



Heavy neutral lepton searches at CMS and ATLAS

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on behalf of the ATLAS and CMS Collaborations

15th Rencontres du Vietnam “3 Neutrinos and Beyond”

August 8, 2019

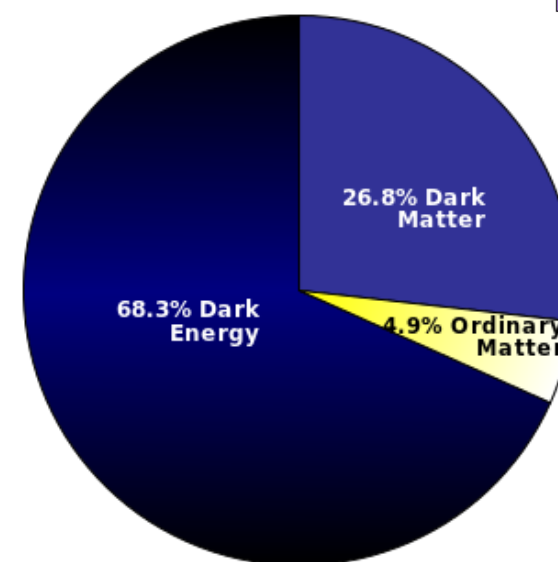
Why heavy neutral leptons?

- Heavy neutral leptons (HNL) can provide an explanation to several unanswered questions, such as...

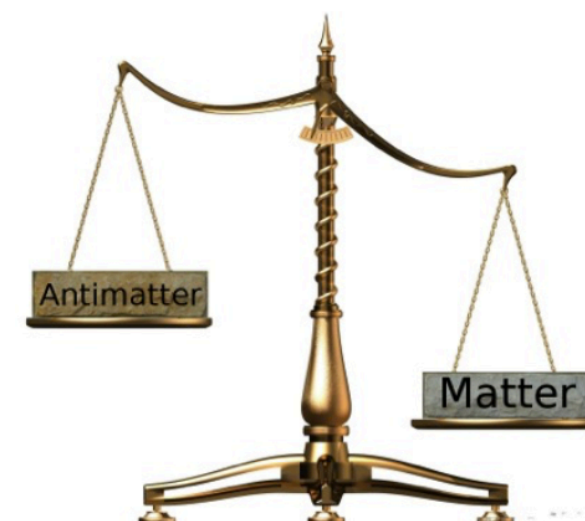
- ... neutrino masses
 - generated via a seesaw mechanism

2.4 MeV u up	4.8 MeV d down	0.511 MeV e electron	<0.0001 eV ν_e
1.27 GeV c charm	4.2 GeV s strange	105.7 MeV μ muon	~ 0.01 eV ν_μ
171.2 GeV t top	4.2 GeV b bottom	1.777 GeV τ tau	~ 0.04 eV ν_τ

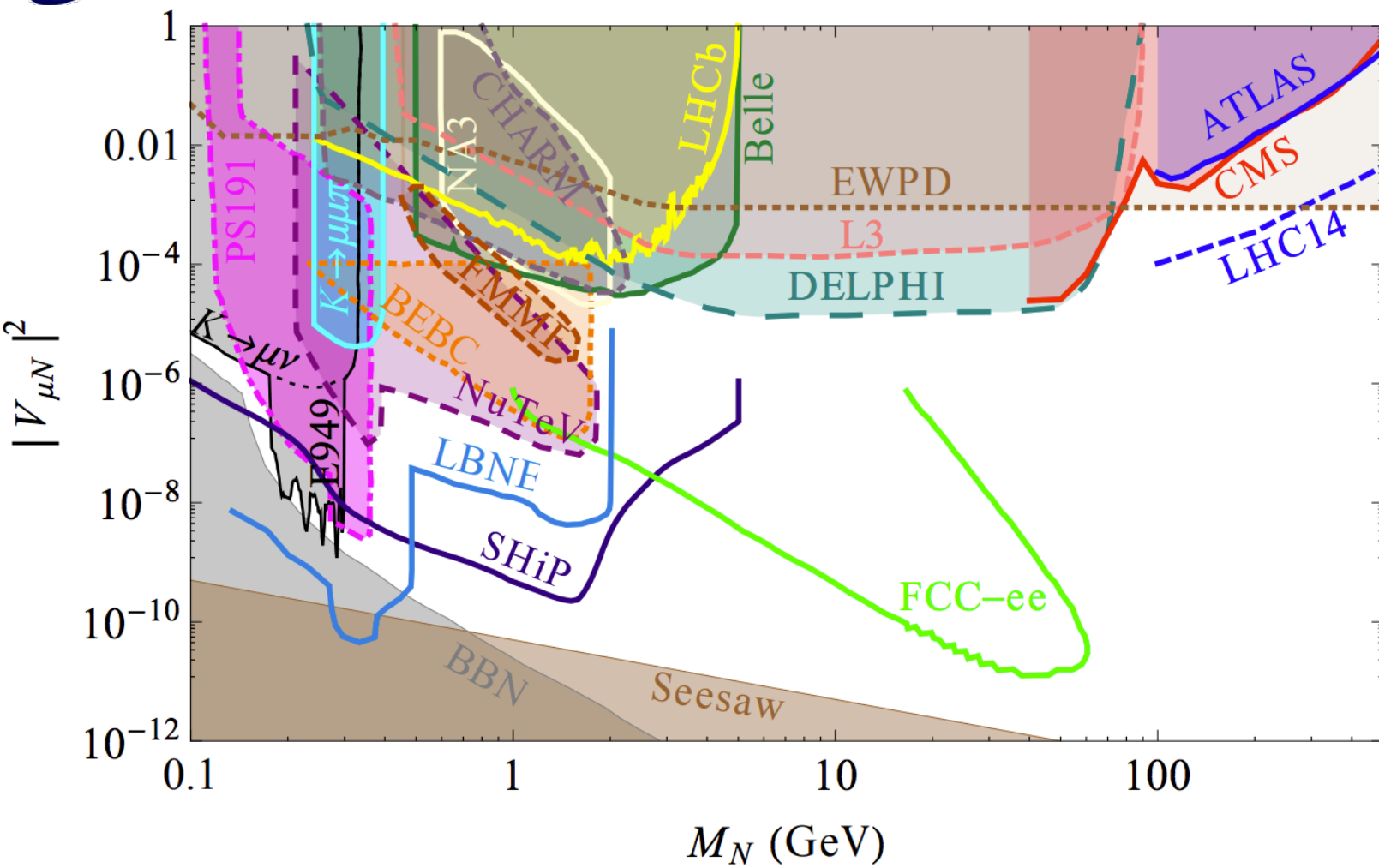
- ... dark matter
 - the lightest HNL might make a suitable candidate



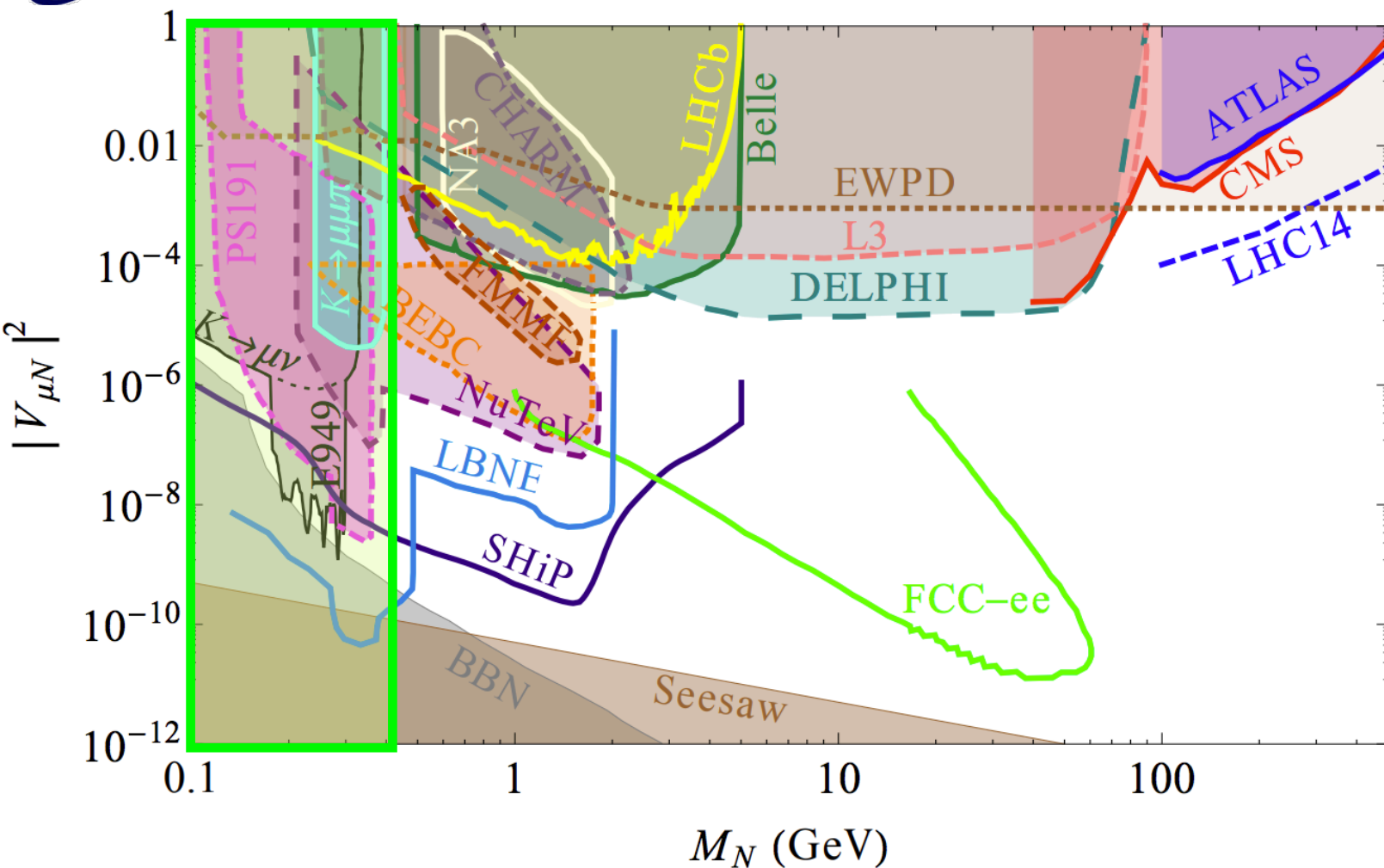
- ... matter-antimatter asymmetry of the universe
 - multiple mass-degenerate HNLs may lead to a significant CP violation



Direct HNL searches

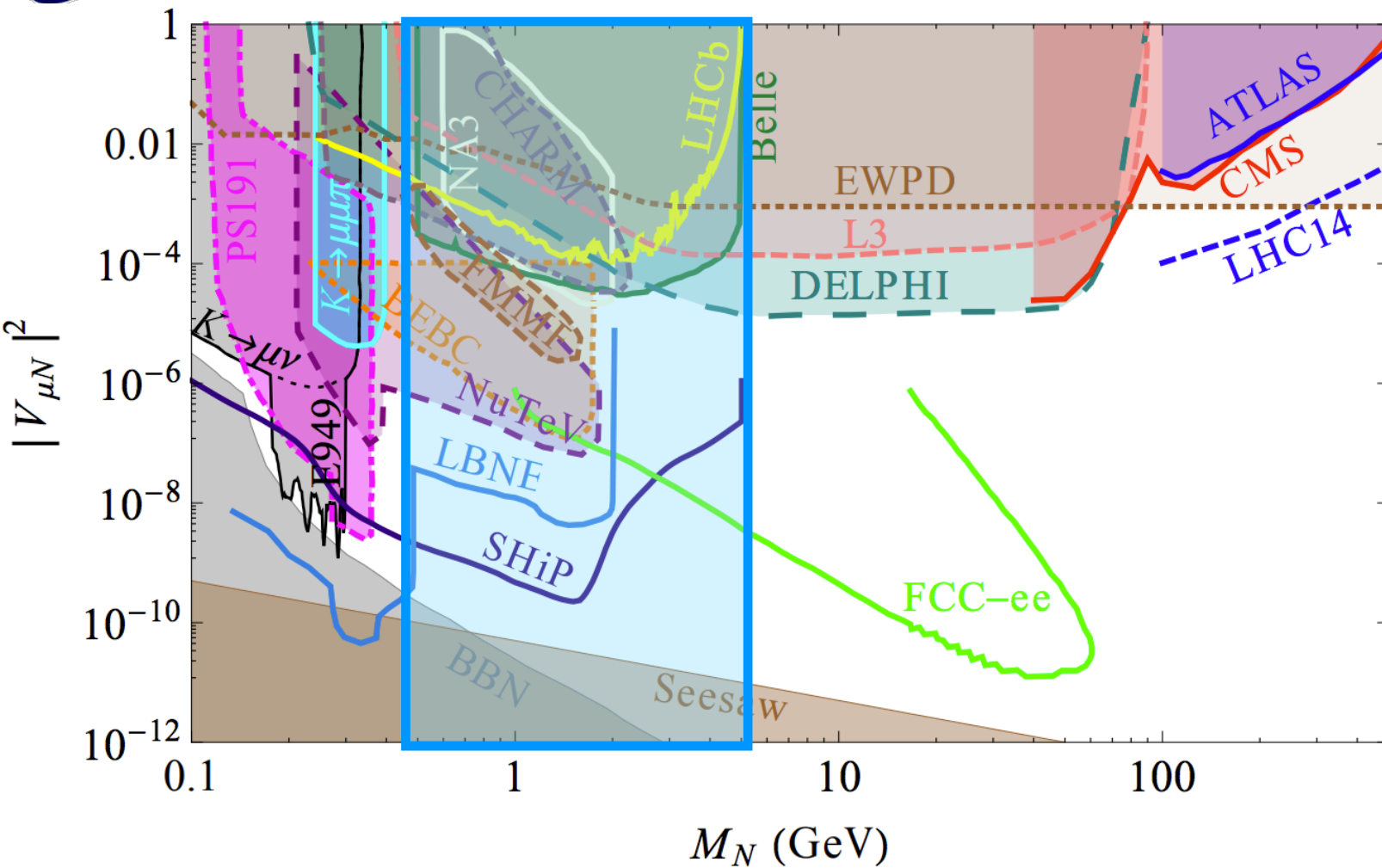


[arXiv:1502.06541 \[hep-ph\]](https://arxiv.org/abs/1502.06541)



- $m_N < m_K$ (~ 500 MeV)
- Using K decays, such as $K^\pm \rightarrow \ell N$, $K^\pm \rightarrow \mu\mu\pi$
- E.g. beam-dump exp. (NuTeV, NA62, SHiP...)

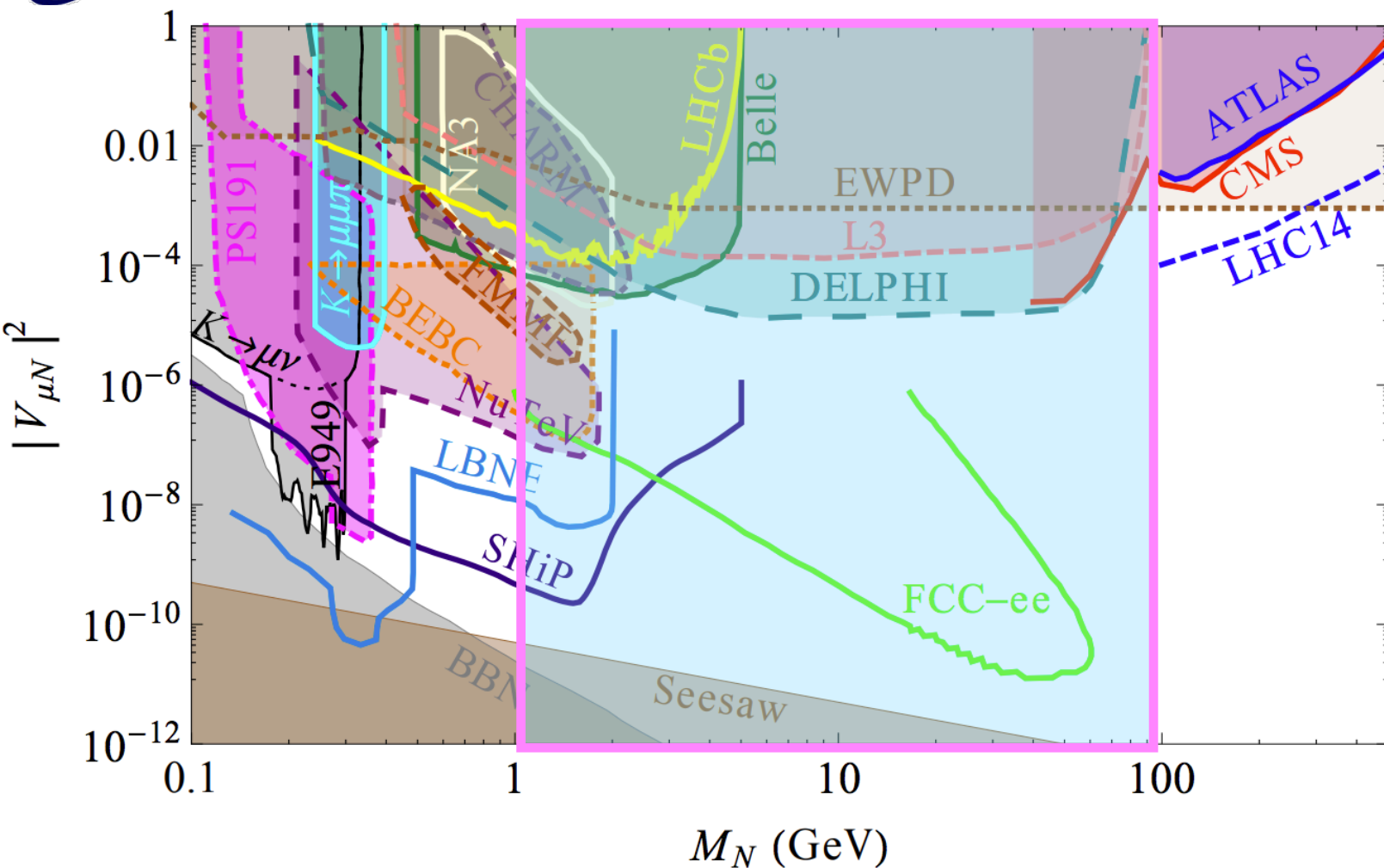
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- $m_N < m_{D,B}$ (~ 5 GeV)
- Explored at colliders (e.g. Belle, LHCb) or beam-dump experiments (e.g. SHiP)

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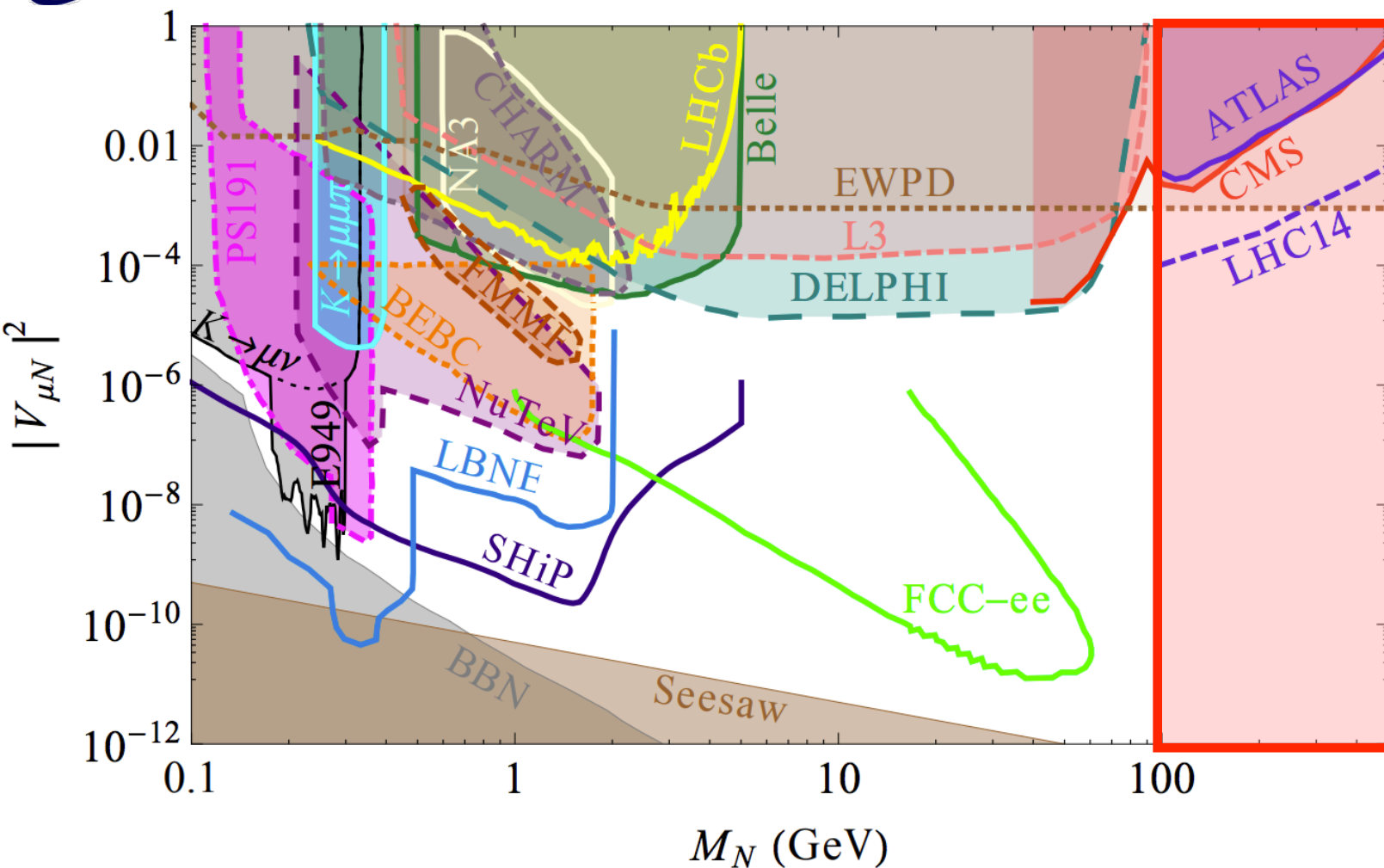


- $m_N < m_Z$ (~ 91 GeV)
- Results from LEP ($Z \rightarrow \nu N$)
- Currently explored at the LHC (ATLAS, CMS)

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- $m_N > m_Z$ (~ 91 GeV)
- LHC can exceed the limits from electroweak precision data

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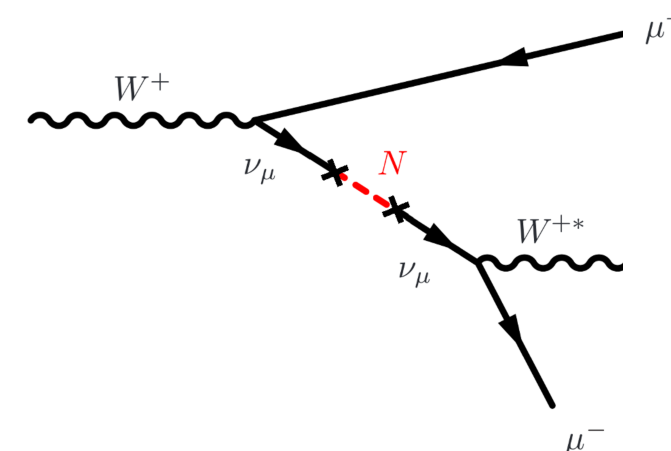
Main searches at the LHC



