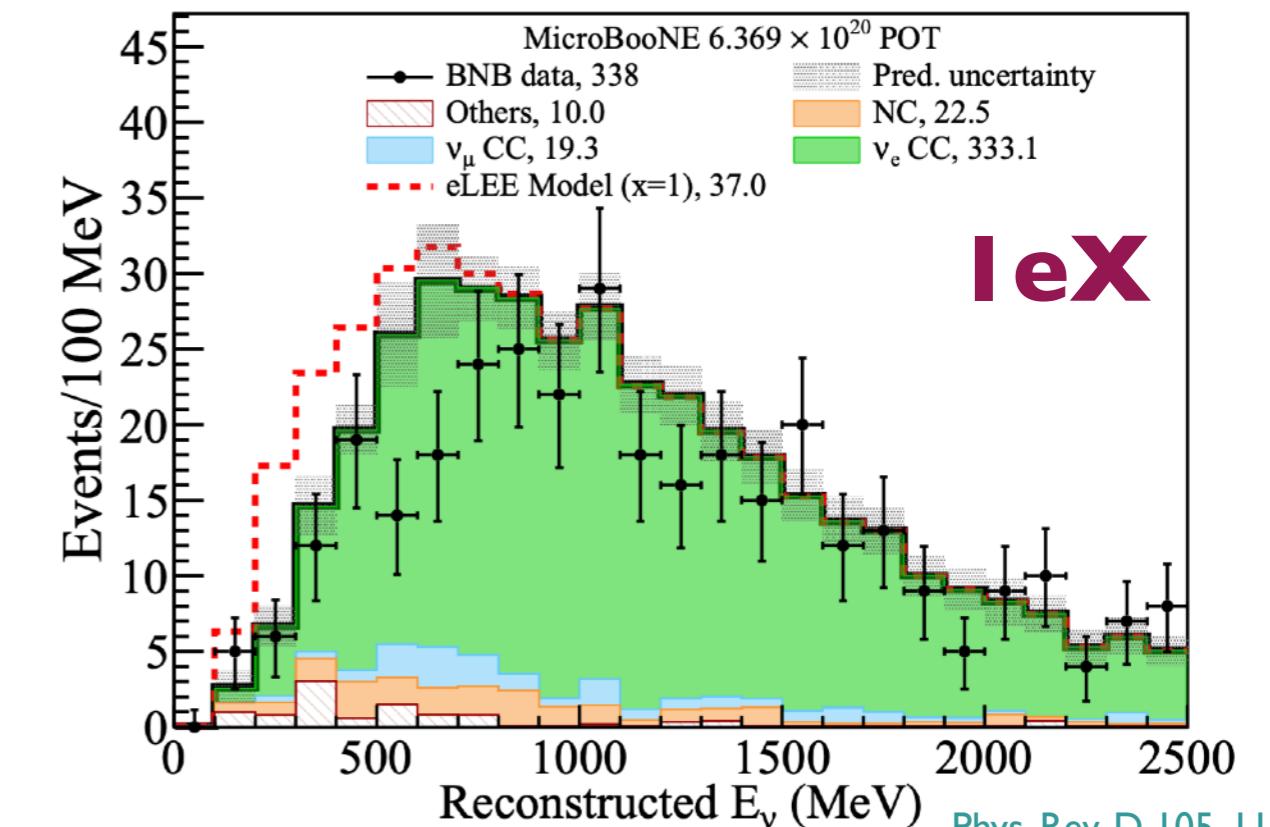


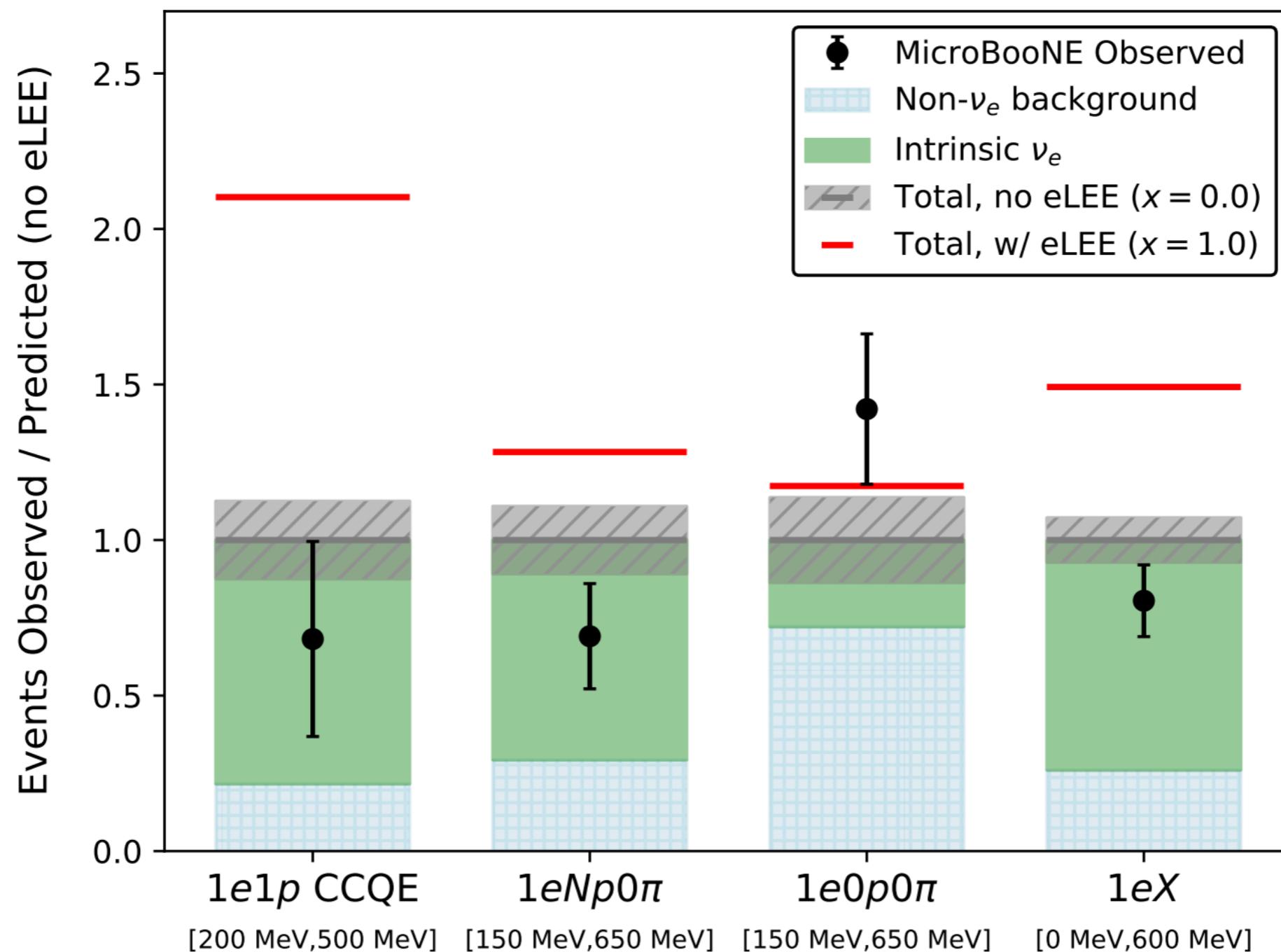
Phys. Rev. D 105, 112003



Phys. Rev. D 105, 112004

Phys. Rev. Lett. 128, 241801





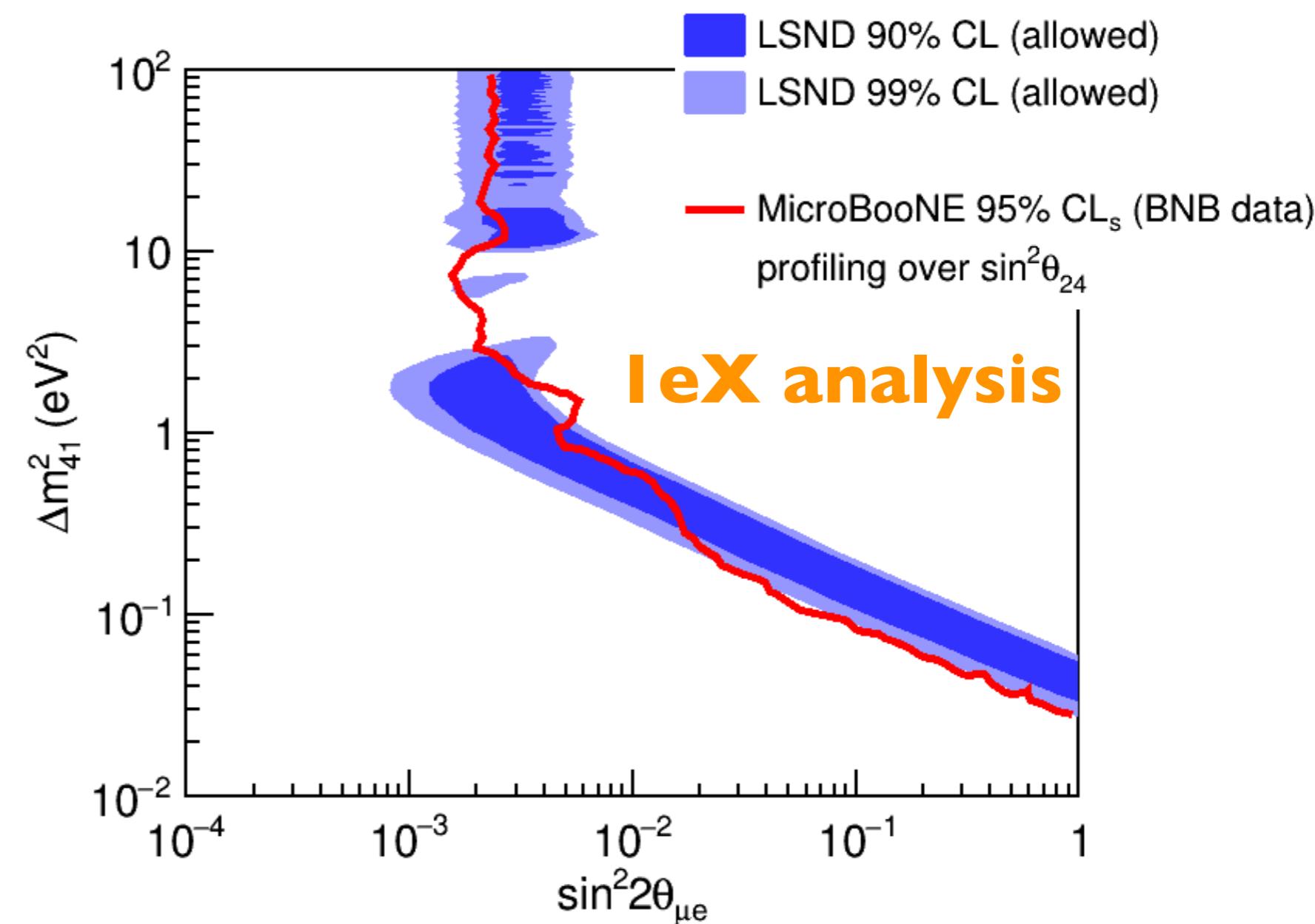
**Three high-purity analyses reject ν_e interactions
as sole source of excess at >97% CL**

EXCLUSION CONTOURS

New summer
2022

MICROBOONE-NOTE-1116-PUB

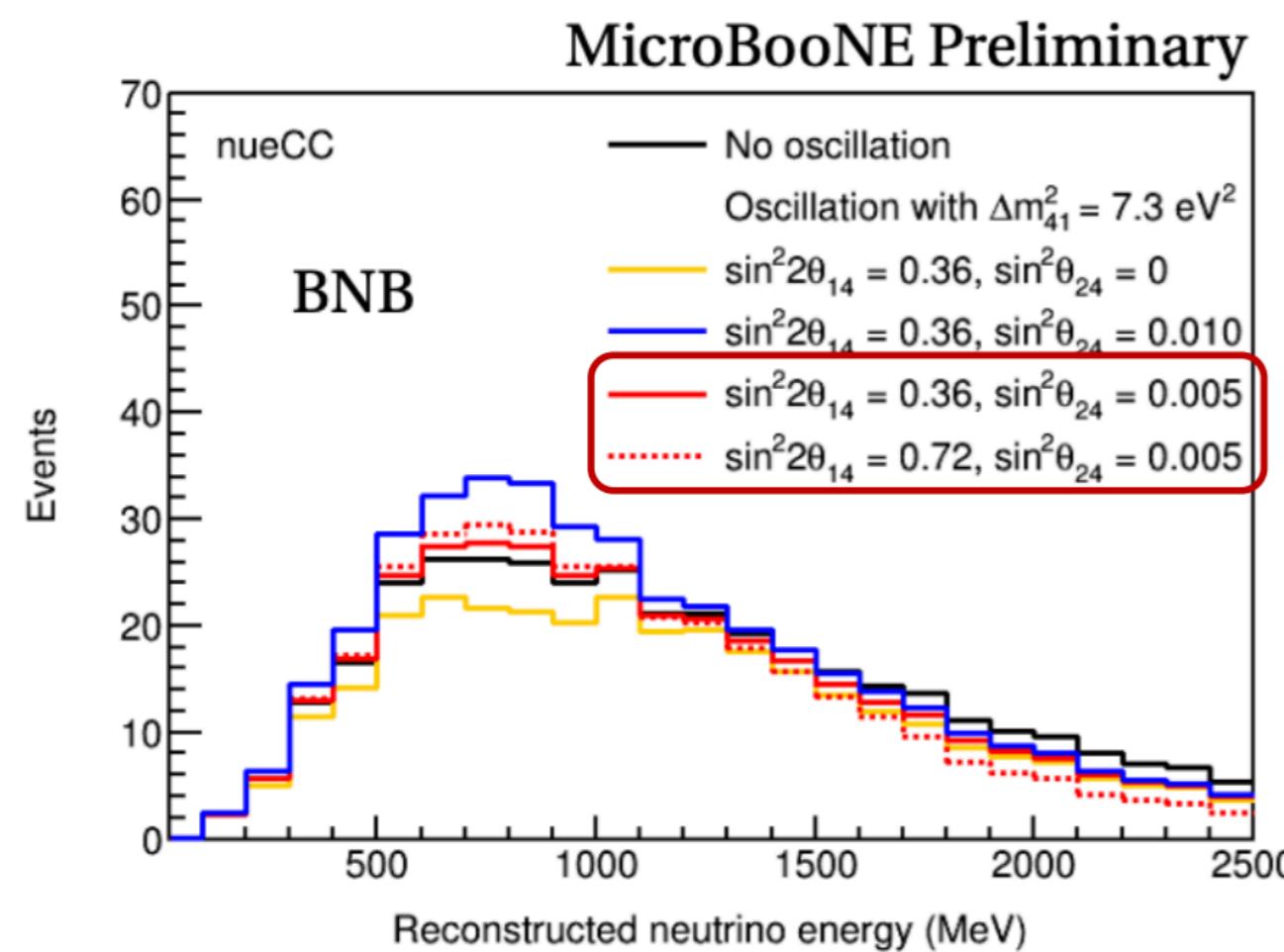
- What does this mean for the sterile neutrino hypothesis?
- We haven't seen evidence of an excess → place constraints on oscillation phase space for a new neutrino flavour



OSCILLATION PARAMETER DEGENERACY

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ν_e disappearance ν_e appearance

$$N_{\nu_e} = N_{\text{intrinsic } \nu_e} P_{\nu_e \rightarrow \nu_e} + N_{\text{intrinsic } \nu_\mu} P_{\nu_\mu \rightarrow \nu_e}$$

$$= N_{\text{intrinsic } \nu_e} [1 + (R_{\nu_\mu/\nu_e} \sin^2 \theta_{24} - 1) \sin^2 2\theta_{14} \sin^2 \frac{\Delta m_{41}^2 L}{4E}]$$

Cancellation if $\sin^2 \theta_{24} = R_{\nu_e/\nu_\mu}$

(ratio of ν_e to ν_μ in beam)

