

# Unified Origin of Dark Matter and Baryons

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Based on work in collaboration with Volodymyr Takhistov, JHEP1905 (2019) 101



15th Rencontres du Vietnam: Cosmology, ICISE, Quy Nhon, Vietnam, August 16, 2019

# Reasons to go Beyond the Standard Model

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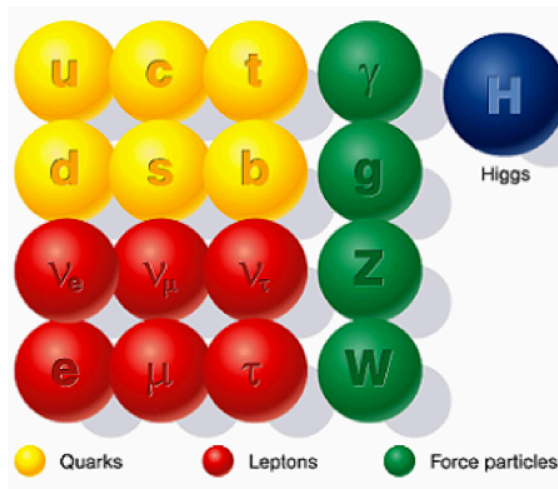
- **Observational:**

- neutrino masses
- cold dark matter
- baryon asymmetry of the Universe

- **Theoretical:**

- in the language of the SM, Quantum Field Theory, it is hard to describe gravitation

- **Aesthetical:** the structure of the SM is very peculiar



# Reasons to go Beyond the Standard Model

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- **Observational:**

- neutrino masses
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- **Theoretical:**

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- **Aesthetical:**

- flavor puzzle: the structure of the SM is very peculiar
- gauge hierarchy problem - stability of Higgs mass

# MSSM

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- Many attractive features
  - partial solution to the gauge hierarchy problem
  - gauge coupling unification
  - dark matter candidate
- Many deficiencies
  - mu problem:  $\mu \ll M_{\text{pl}}$
  - proton decay through dim-4, dim-5 operators
    - dim-4 operators: forbidden by imposing R-parity
    - dim-5 operators: severe experimental constraints on the models



# Cosmological Moduli Problems

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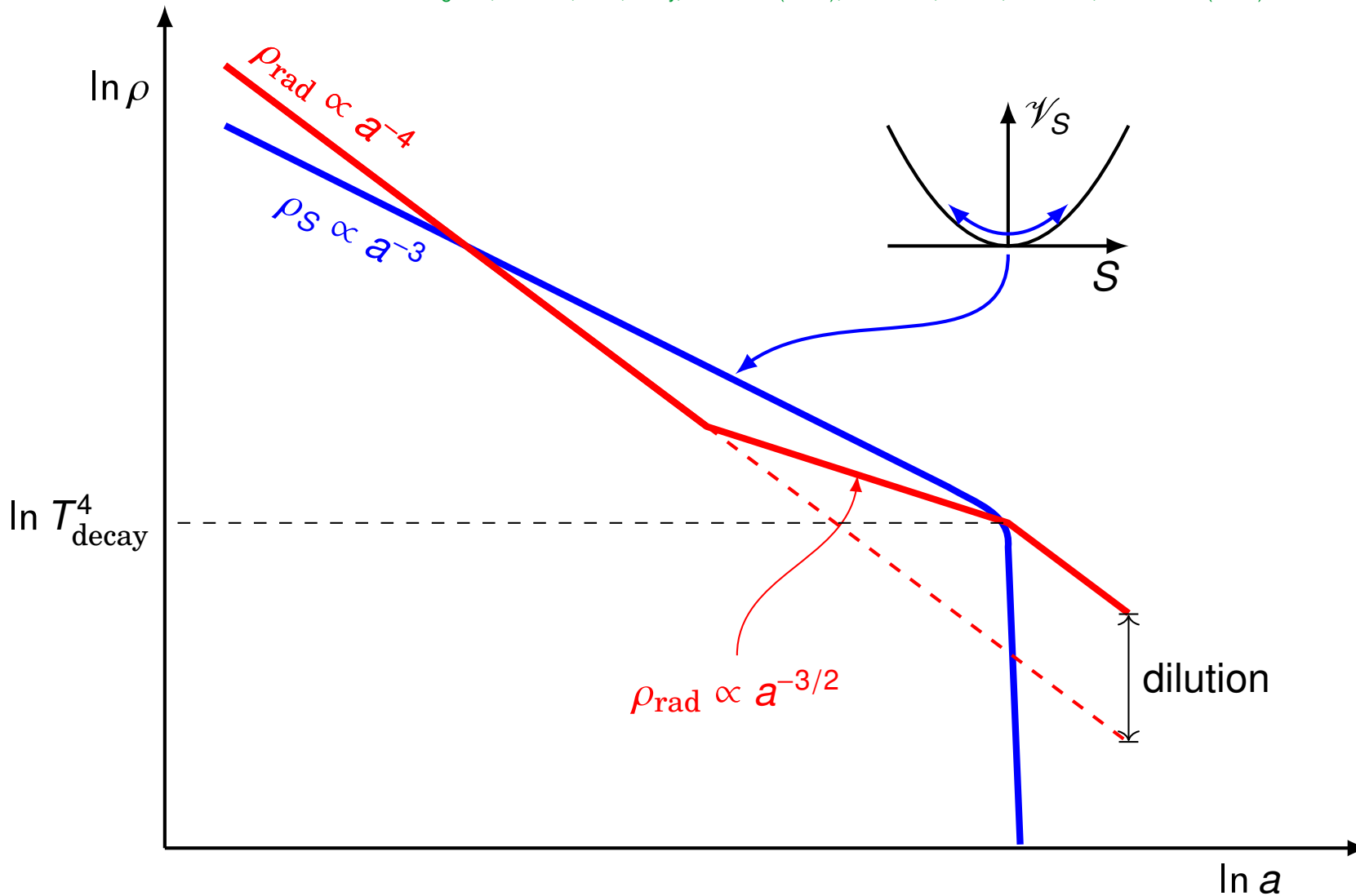
- SUSY: predict many scalars
  - concrete models have many flat directions before SUSY breaking
  - moduli mass  $\sim$  SUSY breaking scale
  - Planck suppressed interactions  $\Rightarrow$  never thermalized
  - EW scale moduli: decay after BBN
    - destroy light elements
    - entropy productions: dilute  $n_B/s \Rightarrow$  no baryogenesis
- gravity-mediated SUSY breaking  $m_{3/2} \sim 10^{2-3}$  GeV
  - neutralino dark matter
  - late time moduli decay
  - dilute  $n_B/s$  by large order of magnitude