	ATLAS	CMS
Type-I seesaw	Search for heavy Majorana neutrinos with the ATLAS detector in pp collisions at $\sqrt{s} = 8$ TeV, JHEP07(2015)162	Search for heavy Majorana neutrinos in $\mu^\pm\mu^\pm + \text{jets}$ events in proton-proton collisions at $\sqrt{s} = 8$ TeV, PLB748(2015)144
	Search for heavy neutral leptons in decays of W bosons produced in 13 TeV pp collisions using prompt and displaced signatures with the ATLAS detector, arXiv:1905.09787	Search for heavy Majorana neutrinos in $e^\pm e^\pm + \text{jets}$ and $e^\pm\mu^\pm + \text{jets}$ events in proton-proton collisions at $\sqrt{s} = 8$ TeV, JHEP(2016)2016:169
		Search for Heavy Neutral Leptons in Events with Three Charged Leptons in Proton-Proton Collisions at $\sqrt{s} = 13$ TeV, PRL120(2018)221801
		Search for heavy Majorana neutrinos in same-sign dilepton channels in proton-proton collisions at $\sqrt{s} = 13$ TeV, JHEP(2019)2019:122
Type-III seesaw	Search for type-III seesaw heavy leptons in pp collisions at $\sqrt{s} = 8$ TeV with the ATLAS detector, PRD92(2015)032001	Search for Evidence of the Type-III Seesaw Mechanism in Multilepton Final States in Proton-Proton Collisions at $\sqrt{s} = 13$ TeV, PRL119(2017)221802
	Search for heavy lepton resonances decaying to a Z boson and a lepton in pp collisions at $\sqrt{s} = 8$ TeV with the ATLAS detector, JHEP(2015)2015:108	
	Search for type-III seesaw heavy leptons in proton-proton collisions at $\sqrt{s} = 13$ TeV with the ATLAS detector, ATLAS-CONF-2018-020	
Left-Right Symmetric Model	Search for heavy neutrinos and right-handed W bosons in events with two leptons and jets in pp collisions at $\sqrt{s} = 7$ TeV with the ATLAS detector, EPJC(2012)72:2056	Search for heavy neutrinos and W bosons with right-handed couplings in proton-proton collisions at $\sqrt{s} = 8$ TeV, EPJC(2014)74:3149
	Search for heavy Majorana neutrinos with the ATLAS detector in pp collisions at $\sqrt{s} = 8$ TeV, JHEP07(2015)162	Search for a heavy right-handed W boson and a heavy neutrino in events with two same-flavor leptons and two jets at $\sqrt{s} = 13$ TeV, JHEP05(2018)148
	Search for heavy Majorana or Dirac neutrinos and right-handed W gauge bosons in final states with two charged leptons and two jets at $\sqrt{s} = 13$ TeV with the ATLAS detector, JHEP(2019)2019:16	Search for heavy neutrinos or third-generation leptoquarks in final states with two hadronically decaying τ leptons and two jets in proton-proton collisions at $\sqrt{s} = 13$ TeV, JHEP03(2017)077
	Search for a right-handed gauge boson decaying into a high-momentum heavy neutrino and a charged lepton in pp collisions with the ATLAS detector at $\sqrt{s} = 13$ TeV, arXiv:1904.12679	Search for third-generation scalar leptoquarks and heavy right-handed neutrinos in final states with two tau leptons and two jets in proton-proton collisions at $\sqrt{s} = 13$ TeV, JHEP07(2017)121
Composite neutrino		Search for a heavy composite Majorana neutrino in the final state with two leptons and two quarks at $\sqrt{s} = 13$ TeV, PLB775(2017)315

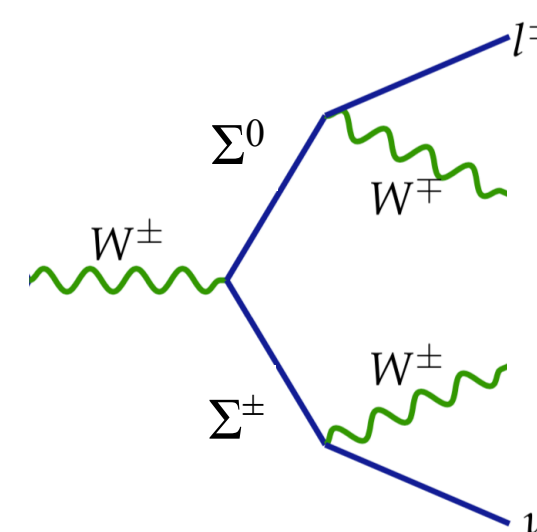
- Type-I seesaw

- ▶ minimal SM extension: 2–3 right-handed neutrinos (N_i), Dirac and Majorana masses
- ▶ $SU(2)_L$ singlets \Rightarrow sterile, only mix with SM ν



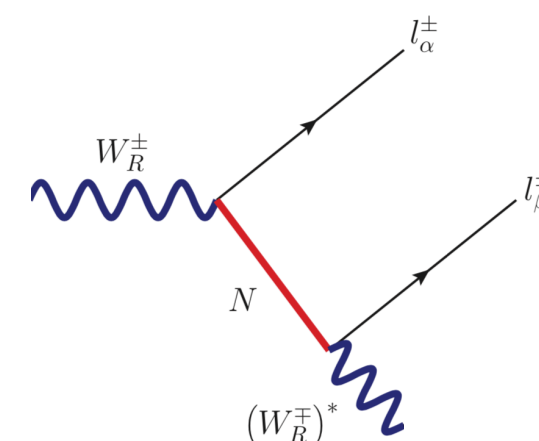
- Type-III seesaw

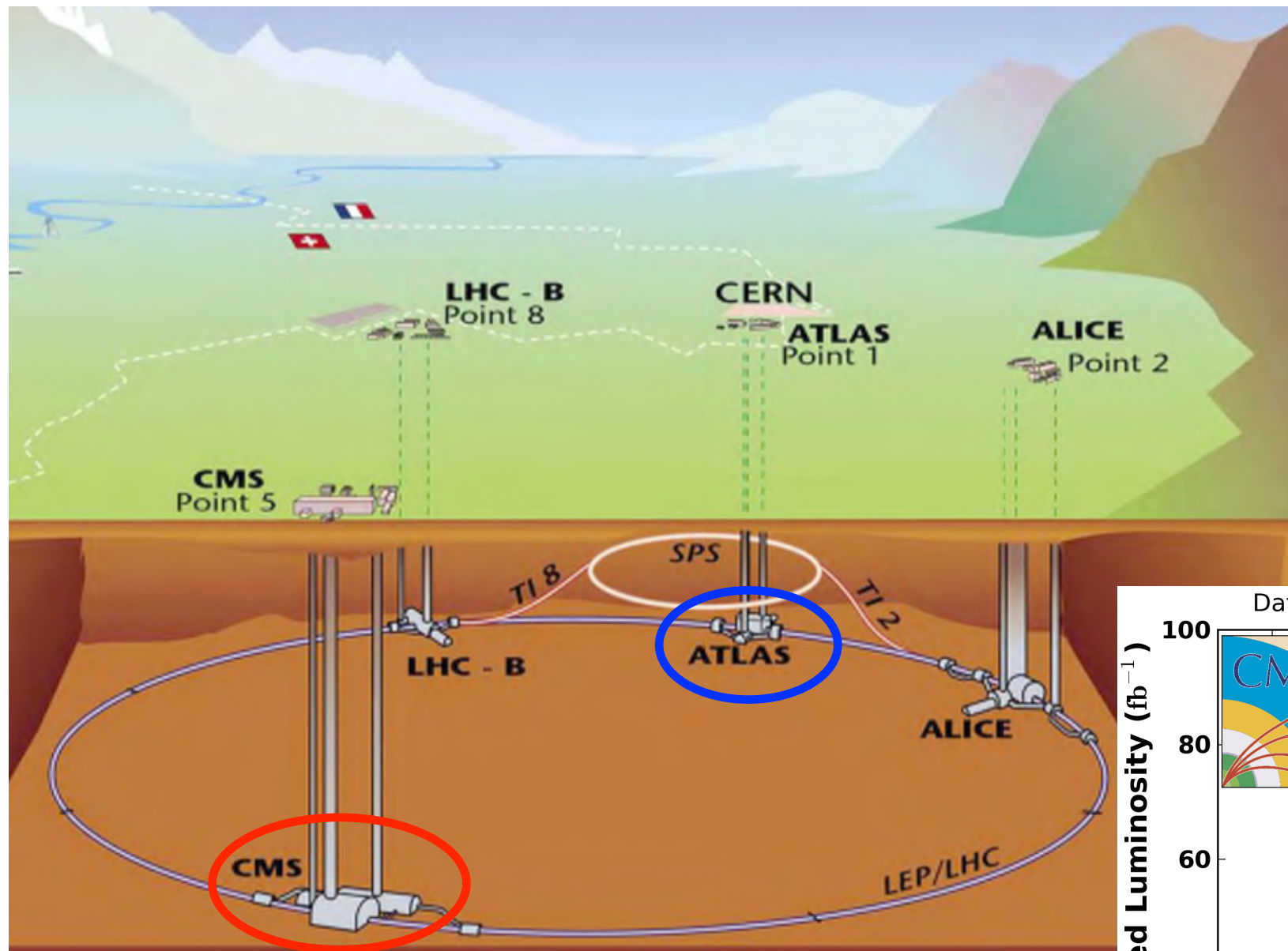
- ▶ at least two $SU(2)_L$ triplets (Σ^\pm, Σ^0), coupled to SM gauge bosons



- Left-Right Symmetric Models

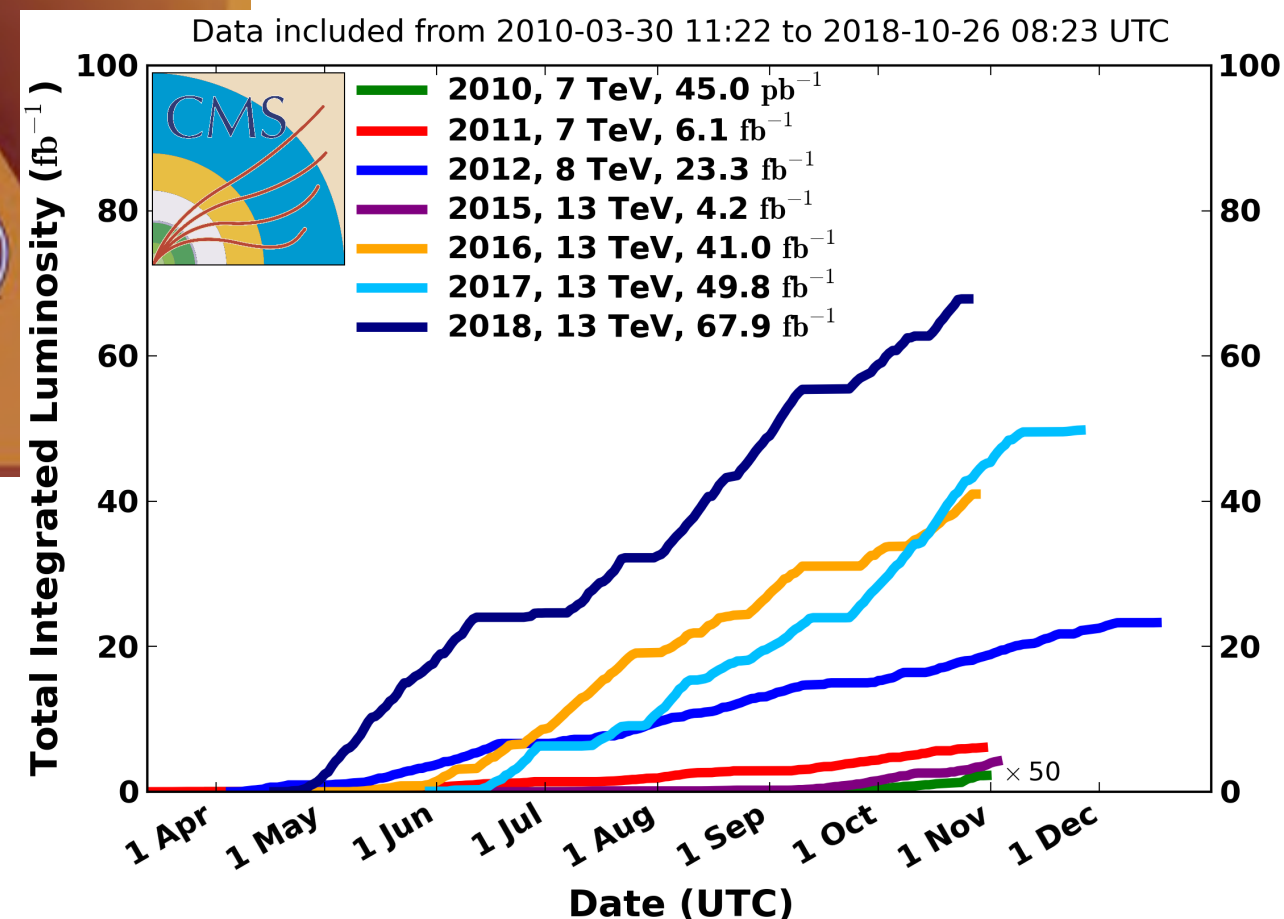
- ▶ $SU(2)_R$ as right-handed analogue of $SU(2)_L$
- ▶ RH N_i coupled to RH gauge bosons W_R, Z_R



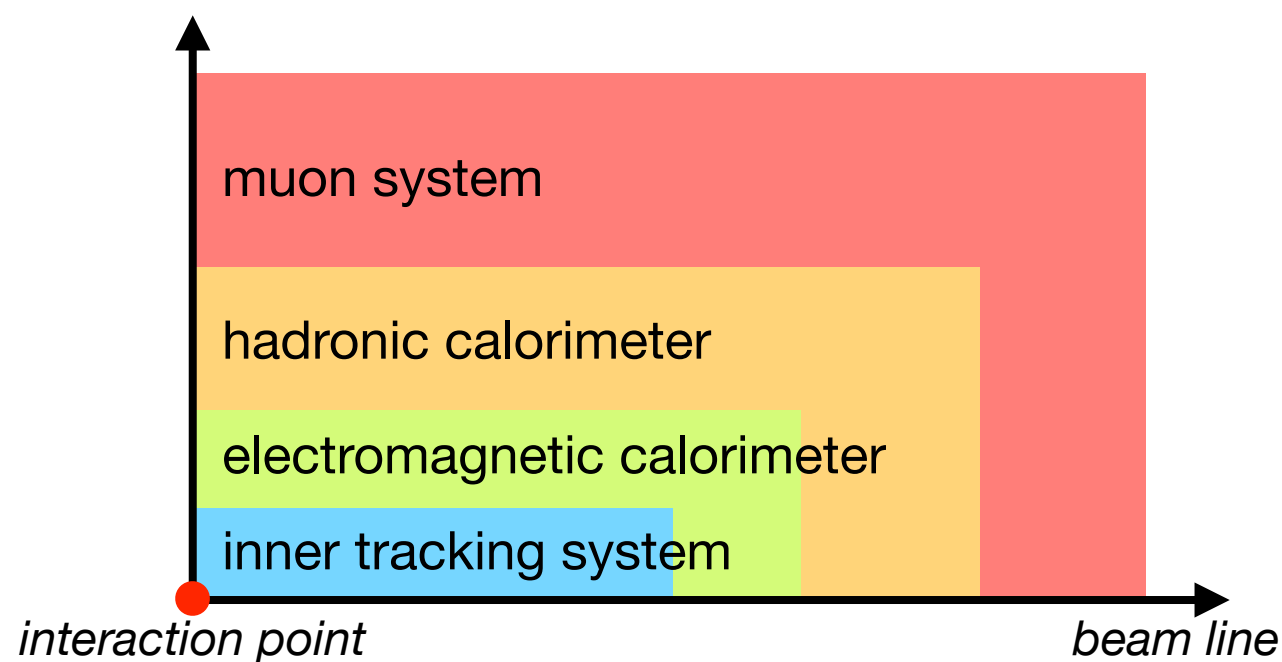
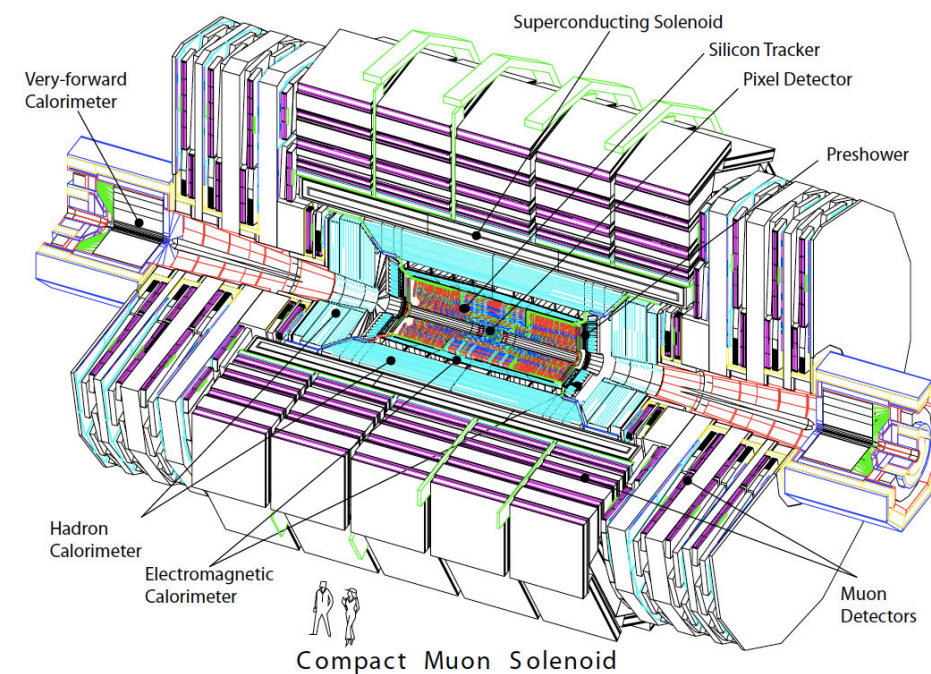
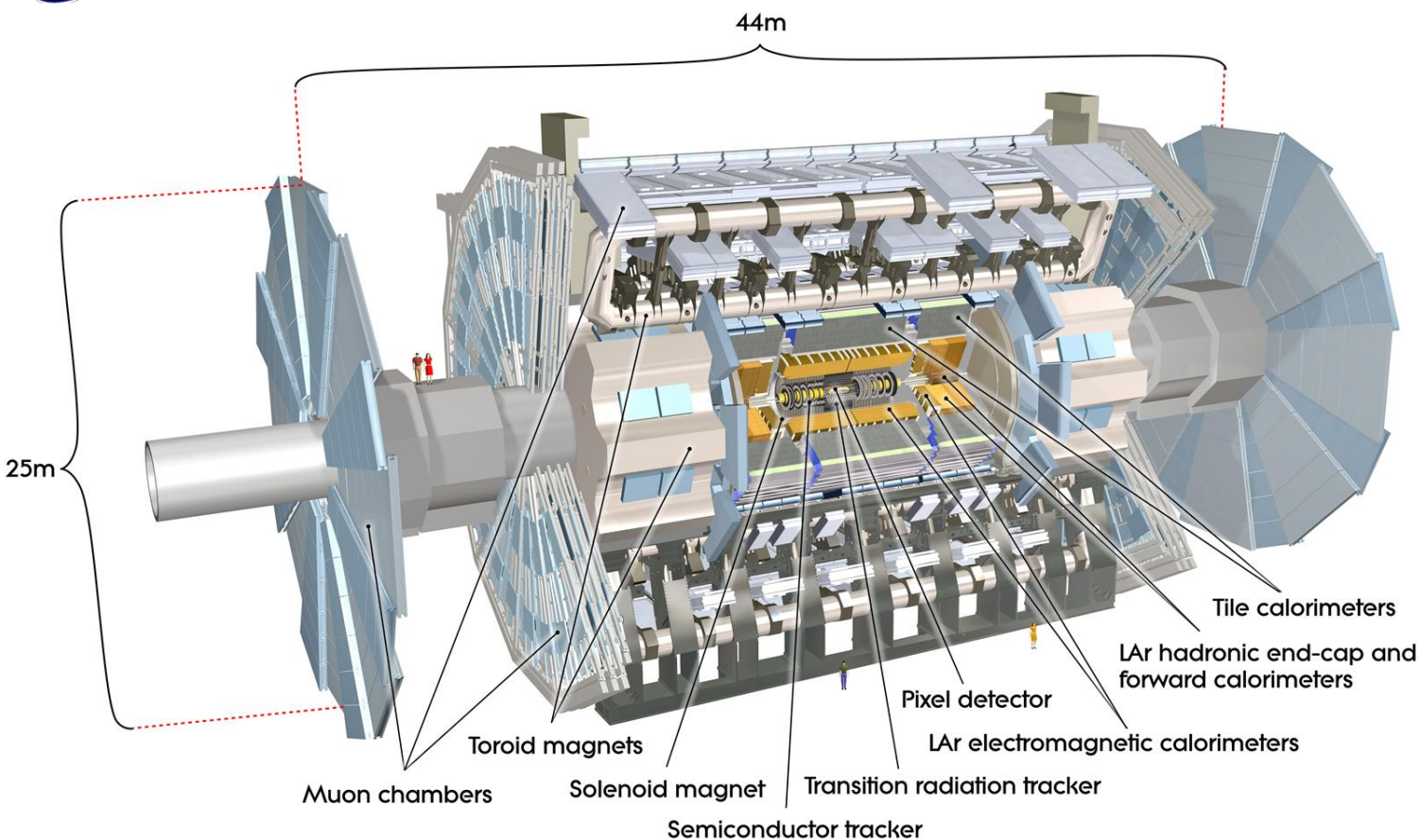


- **LHC:** proton-proton collisions at various c.o.m. energies
- **Run-II (2015–18):** $\sim 140 \text{ fb}^{-1}$ at 13 TeV

- **CMS** and **ATLAS:** two general-purpose detectors, cross check of each other's results



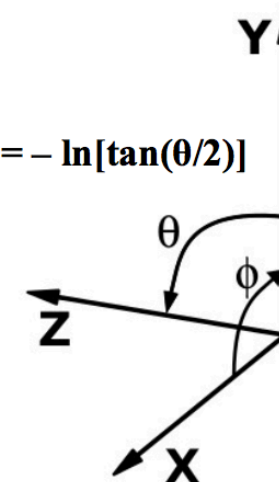
The ATLAS and CMS detectors

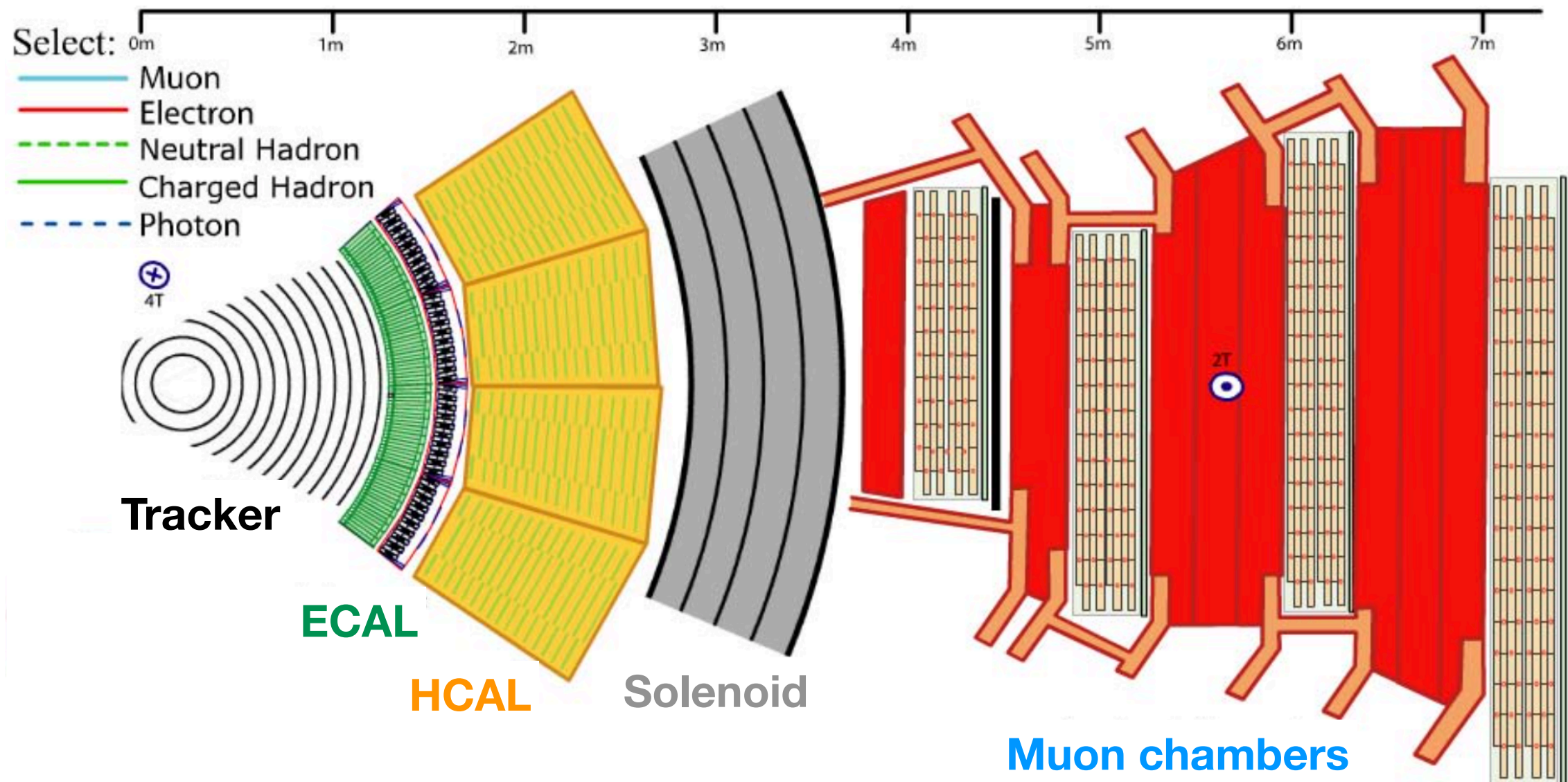


Z : beam axis ("longitudinal")

