



Oscillation Physics

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For the DUNE Collaboration



NuFact 2016

August 21 - 27, 2016

ICISE, Quy Nhon, Vietnam

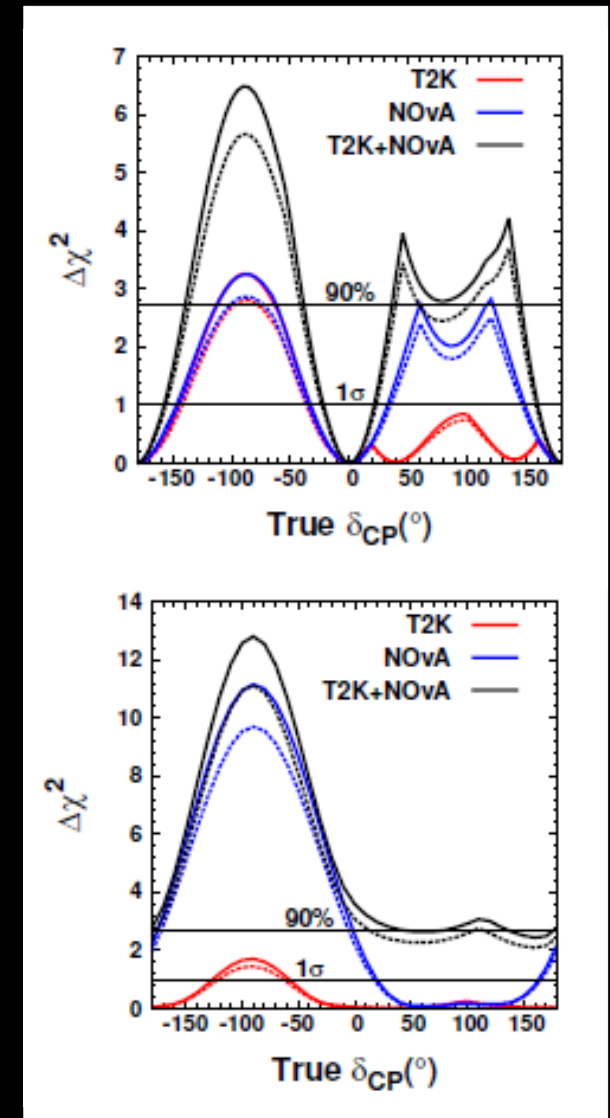
The Deep Underground Neutrino Experiment



- September 2015 collaboration meeting at FNAL
- 886 Collaborators → 26+ countries
- 153 institutions → Members from LBNE, LBNO and more

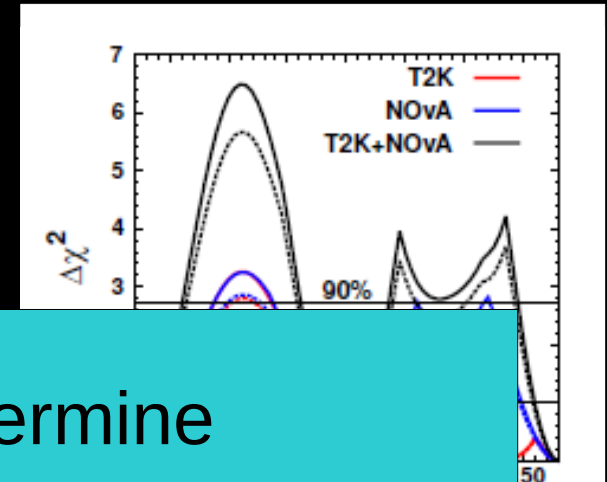
Potential of Current Experiments

- T2K and NOvA will continue to run over next several years
 - measure ν_e appearance and ν_μ disappearance
 - Run in both ν mode and $\bar{\nu}$ mode
 - Provide sensitivity to CPV and MH determination
 - A combined analysis has “indication” potential
- Reactor experiments
 - Continue to constrain θ_{13} from $\bar{\nu}_e$ disappearance
 - Constraints help T2K and NOvA
- MH determination may come from several sources like INO, PINGU, JUNO, and $0\nu\beta\beta$
- SK will continue to asymptotically approach limits on nucleon decay, and atmospheric neutrino measurements



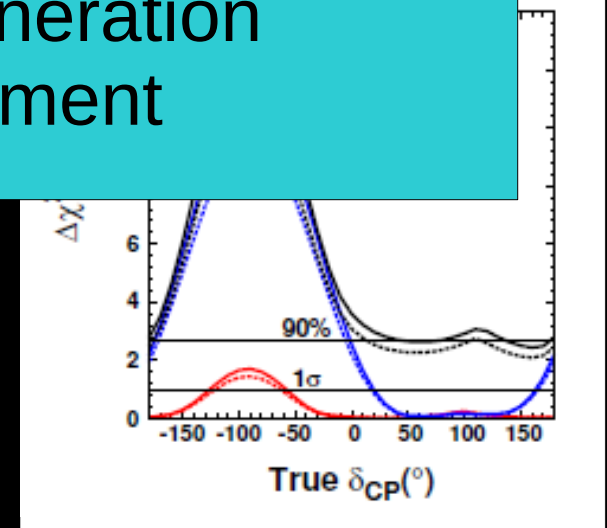
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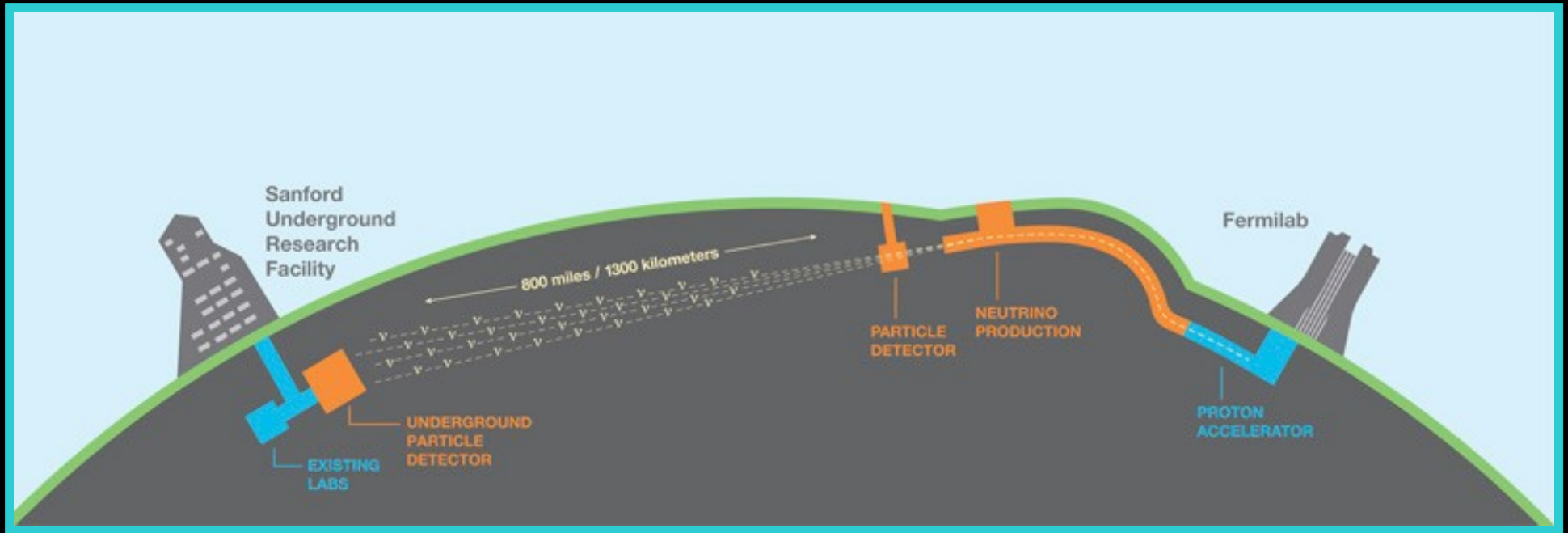


To measure δ_{cp} , θ_{13} , θ_{23} and determine the MH to high precision in a single experiment will require a next generation long-baseline neutrino experiment

- MH determination may come from several sources like INO, PINGU, JUNO, and $0\nu\beta\beta$
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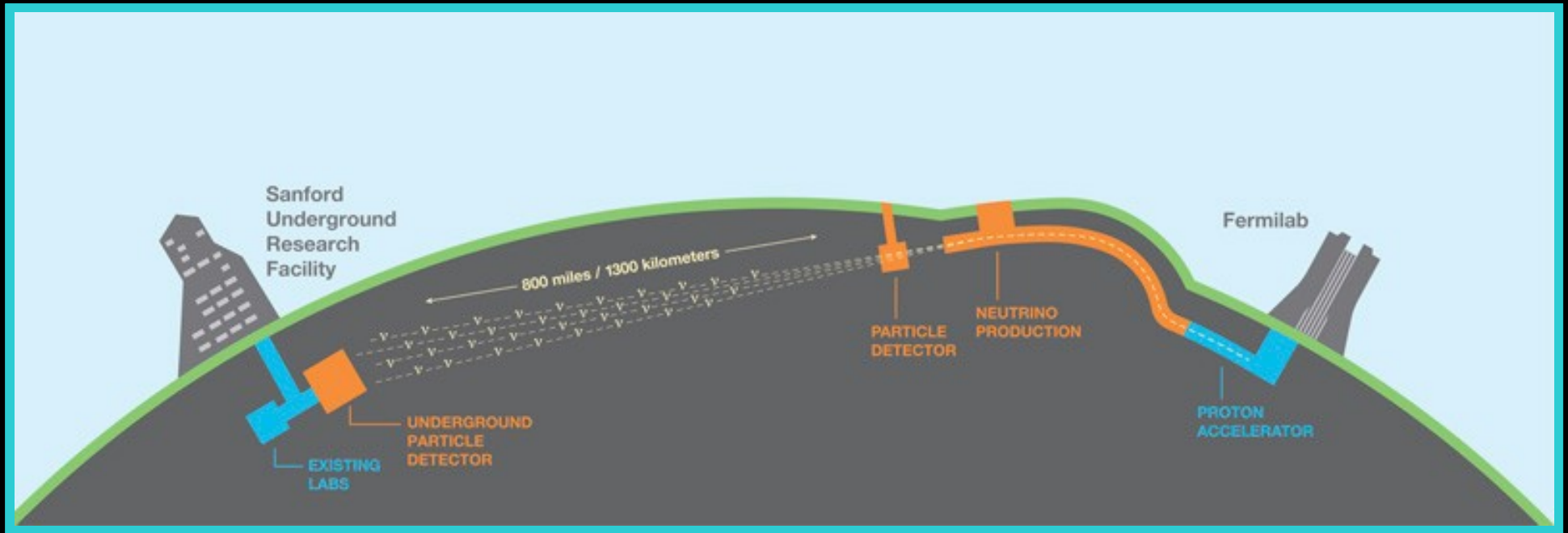


The DUNE Experimental Setup



- DUNE is designed to provide a broad program of:
 - ν oscillation physics
 - ν interaction physics
 - Proton decay
 - Supernova physics
 - BSM physics