# Cosmological Moduli Problems

recall talk by  
on Thursday



Coughlan, Fischler, Kolb, Raby, and Ross (1983); de Carlos, Casas, Quevedo, and Roulet (1993)

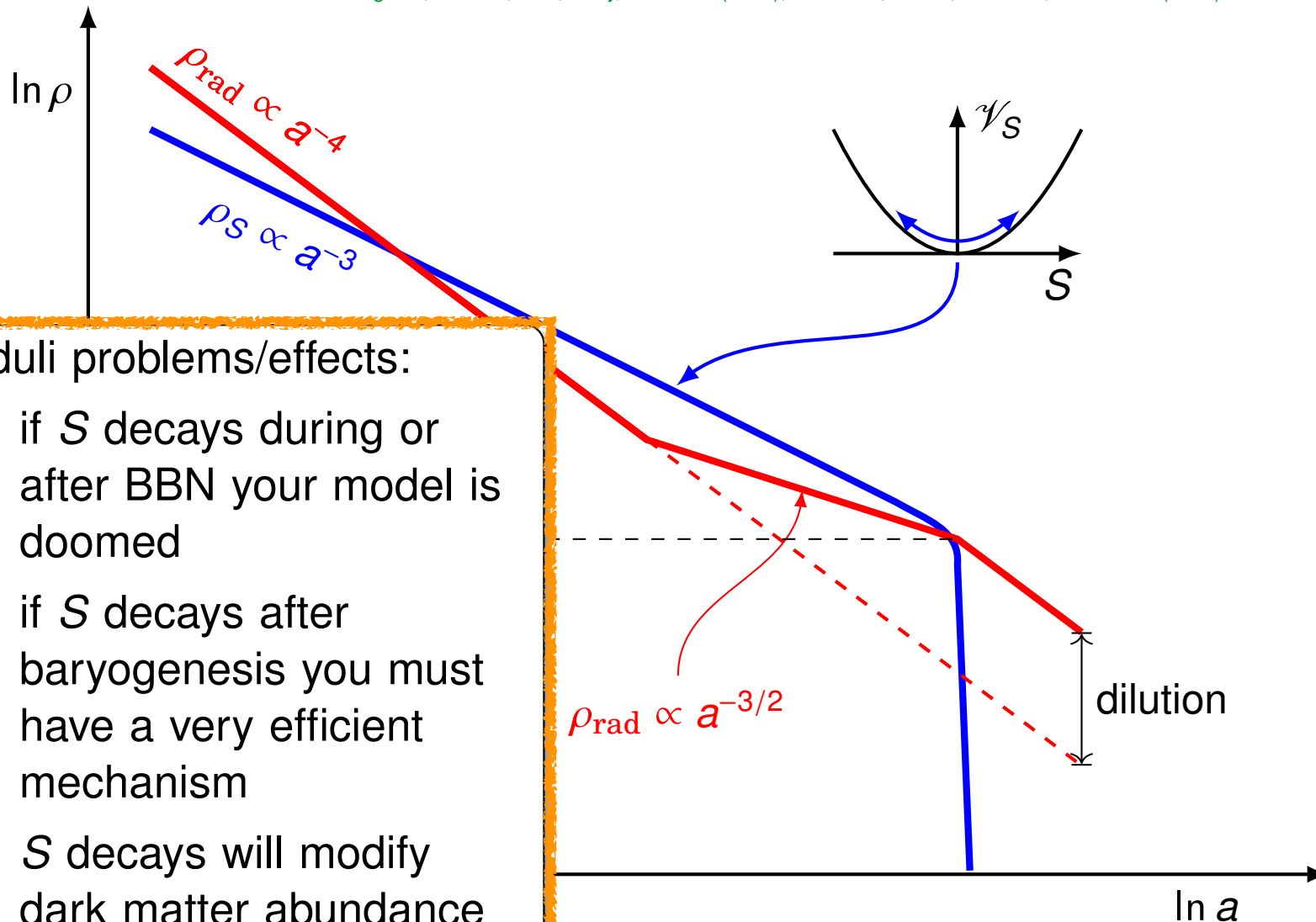


# Cosmological Moduli Problems

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Coughlan, Fischler, Kolb, Raby, and Ross (1983); de Carlos, Casas, Quevedo, and Roulet (1993)



## Moduli problems/effects:

- if  $S$  decays during or after BBN your model is doomed
- if  $S$  decays after baryogenesis you must have a very efficient mechanism
- $S$  decays will modify dark matter abundance