X-Y : "transverse" plane

$$\eta = -\ln[\tan(\theta/2)]$$

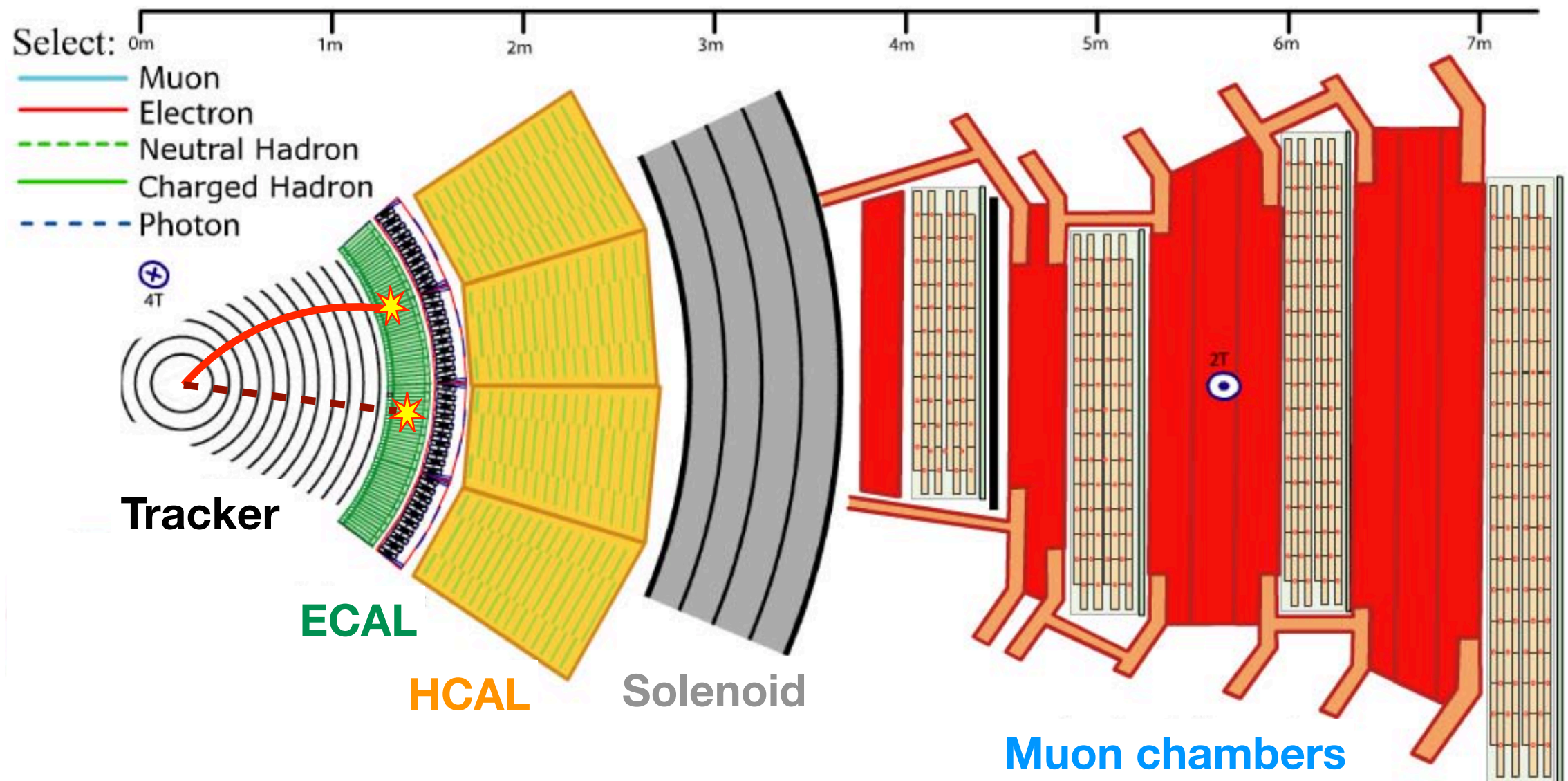




Electrons,
photons



ECAL + tracker



Electrons,
photons

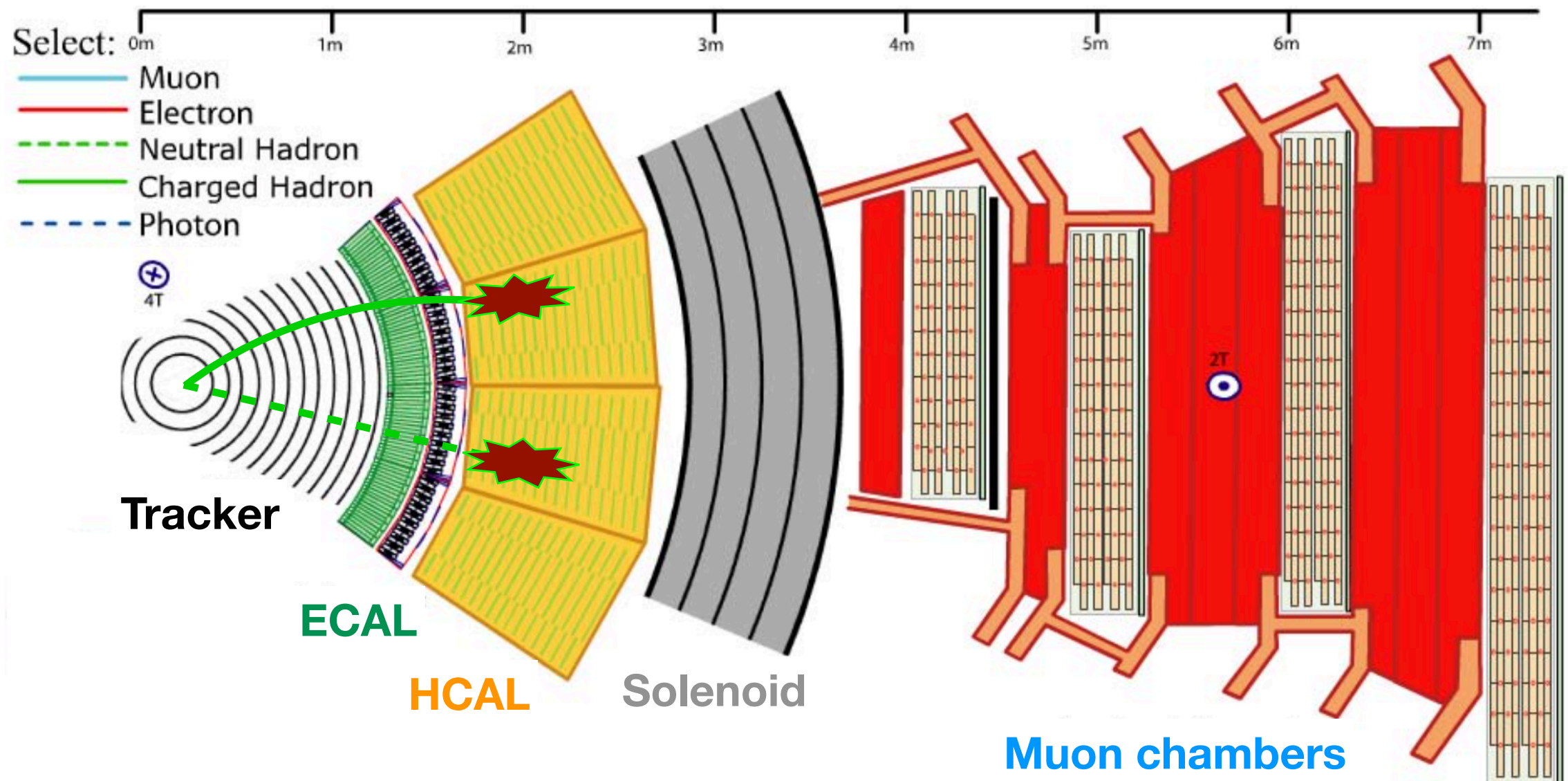


ECAL + tracker

Hadrons
(π , K, p, n)



HCAL + tracker



Electrons,
photons



ECAL + tracker

Hadrons
(π , K, p, n)

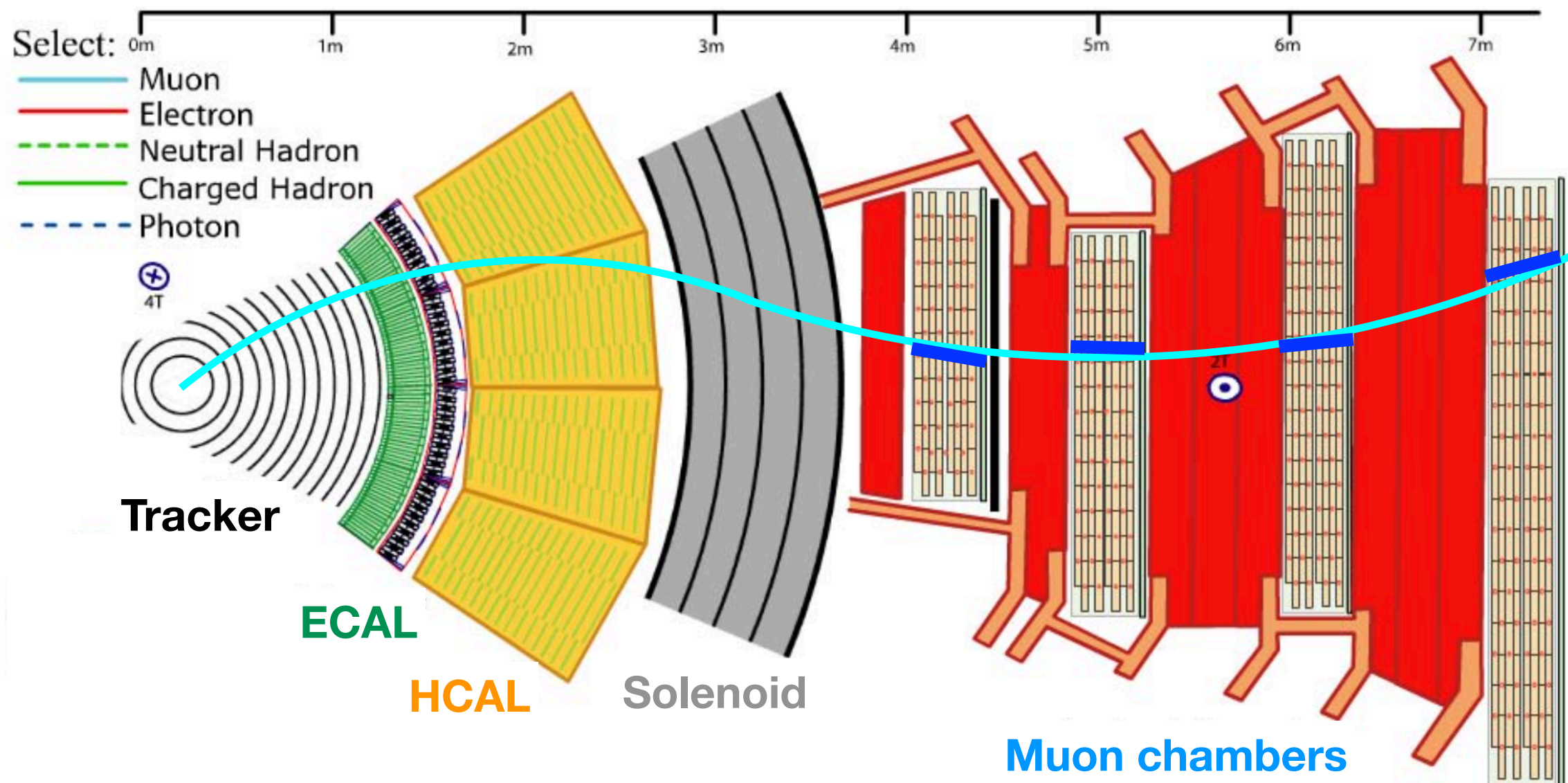


HCAL + tracker

Muons



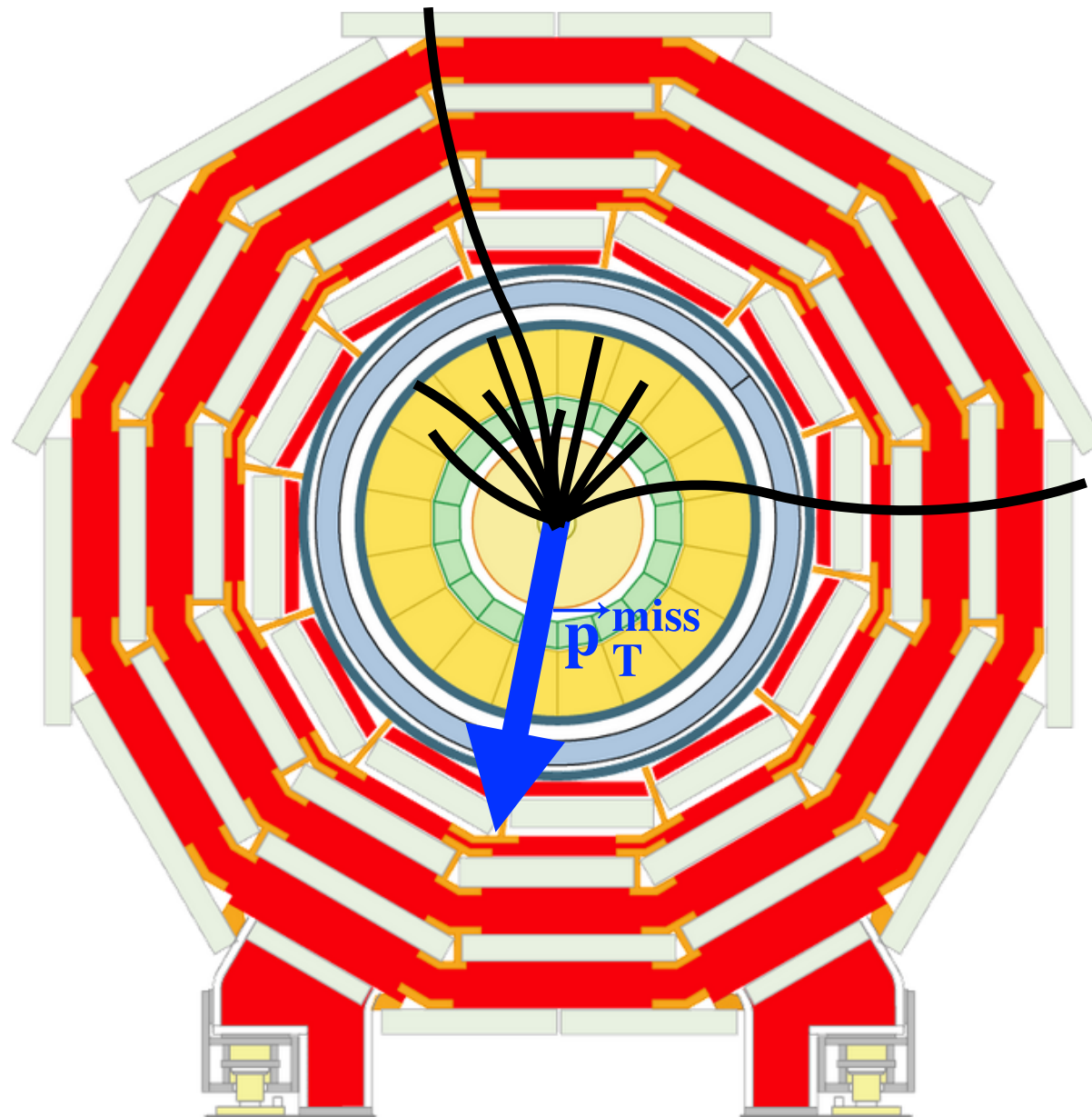
Muon chambers + tracker



Undetectable particles (neutrinos) can be measured collectively as an imbalance in the transverse momentum of all detected particles

⇒ missing transverse momentum:
$$\vec{p}_T^{\text{miss}} = - \sum_i \vec{p}_T^i$$

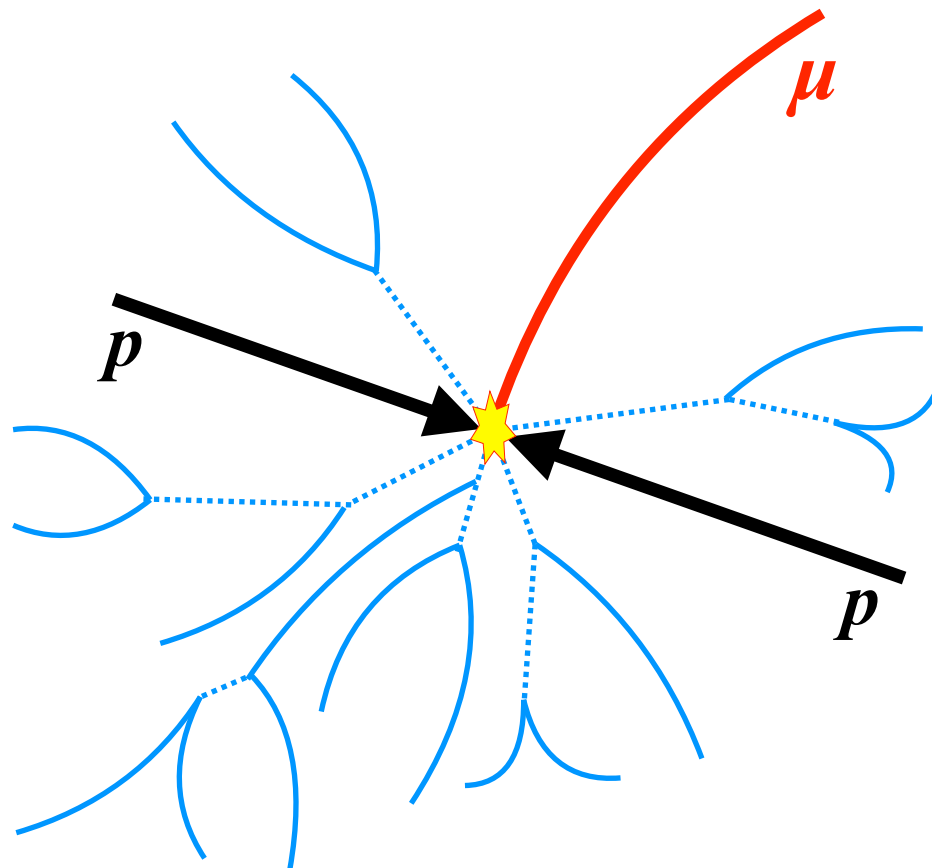
$$\text{MET} = \left| \vec{p}_T^{\text{miss}} \right|$$



- HNL signatures at the LHC are rich in **charged leptons**
 - Typically from decays of the **HNL** or **W, Z, H** bosons

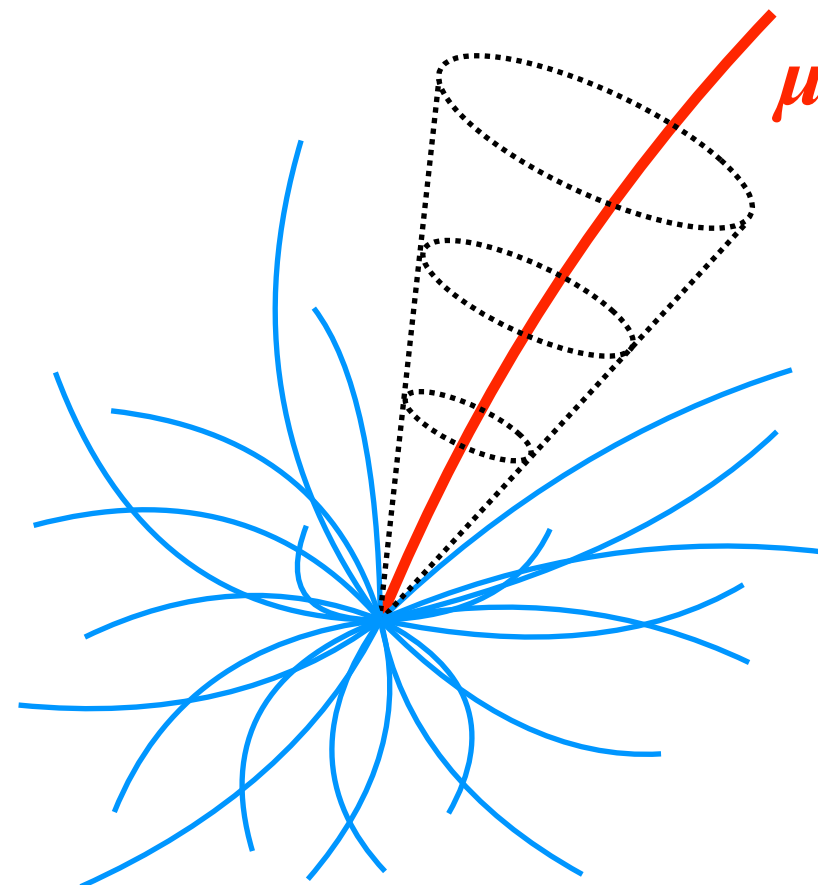
prompt

emerging directly from the primary proton-proton interaction vertex



isolated

from hadronic activity (other particles, tracks, energy deposits)





Common backgrounds

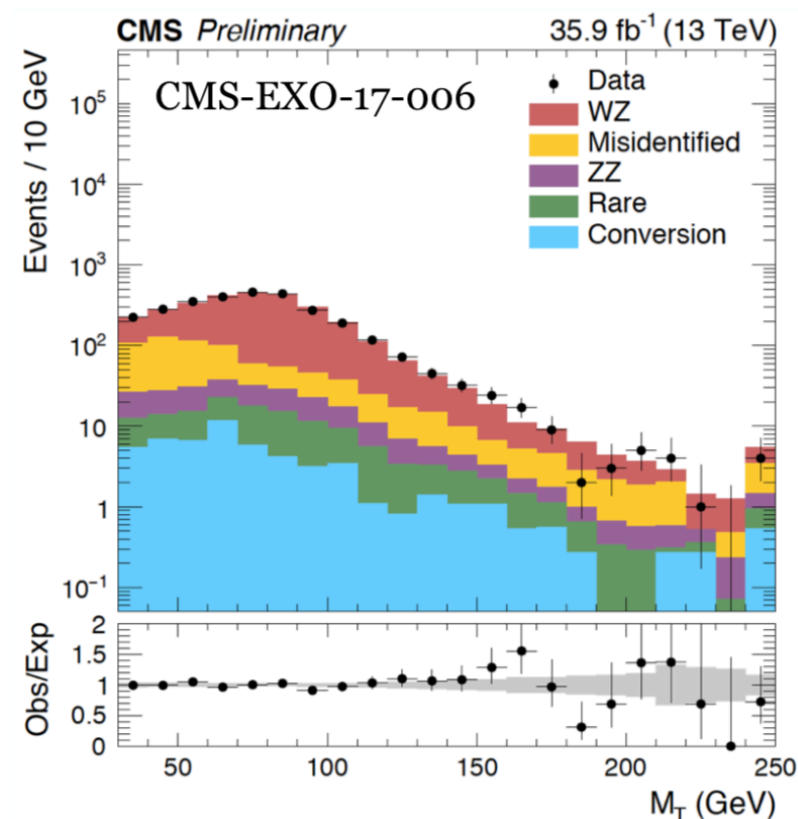


- Other SM processes can mimic HNL signatures

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Prompt leptons from multiple gauge-boson, top, or Higgs production: WW , WZ , ZZ , ttW , ttZ , ttH , etc.