→ about 0.005 in BNB

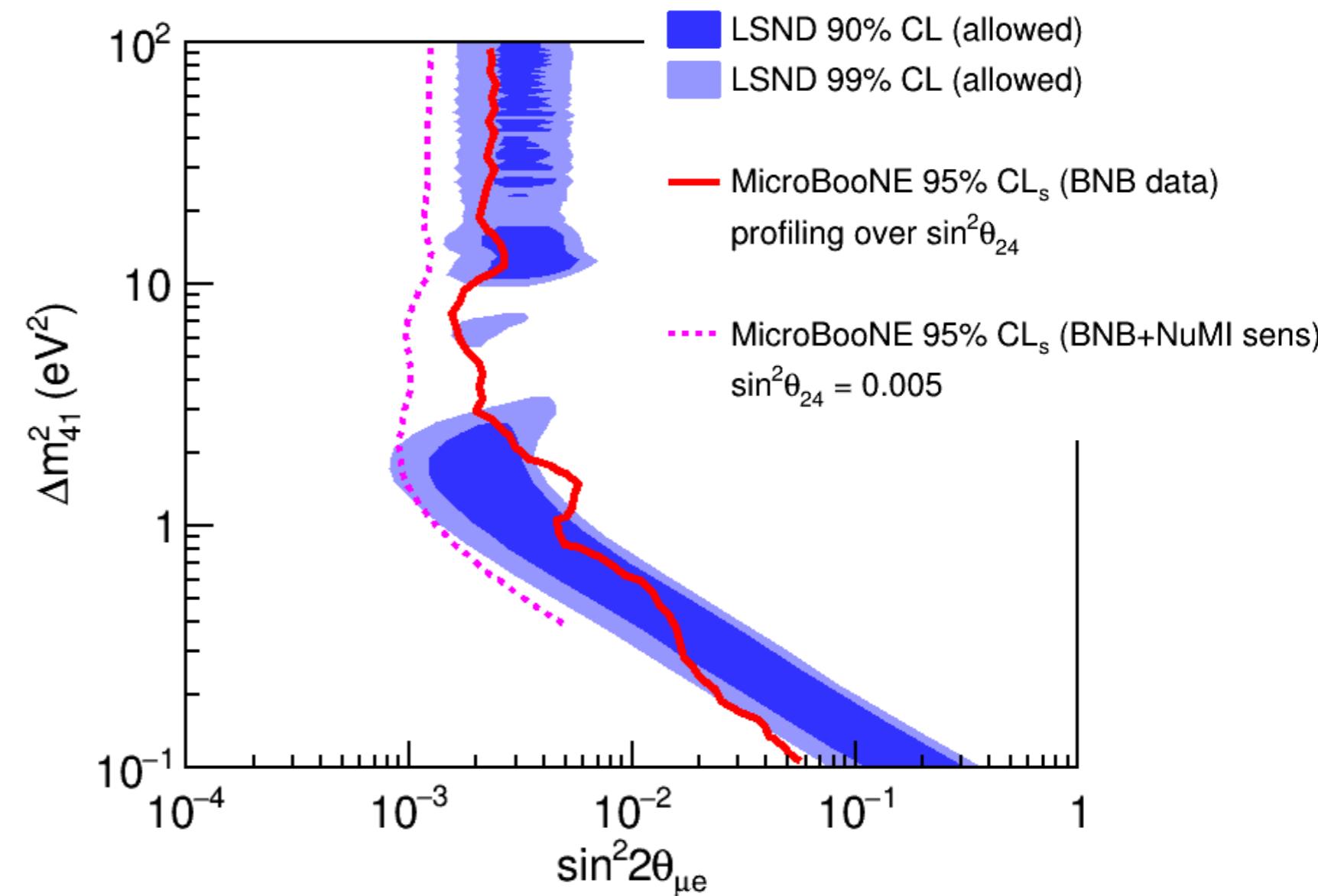
→ about 0.04 in NuMI

FUTURE PROSPECTS: BNB+NUMI

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- BNB $R_{\nu e/\nu \mu}$: 0.005
- NuMI $R_{\nu e/\nu \mu}$: 0.04
- Combining both data sets → significantly improved sensitivity
- → Upcoming BNB + NuMI analysis will be sensitive to full LSND allowed regions

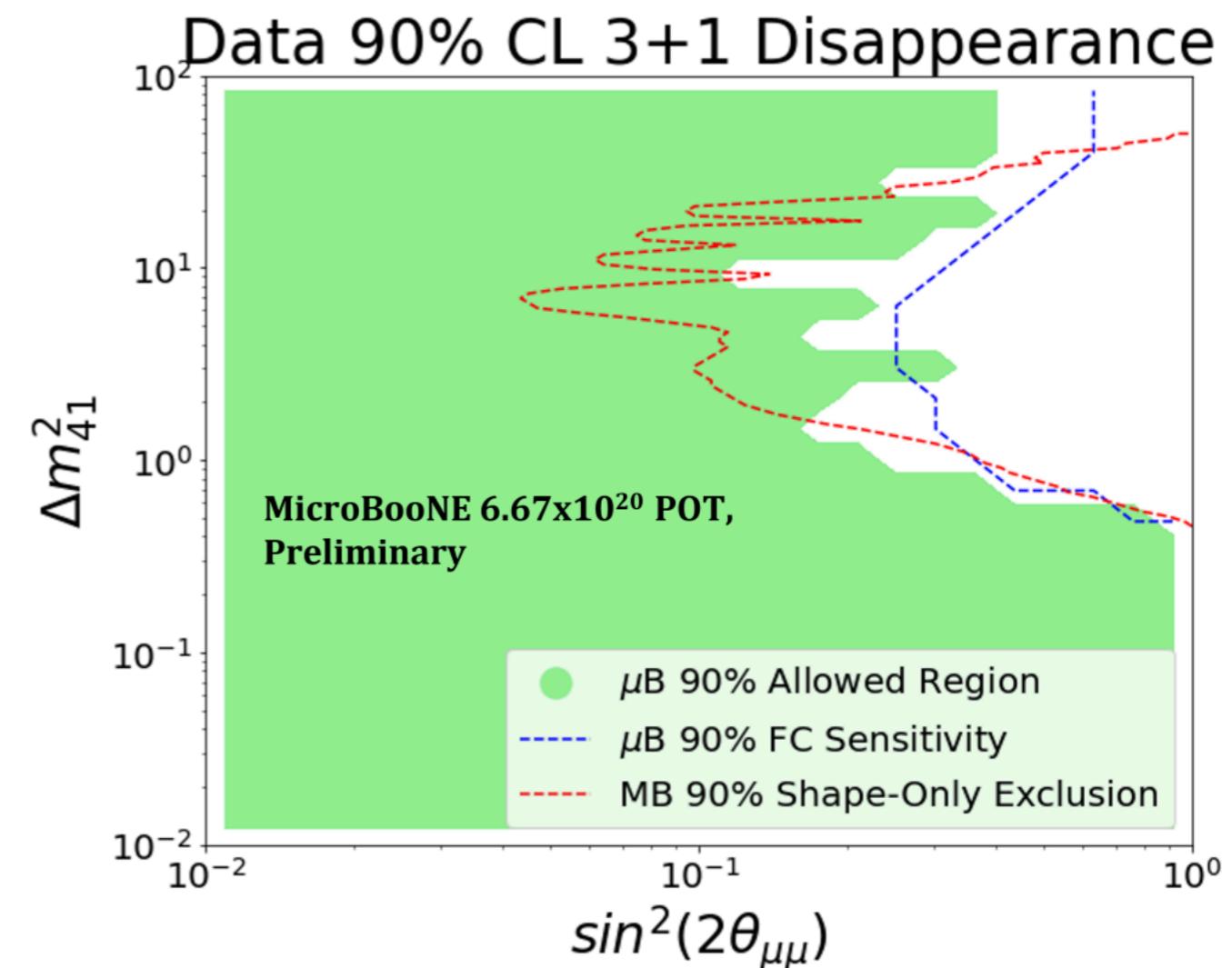
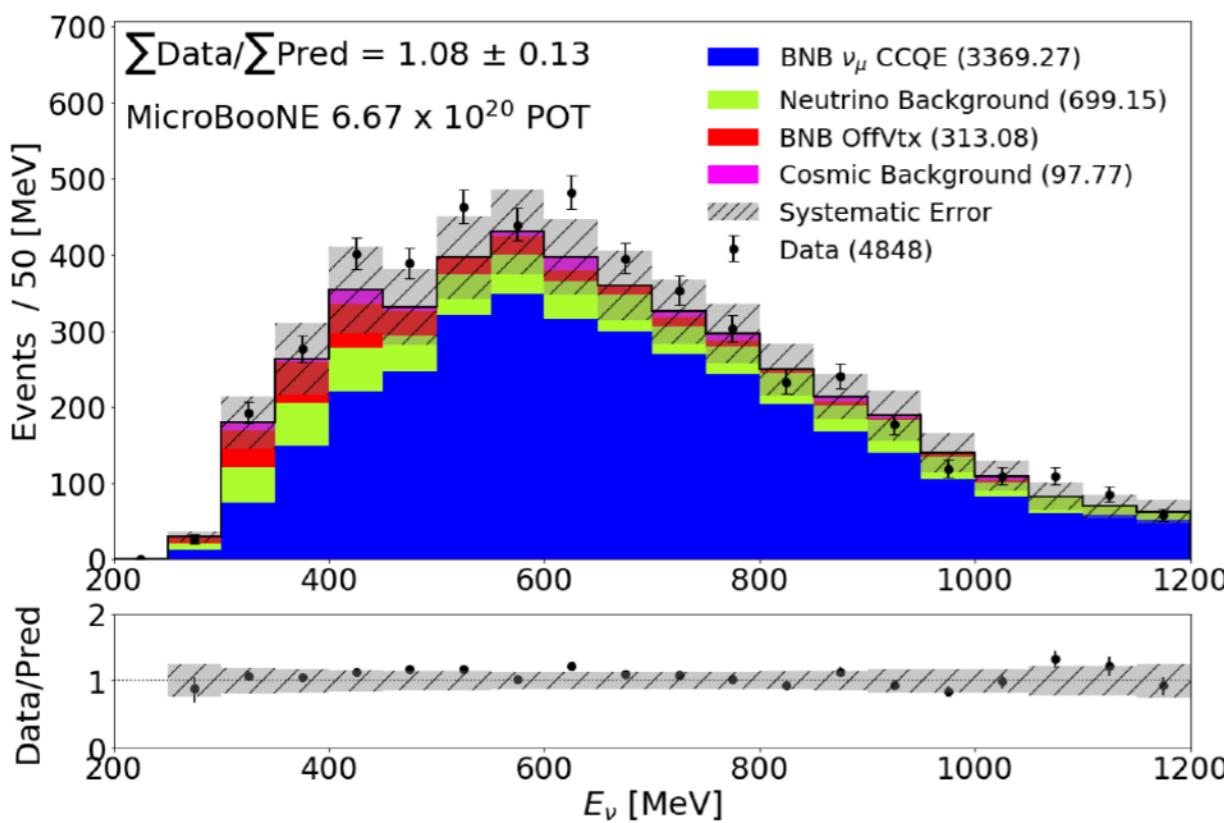