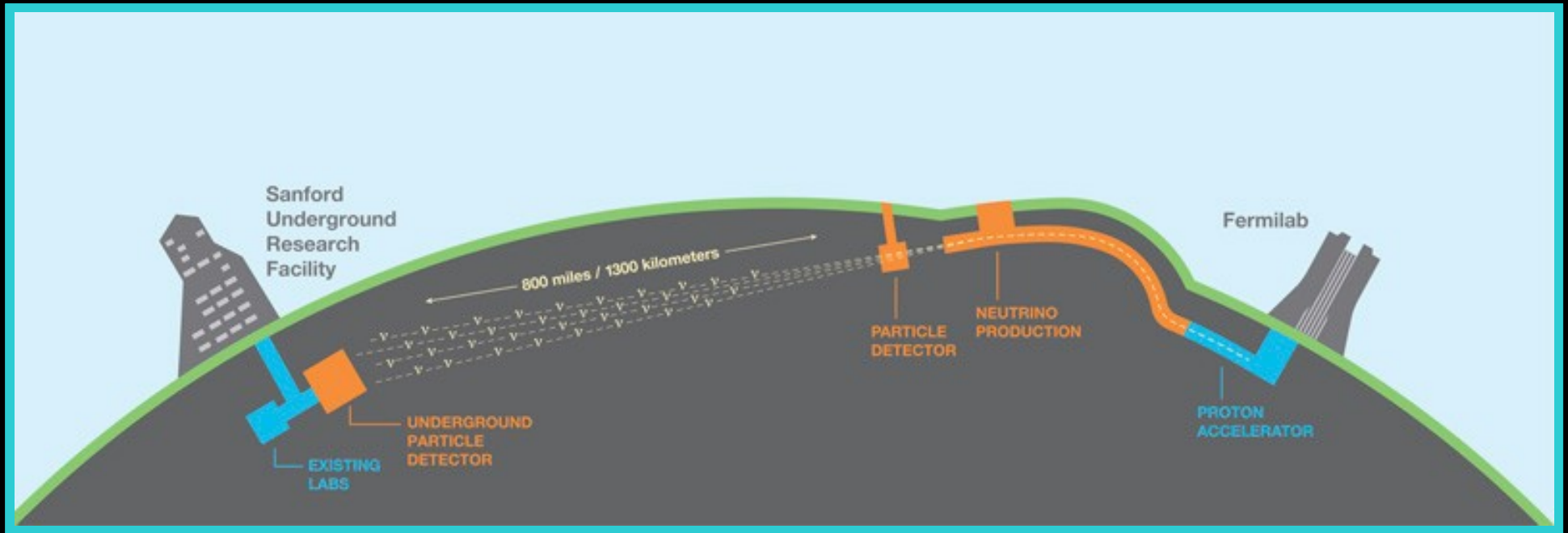
The DUNE Experimental Setup



- Oscillation Physics:
 - Baseline of 1300 km
 - A megawatt class beam covering the 1st and 2nd oscillation maxima
 - A highly capable ND to constrain the FD event rate prediction

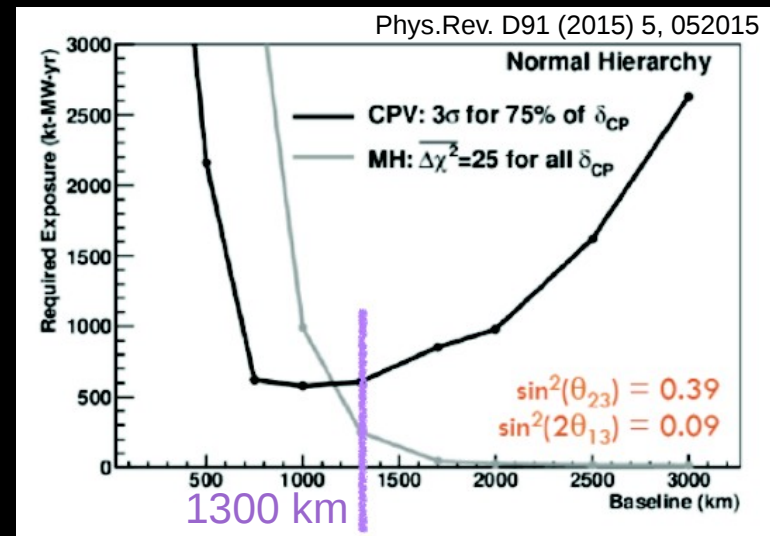


The DUNE Experimental Setup

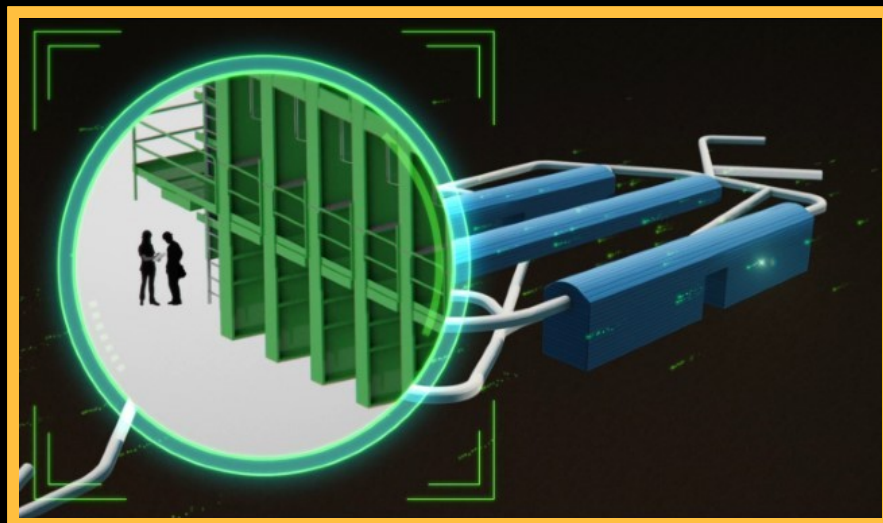
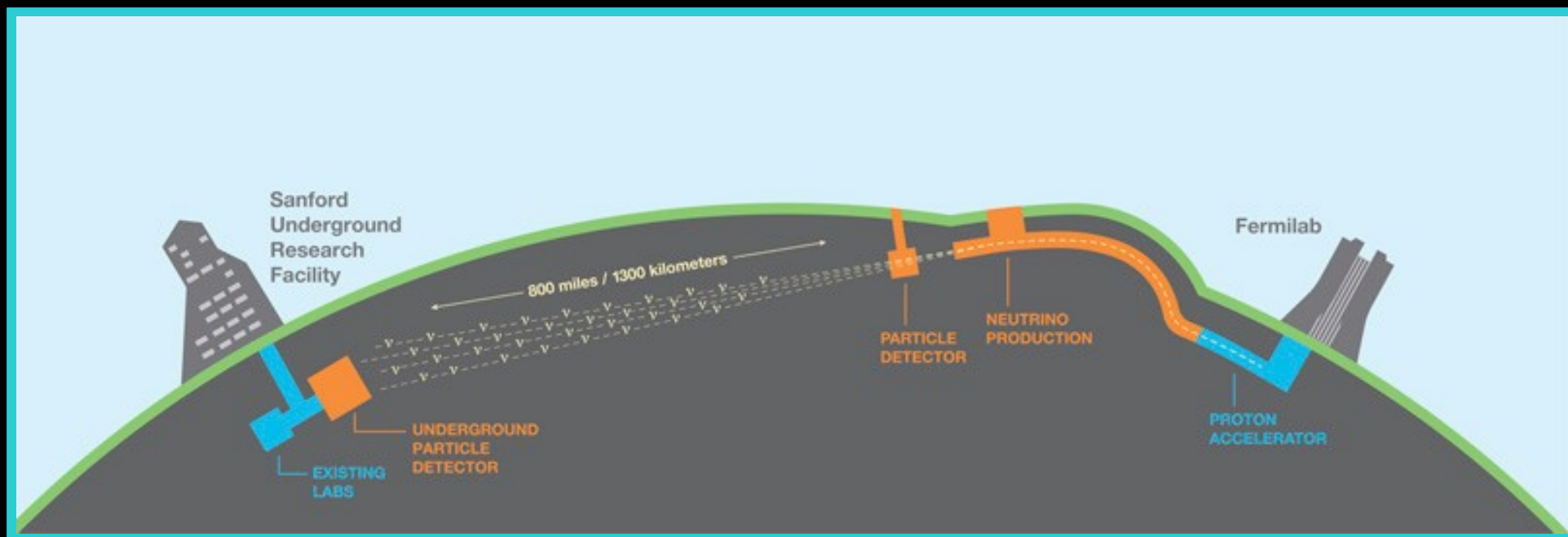


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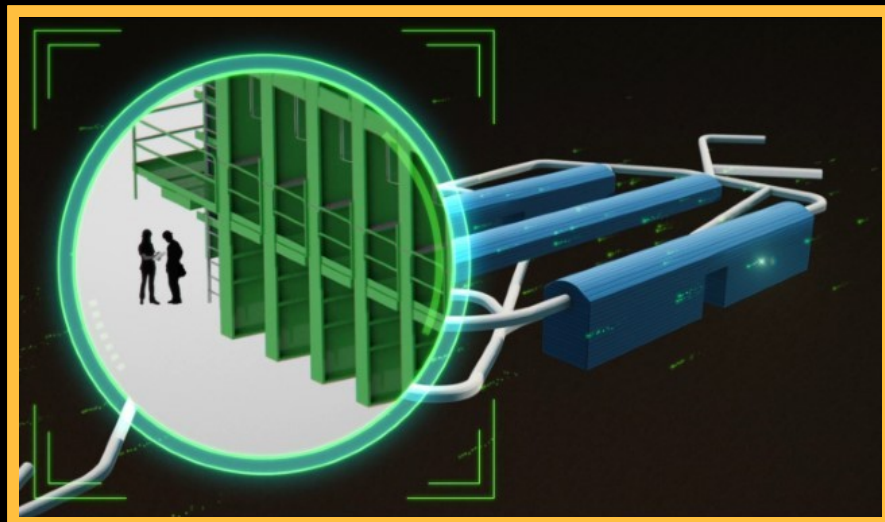
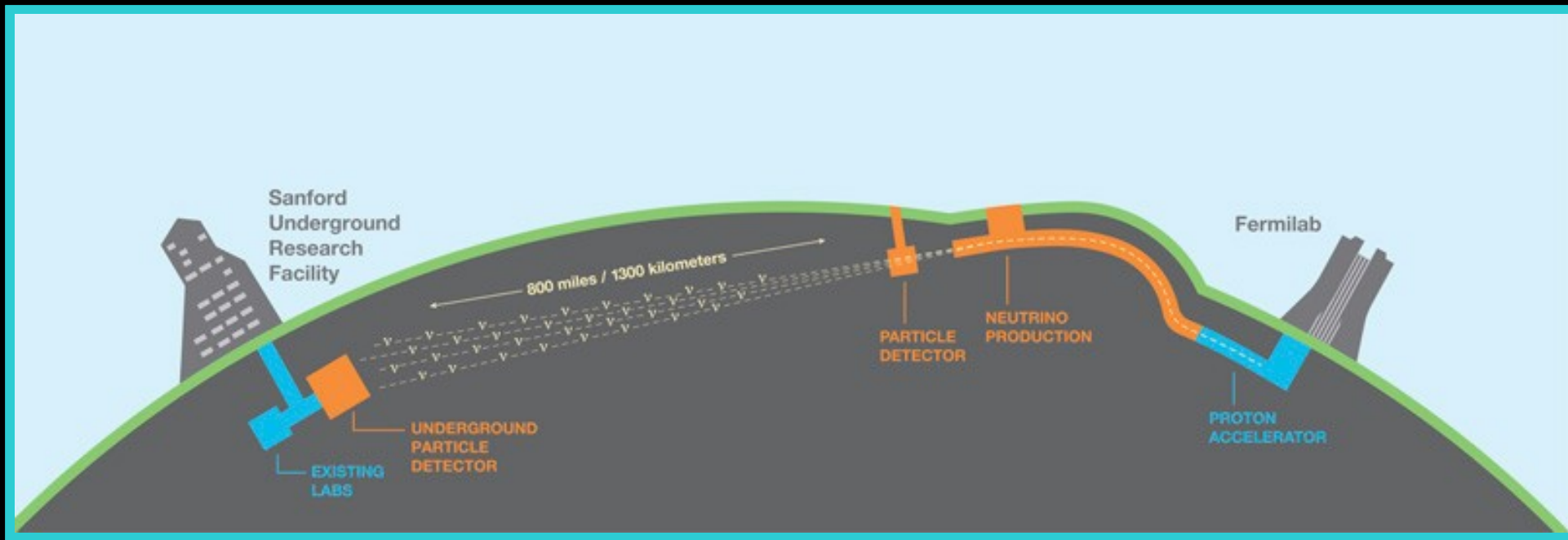