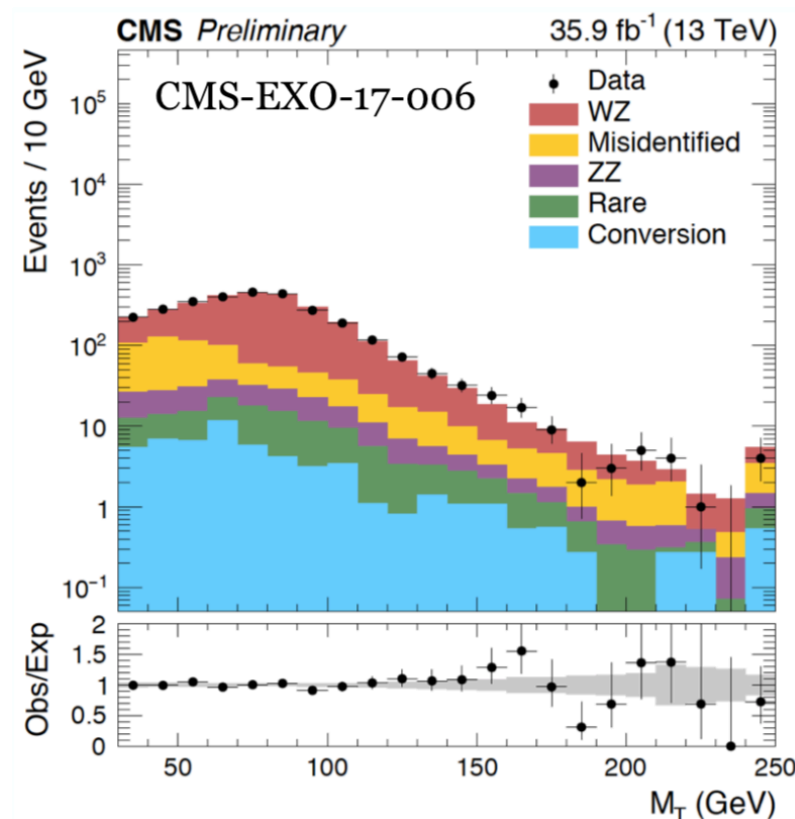
- Well reproduced by Monte Carlo generators
- Estimated with simulations



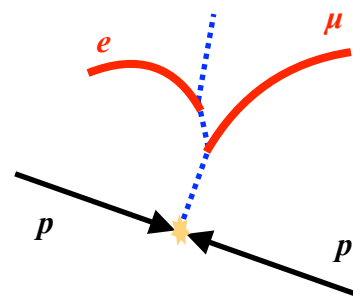
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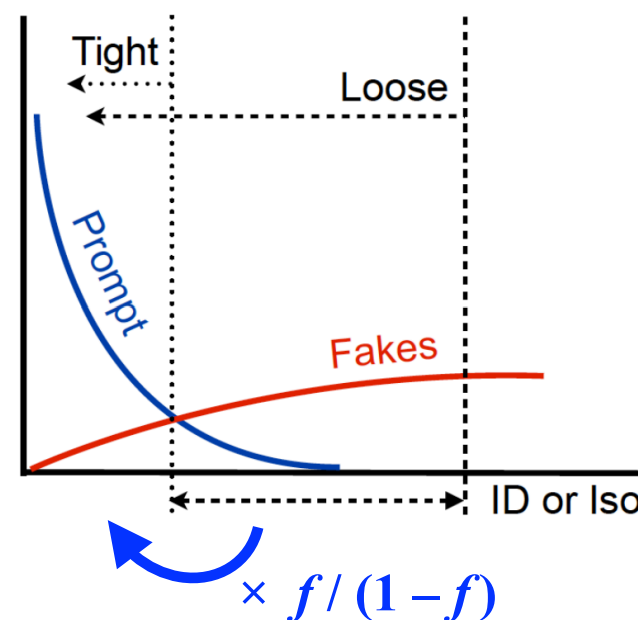
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Nonprompt leptons from hadron decays (e.g. B) or **misidentified hadrons and jets** → generally called "*fake leptons*"



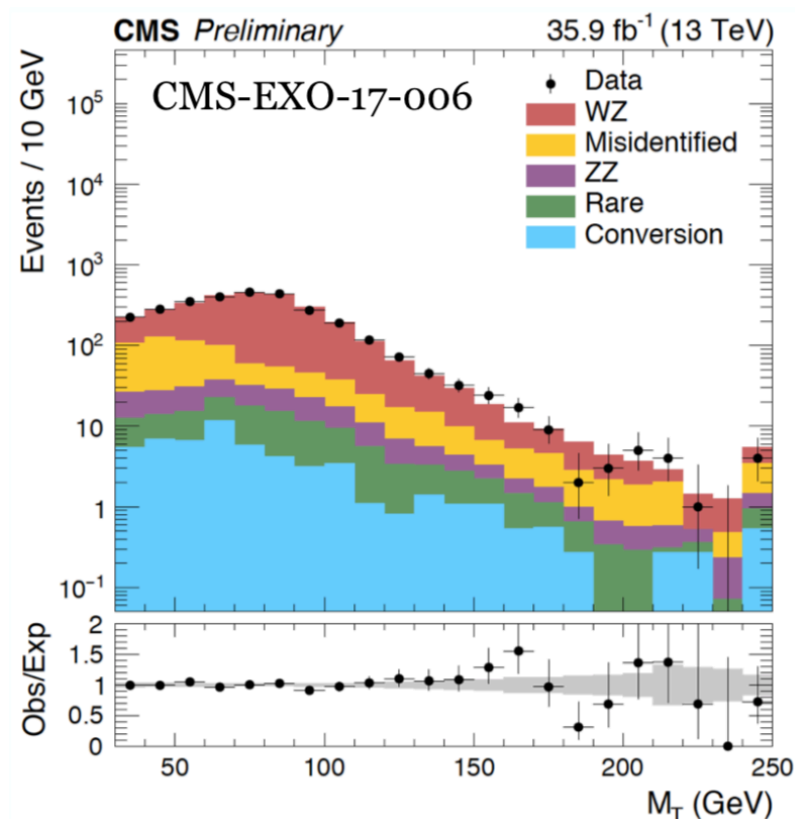
- Measured in data side-bands using the mis-ID probability or "fake-rate" f



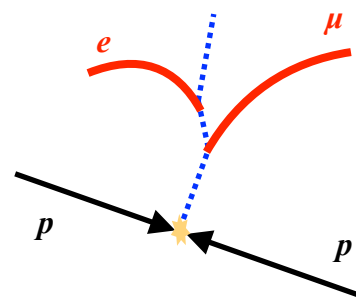
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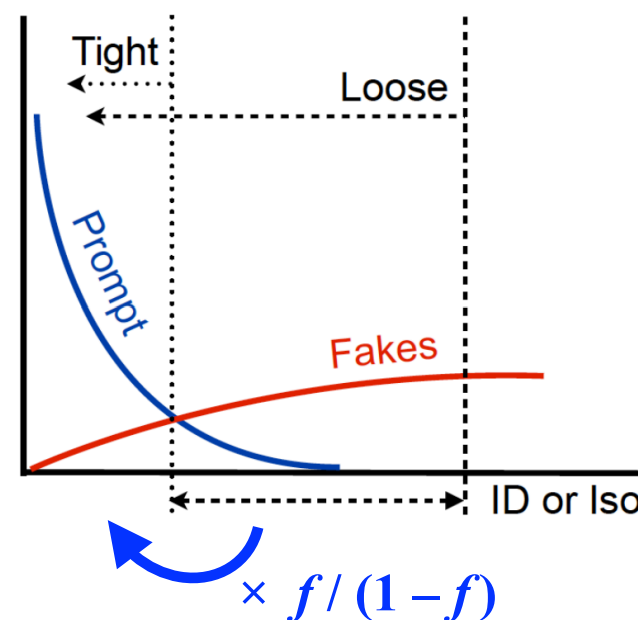
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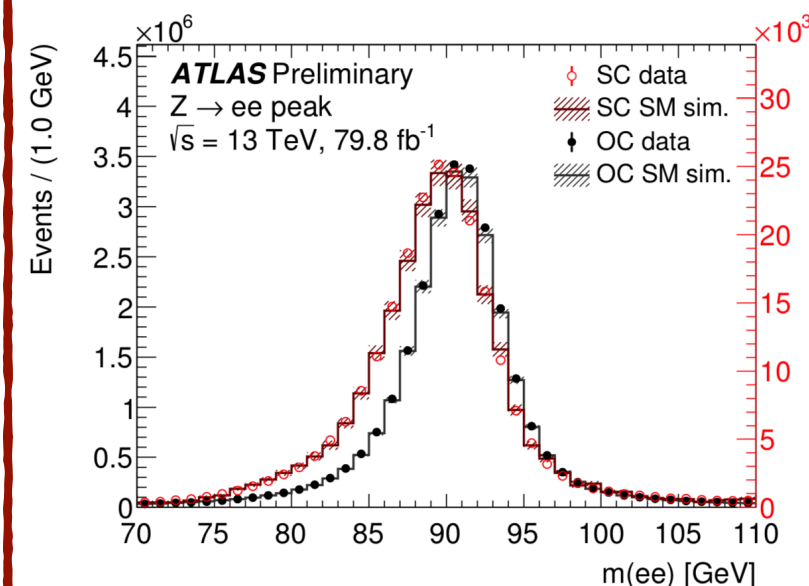


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Leptons (esp. electrons) with **mis-measured charge** can give rise to same-sign (SS) leptons — typical indicator of Majorana neutrinos

- Charge mis-ID probability measured from $Z \rightarrow ee$ events
- Simultaneous fit to OS $Z \rightarrow e^+e^-$ and SS $Z \rightarrow e^+e^+$ peaks



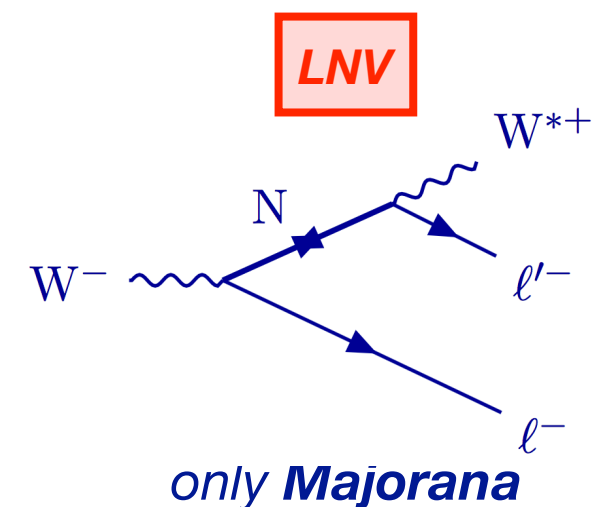
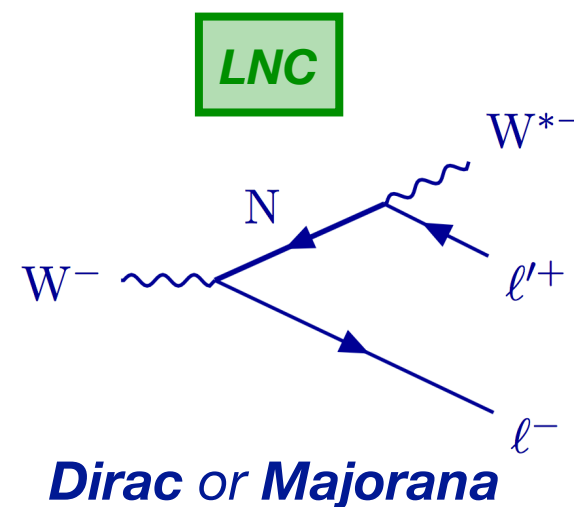
Type-I seesaw

Type-I: HNL production & decay

- Two parameters: HNL mass m_N and ν_ℓ -N mixing angle $|V_\ell|^2$

- Produced from W boson decays

- Conserving** or **violating** lepton number

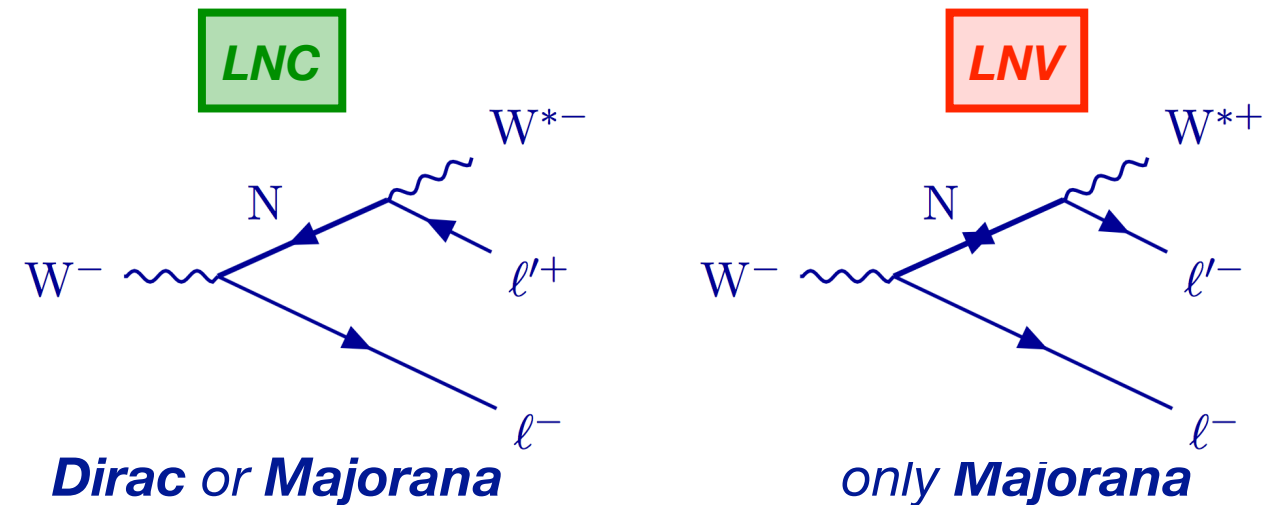


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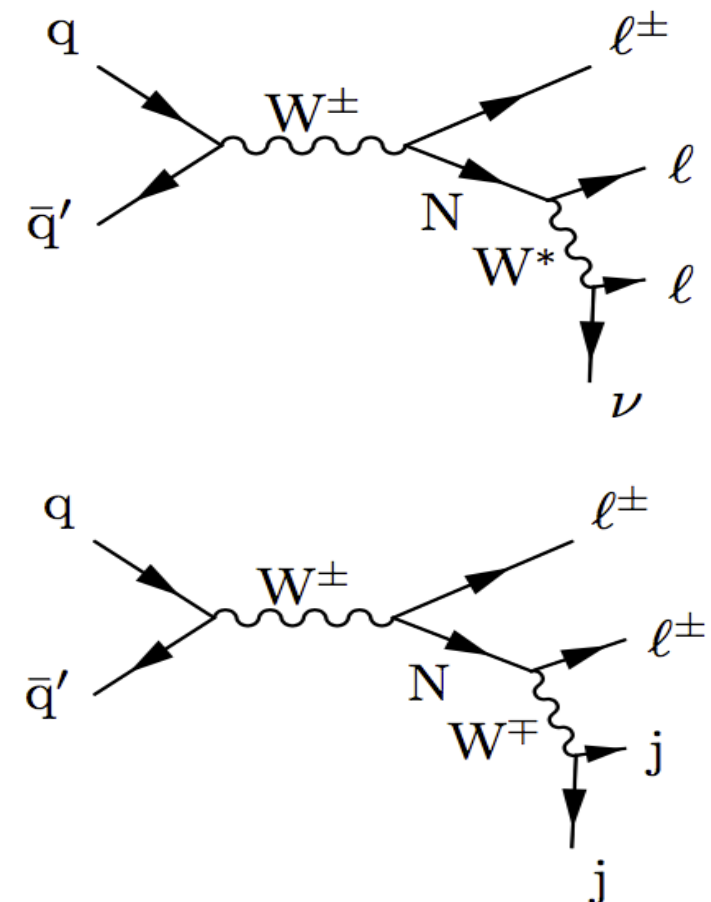
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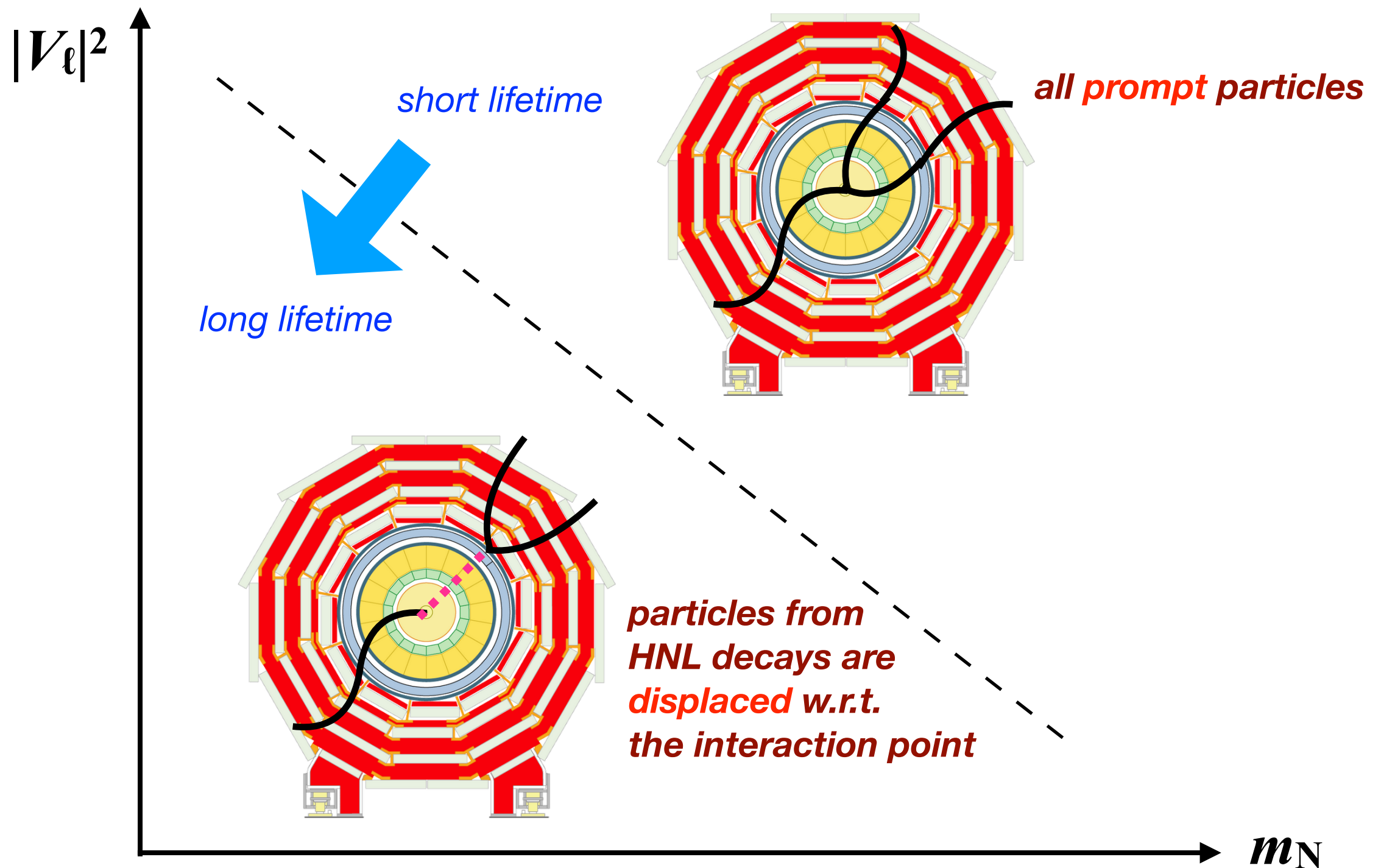
- Possible final states

- **$3\ell + \nu$** : lower background, but no m_N peak

- **$2\ell + 2q$** : large background, m_N measurable



- HNL lifetime $\tau \sim m_N^{-5} |V_\ell|^{-2}$





Type-I: trilepton channel (CMS)

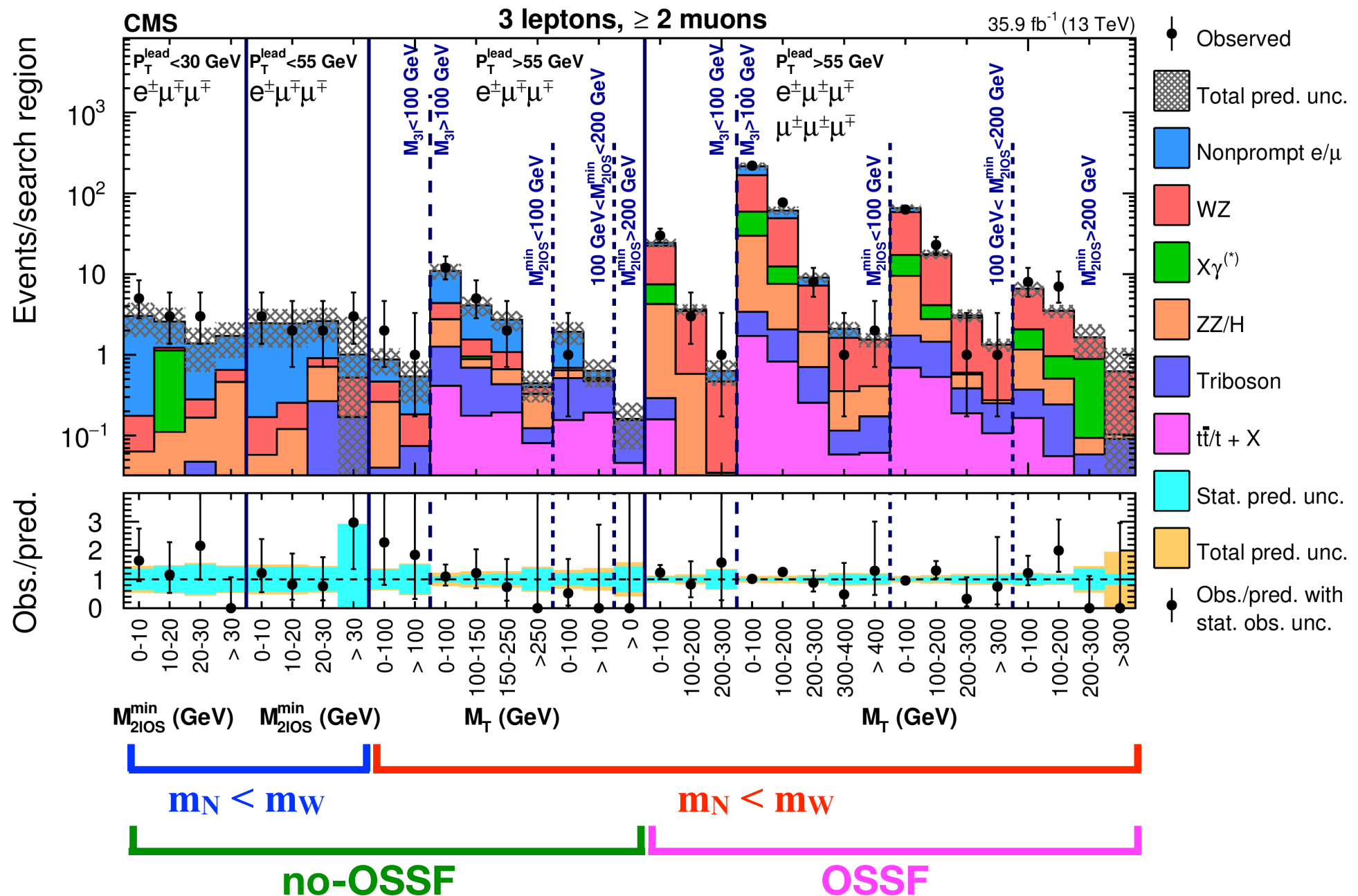


- Search for Majorana HNLs mixing with e and μ , with $m_N = 1 \text{ GeV} - 1.2 \text{ TeV}$
 - ▶ 33 search categories to target a large variety of kinematics ($m_N < m_W$ or $m_N > m_W$)
 - ▶ categorize by lepton p_T , 2ℓ and 3ℓ invariant mass, MET, presence of a lepton pair with opposite sign and same flavor (OSSF)

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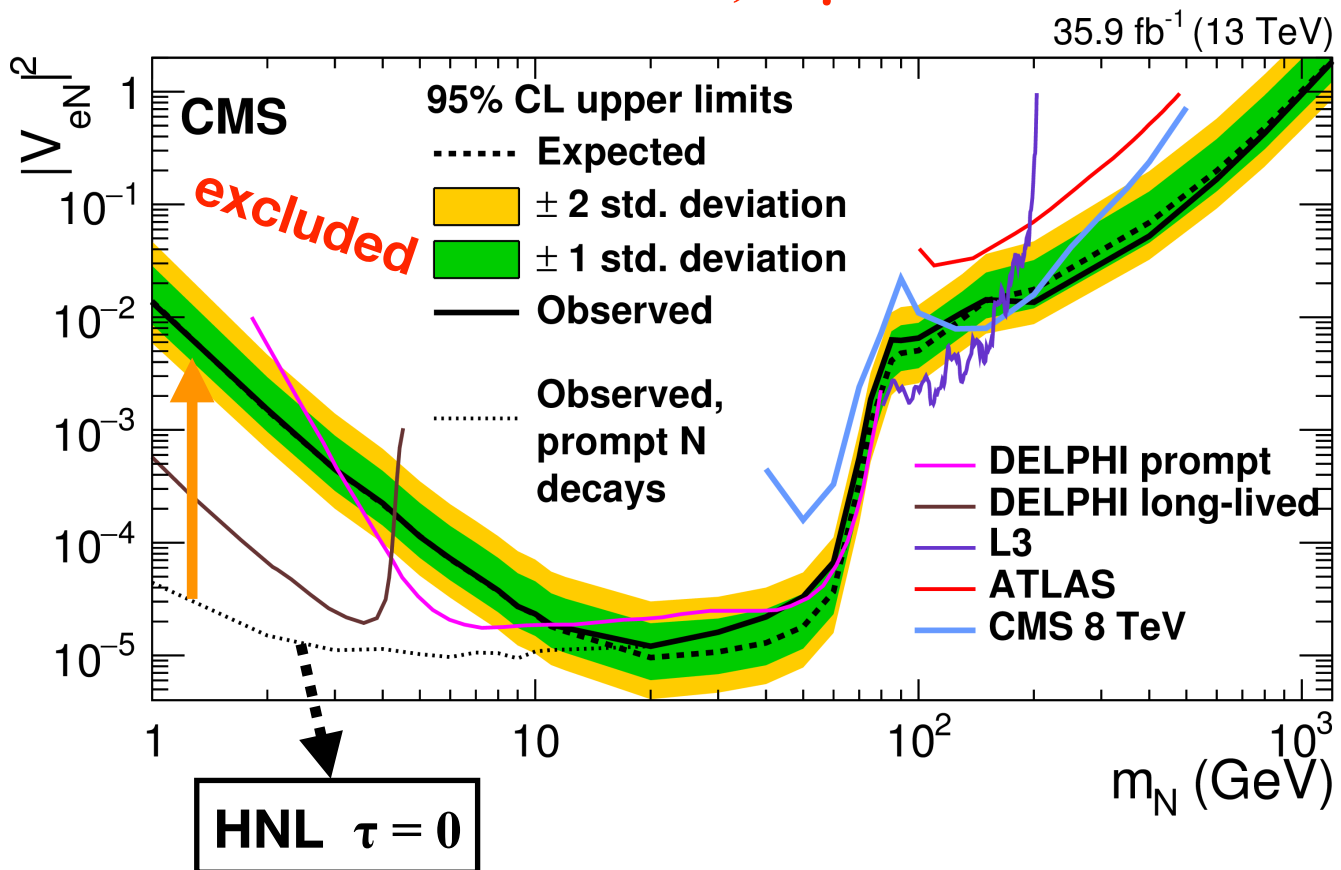
$\int L = 36 \text{ fb}^{-1}$