ν_μ DISAPPEARANCE EXCLUSION LIMITS

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- Use **ν_μ sample** (98% pure ν_μ) to search for **ν_μ disappearance** in BNB
- Data consistent with no oscillation → set Feldman-Cousins **exclusion limits**

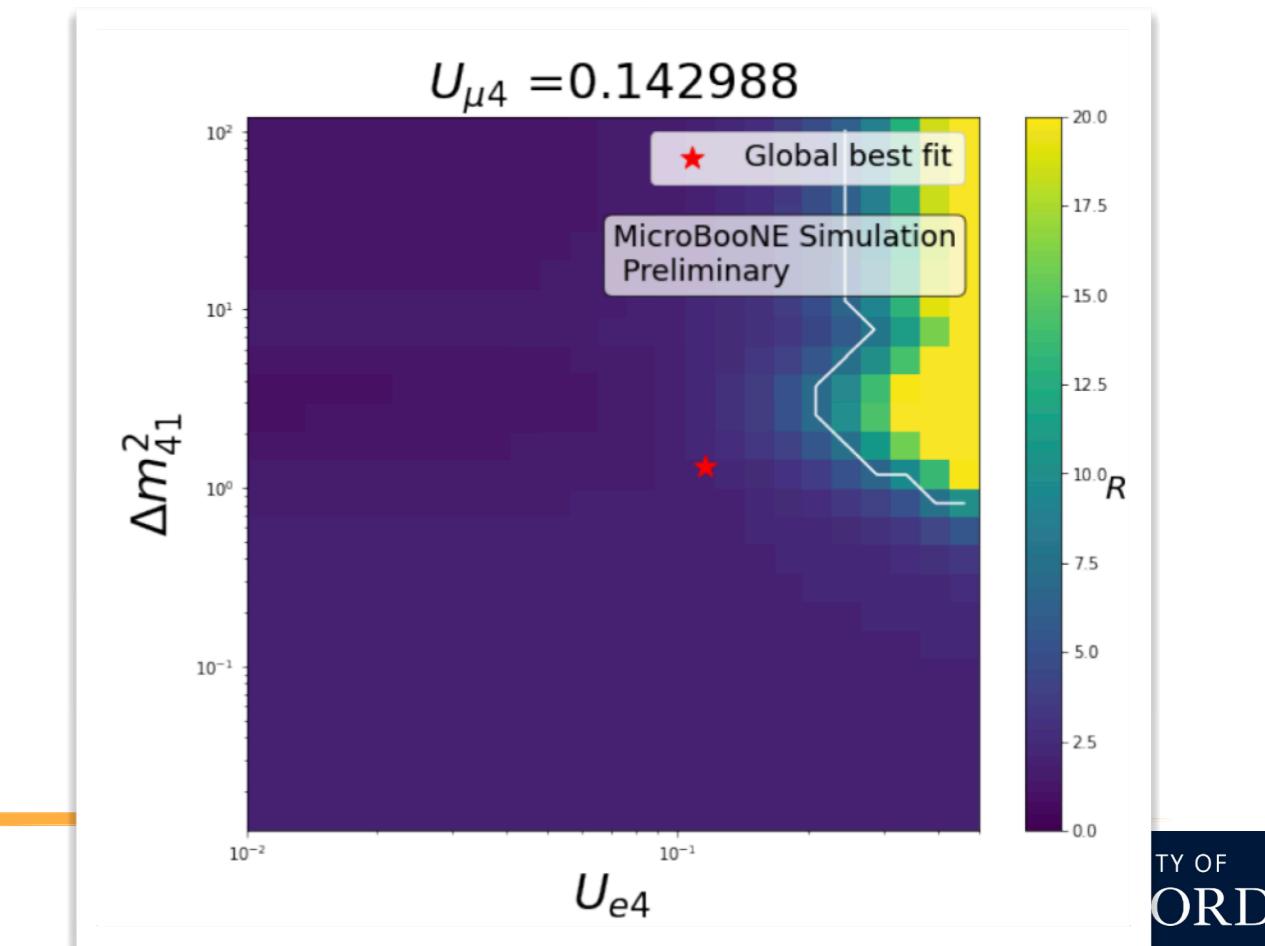
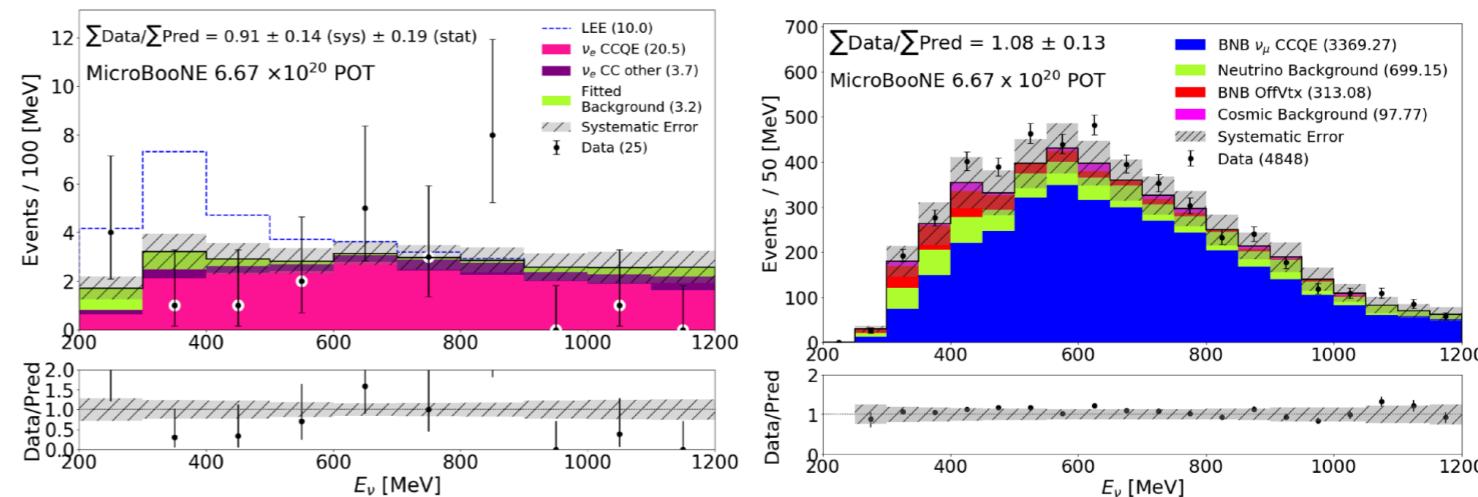