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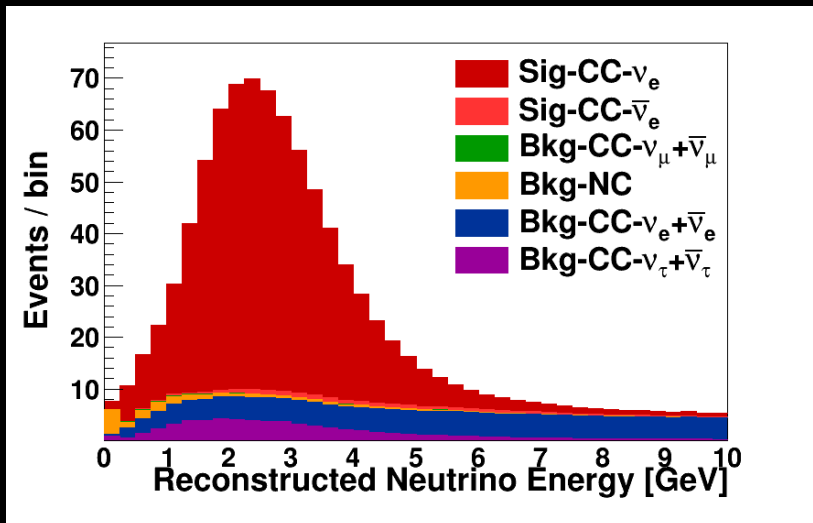


- Oscillation Physics:
 - Baseline of 1300 km
 - A large (~ 40 kt), high resolution FD deployed deep underground
 - Exposure of 6-12 yr with $\sim 50\% / 50\% \nu / \bar{\nu}$ running

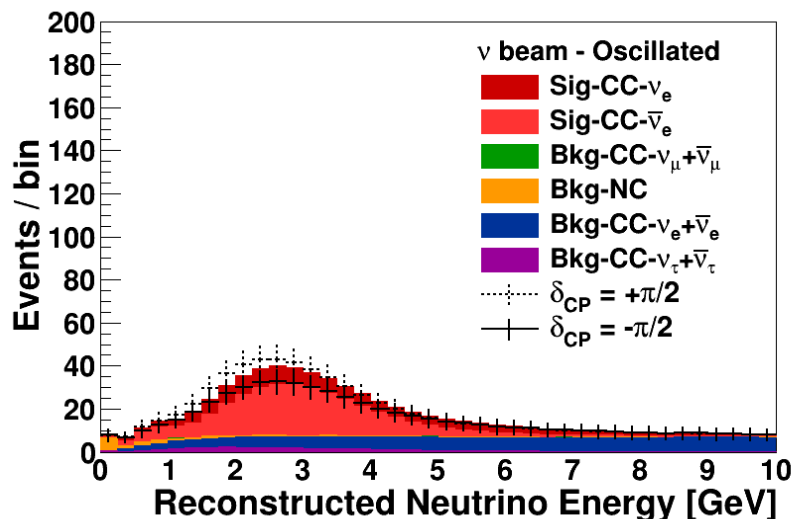
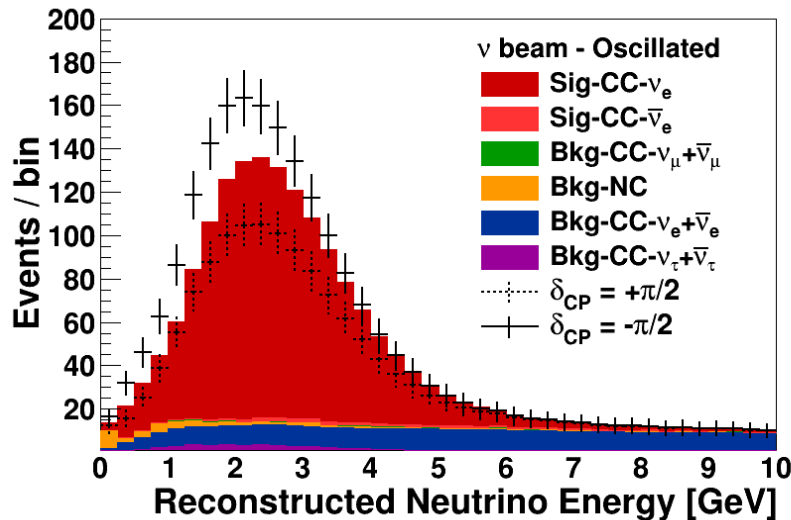
The DUNE Experimental Setup



DUNE ν_e appearance

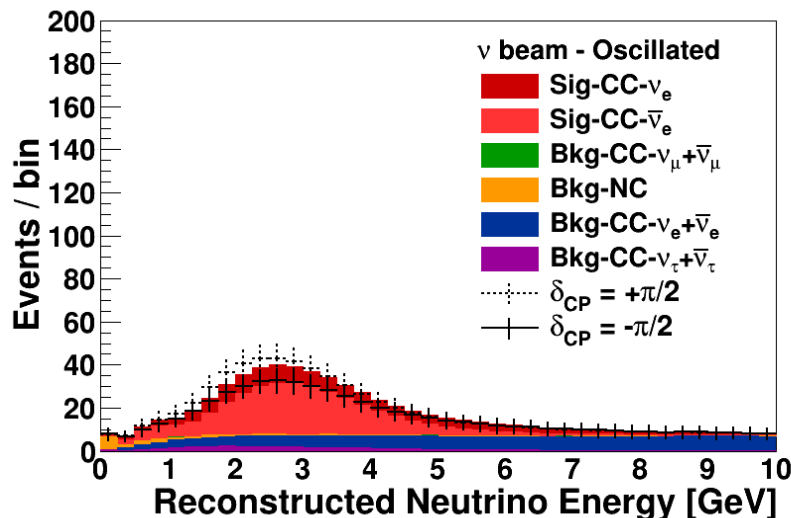
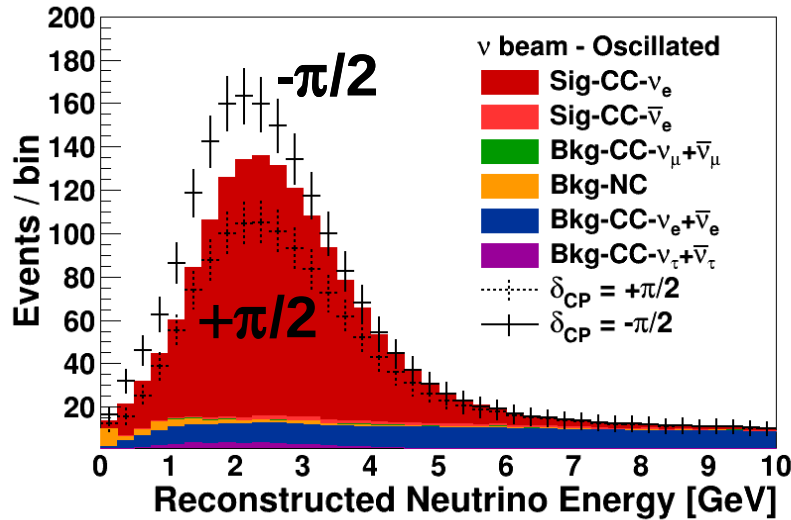