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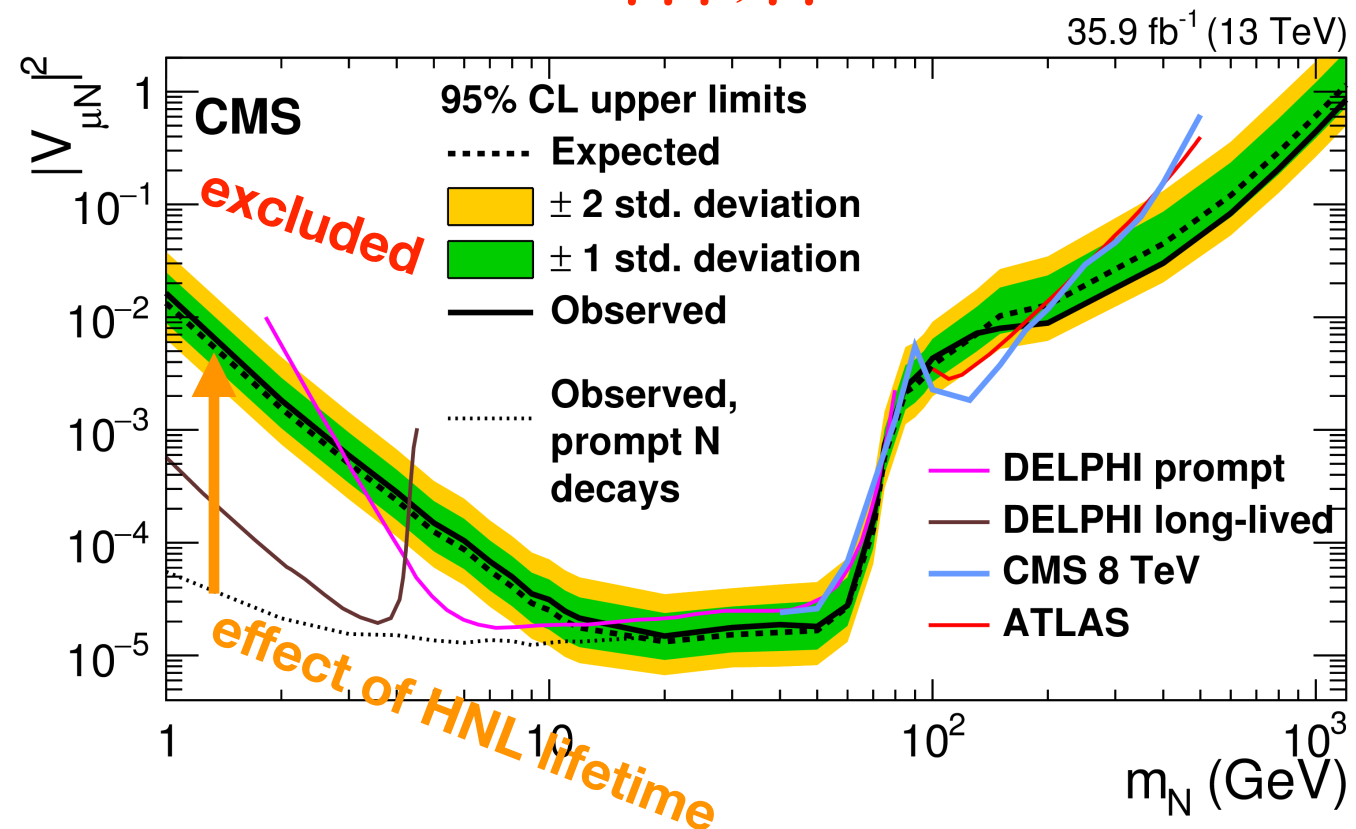
$$|V_{eN}|^2$$

eee, eeμ



$$|V_{\mu N}|^2$$

μμμ, μμe



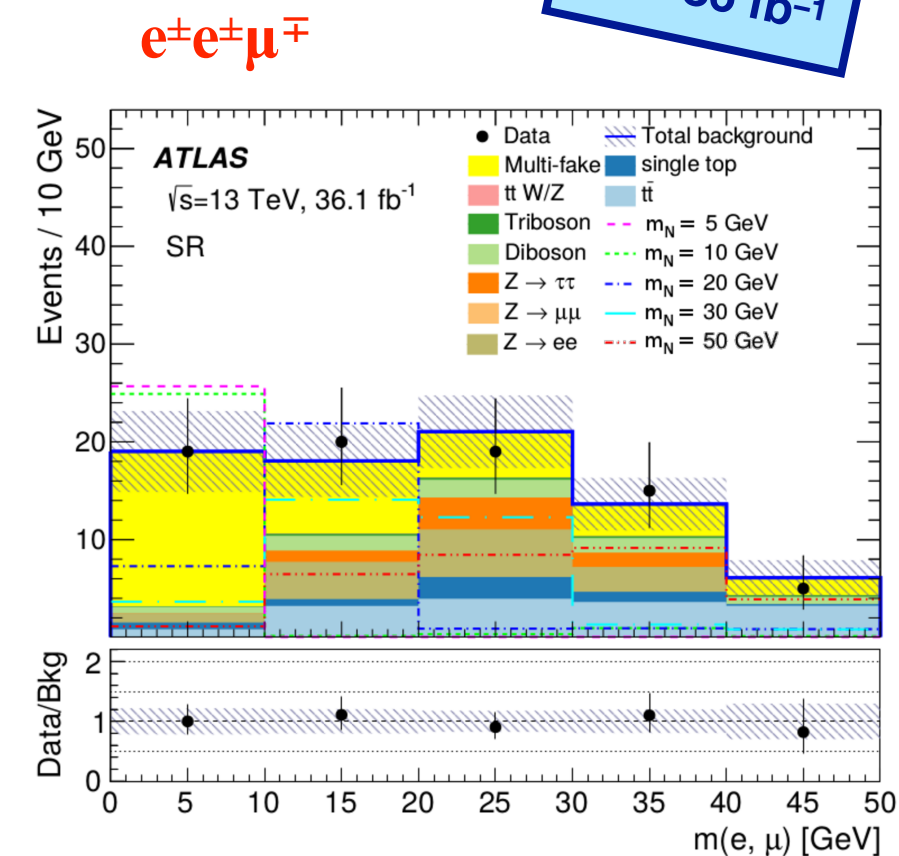
- Limits over 3 orders of magnitude in m_N , first results for $m_N > 500$ GeV
- Analysis optimized for prompt lepton signatures \Rightarrow drastic loss of sensitivity for $m_N < 20$ GeV

[Phys. Rev. Lett. 120, 221801 \(2018\)](#)

Type-I: trilepton channel (ATLAS)

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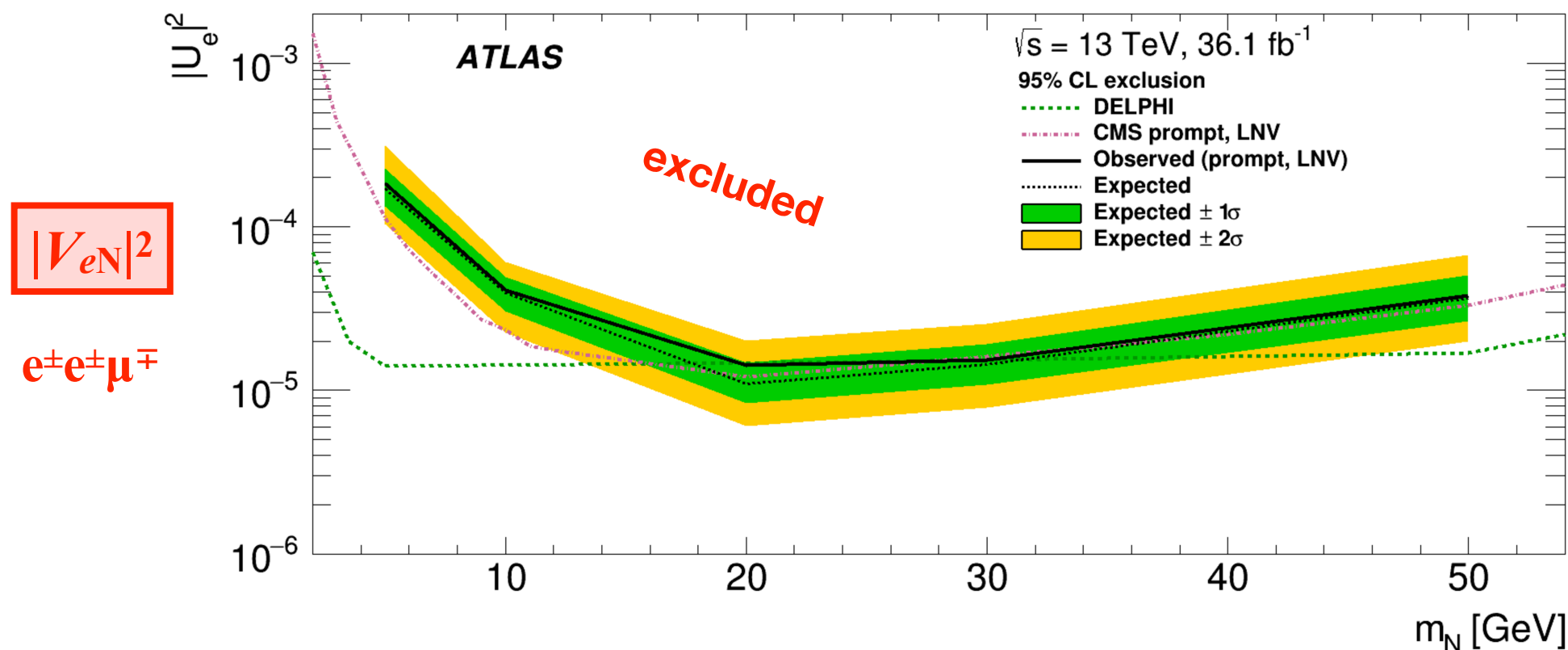
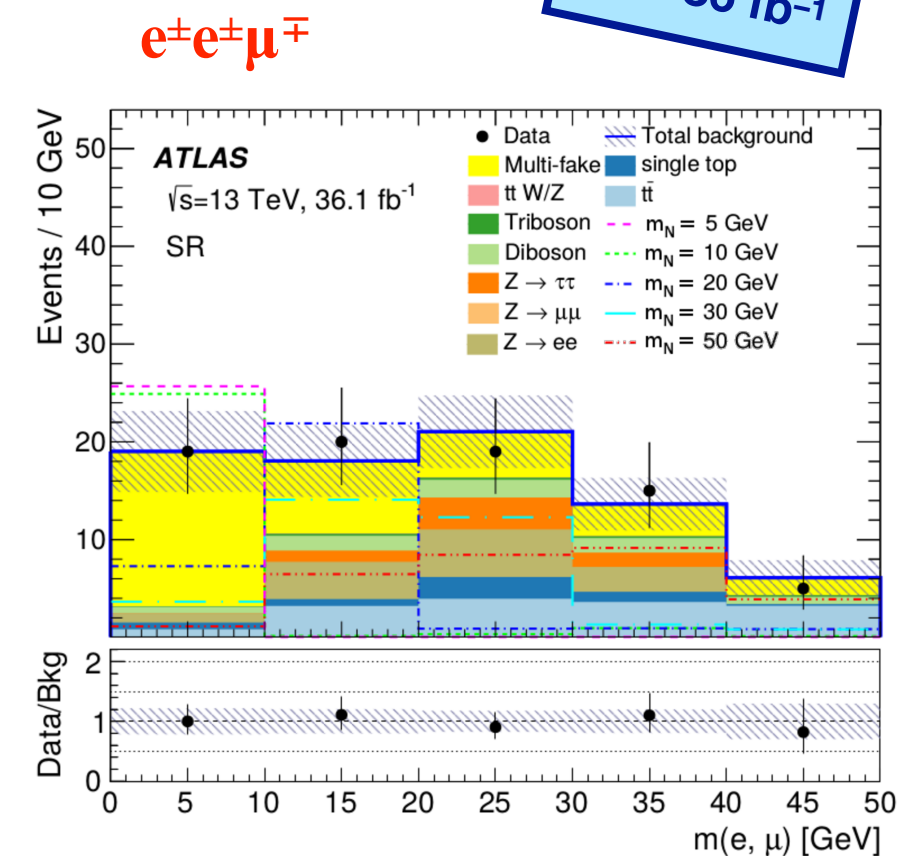
- Similar search, focused on the region of highest sensitivity
 - ▶ $m_N = 5\text{--}50 \text{ GeV}$, no OSSF pairs (only LNV decays)
 - ▶ selections on trilepton mass, MET, b-jet veto
 - ▶ main backgrounds controlled in data:
 - top (normalization),
 - W+jets and multi-jet (normalization and shape)
 - ▶ simultaneous fit to signal and control regions



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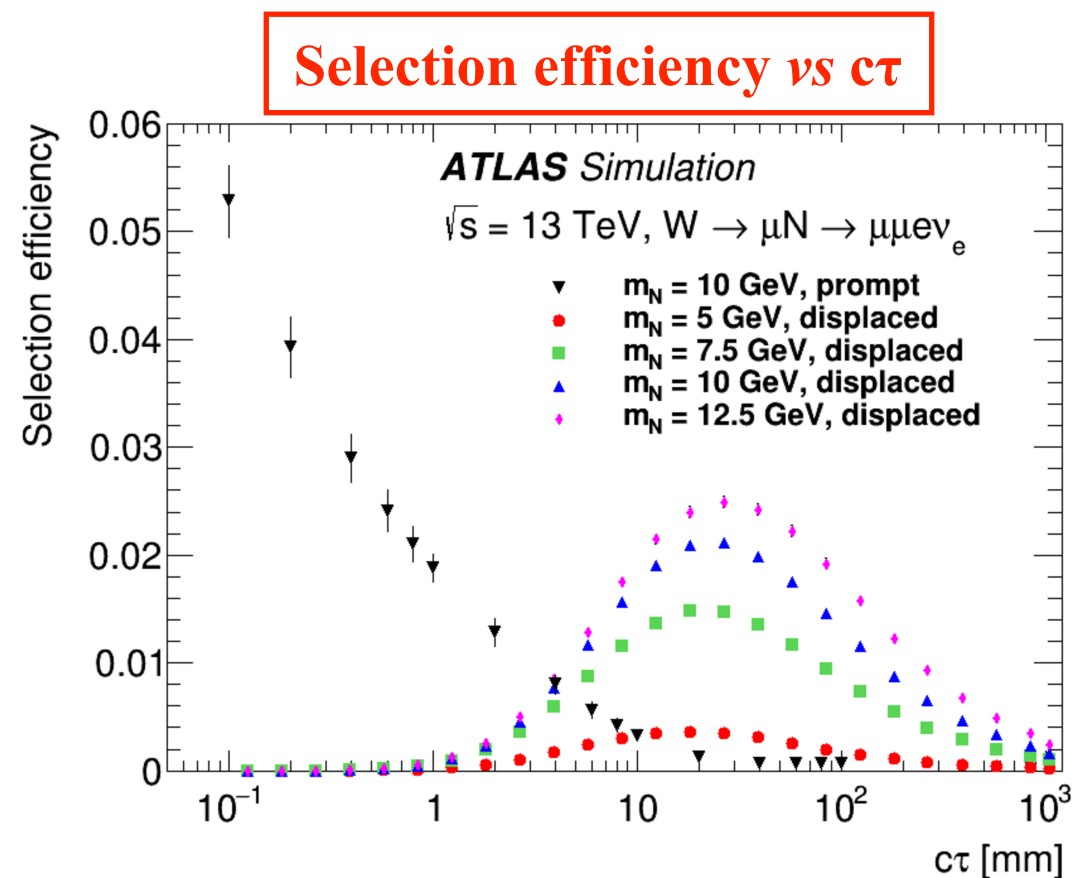
CMS

DELPHI

Type-I: trilepton channel (ATLAS)

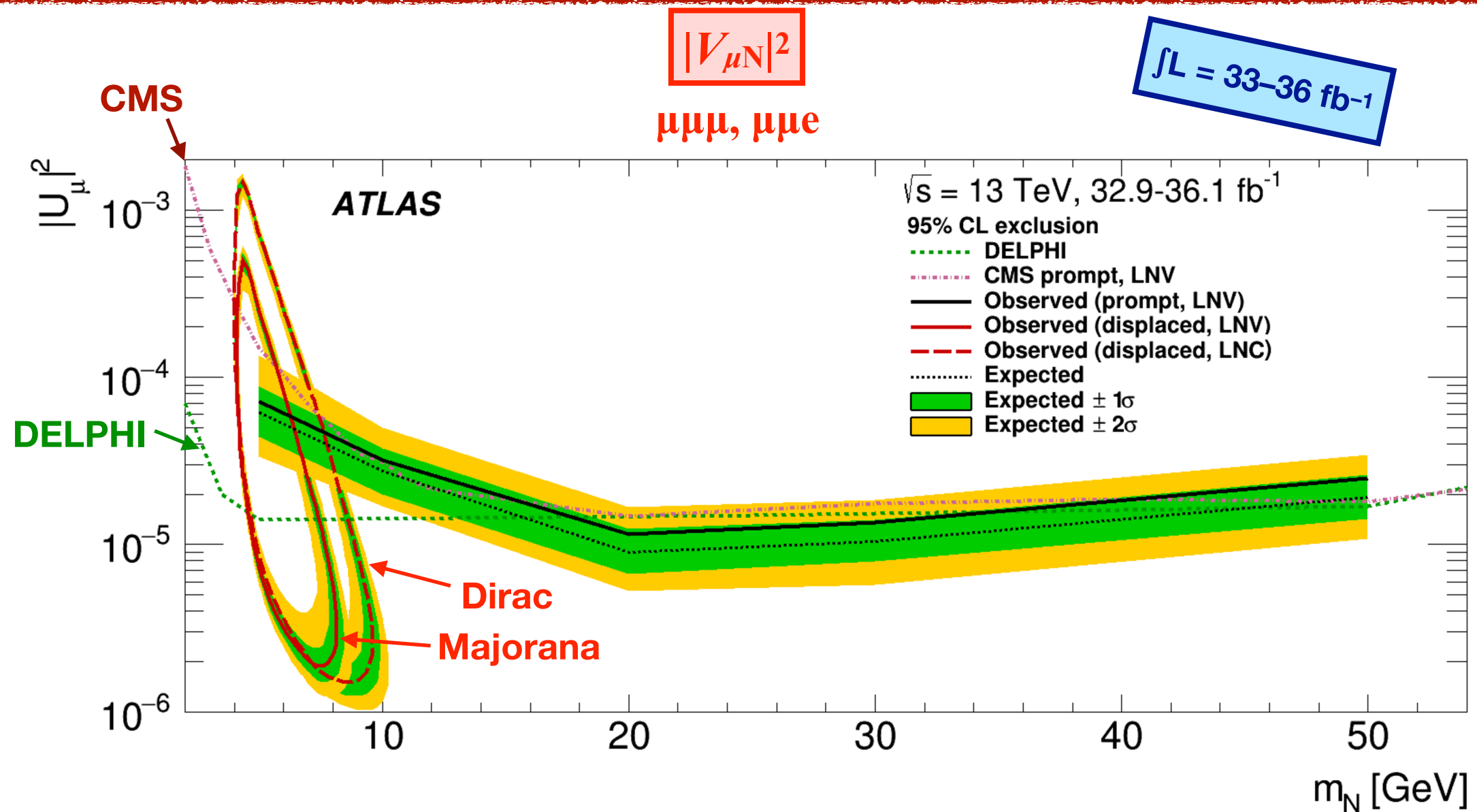
- For $m_N = 4.5\text{--}10$ GeV (long-lived HNL), dedicated large-radius tracking for nonprompt leptons

- Only muon couplings $V_{N\mu}$, both LNV and LNC decays
- Dilepton displaced vertex (DV):
 - radius $r_{DV} = 4\text{--}300$ mm
 - mass $m_{DV} > 4$ GeV



- Nonstandard backgrounds, carefully controlled in data
 - decays of metastable particles, hadron interactions in the material, and cosmic muons: negligible for $m_{DV} > 4$ GeV
 - contribution from accidental particle crossings estimated using SS two-track DVs

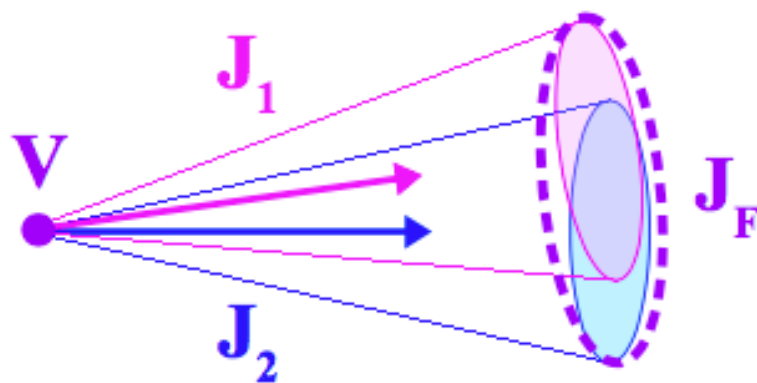
Type-I: trilepton channel (ATLAS)



- First HNL search at ATLAS+CMS using **displaced vertices**!
- Majorana HNL has 1/2 lifetime than Dirac HNL \Rightarrow weaker limits
- Width of the limit contour corresponds to decay lengths of **1–30 mm**

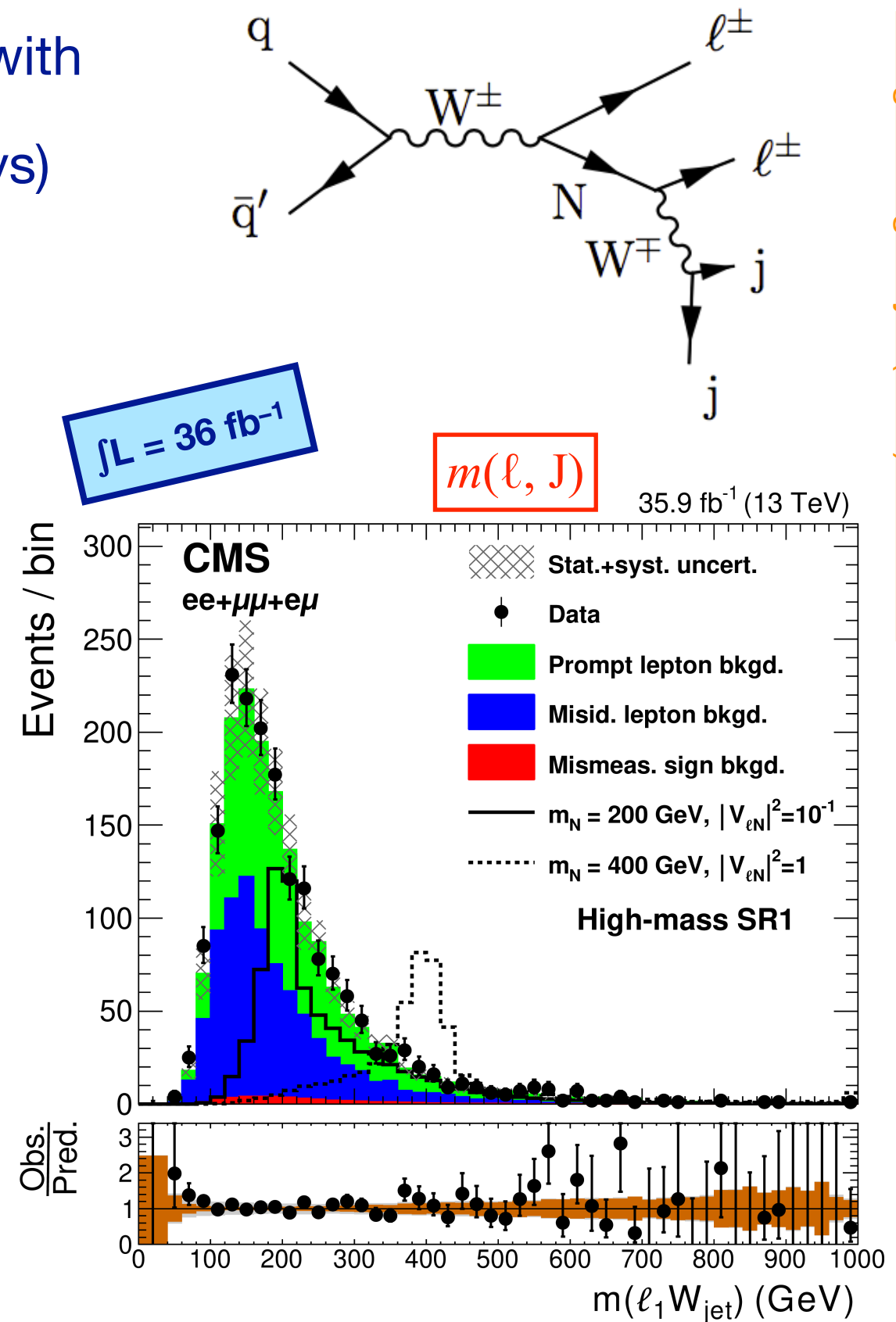
Type-I: dilepton channel (CMS)