# Avoiding Cosmological Moduli Problems

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- **raising moduli mass:** Anomaly/Mirage-mediated SUSY breaking  
 $m_{3/2} > (10 - 100) \text{ TeV} \Rightarrow$  moduli decay before BBN
- LSP: Wino or Higgsino  $\Rightarrow$  too small dark matter thermal abundance
- entropy production diluting produced baryon asymmetry

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non-thermal abundance due to moduli decay

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baryogenesis due to moduli decay

# Avoiding Cosmological Moduli Problems

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Kitano, Murayama, Ratz (2008)

- **Basic idea:** chiral superfield  $\Phi = (\phi, \tilde{\phi}, F_\phi)$

$$\phi\text{-number asymmetry } q_\phi := i \left( \dot{\phi}^* \phi - \phi^* \dot{\phi} \right)$$

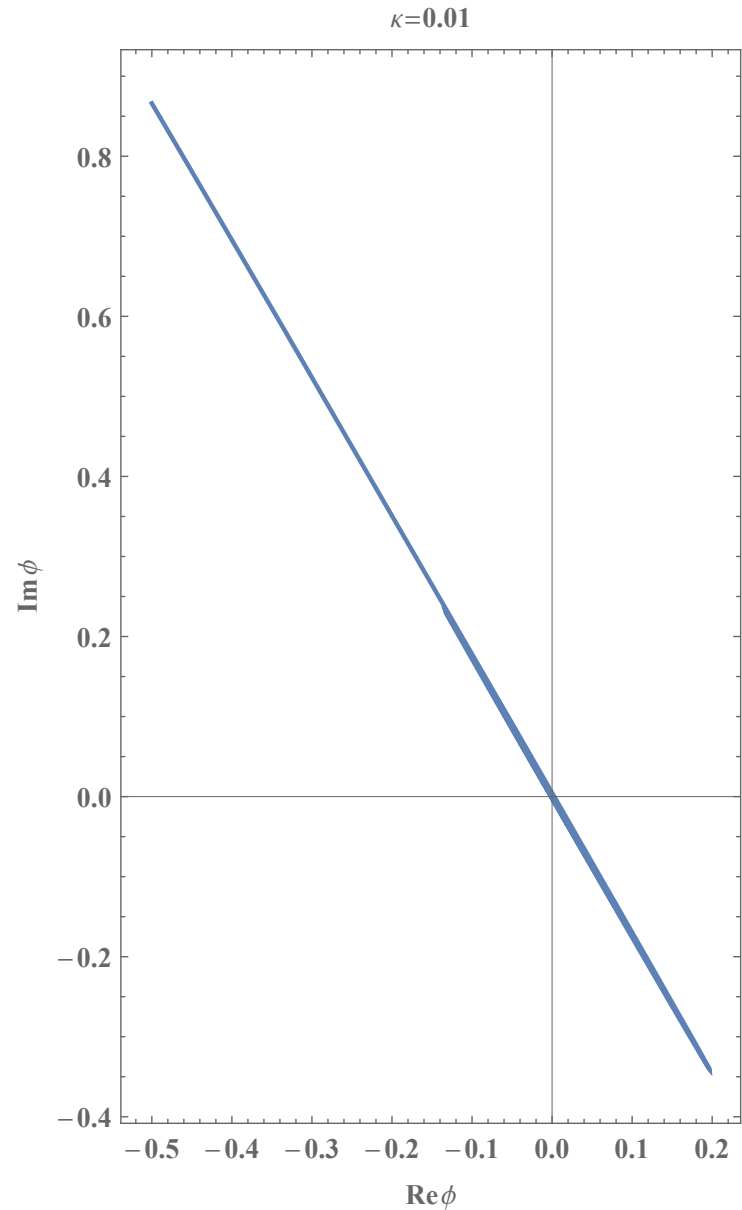
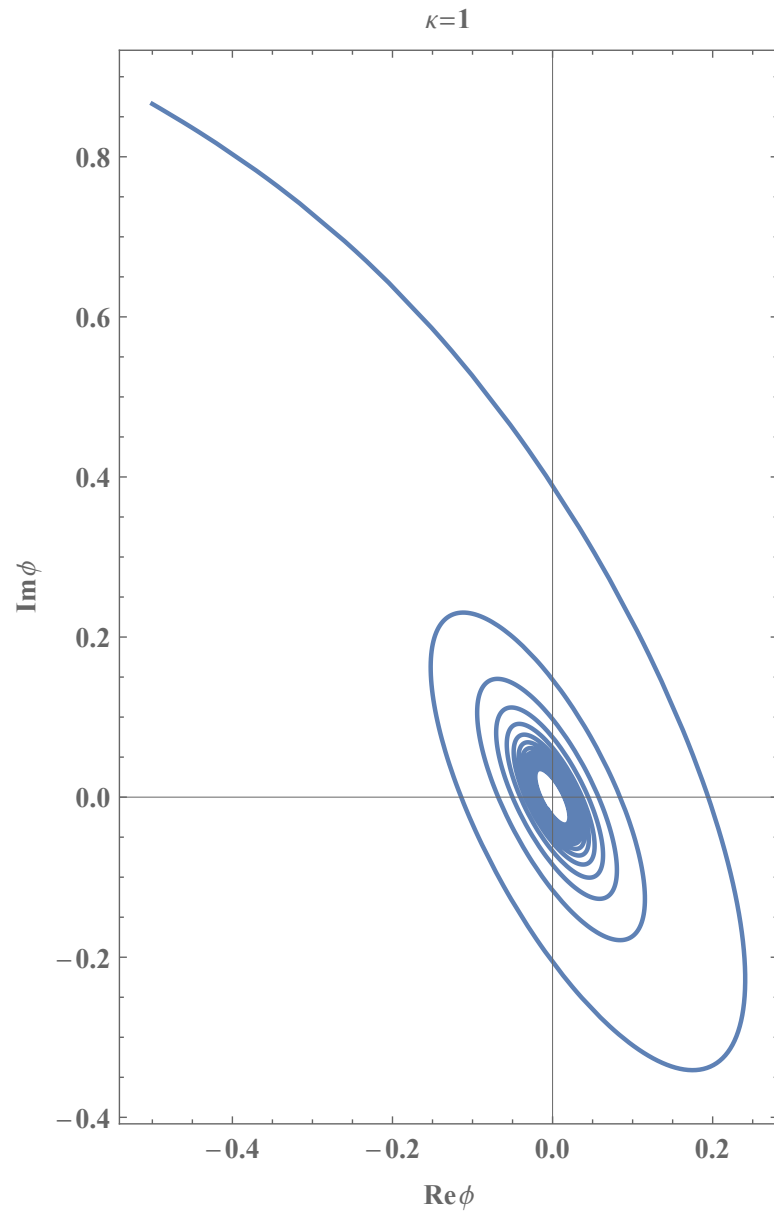
- Evolution of the moduli field