Expected FD Spectra



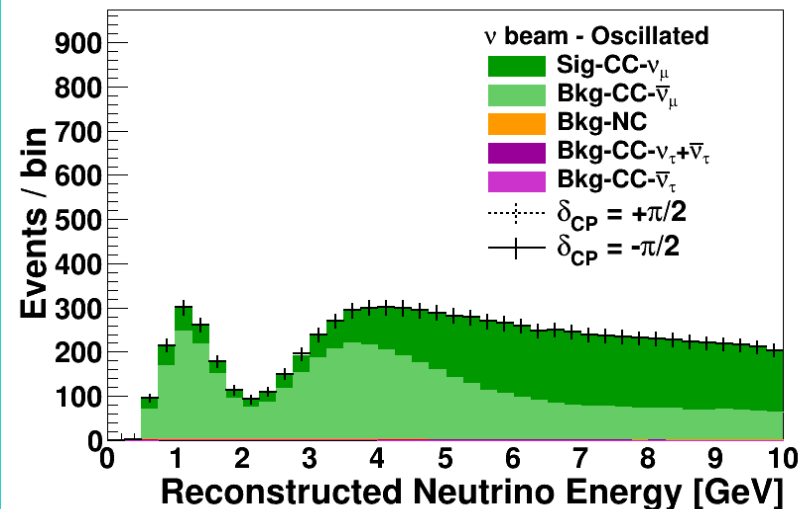
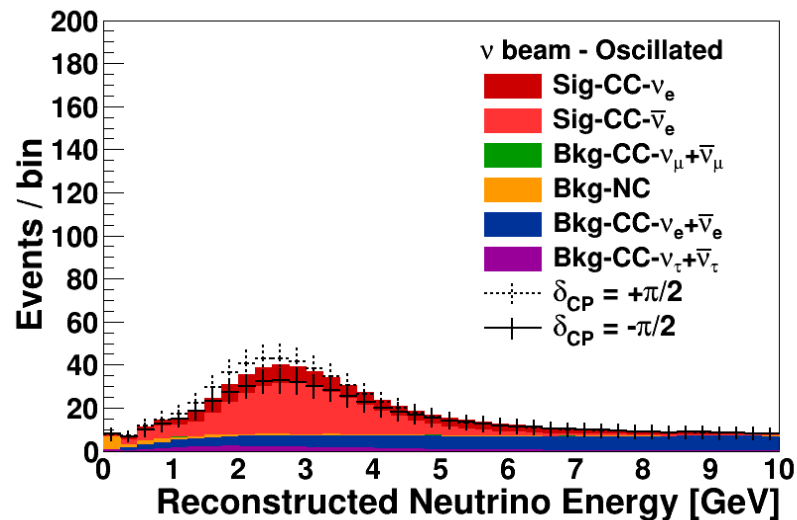
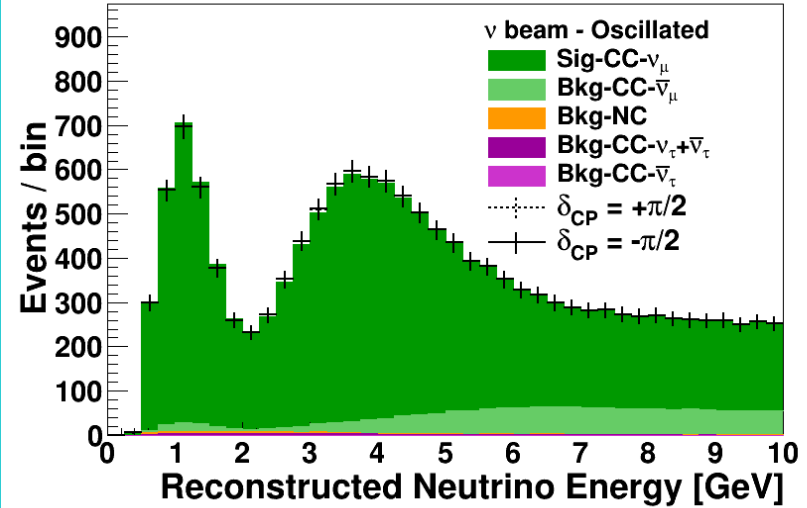
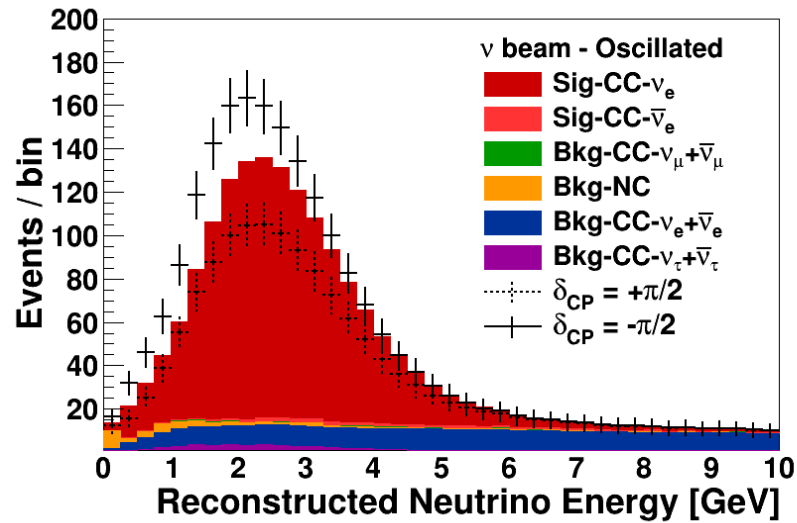
- Spectra produced by a Fast MC
- Fast MC inputs:
 - Full G4LBNE flux simulation
 - GENIE cross sections and FSI
 - Parameterized detector response applied to individual particles that exit the nucleus
 - Event selection based on PID of lepton candidates
- Fast MC outputs (all event-by-event):
 - Reconstructed quantities e.g. E_ν , Q^2 , W^2 , x , y , etc
 - $E_{true} \rightarrow E_{reco}$ smearing functions
 - Efficiencies for signal and backgrounds
 - Weights for most sources of systematic uncertainty and spectral response functions

Expected FD Spectra

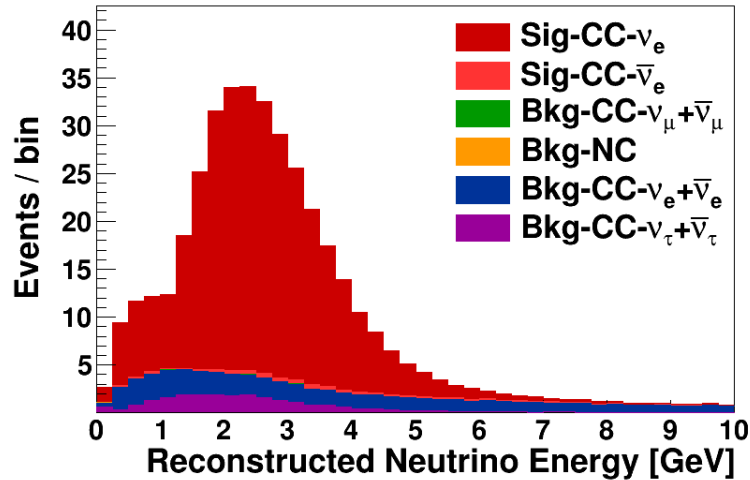


- Assumed exposure:
 - 40 kton LAr TPC FD
 - 1.2 MW beam
 - NuMI style horns
 - 120 GeV protons
 - Many possible optimizations
 - 6 yr ν / 6 yr $\bar{\nu}$ (56% up time)
- Oscillation Parameters
 - NuFit 2014 NH results
 - Choose $\delta_{cp} = 0$
- Opposite effects on ν and $\bar{\nu}$ spectra for $\delta_{cp} \rightarrow \pm \pi/2$

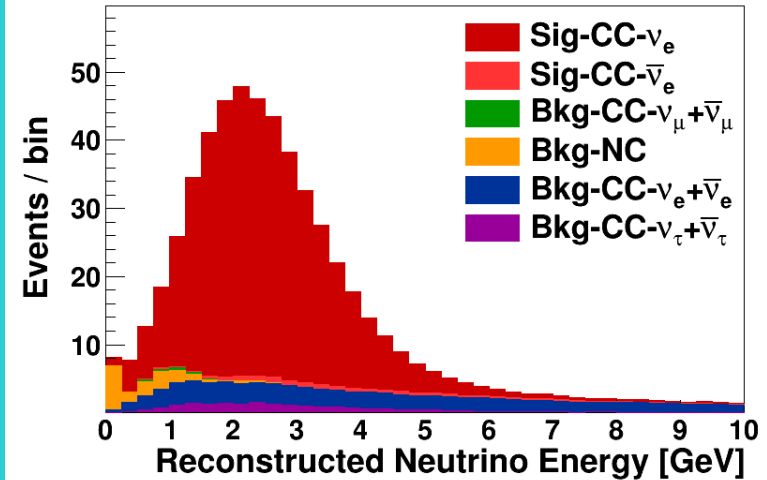
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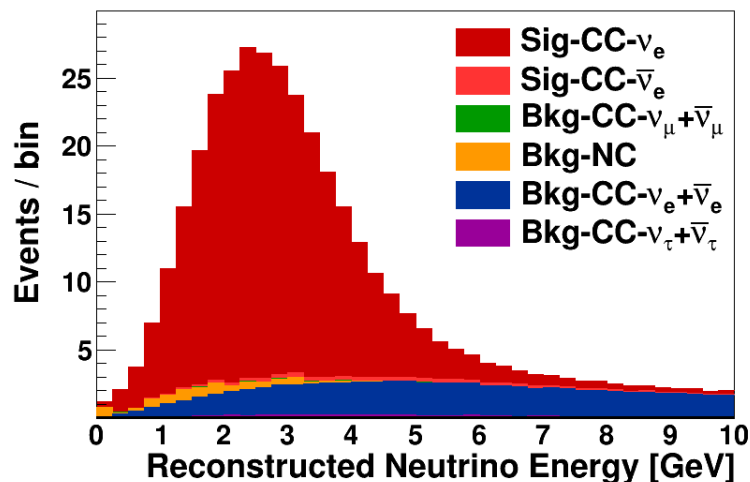
Spectra By Cross Section Model



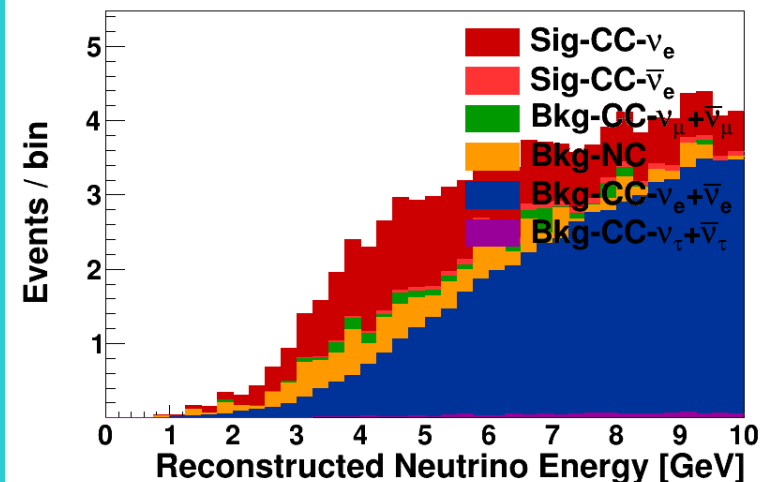
Quasi-elastic



Resonance Production





DIS ($W < 2.7$ GeV)



DIS ($W > 2.7$ GeV)

The DUNE Experimental Setup

arxiv:1512.06148	CDR Reference Design	Optimized Design	
ν mode (150 kt · MW · year)			
ν_e Signal NH (IH)	861 (495)	945 (521)	
$\bar{\nu}_e$ Signal NH (IH)	13 (26)	10 (22)	
Total Signal NH (IH)	874 (521)	955 (543)	
Beam $\nu_e + \bar{\nu}_e$ CC Bkgd	159	204	
NC Bkgd	22	17	
$\nu_\tau + \bar{\nu}_\tau$ CC Bkgd	42	19	
$\nu_\mu + \bar{\nu}_\mu$ CC Bkgd	3	3	
Total Bkgd	226	243	
$\bar{\nu}$ mode (150 kt · MW · year)			
ν_e Signal NH (IH)	61 (37)	47 (28)	
$\bar{\nu}_e$ Signal NH (IH)	167 (378)	168 (436)	
Total Signal NH (IH)	228 (415)	215 (464)	
Beam $\nu_e + \bar{\nu}_e$ CC Bkgd	89	105	
NC Bkgd	12	9	
$\nu_\tau + \bar{\nu}_\tau$ CC Bkgd	23	11	
$\nu_\mu + \bar{\nu}_\mu$ CC Bkgd	2	2	
Total Bkgd	126	127	

Number of events in the $0.5 < E_\nu < 8.0$ GeV range, assuming 150 kt-MW-yr in each of the ν and $\bar{\nu}$ beam modes, $\delta_{cp} = 0.0$, and the NuFit 2014 oscillation parameters.