- HNL with mass 20 GeV–1.7 TeV in events with
 - ▶ 2 same-sign leptons (only LNV decays)
 - ▶ 2 jets or 1 boosted, large-cone jet

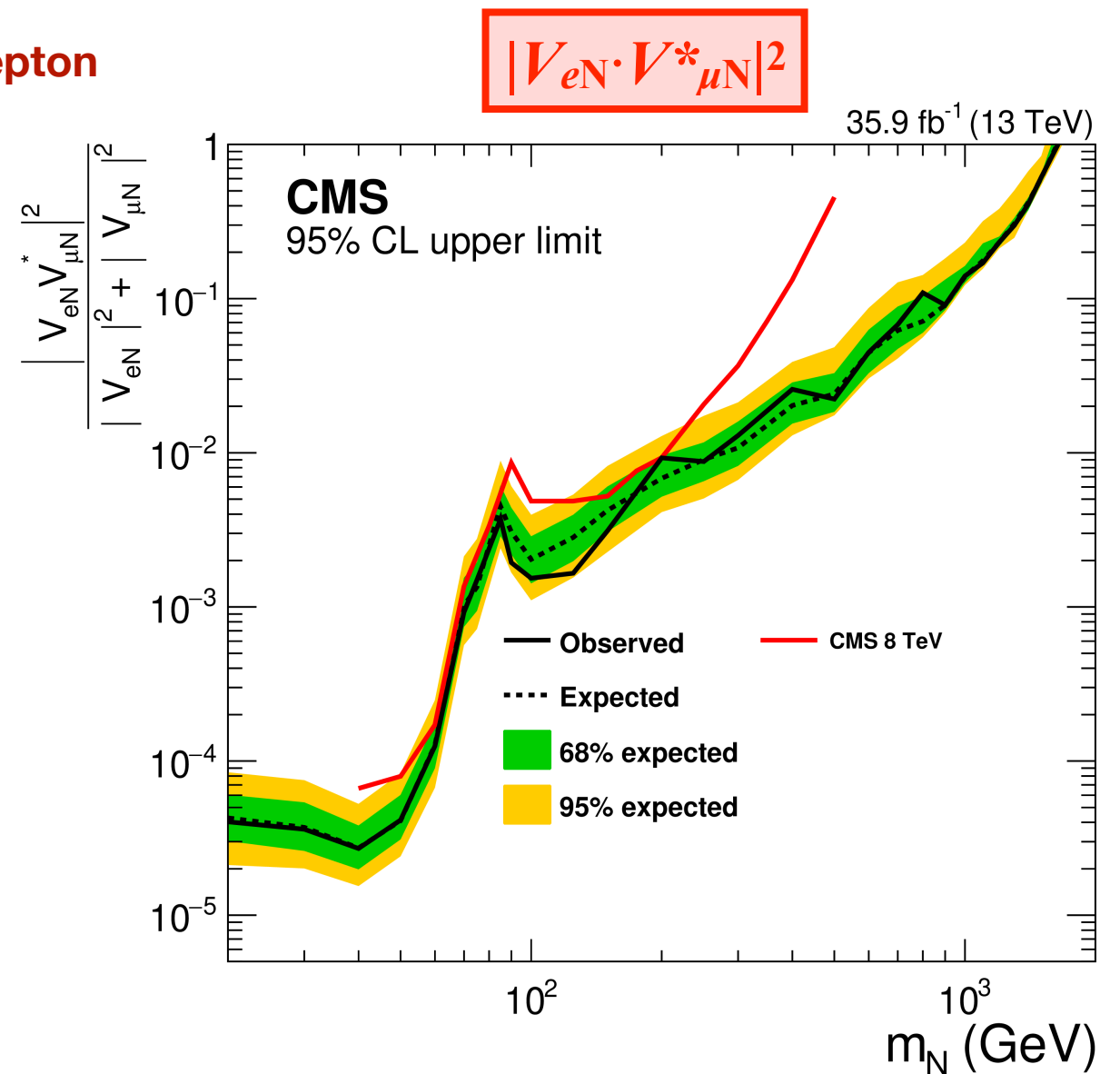
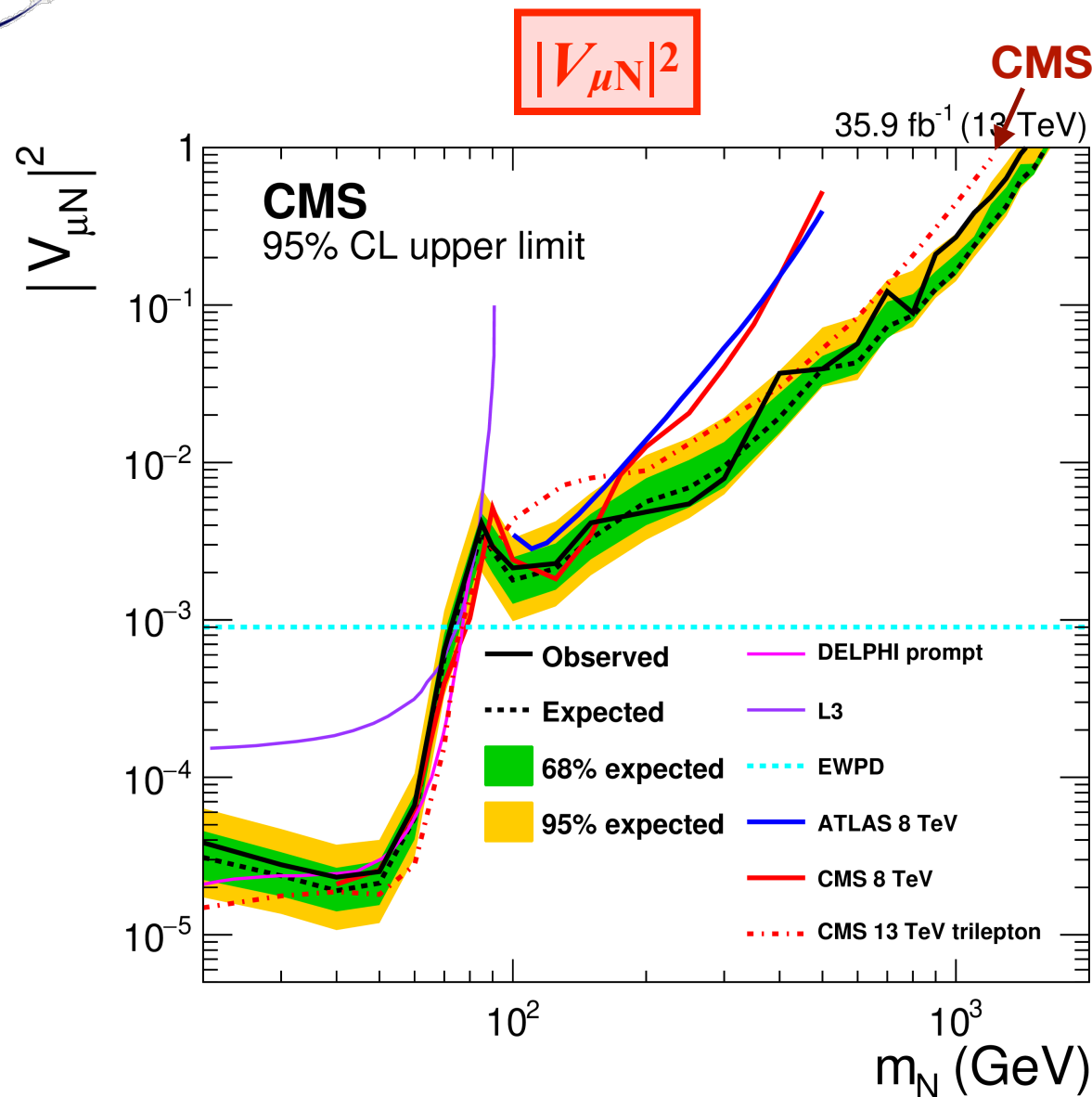


- ▶ flavor combinations:

$$\begin{array}{ccc}
 e^\pm e^\pm, & \mu^\pm \mu^\pm, & e^\pm \mu^\pm \\
 \downarrow & \downarrow & \downarrow \\
 |V_{eN}|^2, & |V_{\mu N}|^2, & \frac{|V_{eN} V_{\mu N}^*|^2}{|V_{eN}|^2 + |V_{\mu N}|^2}
 \end{array}$$



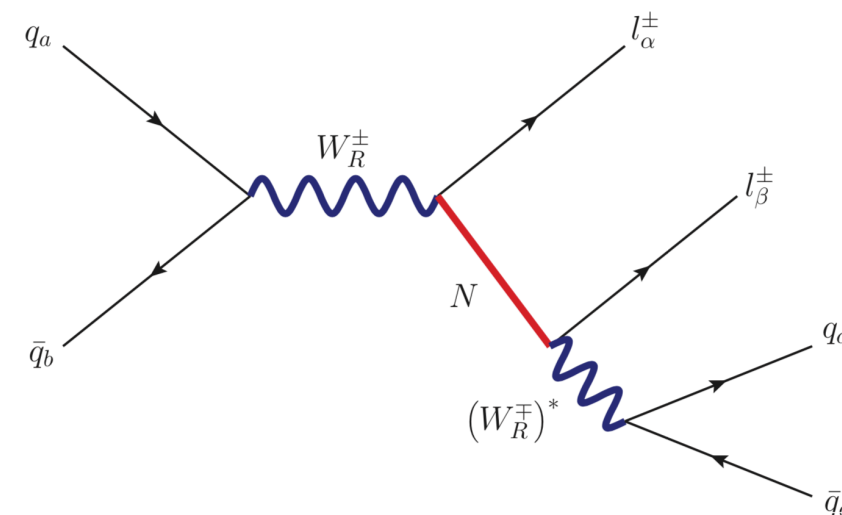
Type-I: dilepton channel (CMS)



- Largest range of m_N ever tested by a single analysis!
- Stronger limits than 3ℓ search for $m_N > m_W$
- Limits on the lepton-flavor violating coupling $|V_{eN} V_{\mu N}^*|^2$

Left-Right Symmetric Model

- Signature: $2\ell + 2 \text{ jets}$
 - $\ell = e, \mu$: same flavor, any charge combo
 - strict LR symmetry: $g_R = g_L$
 - free parameters: W_R and N_R masses



CMS

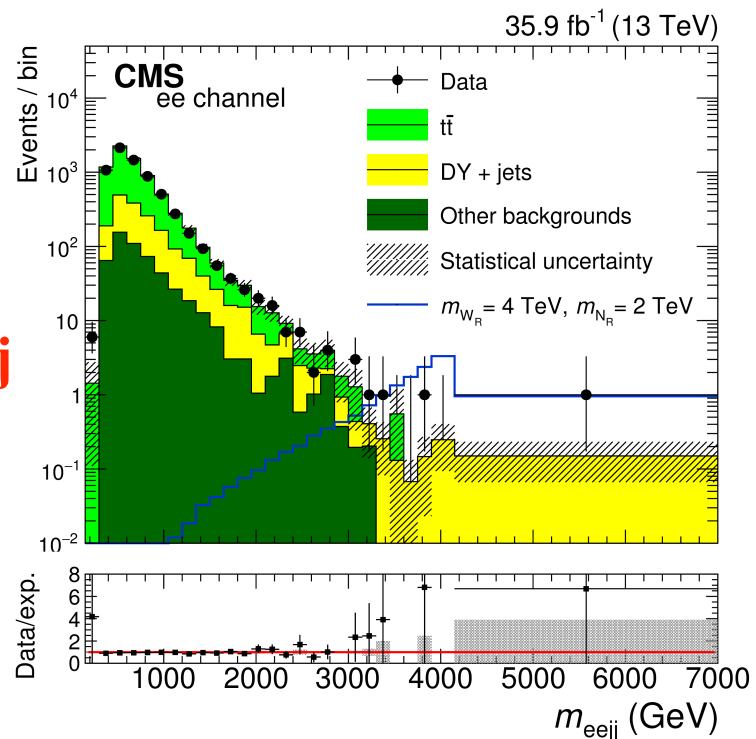
- no distinction between OS and SS pairs (only N_R Majorana interpretation)
- main backgrounds (top, Z+jets) measured in data
- for each W_R mass hypothesis, simple counting experiment in a $m(\ell\ell jj)$ window

ATLAS

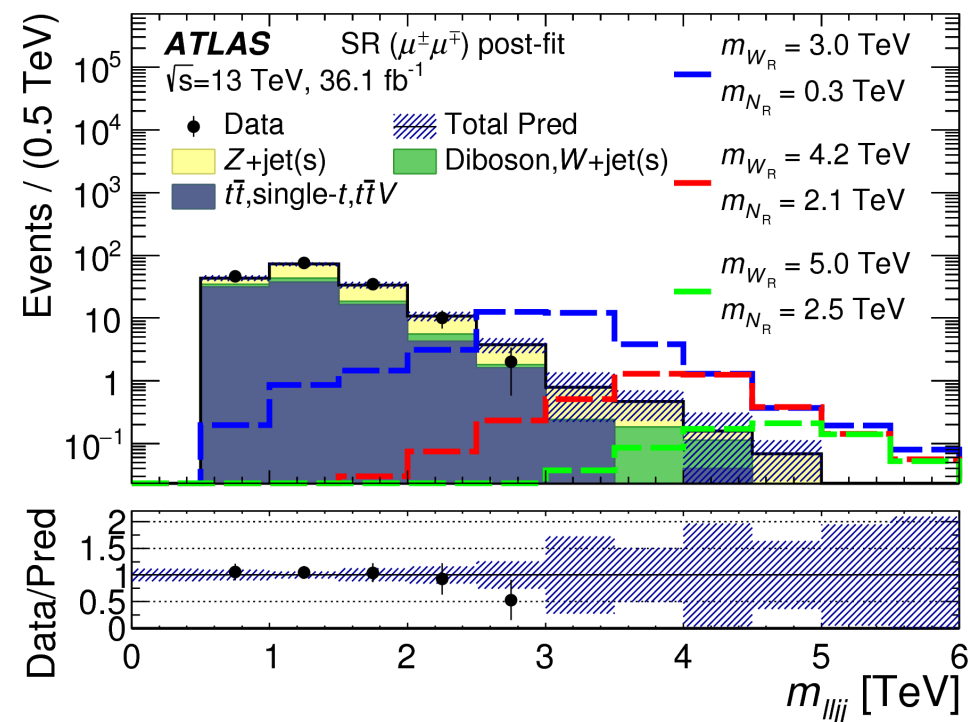
- separate OS and SS for Majorana/Dirac interpretation
- background control regions fitted simultaneously with signal region
- shape analysis using different variables for different mass regimes, in part. $m(\ell\ell jj)$ and $m(jj)$

Left-Right Symmetric Model

CMS, $eejj$
OS + SS



Mass of W_R
candidate



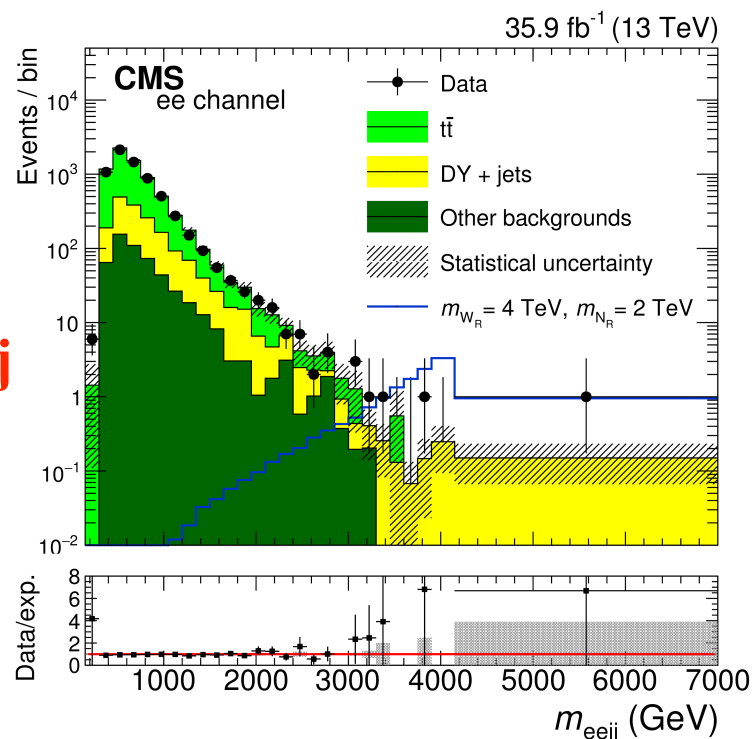
$\int L = 36 \text{ fb}^{-1}$

ATLAS, $\mu\mu jj$
OS only

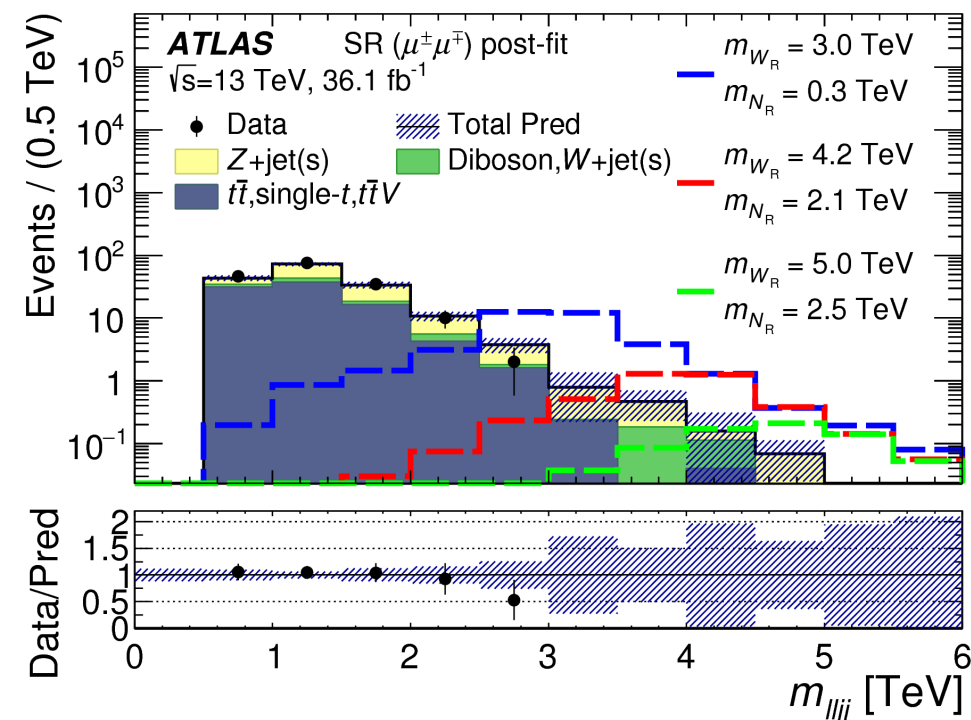
Left-Right Symmetric Model

$\int L = 36 \text{ fb}^{-1}$

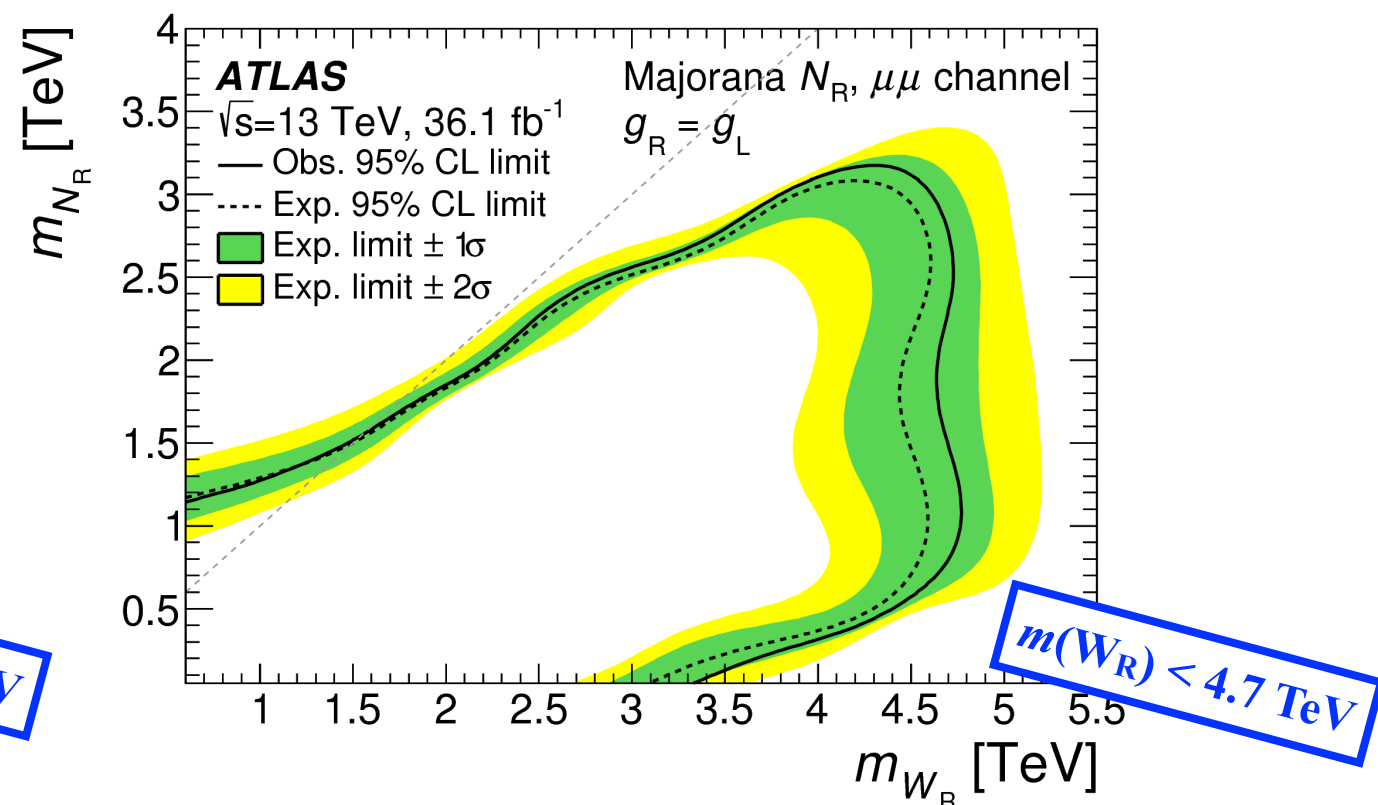
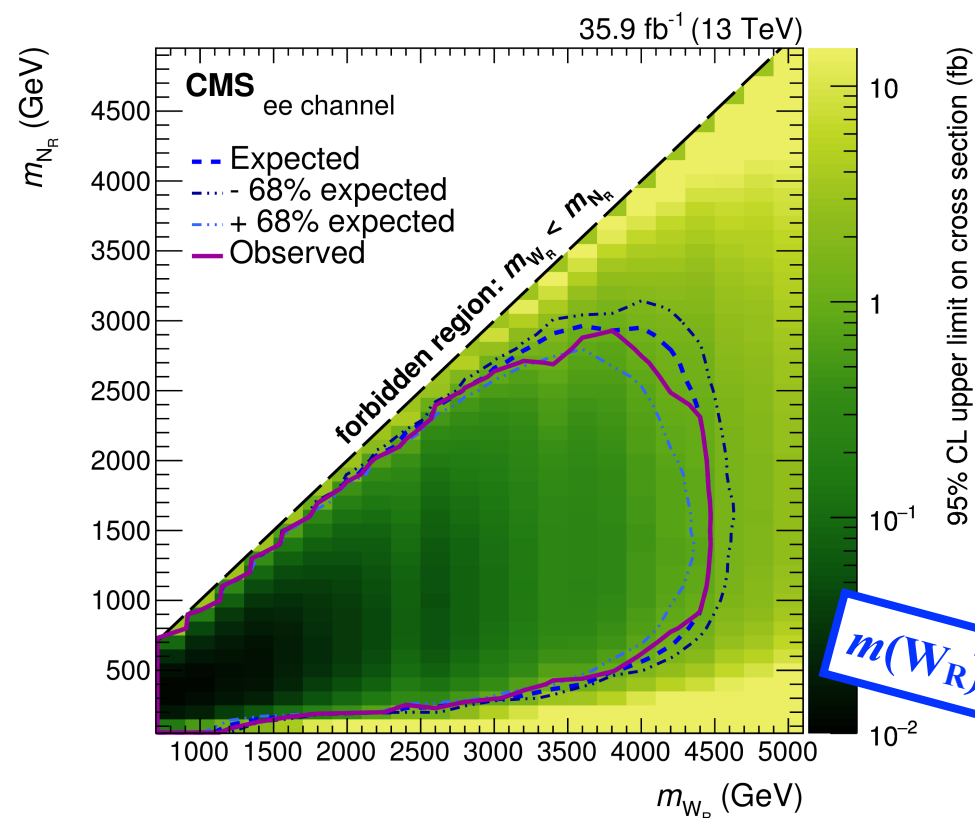
CMS, $eejj$
OS + SS