FUTURE 3+1 lelp AND l μ lp OSCILLATION ANALYSIS

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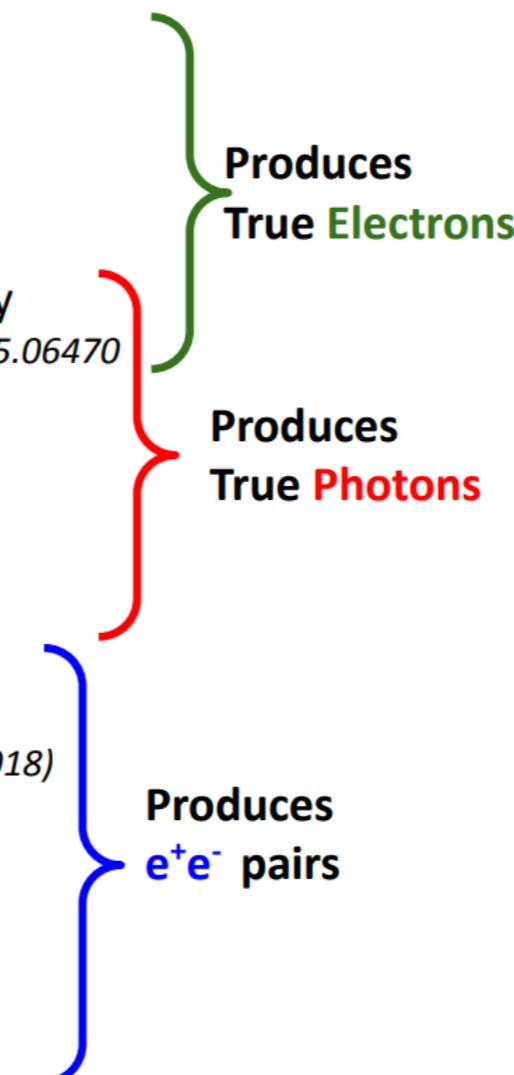
MICROBOONE-NOTE-1105-PUB

- Full 3+1 analysis (as done for inclusive selection) also in progress using **lelp and l μ lp samples**
- **Exclusion sensitivity** (assuming no oscillation) using **Wilks' theorem** has been found
- **Feldman-Cousins treatment in progress** for full oscillation results - coming soon!



WHAT DOES THIS MEAN?

- Decay of O(keV) Sterile Neutrinos to active neutrinos
 - [13] Dentler, Esteban, Kopp, Machado *Phys. Rev. D* 101, 115013 (2020)
 - [14] de Gouvêa, Peres, Prakash, Stenico *JHEP* 07 (2020) 141
- New resonance matter effects
 - [5] Asaadi, Church, Guenette, Jones, Szelc, *PRD* 97, 075021 (2018)
- Mixed O(1eV) sterile oscillations and O(100 MeV) sterile decay
 - [7] Vergani, Kamp, Diaz, Arguelles, Conrad, Shaevitz, Uchida, *arXiv:2105.06470*
- Decay of heavy sterile neutrinos produced in beam
 - [4] Gninenco, *Phys. Rev. D* 83:015015, 2011
 - [12] Alvarez-Ruso, Saul-Sala, *Phys. Rev. D* 101, 075045 (2020)
 - [15] Magill, Plestid, Pospelov, Tsai *Phys. Rev. D* 98, 115015 (2018)
 - [11] Fischer, Hernandez-Cabezudo, Schwetz, *PRD* 101, 075045 (2020)
- Decay of upscattered heavy sterile neutrinos or new scalars mediated by Z' or more complex higgs sectors
 - [1] Bertuzzo, Jana, Machado, Zukanovich Funchal, *PRL* 121, 241801 (2018)
 - [2] Abdullahi, Hostert, Pascoli, *Phys. Lett. B* 820 (2021) 136531
 - [3] Ballett, Pascoli, Ross-Lonergan, *PRD* 99, 071701 (2019)
 - [10] Dutta, Ghosh, Li, *PRD* 102, 055017 (2020)
 - [6] Abdallah, Gandhi, Roy, *Phys. Rev. D* 104, 055028 (2021)
- Decay of axion-like particles
 - [8] Chang, Chen, Ho, Tseng, *Phys. Rev. D* 104, 015030 (2021)
- A model-independent approach to any new particle
 - [9] Brdar, Fischer, Smirnov, *PRD* 103, 075008 (2021)

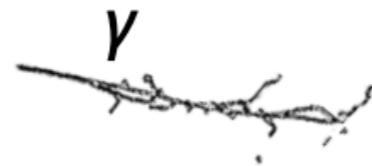
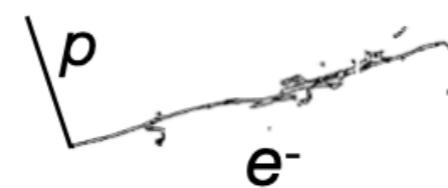


**Caution: not
an exhaustive
list!**

This is meant to
be representative
only

More information: see
P. Machado, Fermilab PAC, November 2021

WHAT DOES THIS MEAN?



Overlapping e^+e^-



Overlapping e^+e^-



Highly asymmetric e^+e^-

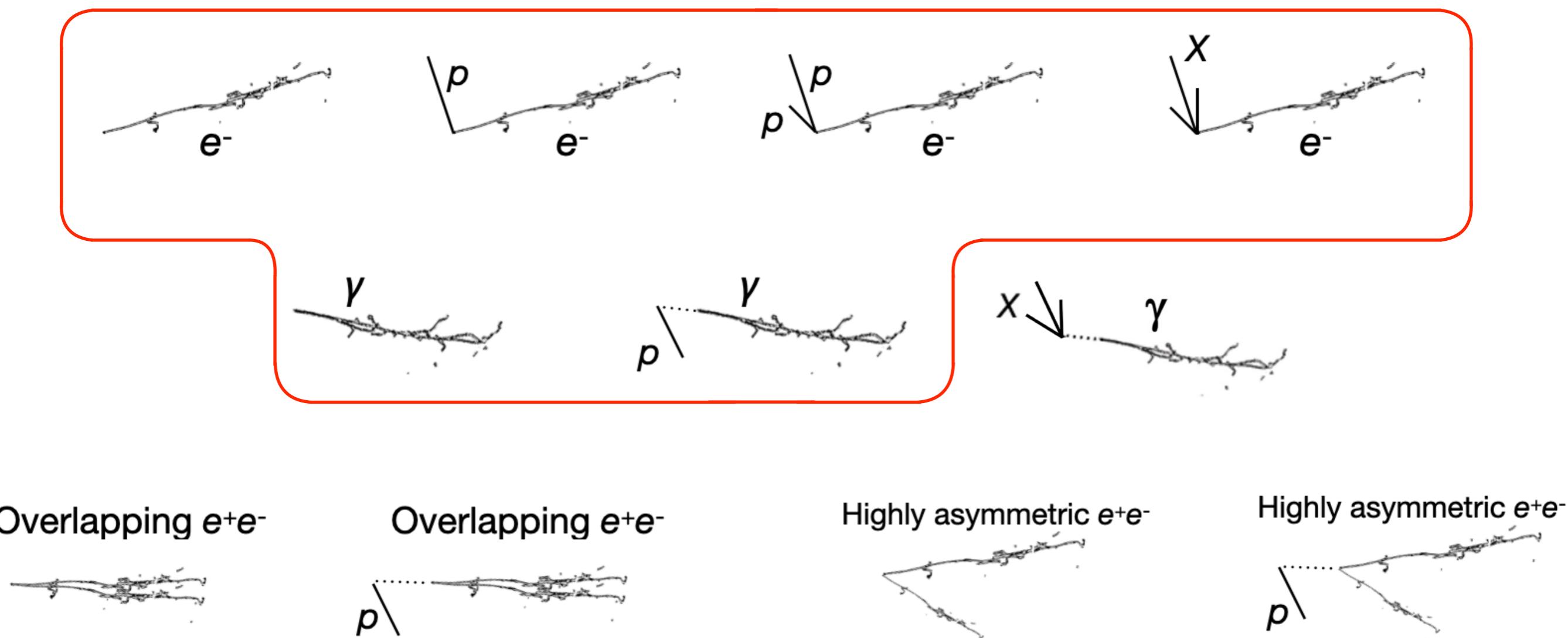


Highly asymmetric e^+e^-

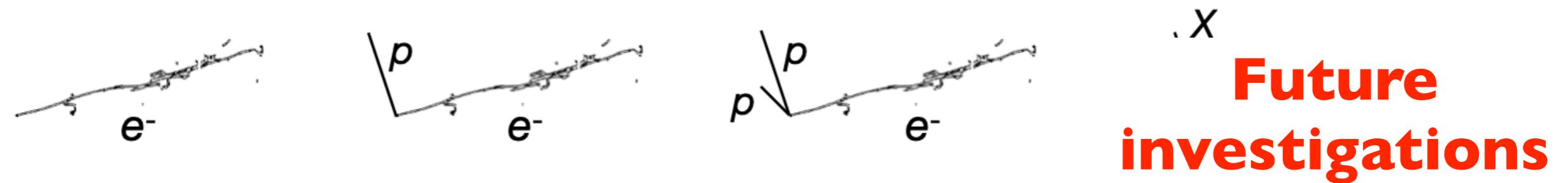


WHAT DOES THIS MEAN?