Determining CDR Sensitivities

- Define CPV sensitivity as:

$$\Delta\chi^2_{\text{CPV}} = \text{Min}(\chi^2_{\text{test}}(\delta_{\text{cp}}=0), \chi^2_{\text{test}}(\delta_{\text{cp}}=\pi)) - \chi^2_{\text{true}}$$

- Define MH sensitivity as:

$$\Delta T_{\text{NH(IH)}} = \chi^2_{\text{IH(NH)}} - \chi^2_{\text{NH(IH)}}$$

- Use Asimov data sets; gives mean $\Delta\chi^2$
- Allow oscillation parameters, and systematics to vary
 - Constrain oscillation parameter values with NuFit2014 results; use 1/3rd of the 3 σ ranges
 - Estimate non-oscillation systematics with normalization parameters
 - Consider channel-to-channel and sample-to-sample correlations

Signal uncertainties of
 5% on ν_μ disappearance
 and
 5 \oplus 2% on ν_e appearance
 assume a relative
 calibration in the
 4-sample fits

Background	Normalization Uncertainty	Correlations
For $\nu_e/\bar{\nu}_e$ appearance:		
Beam ν_e	5%	Uncorrelated in ν_e and $\bar{\nu}_e$ samples
NC	5%	Correlated in ν_e and $\bar{\nu}_e$ samples
ν_μ CC	5%	Correlated to NC
ν_τ CC	20%	Correlated in ν_e and $\bar{\nu}_e$ samples
For $\nu_\mu/\bar{\nu}_\mu$ disappearance:		
NC	5%	Uncorrelated to $\nu_e/\bar{\nu}_e$ NC background
ν_τ	20%	Correlated to $\nu_e/\bar{\nu}_e$ ν_τ background

Normalization uncertainties

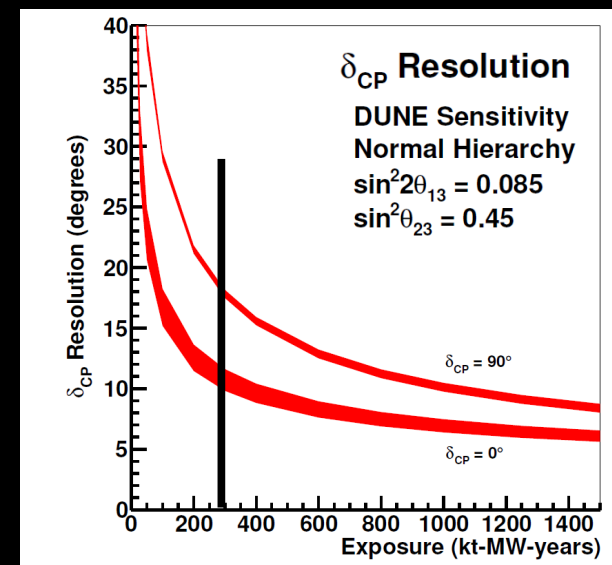
- Estimate uncertainties after ND and external data constraints
- Understand advantages of LAr TPC, and cancellations in FD 4-sample fits
- Consider experience from T2K and MINOS
 - MINOS similarities
 - Flux shape, ν energies
 - Longer baseline
 - Similar cross sections
 - T2K similarities
 - Different near and far detector technologies
 - Similar analysis strategies
 - Strategies to address required increase in precision

Source of Uncertainty	MINOS ν_e	T2K ν_e	DUNE ν_e
Beam Flux after N/F extrapolation	0.3%	3.2%	2%
Interaction Model	2.7%	5.3%	$\sim 2\%$
Energy scale (ν_μ)	3.5%	included above	(2%)
Energy scale (ν_e)	2.7%	2.5% includes all FD effects	2%
Fiducial volume	2.4%	1%	1%
Total	5.7%	6.8%	3.6 %
Used in DUNE Sensitivity Calculations			$5\% \oplus 2\%$

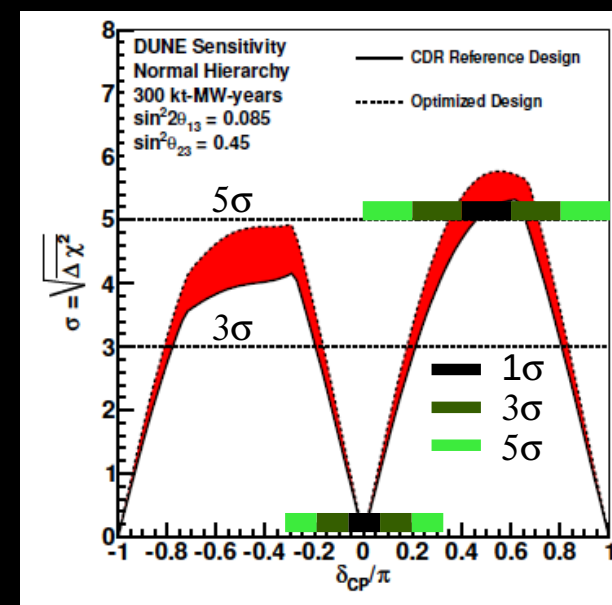
The Physics of DUNE:

Long-Baseline Physics: δ_{cp} and CPV

- DUNE measurement of δ_{cp}
 - Resolution on δ_{cp} gets better as $\sin(\delta_{cp}) \rightarrow 0$
 - Range on δ_{cp} resolution from 6° - 10° (~ 10 yr exposure)
- Sensitivity to CPV strongly depends on:
 - Statistics (thus the beam intensity, detector mass, run time)
 - The true value of $\sin^2\theta_{23}$, δ_{cp} , and the MH
 - Resolution on δ_{cp} near $\sin(\delta_{cp}) = 0$
 - Ability to constrain systematic uncertainties



arXiv:1512.06148



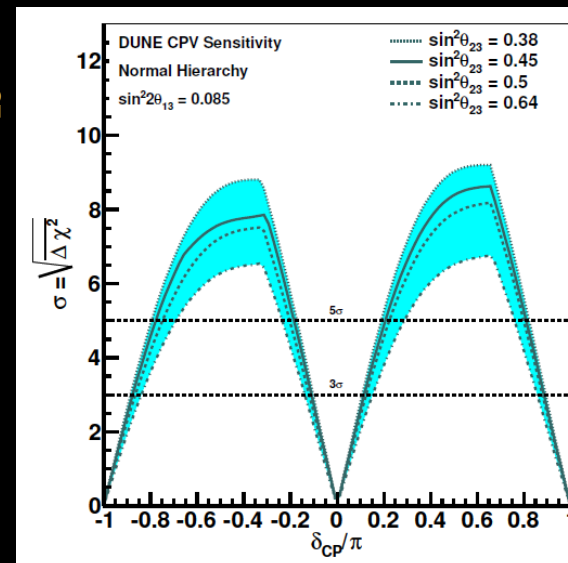
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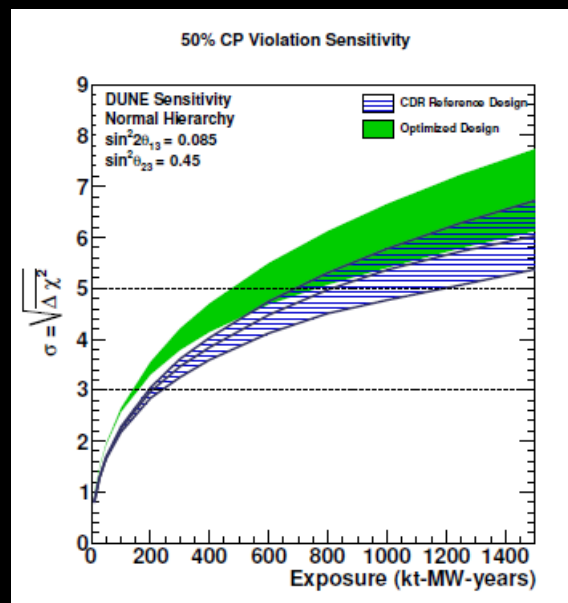
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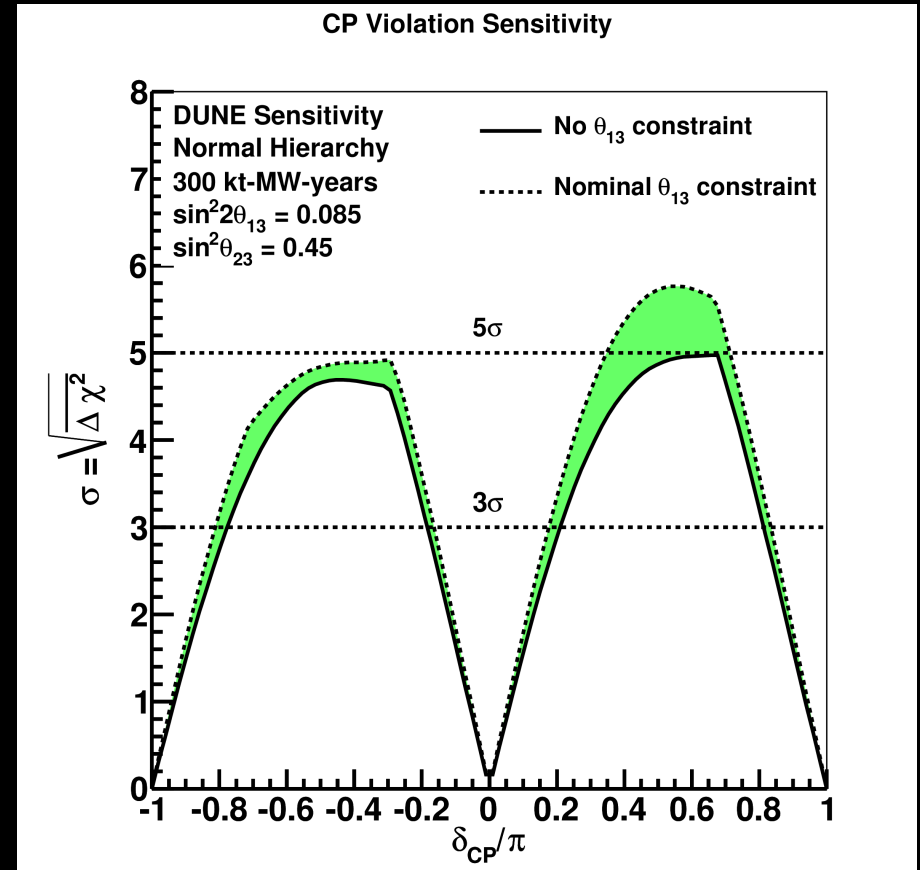
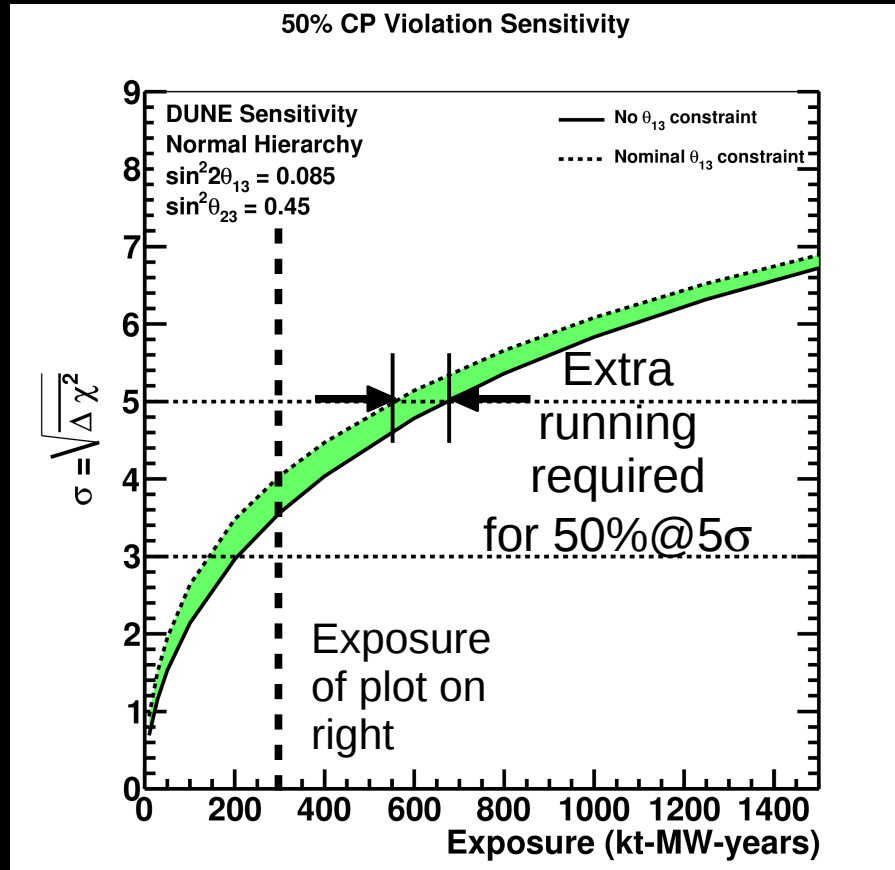
Sensitivity variation
bands for $\sin^2\theta_{23}$