Mass of W_R
candidate



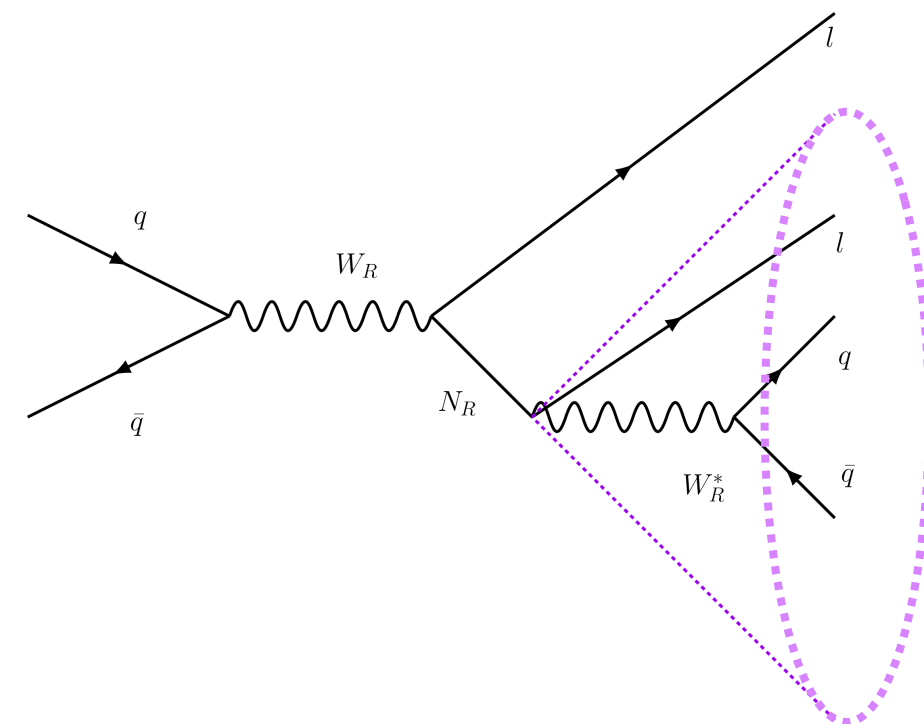
ATLAS, $\mu\mu jj$
OS only



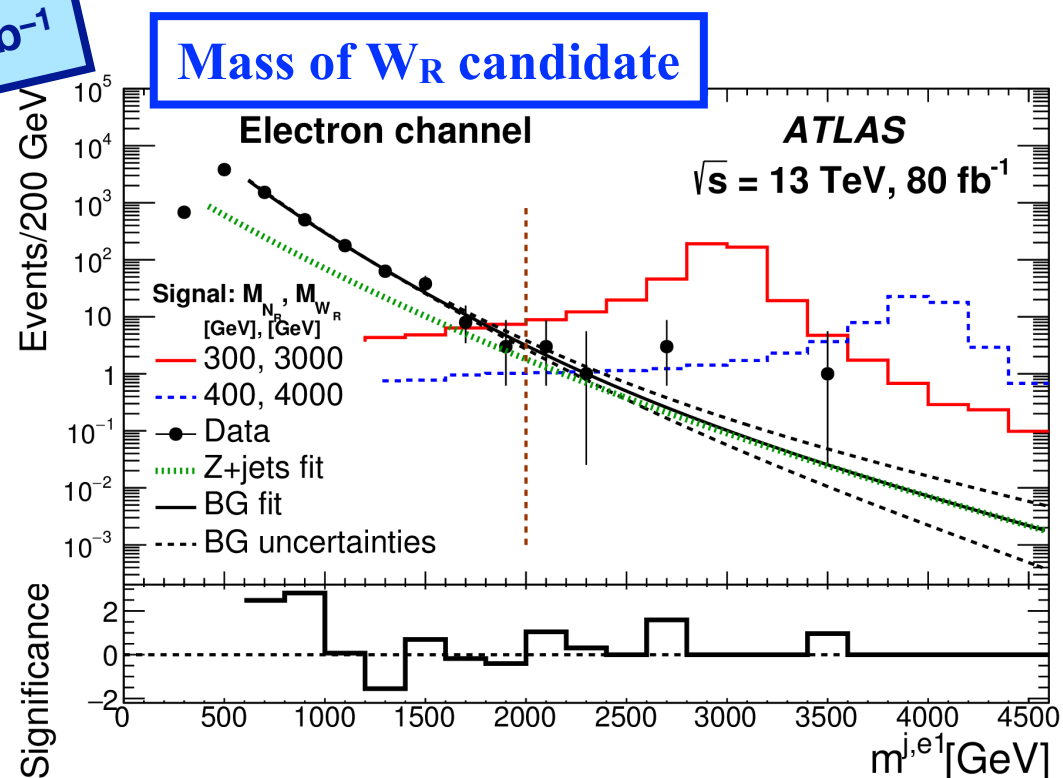
JHEP05(2018)148

J. High Energy. Phys. (2019) 2019: 16

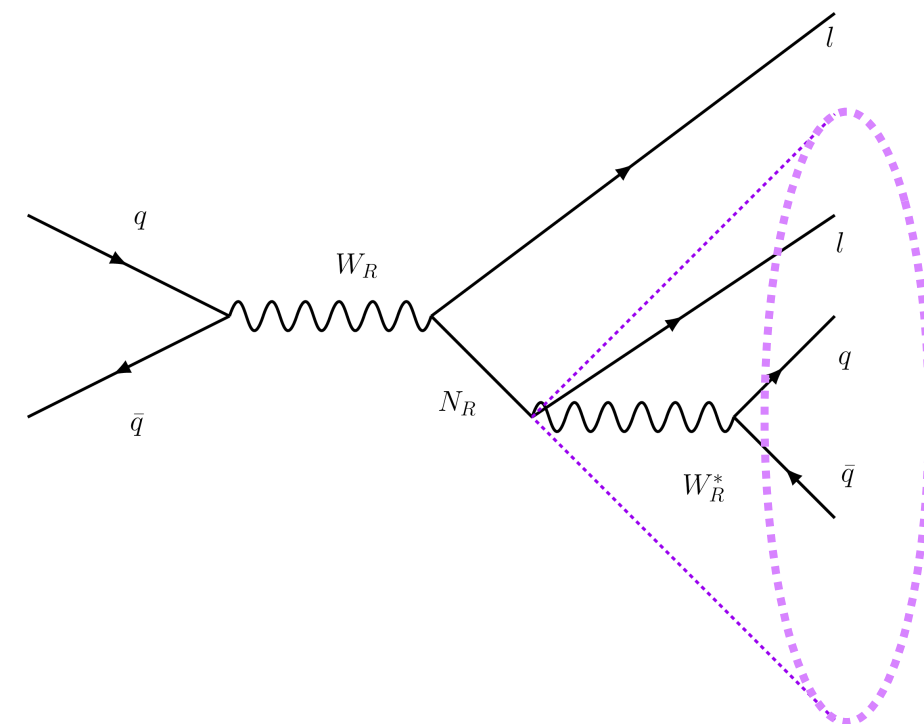
- If $m(N_R) \ll m(W_R)$, N_R is very boosted
 - ▶ The two jets are not resolvable, but clustered into a single, **large-radius jet**
 - ▶ The **lepton** is also found inside the jet cone
 - electron energy naturally included
 - muon momentum added by hand
 - ▶ Careful calibration of **large-jet energy and mass scales**



$\int L = 80 \text{ fb}^{-1}$

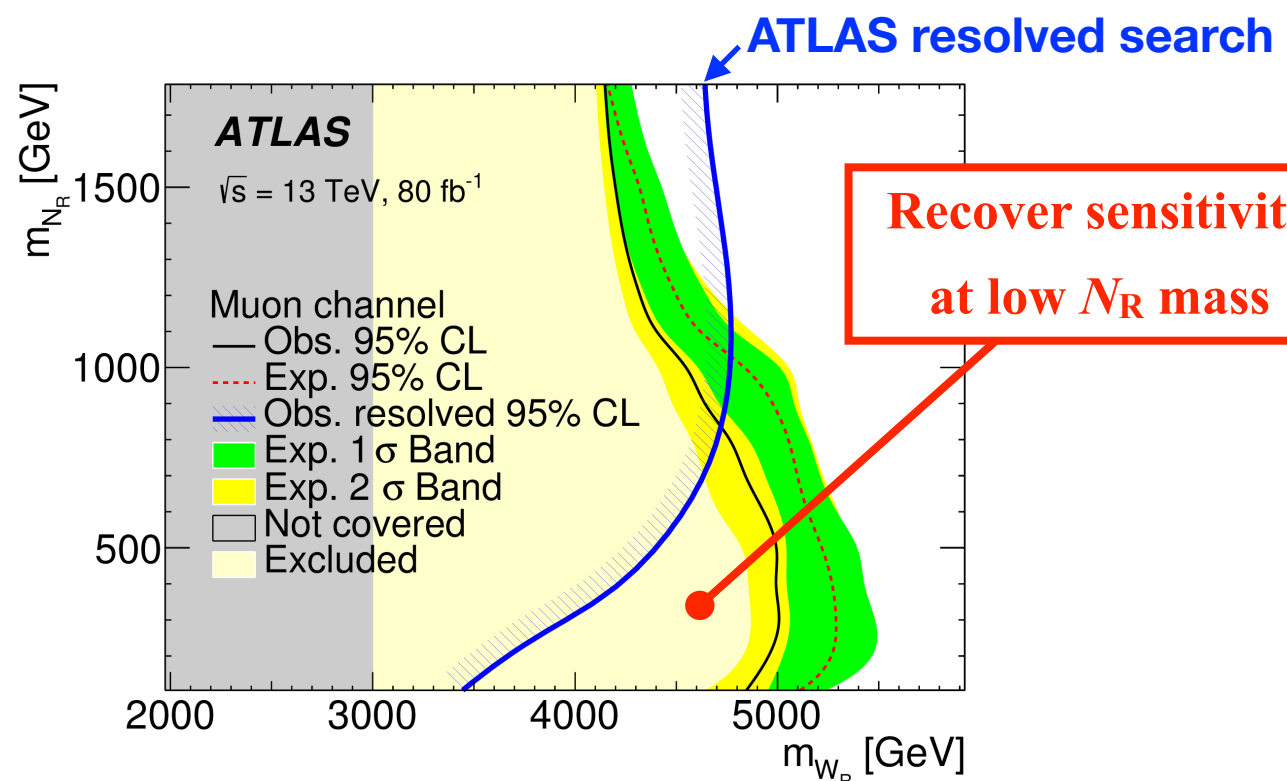
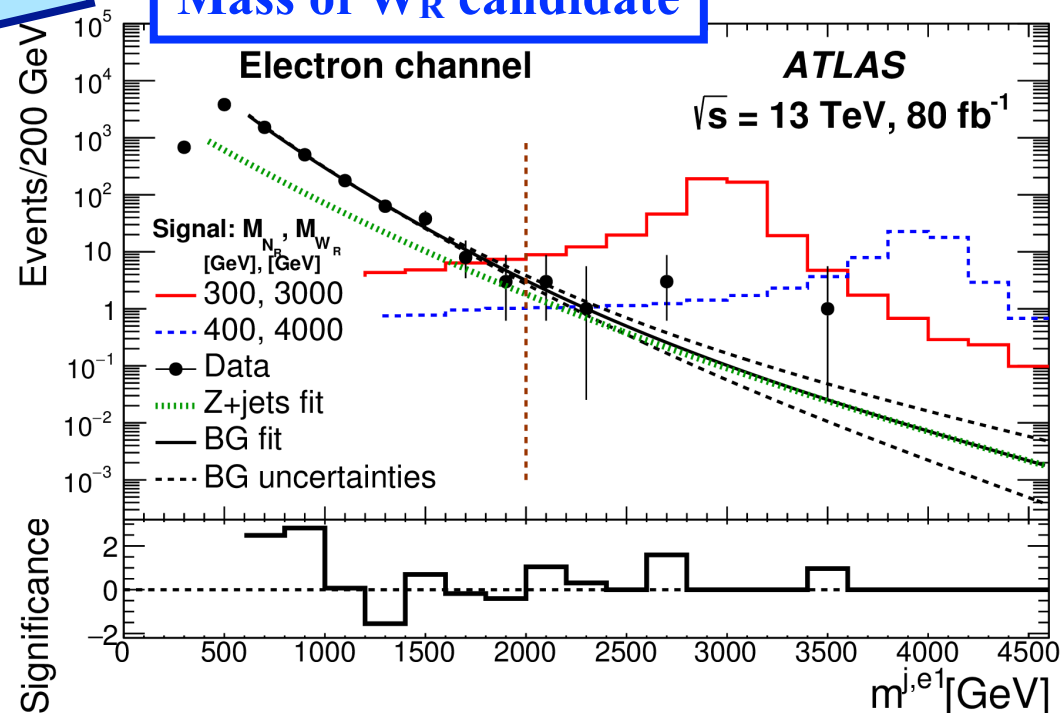


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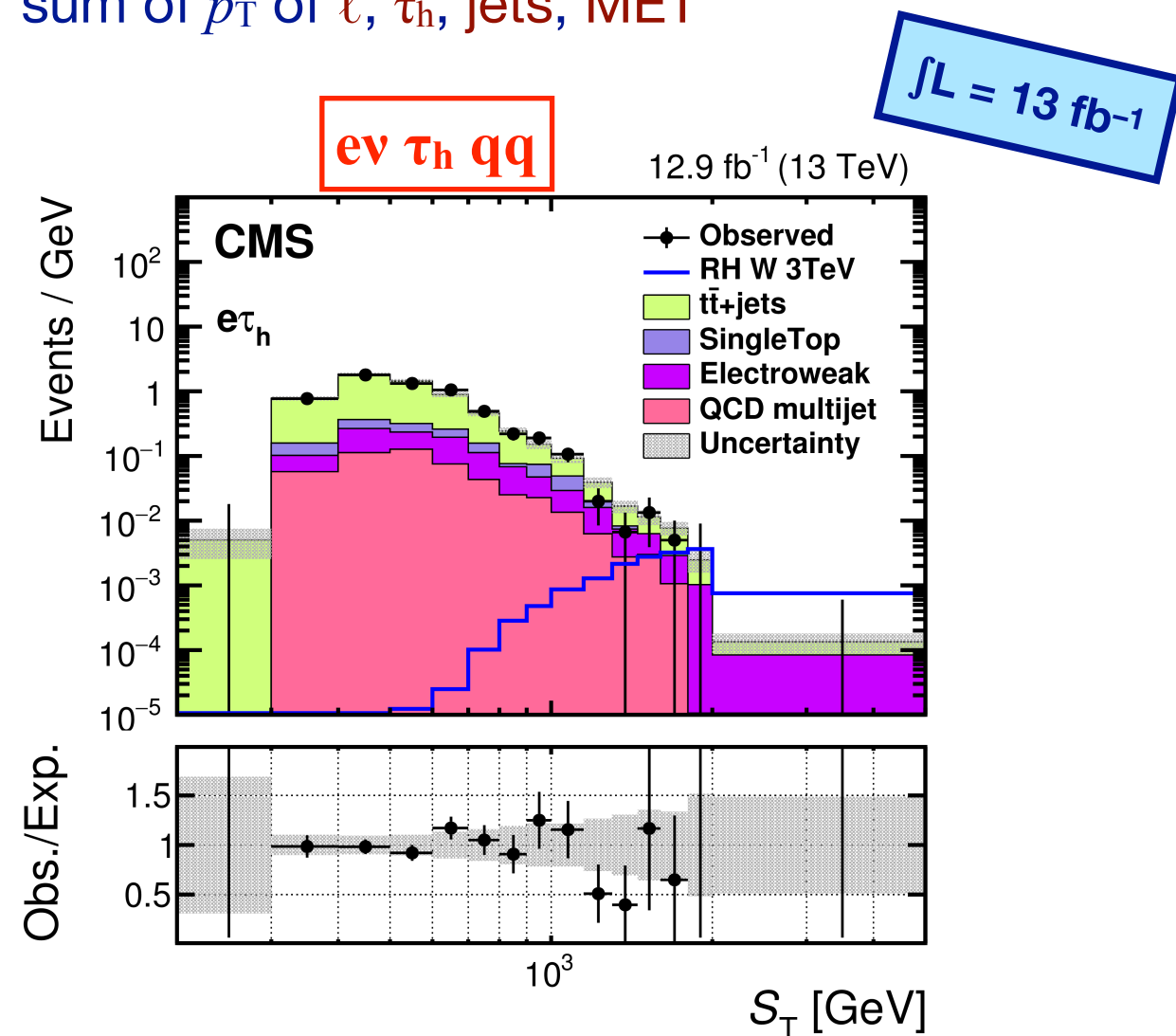
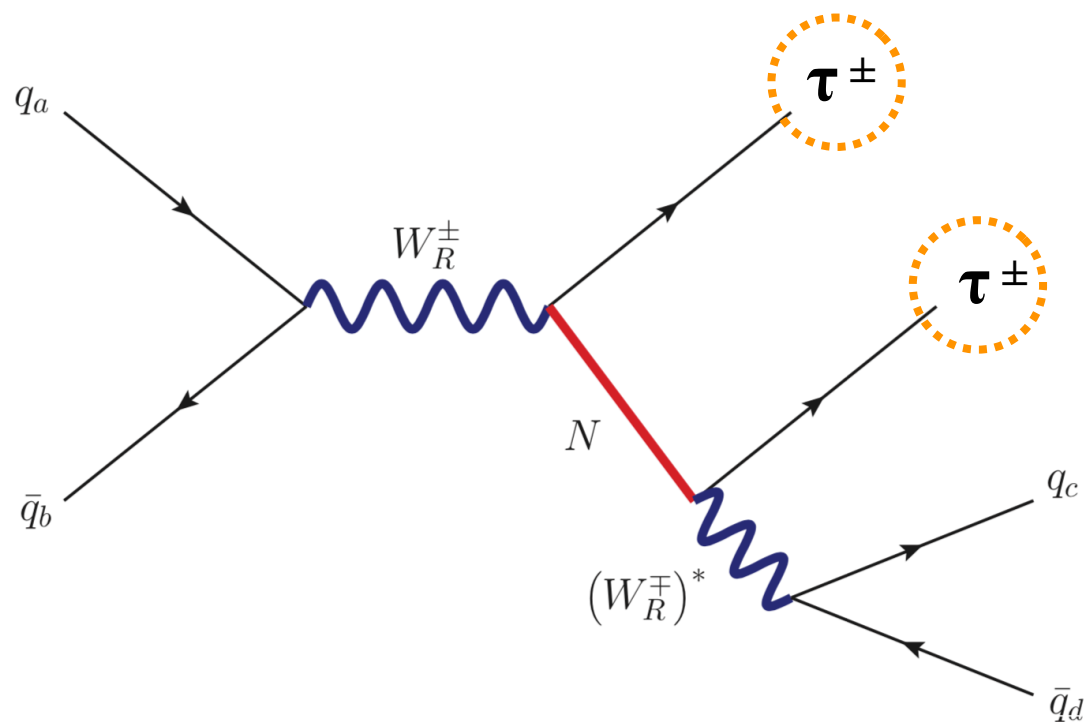


$\mathcal{L} = 80 \text{ fb}^{-1}$

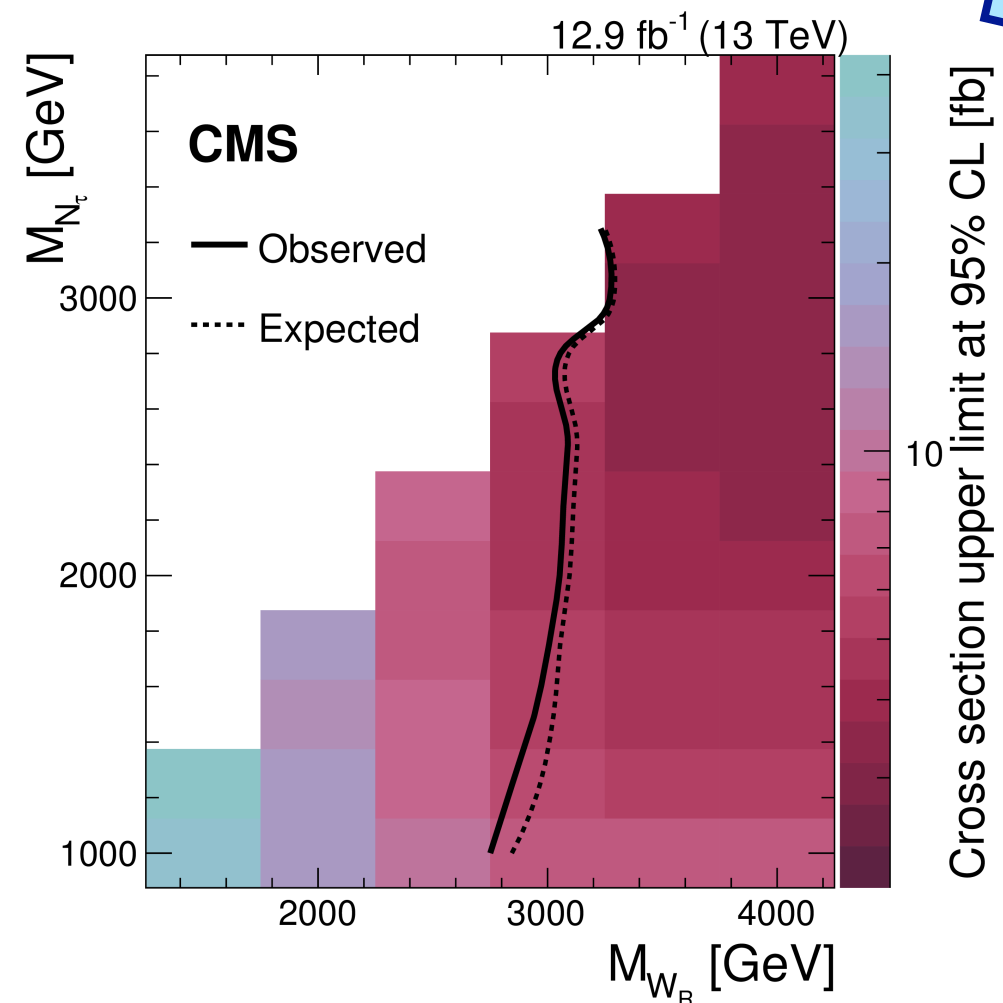
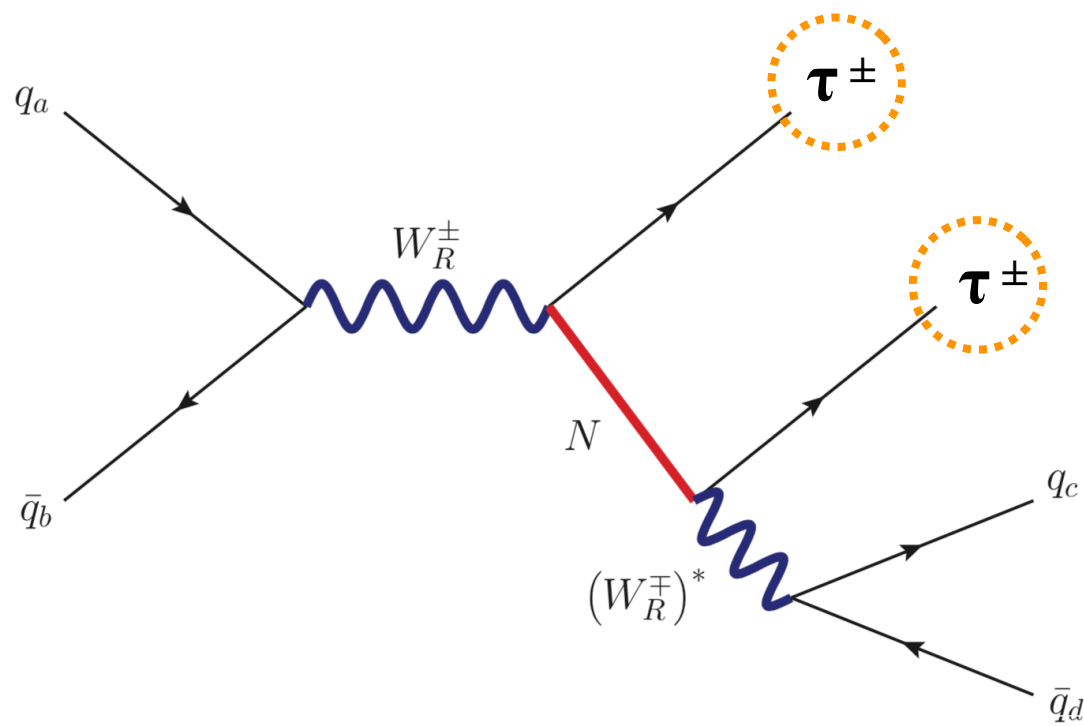
Mass of W_R candidate