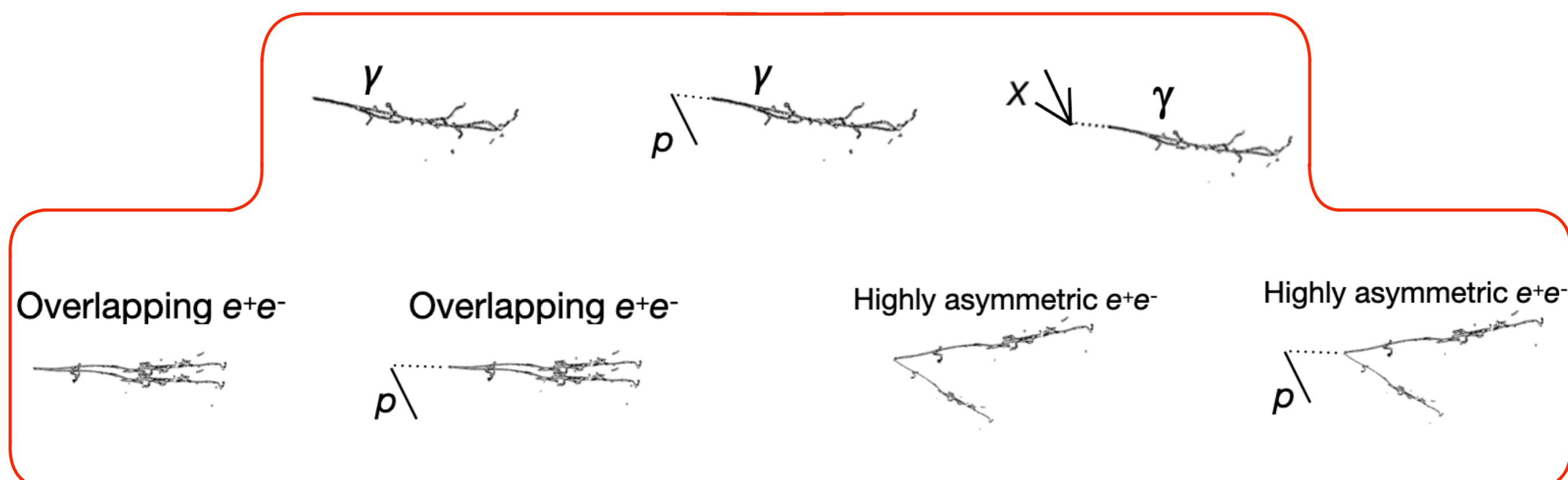
MicroBooNE's first LEE results



FUTURE INVESTIGATIONS



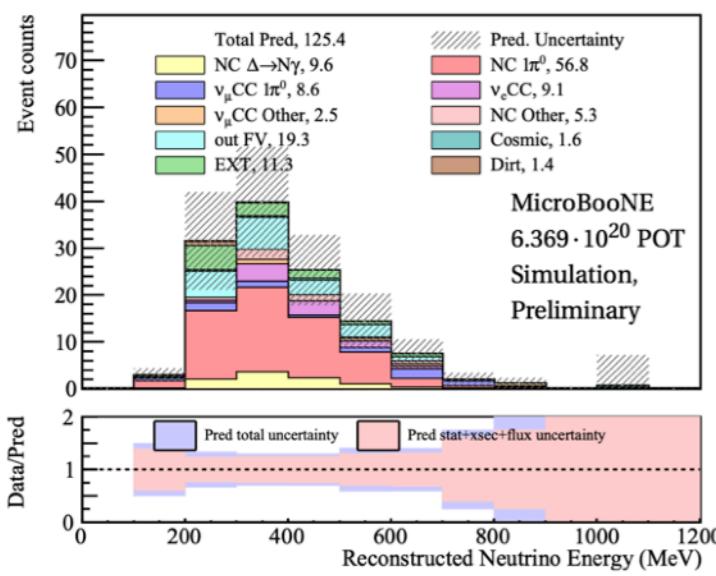
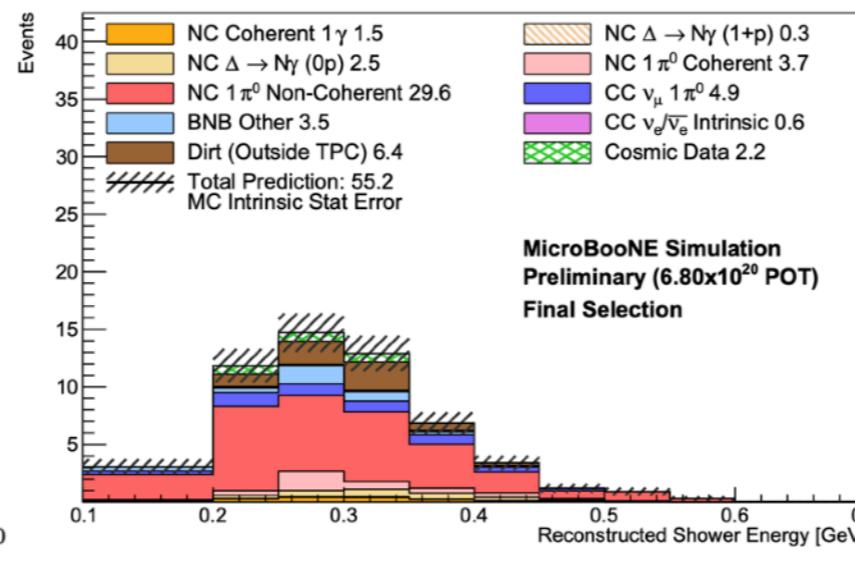
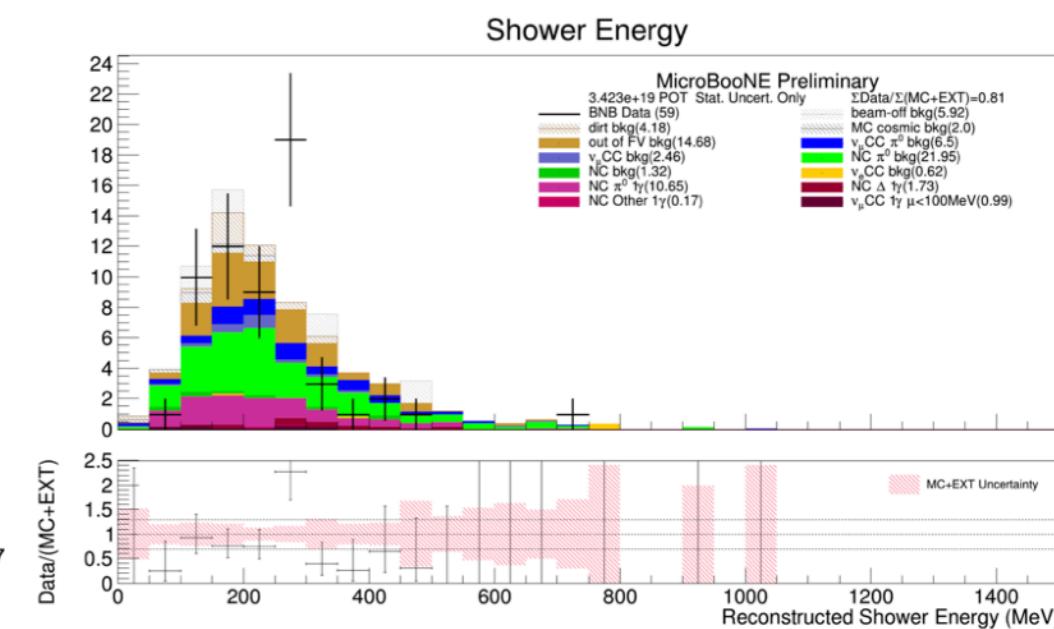
Future investigations