$$\ddot{\phi} + (3H + \Gamma_\phi) \dot{\phi} + \frac{\partial V}{\partial \phi^*} = 0$$

$$\dot{q}_\phi + 3H q_\phi = -i \left( \phi \frac{\partial V}{\partial \phi} - \phi^* \frac{\partial V}{\partial \phi^*} \right)$$

# Moduli Number Asymmetry

$$q_\phi := i \left( \dot{\phi}^* \phi - \phi^* \dot{\phi} \right)$$





# Avoiding Cosmological Moduli Problems

Kitano, Murayama, Ratz (2008)

- Evolution of moduli field

$$\dot{q}_\phi + 3H q_\phi = -i \left( \underbrace{\phi \frac{\partial V}{\partial \phi} - \phi^* \frac{\partial V}{\partial \phi^*}}_{\neq 0} \right)$$

$V(\phi, \phi^*)$  such that

$\neq 0$

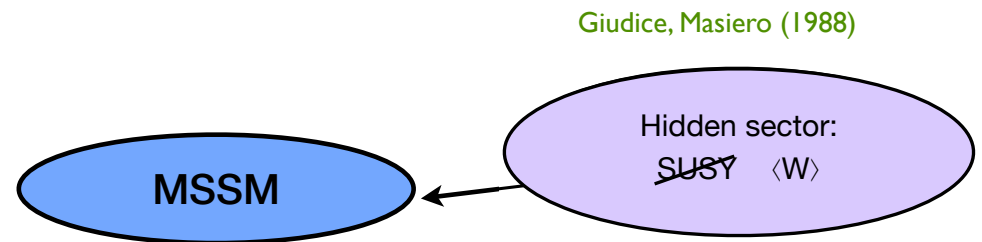
with suppressed  $\phi$ -number violation interactions

- Early Era (after inflation):  $H \gg m_\phi$  oscillation unimportant
  - generation of moduli asymmetry through evolution
- Coherent oscillation of moduli: ( $@T \sim T^* = (M_{\text{pl}} \times m_\phi)^{1/2}$ :  $H \sim m_\phi$ )
  - $\phi$ -number approximately conserved  $\Rightarrow$  asymmetry preserved
  - dominate energy density of Universe
- Moduli decay ( $H \sim \Gamma_\phi$ )  $\Rightarrow$  Baryogenesis:
  - $\phi$ -number asymmetry  $\rightarrow$  B-number asymmetry

# The $\mu$ Term and Dirac Neutrino Mass

- ▶ naturally small  $\mu$  Term and Dirac neutrino masses?
- ▶ before SUSY breaking: absence of  $\mu$  term & Dirac neutrino masses (as well as Weinberg operator)
- ▶ after SUSY breaking

$$\mu \sim \langle \mathcal{W} \rangle / M_{\text{P}}^2 \sim m_{3/2}$$



- ▶ realistic effective Dirac neutrino masses generated

$$Y_\nu \sim \frac{m_{3/2}}{M_{\text{P}}} \sim \frac{\mu}{M_{\text{P}}}$$

Arkani-Hamed, Hall, Murayama, Tucker-Smith, Weiner (2001)

- ▶ need a symmetry reason for the absence of these operators before SUSY breaking

# The $\mu$ Term and Dirac Neutrino Mass

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- Requiring Symmetries

- to forbid mu term
- be anomaly-free
- be consistent with SU(5)

H.M. Lee, S. Raby M. Ratz, G.G. Ross, R. Schieren, K. Schmidt-Hoberg, P.K. Vaudrevange, (2011);



**R Symmetries**

- continuous R symmetries not available

A.H. Chamseddine, H.K. Dreiner (1996)



**Discrete R Symmetries**

- Exist classes of Abelian discrete R symmetries,  $\mathbb{Z}_M^R$ , that satisfy

- Dirac neutrino case for  $q_\theta = \text{integer}$ :

- anomaly freedom (a la Green-Schwarz)
- forbidding mu term perturbatively
- consistent with SU(5)
- allowing usual Yukawa
- Weinberg operators forbidden perturbatively

M.-C. C., Michael Ratz, Christian Staudt, Patrick Vaudrevange (2012)