- Production of $\tau q q$
 - ▶ [JHEP03\(2017\)077](#): both τ 's decay hadronically (τ_h)
 - ▶ [JHEP07\(2017\)121](#): one τ_h and one decaying leptonically $\rightarrow \ell \nu \tau_h q q$ ($\ell = e, \mu$)
 - ℓ allows for efficient triggering, τ_h has larger branching fraction
 - Discriminating variable: S_T = scalar sum of p_T of ℓ , τ_h , jets, MET



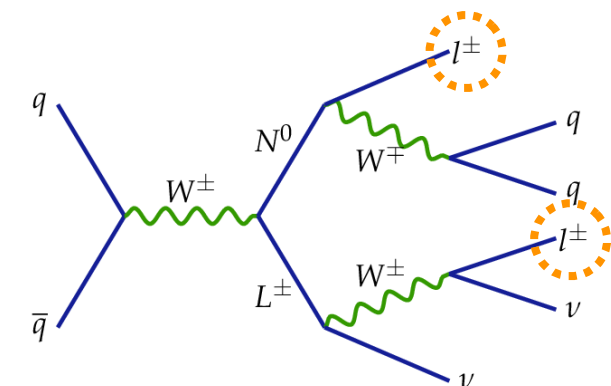
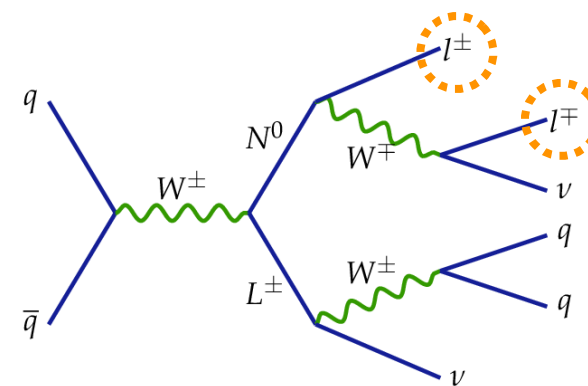
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Type-III seesaw

Type-III: dilepton channel (ATLAS)

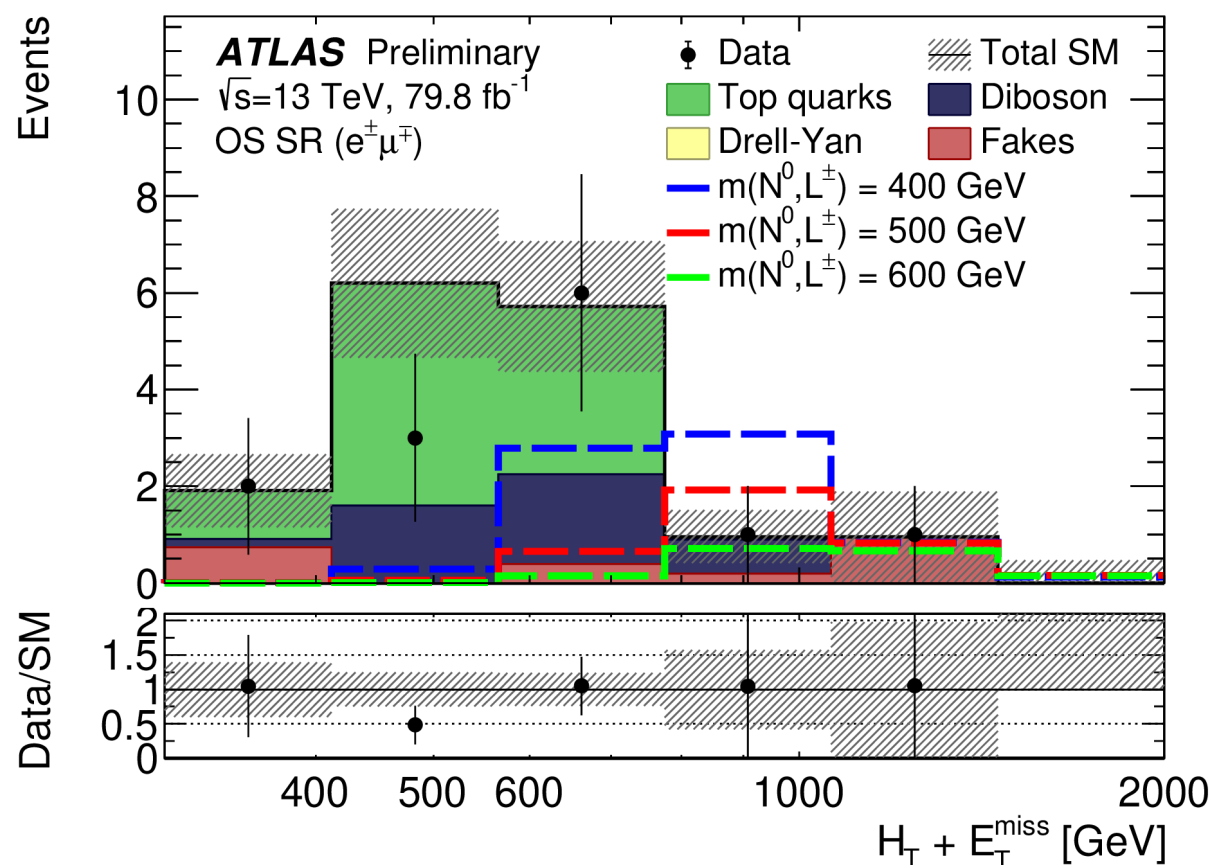
- Select $pp \rightarrow \Sigma^0 \Sigma^\pm$ with 2ℓ final states, any flavor and charge combination \Rightarrow 6 final states



- Σ^0 and Σ^\pm have same mass
- Flavor-democratic scenario: $B_\ell = 1/3$ for any ℓ
- Discriminating variable: scalar sum of lepton p_T (H_T) + MET

$\int L = 80 \text{ fb}^{-1}$

OS $e^\pm \mu^\mp$

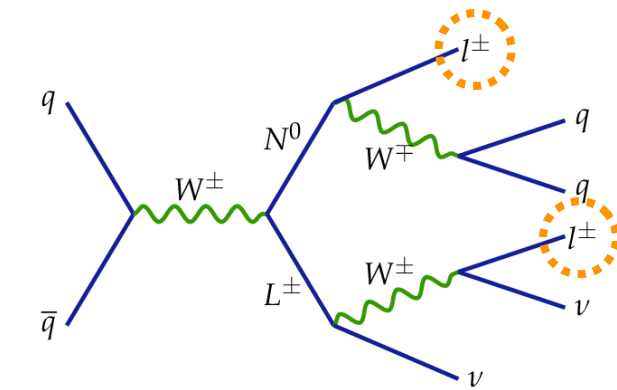
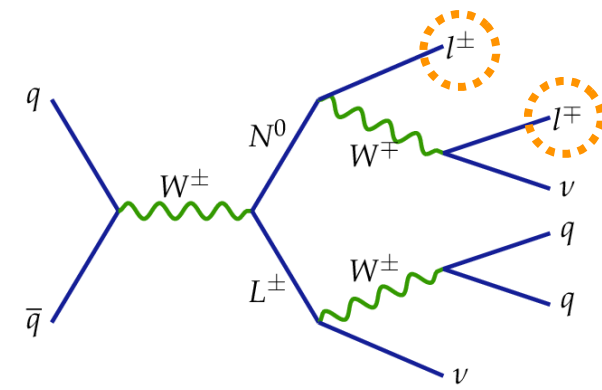




Type-III: dilepton channel (ATLAS)



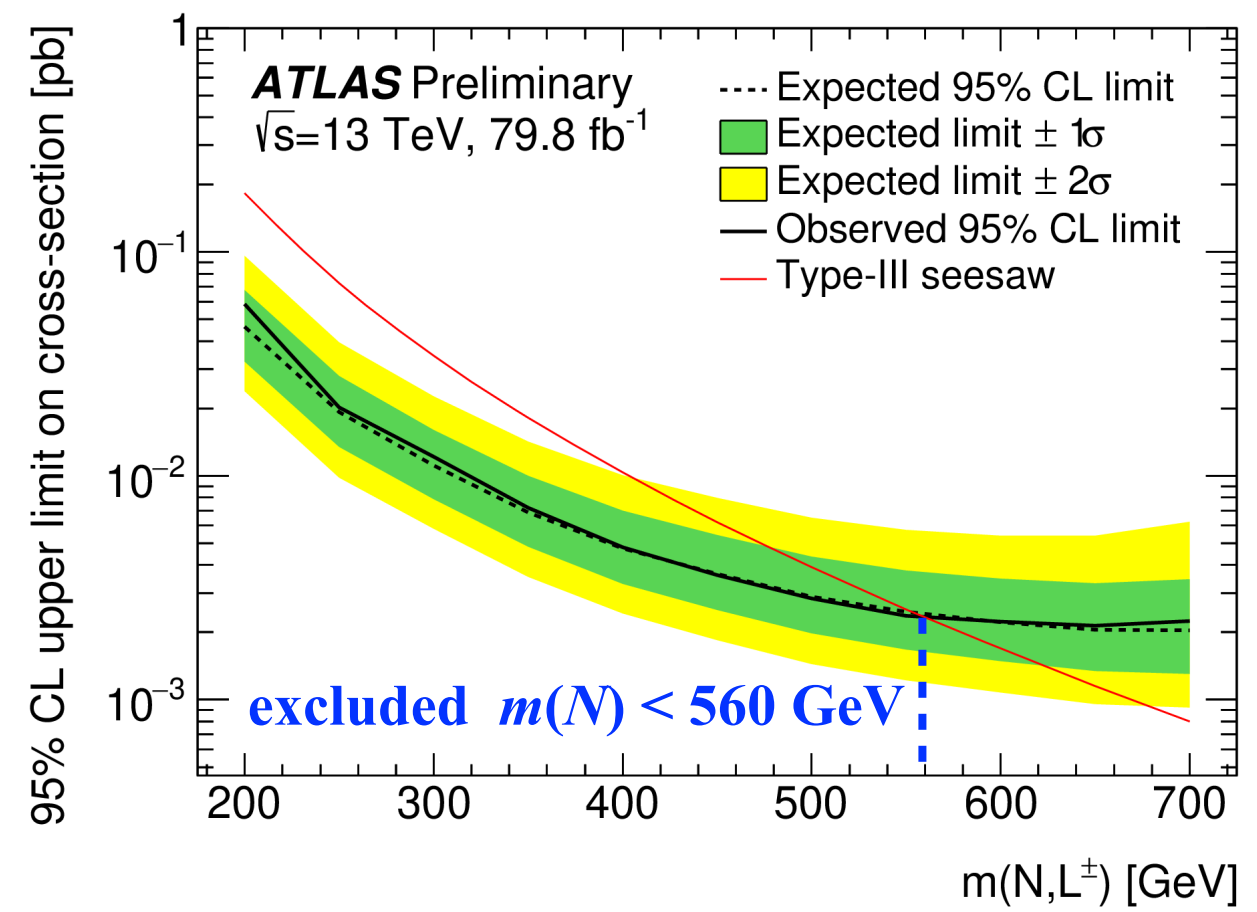
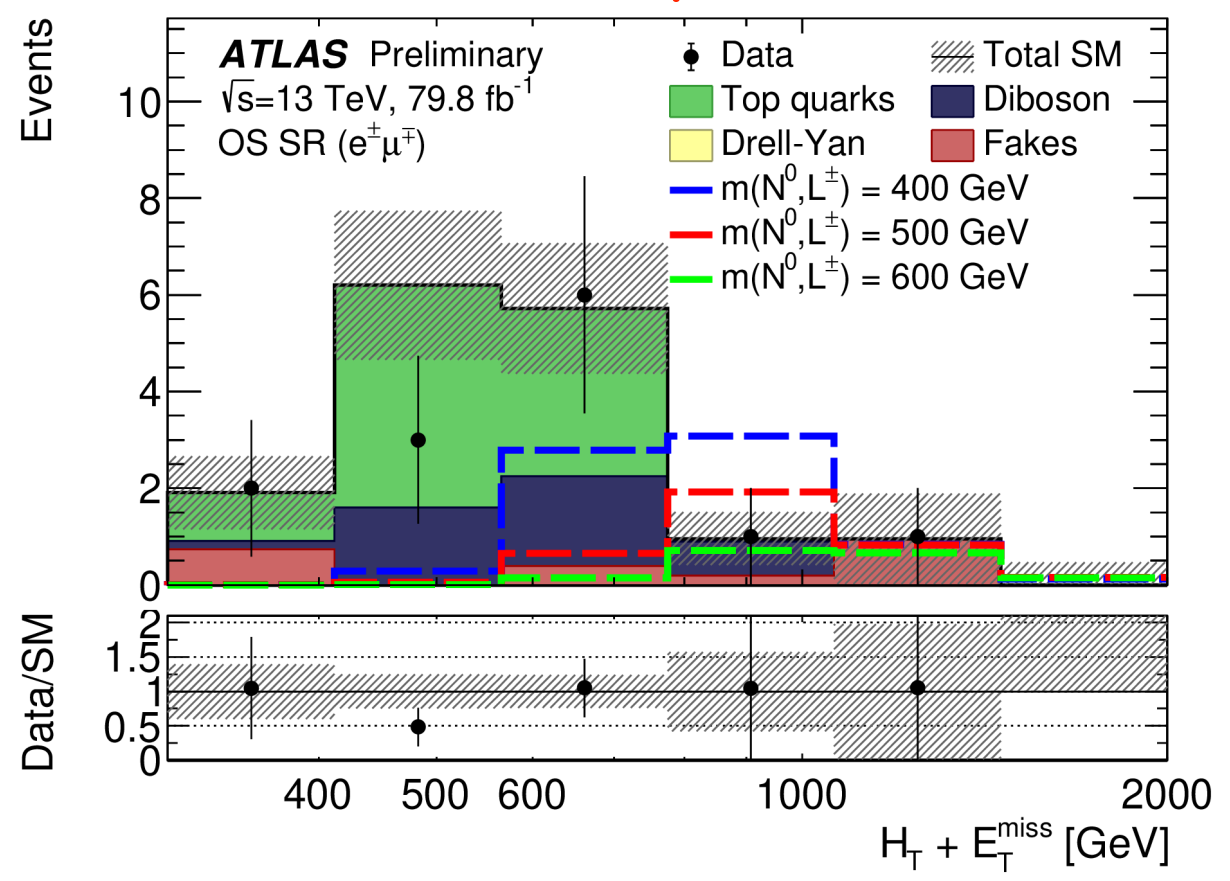
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$\int L = 80 \text{ fb}^{-1}$

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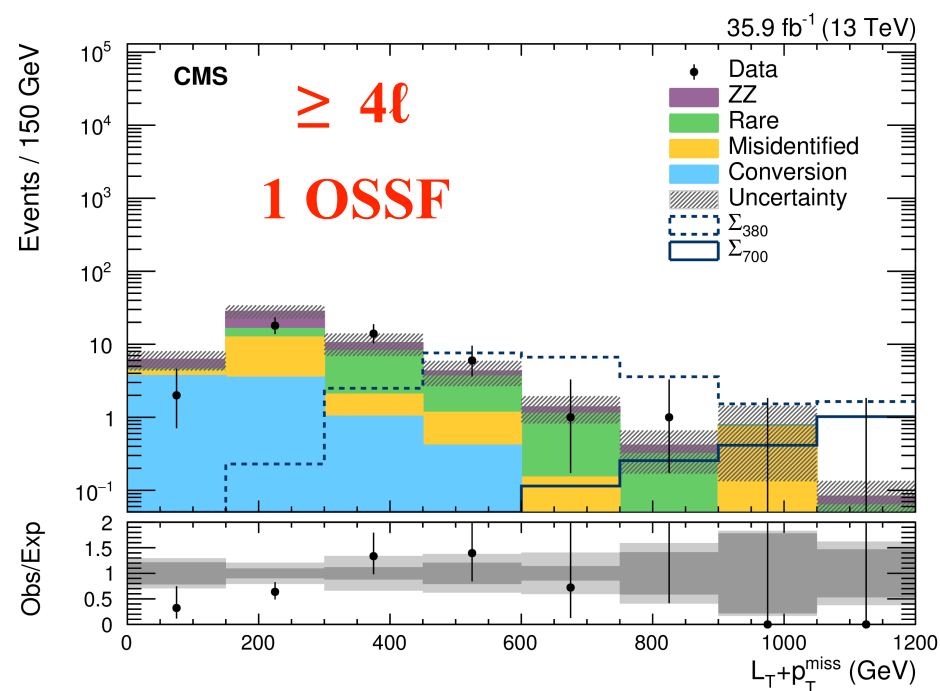


Type-III: multilepton channel (CMS)

- $pp \rightarrow \Sigma^0 \Sigma^\pm / \Sigma^\pm \Sigma^\mp$ with fully leptonic final states (including τ leptonic decays) mediated by $W/Z/H$ bosons \Rightarrow 27 final states, with 3 or more leptons
 - Events classified by number of leptons and number of OSSF pairs
 - Explore all possible $B_e/B_\mu/B_\tau$ combinations
 - Discriminating variable: scalar sum of lepton p_T (L_T) + MET

PRL 119, 221802 (2017)

$\int L = 36 \text{ fb}^{-1}$

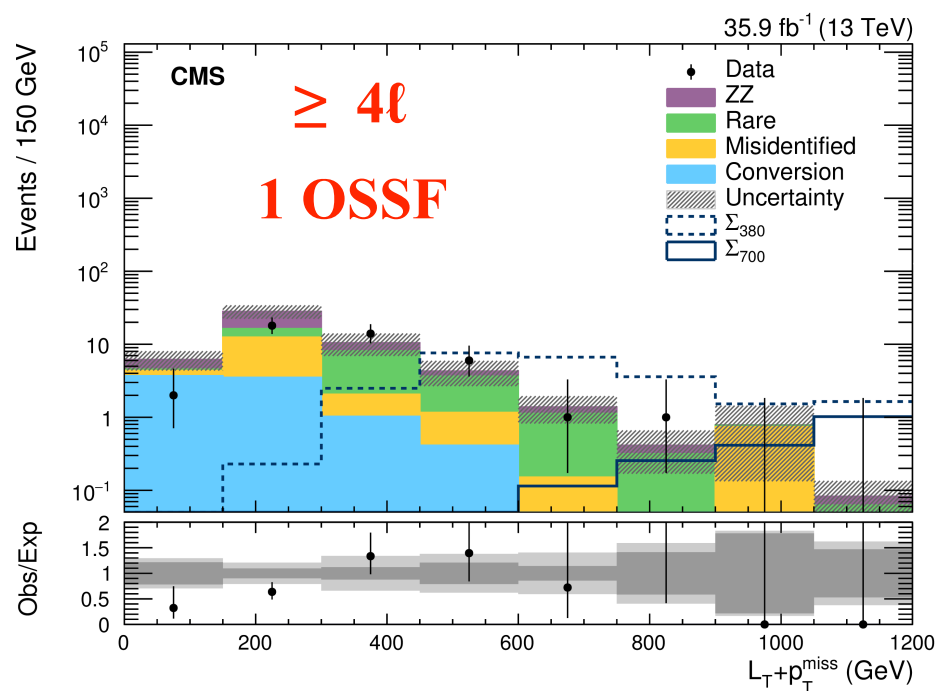


Type-III: multilepton channel (CMS)

PRL 119, 221802 (2017)

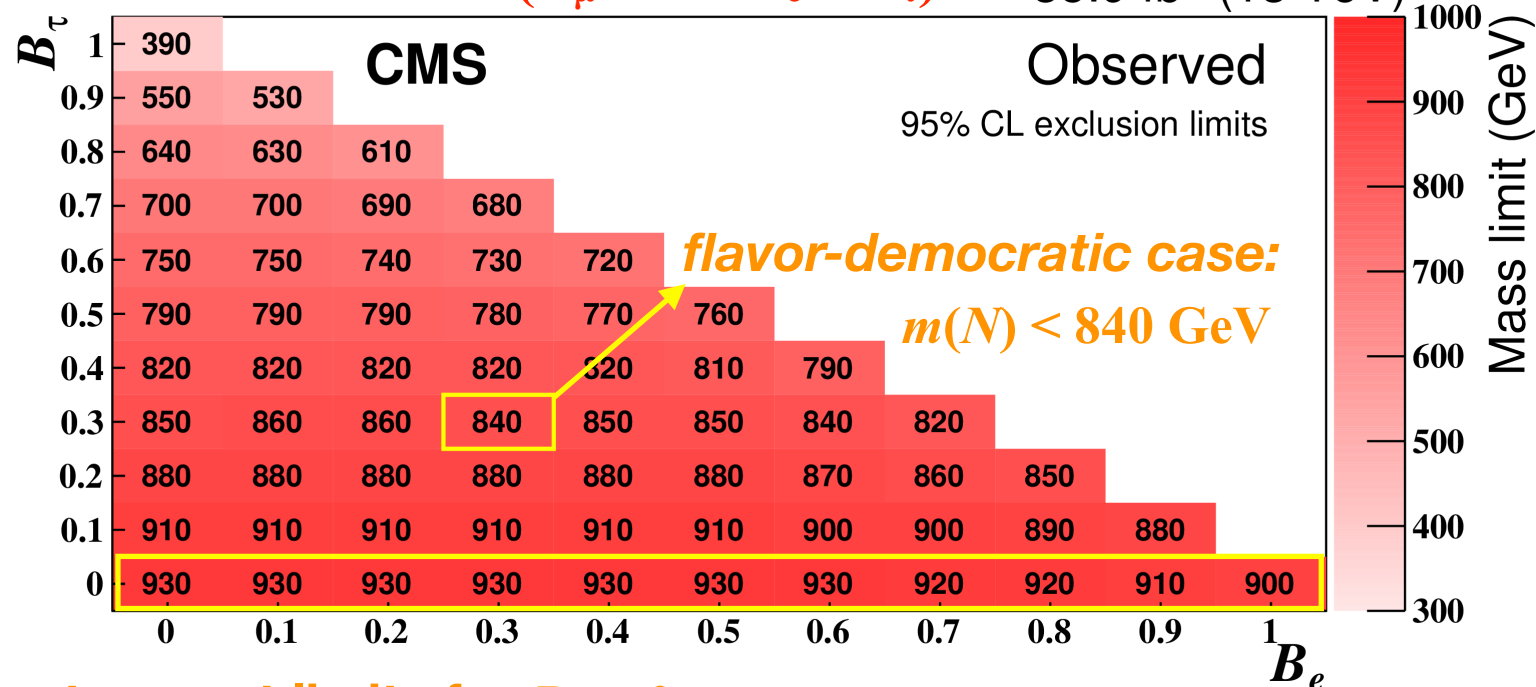
- $pp \rightarrow \Sigma^0 \Sigma^\pm / \Sigma^\pm \Sigma^\mp$ with fully leptonic final states (including τ leptonic decays) mediated by $W/Z/H$ bosons \Rightarrow 27 final states, with 3 or more leptons
 - Events classified by number of leptons and number of OSSF pairs
 - Explore all possible $B_e/B_\mu/B_\tau$ combinations
 - Discriminating variable: scalar sum of lepton p_T (L_T) + MET

$\int \mathcal{L} = 36 \text{ fb}^{-1}$



Limit on $m(N)$ vs B_e, B_τ

$(B_\mu = 1 - B_e - B_\tau)$ 35.9 fb^{-1} (13 TeV)



strongest limits for $B_\tau = 0$

- ATLAS and CMS have been actively participating in the hunt for heavy neutral leptons
 - ▶ they have extended the search up to the TeV scale!
- Several models with HNLs have already been explored
- So far the focus has been put on short-lived HNLs and on electron and muon couplings
 - ▶ but first results with long-lived HNLs and τ couplings have been shown
- More to come with Run-II data, and even more to be expected from future runs... stay tuned!