Sensitivity changes with
systematic uncertainties

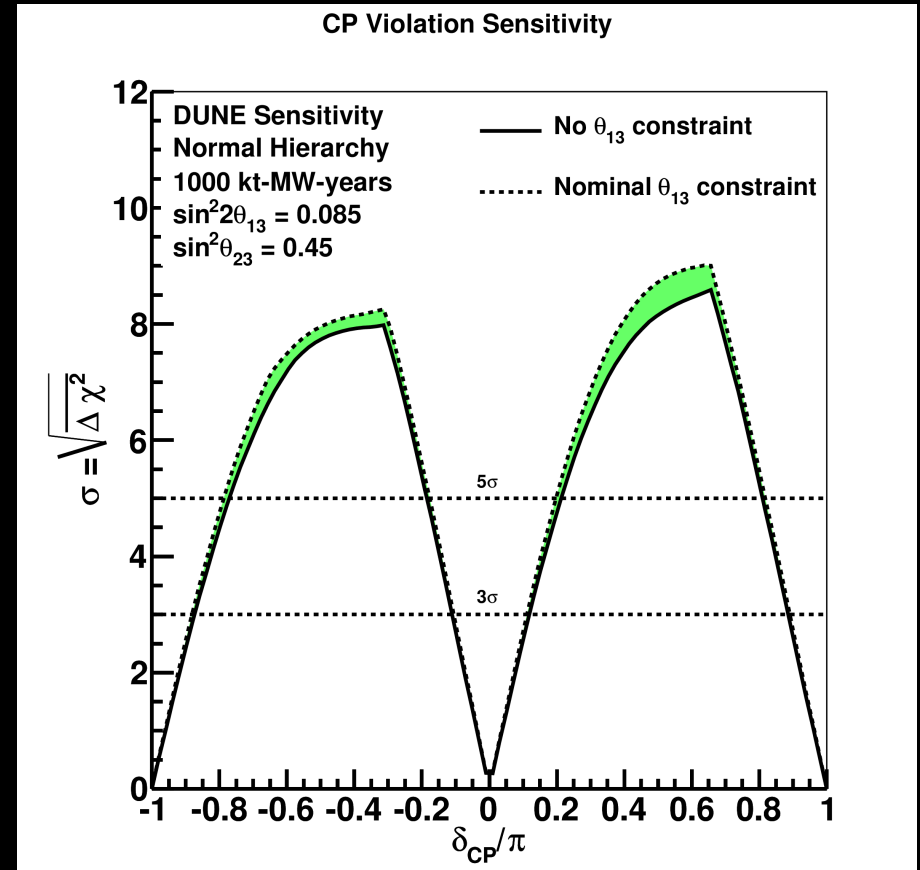
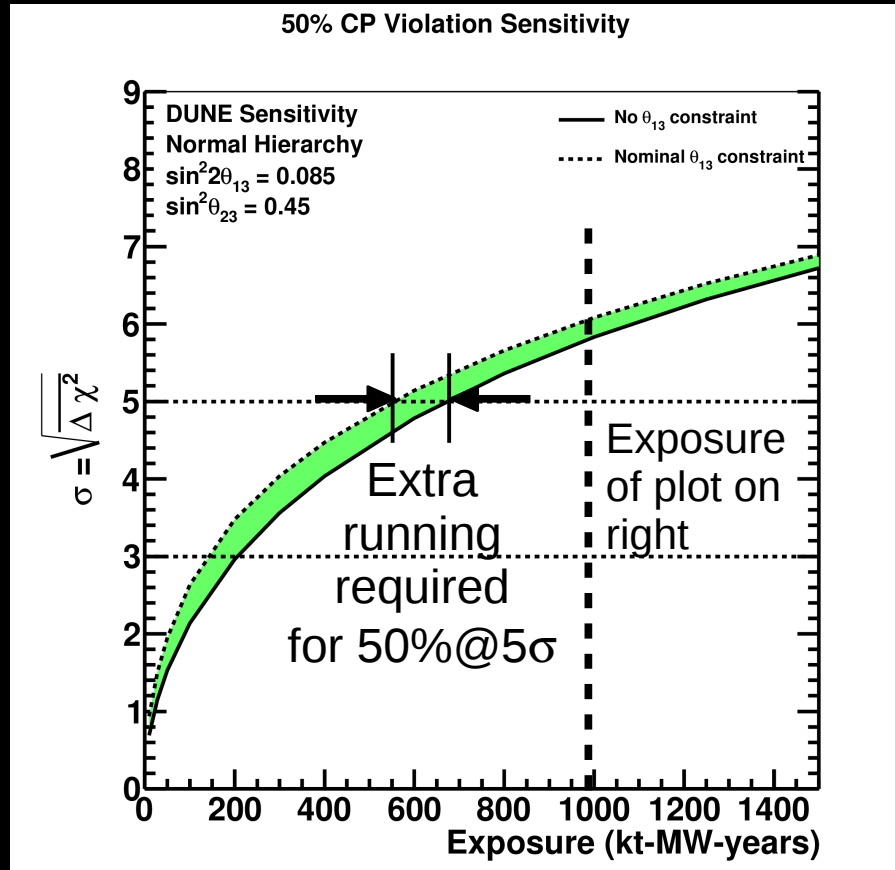


Effect of Reactor θ_{13} Constraint



- Current long-baseline experimental sensitivity to δ_{CP} is enhanced by tension with reactor constraints
- DUNE will be able to measure δ_{CP} with the same sensitivity without the reactor constraint with a bit more running

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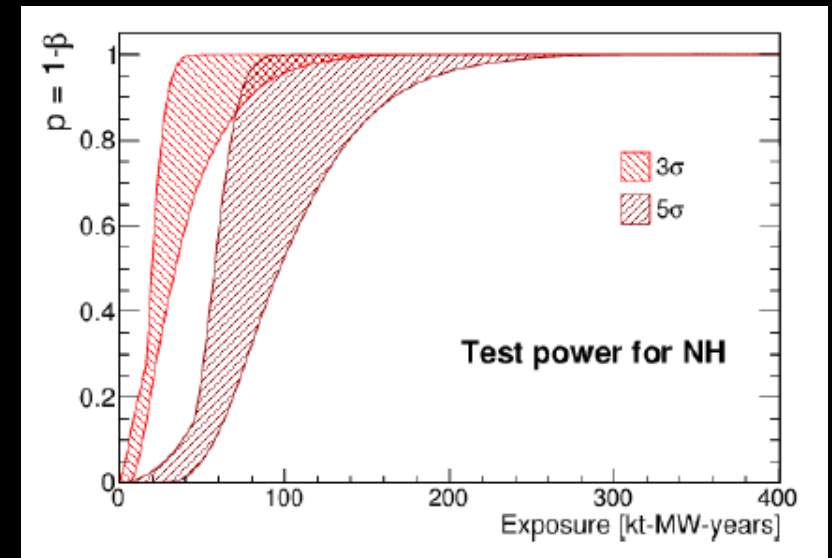
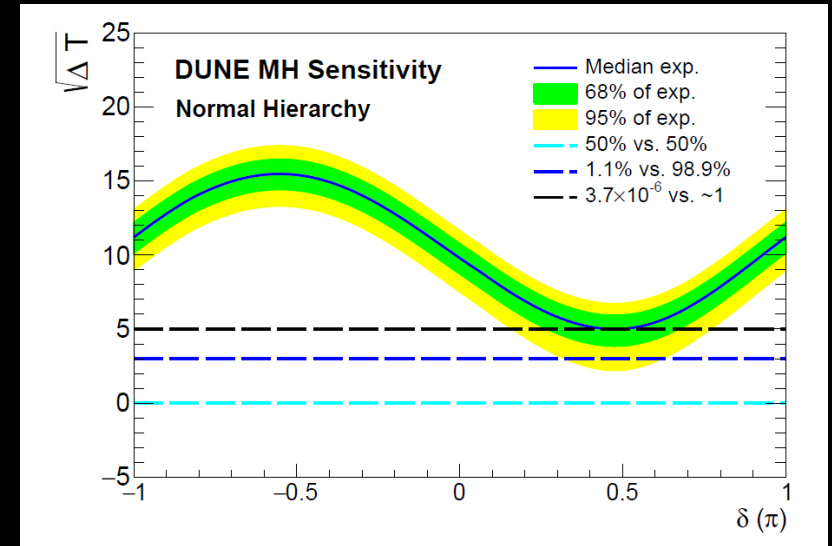