# Structure of the Model

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MSSM with

anomaly-free discrete R  
symmetry  $Z_{12}^R$

anomalous  $U(1)_A$

$\mu$ -term

nucleon stability

moduli potential

$$V(\phi, \phi^*)$$

flavor structure a lá  
Froggatt-Nielsen

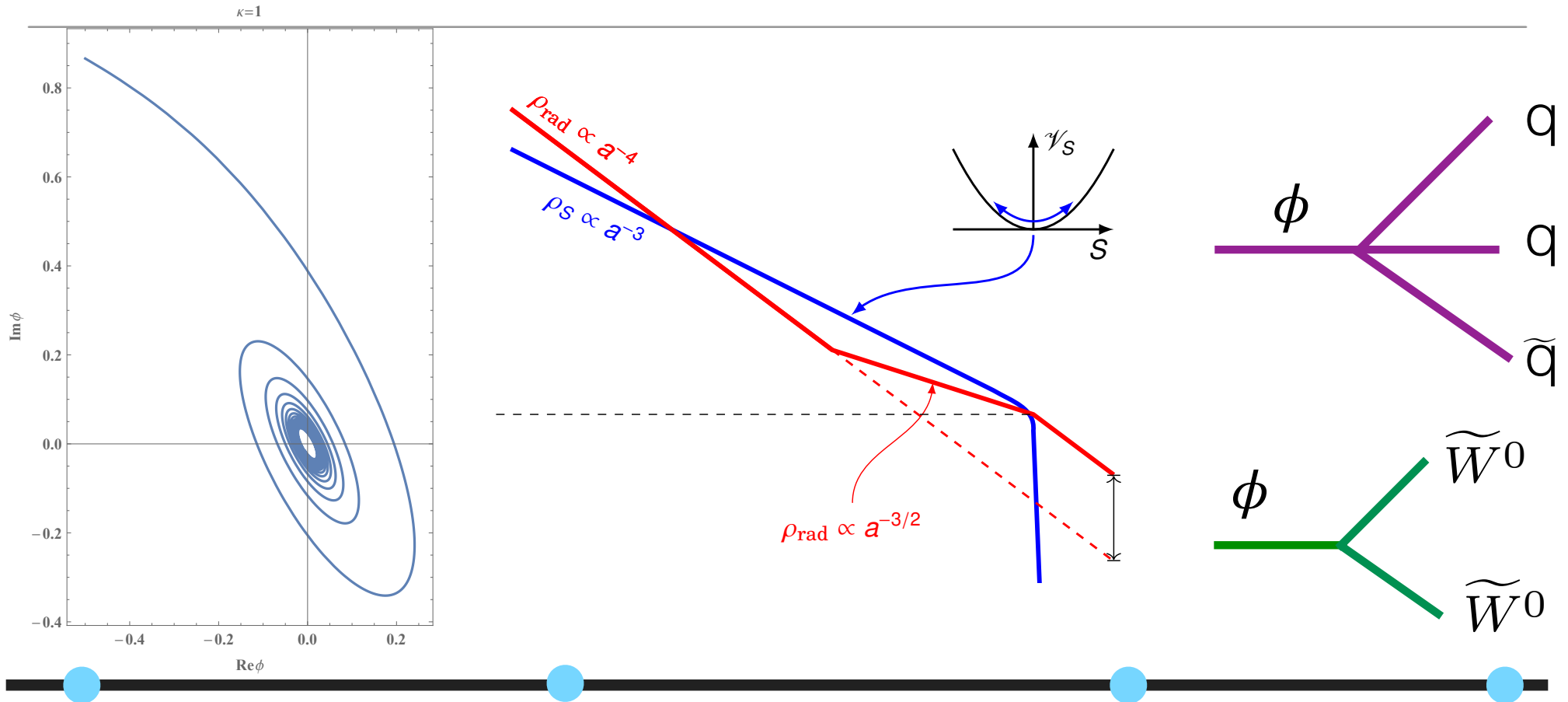
Dirac neutrino masses

baryogenesis

dark matter (wino)

$$\frac{\Omega_{DM}}{\Omega_b} \sim 5$$

# Avoiding Cosmological Moduli Problems



inflation

moduli  
asymmetry  
generation

moduli  
coherent  
oscillations

moduli  
decay

BBN

# The Model

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## Particle Content: SU(5) compatible

	SU(5) <b>10</b>			SU(5) $\bar{\mathbf{5}}$		$H_u$	$H_d$	$\bar{\nu}$	$\Phi$	$\bar{\Phi}$	$\sigma$	$\mathcal{W}_{\text{hid}}$ $e^{-bS}$	$X$	$\theta$
	$Q$	$\bar{U}$	$\bar{E}$	$\bar{D}$	$L$									
$\mathbb{Z}_{12}^R$	2	2	2	6	6	2	10	10	4	8	0	6	$r_X$	3
$\rightarrow \mathbb{Z}_3$	2	2	2	0	0	1	2	1	2	1	0	0	$r_{X'}$	-
$\mathbb{Z}_2^M$	1	1	1	1	1	0	0	1	1	1	0	0	0	0
$U(1)_A$	3	3	3	$(1+p)$	$(1+p)$			$(15+p)$						
	2	2	2	$p$	$p$	0	0	$(14+p)$	0	0	-1	$q_S$	0	0
	0	0	0	$p$	$p$			$(13+p)$						