FUTURE INVESTIGATIONS

MICROBOONE-NOTE-1104-PUB
 MICROBOONE-NOTE-1103-PUB
 MICROBOONE-NOTE-1102-PUB

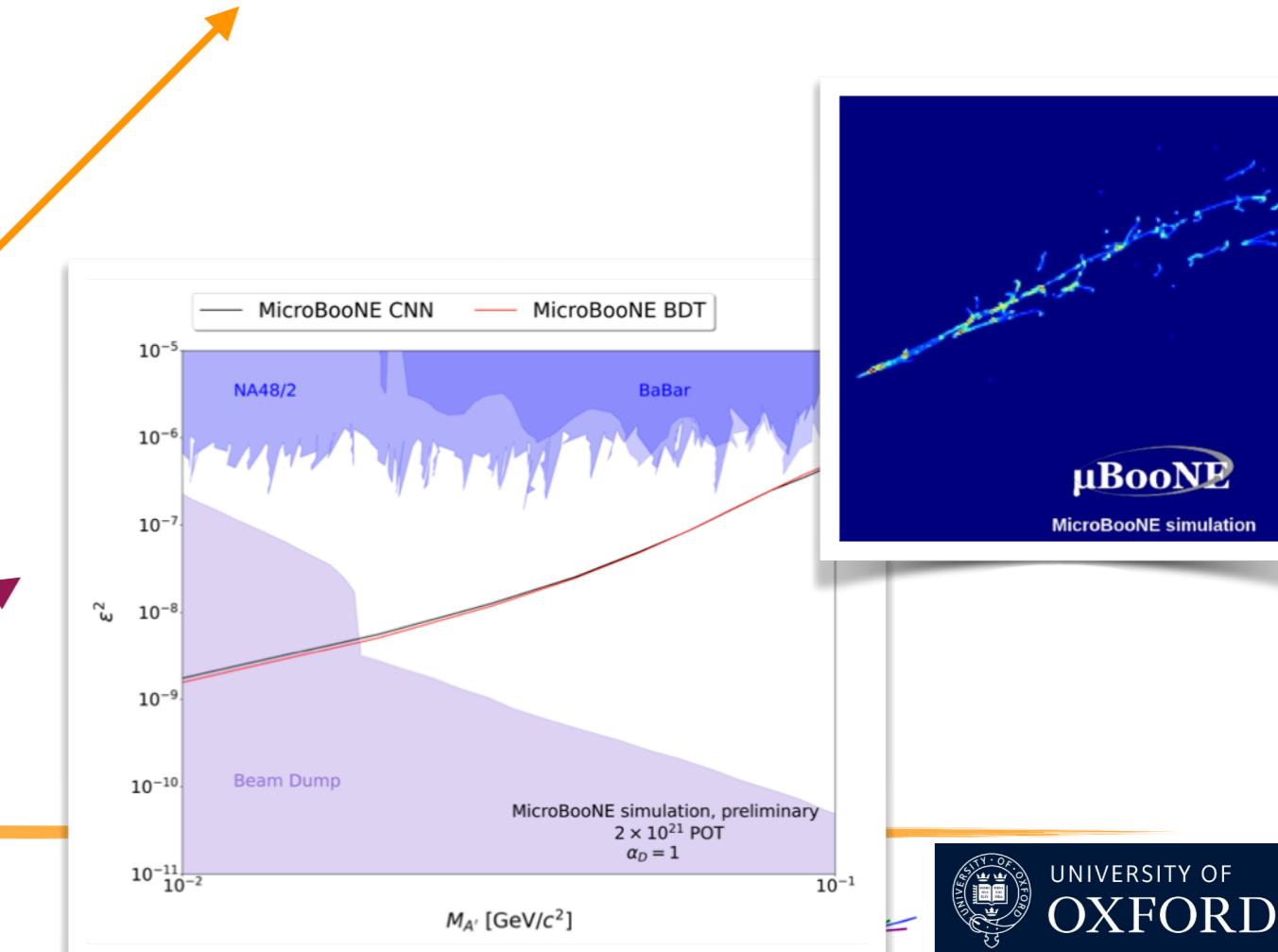
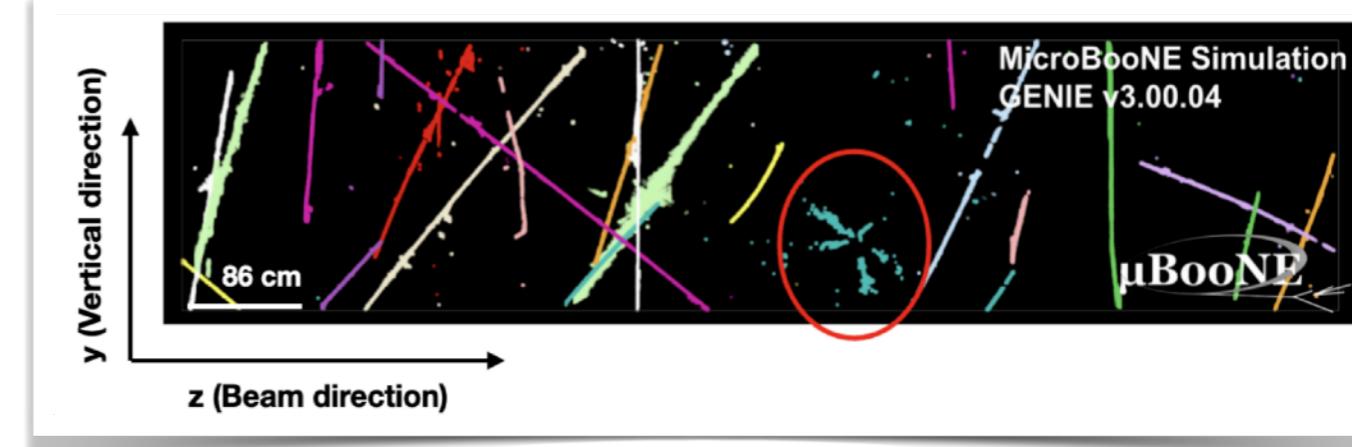
- Further investigations will expand photon-like searches and investigate e^+e^- final states - some preliminary results shown below:
 - **Further investigation of NC Δ model:** independent reconstruction, more sensitivity to potential excess in $1\gamma 0p$ channel
 - **NC-Coherent 1γ targeted search:** forward-going photons with no visible hadronic energy
 - **Inclusive 1γ search:** generic test of single photon production
- Even more on the way!

**1 γ 0p****Coh- 1 γ 0p****Inclusive 1 γ X**

OTHER NEW PHYSICS SIGNATURES

MicroBooNE is capable of a **range of Beyond the Standard Model physics searches**, such as:

- Search for **Higgs portal scalars** from NuMI absorber decaying to **e⁺e⁻ pairs**
- Search for **heavy neutral leptons** from the BNB decaying to $\mu^\pm\pi^\pm$ pairs
- Search for **neutron-antineutron oscillation**
- **New!** Progress in search for **dark trident interactions**