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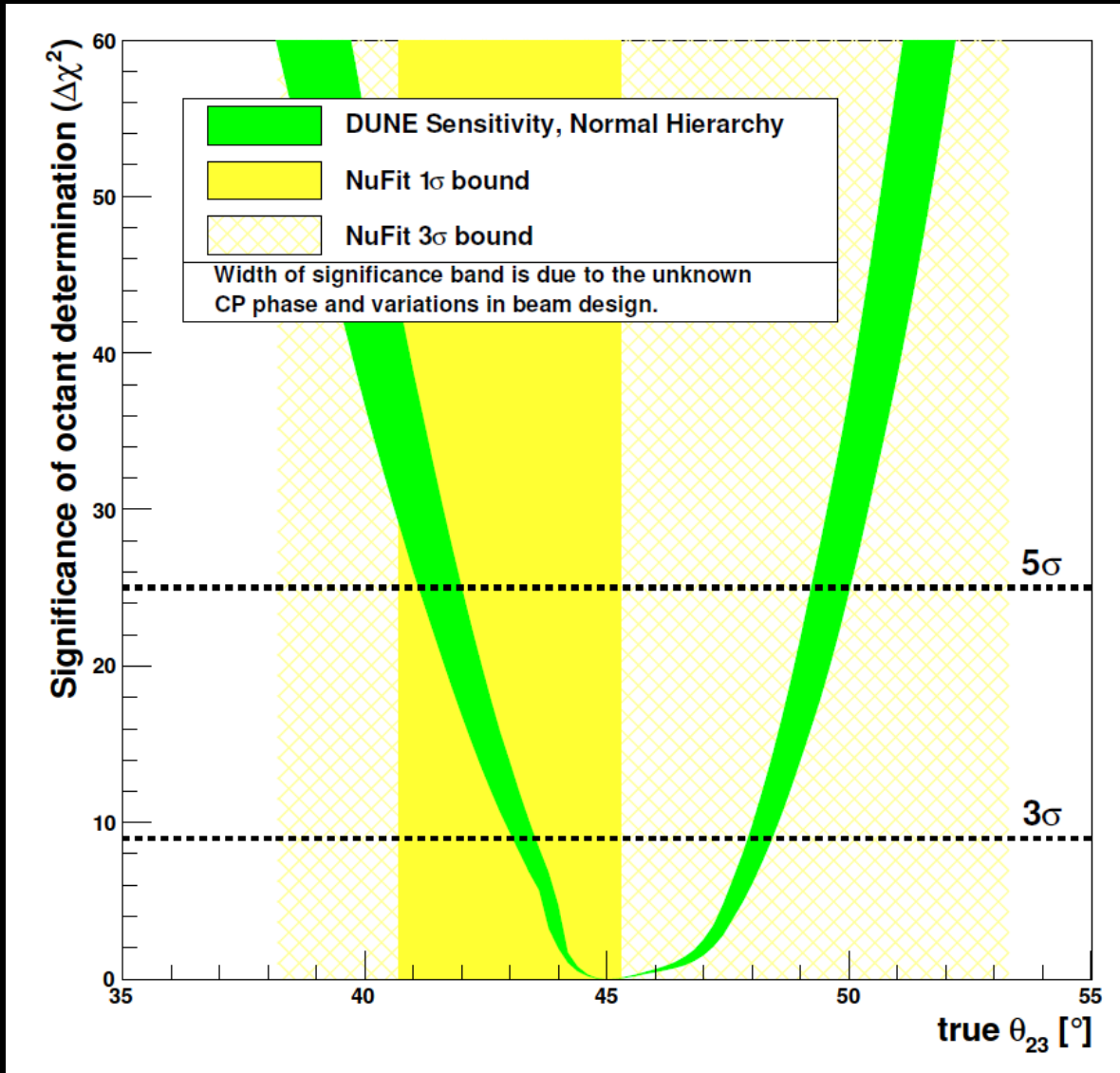
The Physics of DUNE:

Long-Baseline Physics: MH and the Rest

- DUNE will exclude the wrong MH at the 99% C.L. for all values of δ_{cp}
- The 99% C.L. result will come sooner for more favorable δ_{cp} values
- DUNE will also constrain $\sin^2(\theta_{13})$, $\sin^2(\theta_{23})$, and ΔM_{31}^2
- And has the potential to determine the θ_{23} octant, and measure ν_τ appearance
- DUNE long-baseline physics goals also include:
 - Over-constrain the PMNS matrix
 - Search for exotic physics like NSI, LRI, CPT/Lorentz violation, compact extra dimensions, and sterile neutrinos

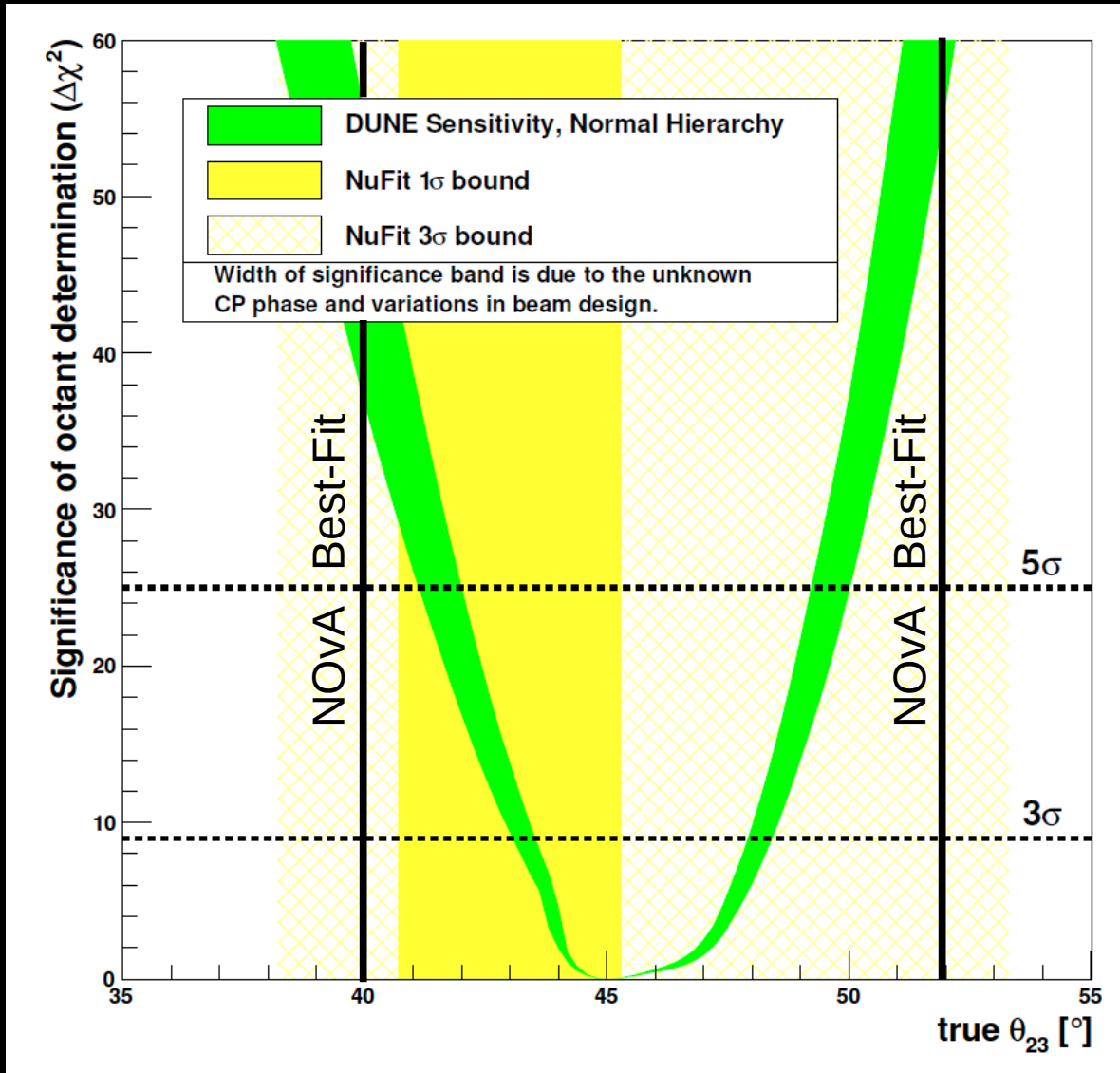


Octant Sensitivity



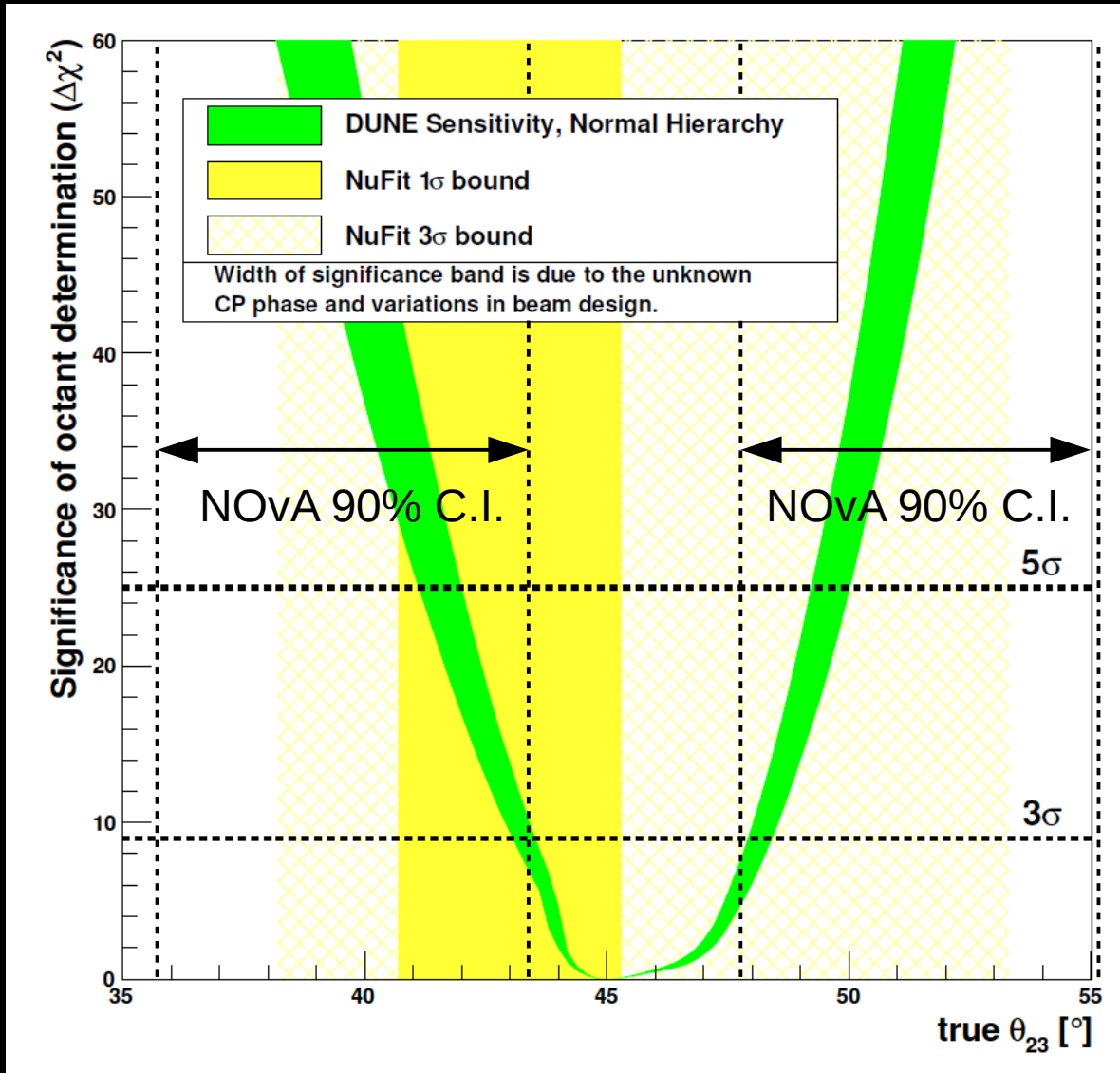
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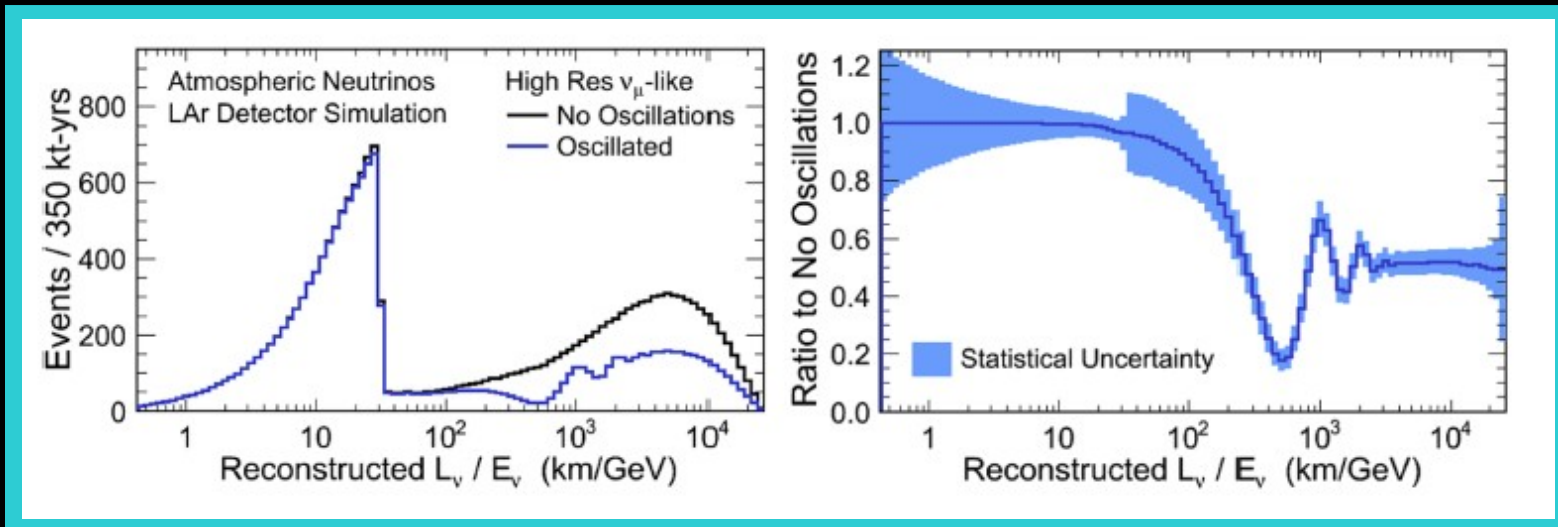