# The Model

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- Superpotential:

$$\mathcal{W} = Y_e L H_d \bar{E} + Y_d Q H_d \bar{D} + Y_u Q H_u \bar{U} + Y_\nu L H_u \bar{\nu} \\ + \kappa_1 \bar{U} \bar{D} \bar{D} \Phi + \kappa_2 L L \bar{E} \Phi + \kappa_3 L Q \bar{D} \Phi ,$$

baryogenesis, DM

- After SUSY breaking:

$$\mathcal{W}_{eff}^{np} \supset M_\Phi \Phi \bar{\Phi} + \kappa_4 Q Q Q L + \kappa_5 \bar{U} \bar{U} \bar{D} \bar{E} \\ + \kappa_6 \bar{U} \bar{D} \bar{D} \bar{\nu} + \kappa_7 L L \bar{E} \bar{\nu} + \kappa_8 L Q \bar{D} \bar{\nu} + \dots$$

$$K \supset \kappa X^\dagger X \Phi^6 + k_{H_u H_d} \frac{X^\dagger}{M_{pl}} H_u H_d + h.c.$$

moduli asymmetry

$\mu$ -term

# Anomaly Cancellation - Anomalous U(1)<sub>A</sub>

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- Anomaly coefficients:

$$\begin{array}{l}
 [\text{SU}(3)_C]^2 \times \text{U}(1)_A \\
 [\text{SU}(2)_W]^2 \times \text{U}(1)_A \\
 [\text{U}(1)_Y]^2 \times \text{U}(1)_A \\
 \quad \quad \quad [\text{U}(1)_A]^3 \\
 [\text{gravity}]^2 \times \text{U}(1)_A
 \end{array}
 \left\{ \begin{array}{l}
 \mathcal{A}_{CCA} = \frac{1}{2} \left[ \sum_{i=1}^3 (3q_{10}^i + q_{\frac{5}{3}}^i) \right] \\
 \mathcal{A}_{WWA} = \frac{1}{2} \left[ (q_{H_u} + q_{H_d}) + \sum_{i=1}^3 (3q_{10}^i + q_{\frac{5}{3}}^i) \right] \\
 \mathcal{A}_{YYA} = \frac{1}{2} \left[ (q_{H_u} + q_{H_d}) + \frac{5}{3} \sum_{i=1}^3 (3q_{10}^i + q_{\frac{5}{3}}^i) \right] \cdot \frac{3}{5} \\
 \mathcal{A}_{AAA} = 2(q_{H_u}^3 + q_{H_d}^3) + 5 \sum_{i=1}^3 (2(q_{10}^i)^3 + (q_{\frac{5}{3}}^i)^3) + q_\sigma^3 + \sum_{i=1}^3 (q_N^i)^3 + \mathcal{A}_{YAA}^{\text{hidden}} \\
 \mathcal{A}_{GGA} = 2(q_{H_u} + q_{H_d}) + 5 \sum_{i=1}^3 (2q_{10}^i + q_{\frac{5}{3}}^i) + \sum_{\text{SM singlet}} q_s + \mathcal{A}_{GGA}^{\text{hidden}}
 \end{array} \right.$$

- Cancellation of anomaly a la Green-Schwarz

$$\frac{\mathcal{A}_{CCA}}{k_C} = \frac{\mathcal{A}_{WWA}}{k_W} = \frac{\mathcal{A}_{YYA}}{k_Y} = \frac{\mathcal{A}_{AAA}}{3k_A} = \frac{\mathcal{A}_{GGA}}{24} = 2\pi^2 \delta_{\text{GS}}$$

- SU(5) compatibility:

$$\frac{\mathcal{A}_{CCA}}{k_C} = \frac{\mathcal{A}_{WWA}}{k_W} = \frac{\mathcal{A}_{YYA}}{k_Y} = \frac{\mathcal{A}_{GGA}}{24} = \frac{(16 + 3p)}{4}$$



# Anomaly Cancellation

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- Discrete R Symmetry

$$\left\{ \begin{array}{l} \mathcal{A}_{CCR} = \frac{1}{2} \left[ \sum_{i=1}^3 (3q_{\mathbf{10}}^i + q_{\mathbf{5}}^i) \right] - 3R \\ \mathcal{A}_{WWR} = \frac{1}{2} \left[ (q_{H_u} + q_{H_d}) + \sum_{i=1}^3 (3q_{\mathbf{10}}^i + q_{\mathbf{5}}^i) \right] - 5R \\ \mathcal{A}_{YYR} = \frac{1}{2} \left[ (q_{H_u} + q_{H_d} - 11R) + \frac{5}{3} \sum_{i=1}^3 (3q_{\mathbf{10}}^i + q_{\mathbf{5}}^i) \right] \cdot \frac{3}{5} \\ \mathcal{A}_{GGR} = 2(q_{H_u} + q_{H_d} - 2R) + 5 \sum_{i=1}^3 (2q_{\mathbf{10}}^i + q_{\mathbf{5}}^i - 3R) + \sum_{\text{SM singlet}} q_s \\ \quad + \mathcal{A}_{GGR}^{\text{hidden}} + 33R \end{array} \right.$$

# Early Epoch: Moduli Asymmetry

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- Asymmetry generating term from Kähler potential:

$$K \supset \kappa X^\dagger X \Phi^6 \quad \langle X \rangle \sim m_{3/2} : \text{SUSY breaking effect}$$

- moduli potential

$$V = m_\phi^2 |\phi|^2 + m_{3/2}^2 M^2 F\left(\frac{|\phi|^2}{M^2}\right) + \left[ \kappa \frac{m_{3/2}^2}{M^4} \phi^6 + h.c. \right] + \dots$$

- $\phi$ -evolution

$$\dot{q}_\phi + 3Hq_\phi = -i \left( \phi \frac{\partial V}{\partial \phi} - \phi^* \frac{\partial V}{\partial \phi^*} \right) = \frac{6 m_{3/2}^2}{M^4} \text{Im}[\kappa \phi^6]$$