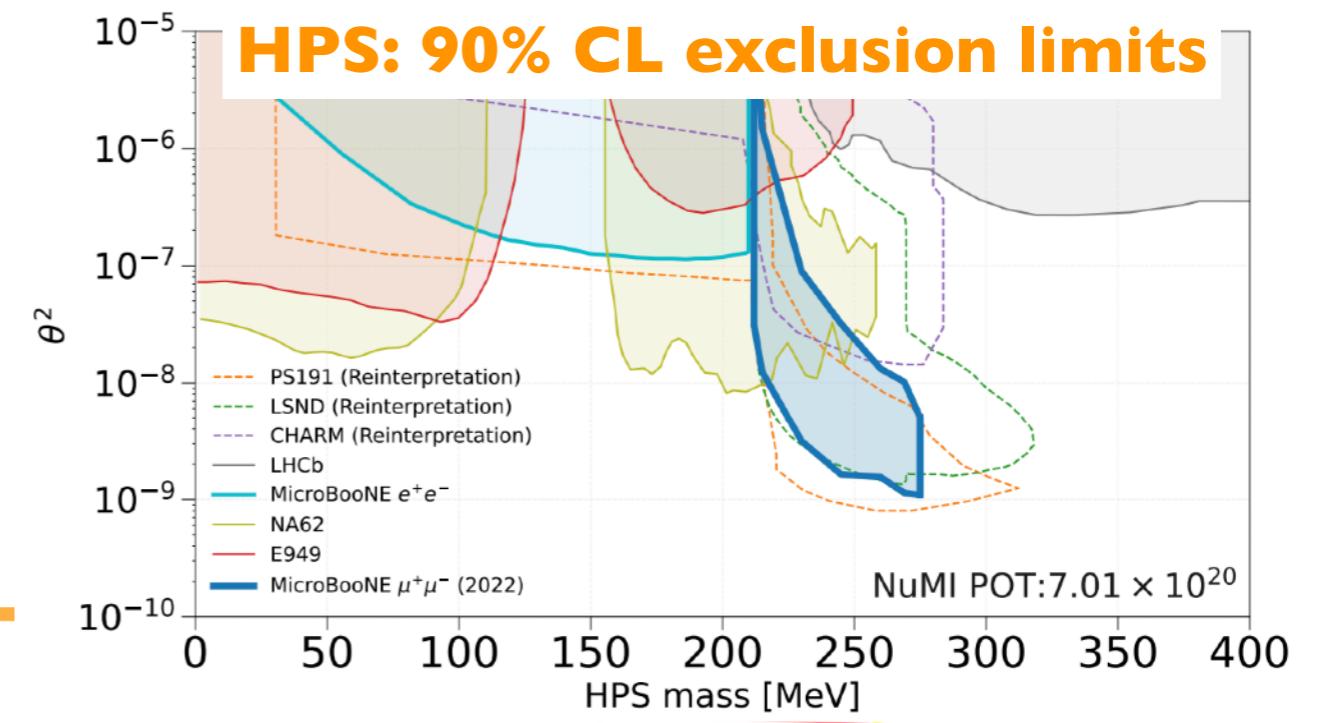
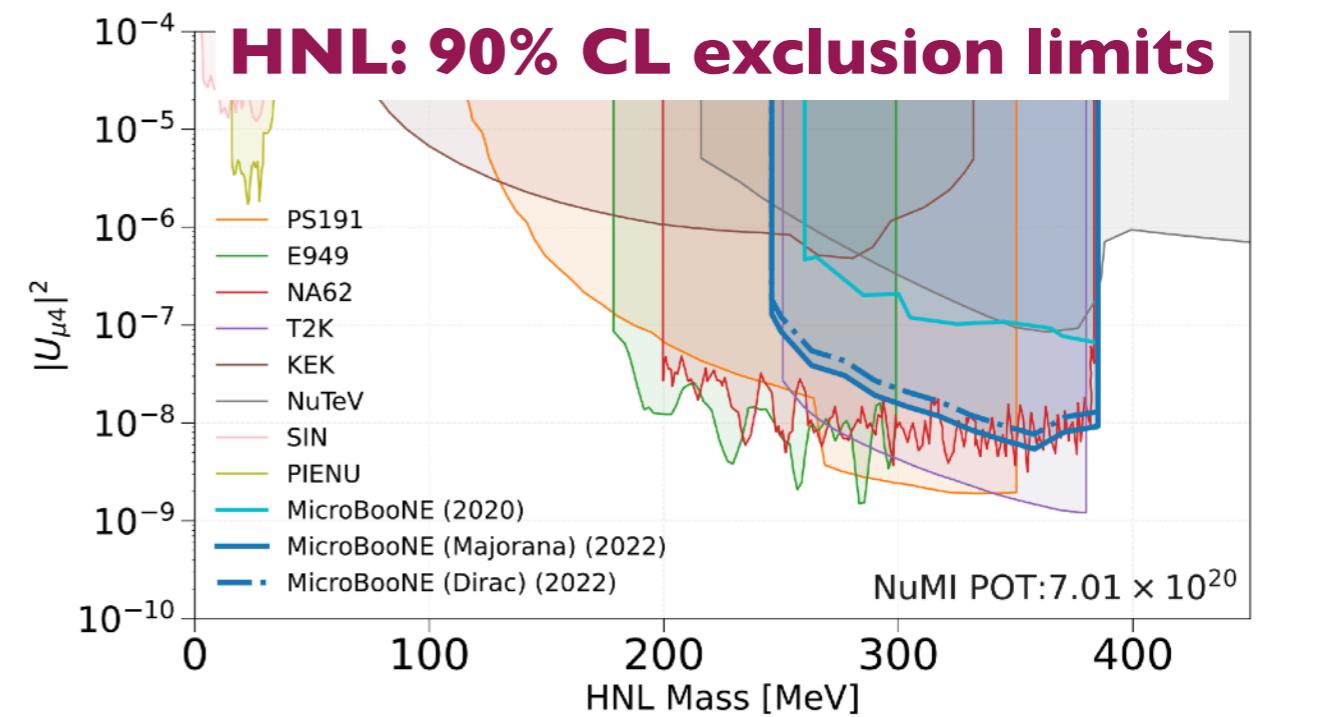


SEARCHING FOR OTHER NEW PHYSICS SIGNATURES

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2022

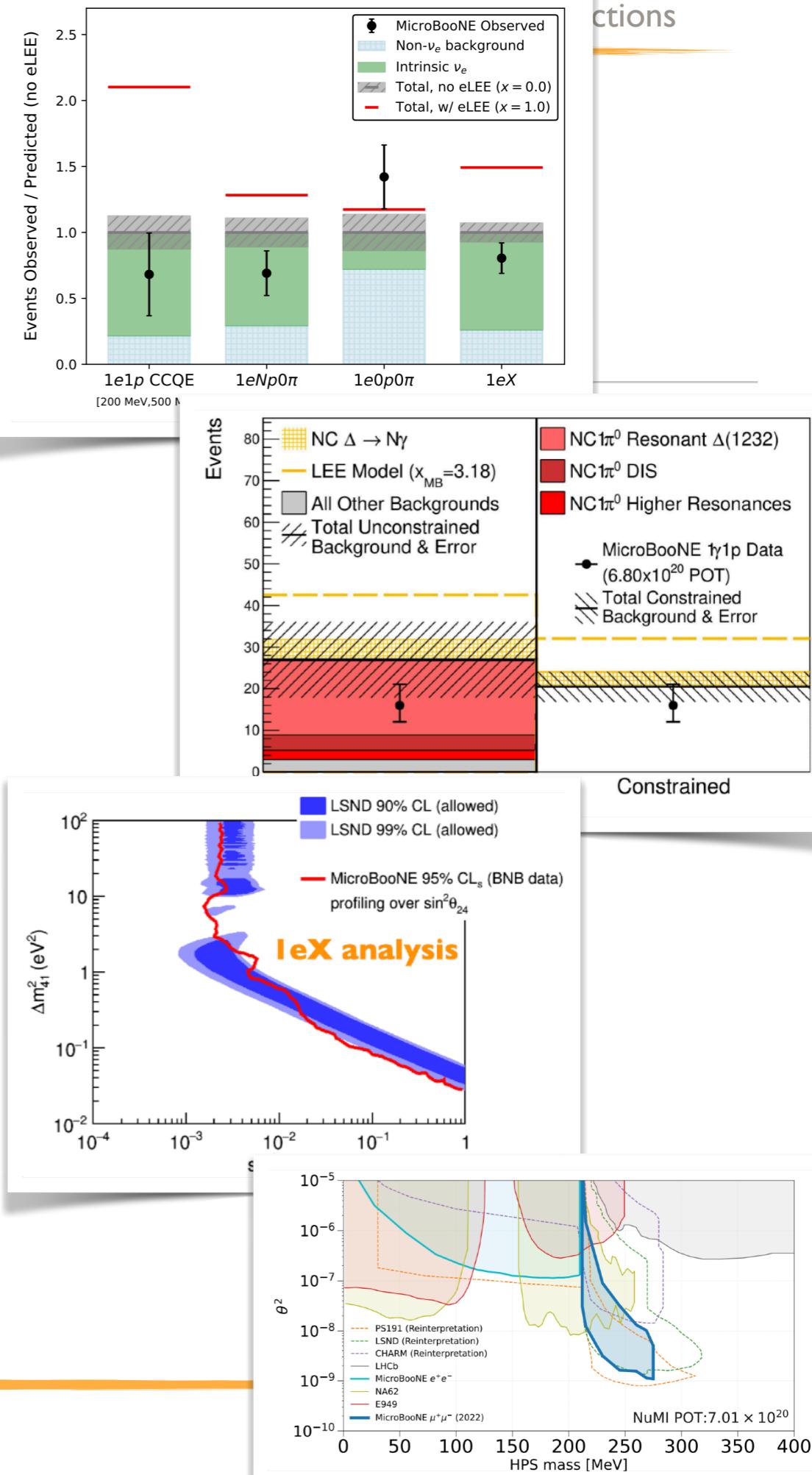
arXiv:2207.03840 [hep-ex]

- Search for **heavy neutral lepton (HNL) decays to $\mu^\pm \pi^\pm$**
 - → similar sensitivity to NA62
 - → order of magnitude improvement on previous MicroBooNE results
- Search for **Higgs portal scalar (HPS) decays to $\mu^+ \mu^-$**
 - → complementary to previous e+e- MicroBooNE search
 - → First constraints on scalar-Higgs mixing angle θ in this mass range from a dedicated experimental search



SUMMARY

- MicroBooNE has harnessed the full power of LArTPC detector technology to make **important new precision measurements**
- Detailed initial investigations into MiniBooNE anomaly show **no evidence for an excess** in pure ν_e and $N\Delta \rightarrow \gamma\gamma$ channels
- Exclusion limits set and further investigations underway
- MicroBooNE is also **laying the groundwork** for future LArTPC detector programmes: **SBND**, **ICARUS** (further investigation of the MiniBooNE anomaly) and the future multi-kt neutrino experiment **DUNE**





THANK YOU