- $\phi$ -number asymmetry

$$\varepsilon \equiv \frac{q_\phi(t_0)}{n_\phi + n_{\phi^*}} \sim |\kappa| \left( \frac{m_{3/2}}{m_\phi} \right)^2$$

# Intermediate Epoch: Moduli Oscillations

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- Coherent oscillations of moduli:

$$\varepsilon \equiv \frac{q_\phi(t_0)}{n_\phi + n_{\phi^*}} \sim |\kappa| \left( \frac{m_{3/2}}{m_\phi} \right)^2$$

- $\phi$ -number asymmetry preserved during coherent oscillation
- O(1) asymmetry at the on-set of moduli decay

# Late Epoch: Moduli Decay

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• Superpotential:  $W \supset \frac{1}{M} \overline{U D D} \Phi$

• moduli decay

$$\phi \rightarrow qq\tilde{q} \quad \Gamma_\phi = \xi \frac{m_\phi^3}{M^2}$$

•  $\phi$  dominates energy density before decay

• baryon number density  $n_b = q_\phi$

• resulting asymmetry

$$\frac{n_b}{s} \sim 10^{-10} |\kappa| \left( \frac{m_{3/2}}{10^3 \text{ TeV}} \right)^2 \left( \frac{5 \times 10^4 \text{ TeV}}{m_\phi} \right)^{3/2} \left( \frac{M_{pl}}{M} \right)$$

# Dark Matter via Moduli Decay

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- LSP: Wino dark matter Moroi, Randall (1999)
  - strong pair co-annihilation: thermal relic abundance too small
  - non-thermal contribution from moduli decay

$$\mathcal{L}_G = \int d^2\theta \frac{\lambda_G}{M_*} \phi W^\alpha W_\alpha + \text{h.c.}$$

- DM relic abundance  $\Omega_{DM} h_0^2 \simeq 0.1 \left( \frac{m_\chi}{700 \text{ GeV}} \right)^3 \left( \frac{5 \times 10^4 \text{ TeV}}{m_\phi} \right)^{3/2} \left( \frac{M}{M_P} \right)$
- DM and Baryon numbers: **no moduli mass dependence**

$$\frac{\Omega_{DM}}{\Omega_b} \sim 5 |\kappa|^{-1} \left( \frac{1 \text{ GeV}}{m_{nuc}} \right) \left( \frac{m_\chi}{700 \text{ GeV}} \right)^3 \left( \frac{10^3 \text{ TeV}}{m_{3/2}} \right)^2 \left( \frac{M}{M_P} \right)^2$$

# Flavor Structure

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- Yukawa interactions

$$\begin{aligned}\mathcal{W} \supset & y_{ij}^e \left( \frac{\sigma}{M_{pl}} \right)^{n_{ij}^e} L_i H_d \bar{E}_j + y_{ij}^d \left( \frac{\sigma}{M_{pl}} \right)^{n_{ij}^d} Q_i H_d \bar{D}_j \\ & + y_{ij}^u \left( \frac{\sigma}{M_{pl}} \right)^{n_{ij}^u} Q_i H_u \bar{U}_j + y_{ij}^\nu \left( \frac{\sigma}{M_{pl}} \right)^{n_{ij}^\nu} L_i H_u \bar{\nu}_j\end{aligned}$$

- Yukawa hierarchy a lá Froggatt-Nielsen

$$Y_{ij}^f = y_{ij}^f \left( \frac{\sigma}{M_{pl}} \right)^{n_{ij}^f} \longrightarrow y_{ij}^f \left( \frac{\langle \sigma \rangle}{M_{pl}} \right)^{n_{ij}^f} = y_{ij}^f \epsilon^{n_{ij}^f}$$

- expansion parameter  $\epsilon = \frac{\langle \sigma \rangle}{M_{pl}} = \sqrt{\frac{g_s^2 A_{GGA}}{192\pi^2}} \simeq \sin \theta_c = \mathcal{O}(0.2)$

# Flavor Structure

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- Charged Fermion Mass Matrices:

$$M_u \sim \langle H_u \rangle \begin{pmatrix} \epsilon^6 & \epsilon^5 & \epsilon^3 \\ \epsilon^5 & \epsilon^4 & \epsilon^2 \\ \epsilon^3 & \epsilon^2 & 1 \end{pmatrix} ; \quad M_d \sim \langle H_d \rangle \epsilon^p \begin{pmatrix} \epsilon^4 & \epsilon^3 & \epsilon^3 \\ \epsilon^3 & \epsilon^2 & \epsilon^2 \\ \epsilon & 1 & 1 \end{pmatrix} ; \quad M_e \sim \langle H_d \rangle \epsilon^p \begin{pmatrix} \epsilon^4 & \epsilon^3 & \epsilon \\ \epsilon^3 & \epsilon^2 & 1 \\ \epsilon^3 & \epsilon^2 & 1 \end{pmatrix}$$

- parameter  $p$ :

$$p \in \{2, 1, 0\} \quad \tan \beta \equiv \langle H_u \rangle / \langle H_d \rangle \in \{5, 10, 20\}$$

- Naturally light Dirac neutrinos:

$$M_\nu \sim \langle H_u \rangle \epsilon^{13+2p} \begin{pmatrix} \epsilon^3 & \epsilon^2 & \epsilon \\ \epsilon^2 & \epsilon & 1 \\ \epsilon^2 & \epsilon & 1 \end{pmatrix}$$

- $p \in \{0, 1, 2\} \longrightarrow \frac{m_\nu}{\langle H_u \rangle} \sim \{\epsilon^{13}, \epsilon^{14}, \epsilon^{15}\} \simeq \{10^{-9}, 10^{-11}, 10^{-12}\}$

# Nucleon Stability

- $\Delta(B-L) = 0$ : proton decay  $p \rightarrow \bar{\nu} K^+$

$$QQQL \quad \overline{UUDE}$$

**constraints**

family 1:  $\kappa_4, \kappa_5 \sim \frac{m_{3/2}}{M_{pl}^2} \epsilon^{10+p} \simeq \frac{4 \times 10^{-20}}{M_{pl}} \left( \frac{m_{3/2}}{10^3 \text{ TeV}} \right) \epsilon^p \lesssim \frac{10^{-8}}{M_{pl}}$

family 3:  $\kappa_4, \kappa_5 \sim \frac{m_{3/2}}{M_{pl}^2} \epsilon^{6+p} \simeq \frac{3 \times 10^{-17}}{M_{pl}} \left( \frac{m_{3/2}}{10^3 \text{ TeV}} \right) \epsilon^p \lesssim \frac{10^{-8}}{M_{pl}}$

Super-Kamiokande Collaboration (2014)

- $\Delta(B-L) = 2 \Rightarrow$  neutron-antineutron oscillation

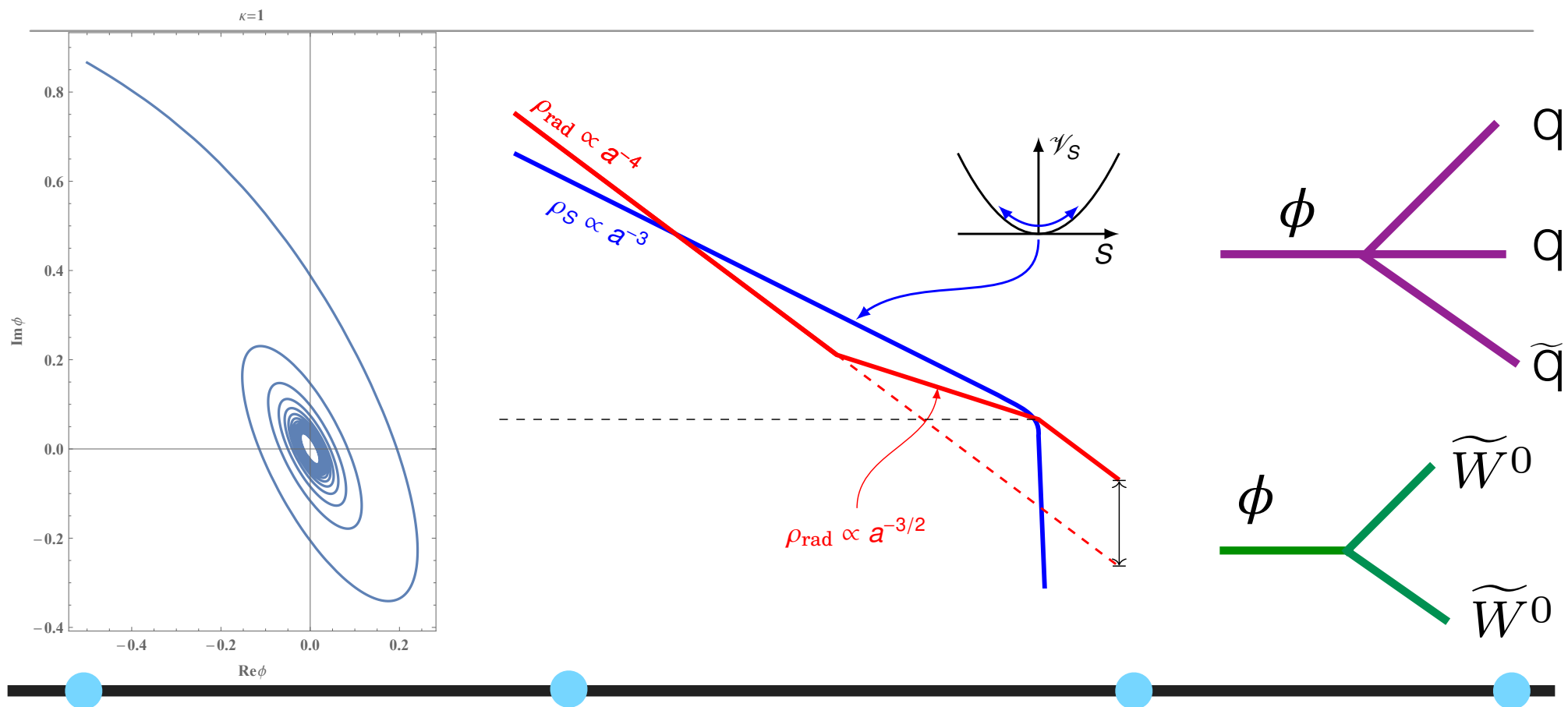
$$\mathcal{W}_{eff} \supset \frac{\epsilon^{5+2p}}{M} \overline{UDD}\Phi + M_\Phi \Phi \bar{\Phi} \quad \mathbf{O}_{n-\bar{n}} = \frac{\epsilon^{10+4p}}{M^2 M_\Phi} (\overline{UDD})^2$$

**constraint**  $\tau_{n-\bar{n}}^{\text{bound}} \gtrsim 2 \times 10^{32} \text{ years}$

Super-Kamiokande Collaboration (2015)  $\Rightarrow M_\Phi \gtrsim 10^{-1} \epsilon^{-4p} \left( \frac{M_{pl}}{M} \right)^2 \text{ GeV}$



# Summary



inflation

moduli  
asymmetry  
generation

moduli  
coherent  
oscillations

moduli  
decay

BBN

# Summary

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MSSM with