- Sensitivity is high a few degrees away from 45°
- Mostly between 3 σ and 5 σ for NuFit 2014 band
- At NovA best-fit values DUNE will easily achieve 5 σ
- Sensitivity above 3 σ for all of the NoVA 90% C.I.

Atmospheric ν Oscillations

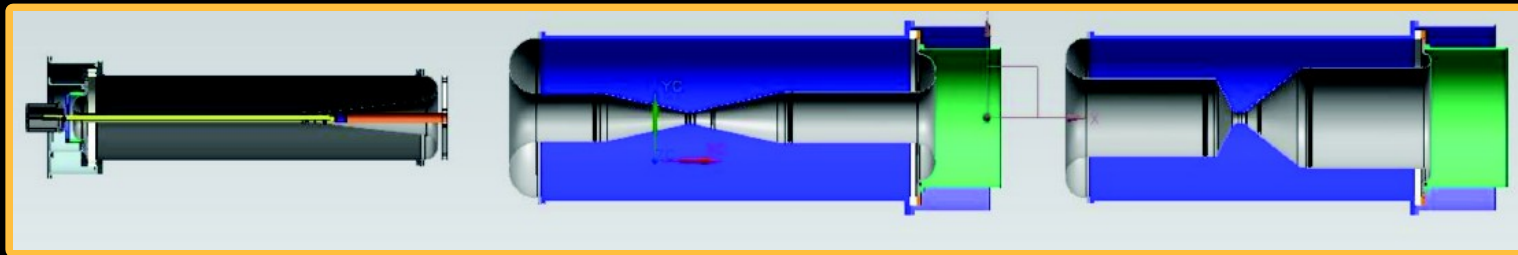
- Low energy thresholds gives superior L/E resolution
 - Fully reconstruct hadronic system
 - Low missing p_T improves angular resolution
- Good sensitivity to MH and θ_{23} octant
- Combine with accelerator ν data to improve oscillation physics measurements
- Sensitive to PMNS extensions / new physics
- Expect $\sim 14k$ contained ν_e -like events, and $\sim 20k$ contained ν_μ -like events for a 350kt-yr exposure

arxiv:1512.06148

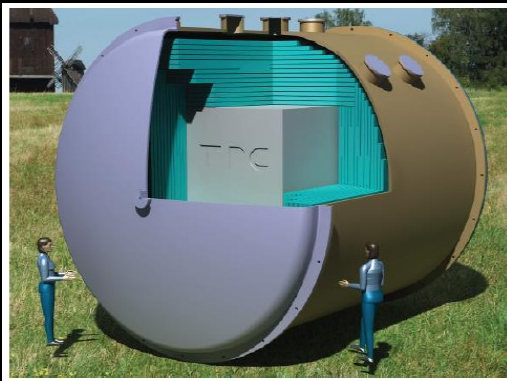


DUNE Task Forces

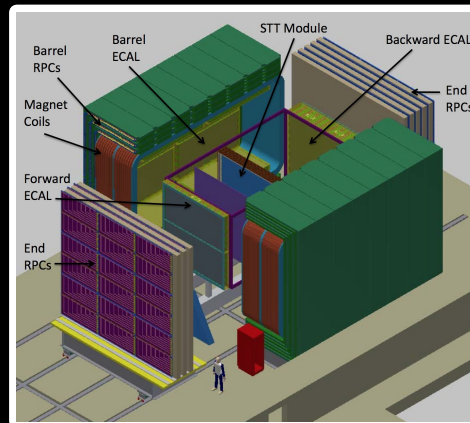
- Cross-working-group teams charged with simulating, evaluating, and optimizing the performance of the three main components of the experimental design
- Beam Optimization



- Near Detector Optimization

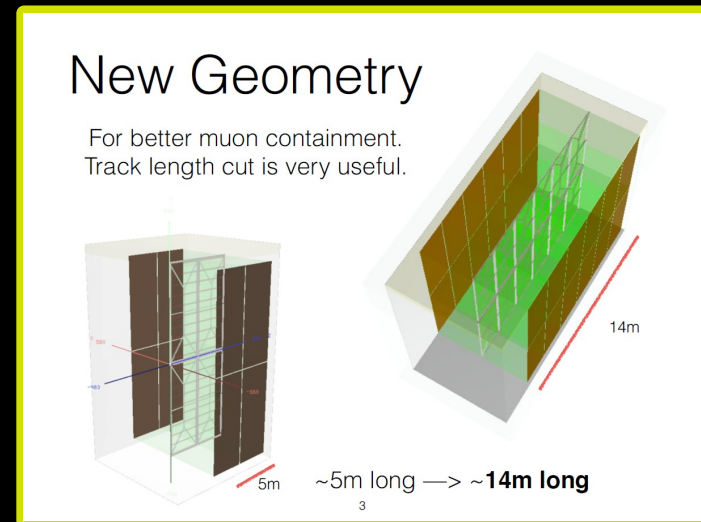


High-Pressure
GAR TPC

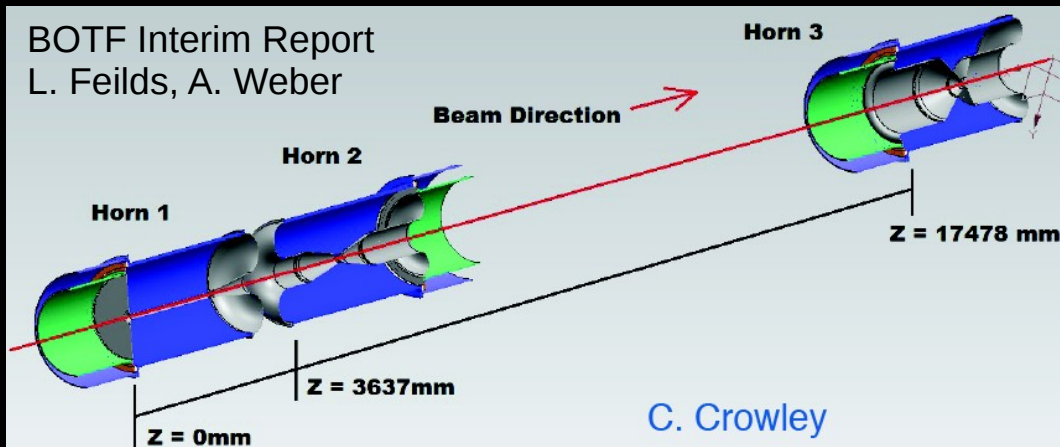


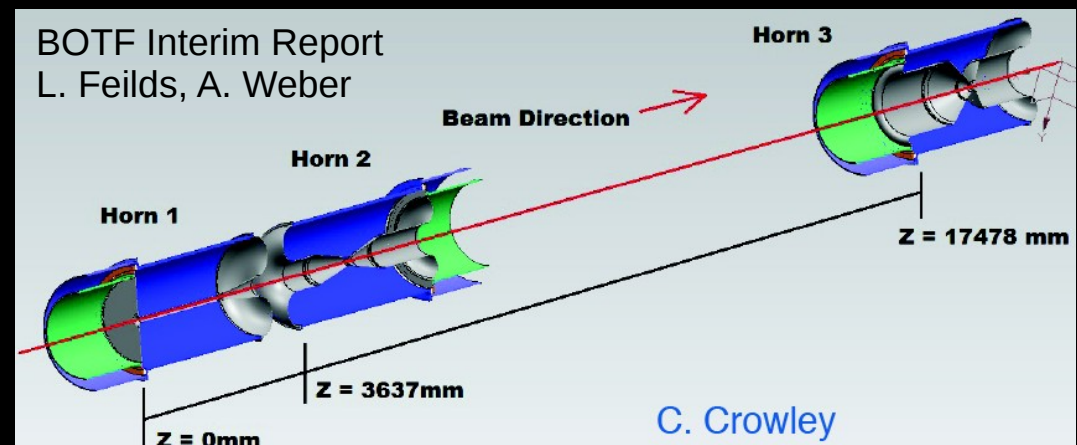
Fine-Grained
Tracker

- Far Detector Optimization



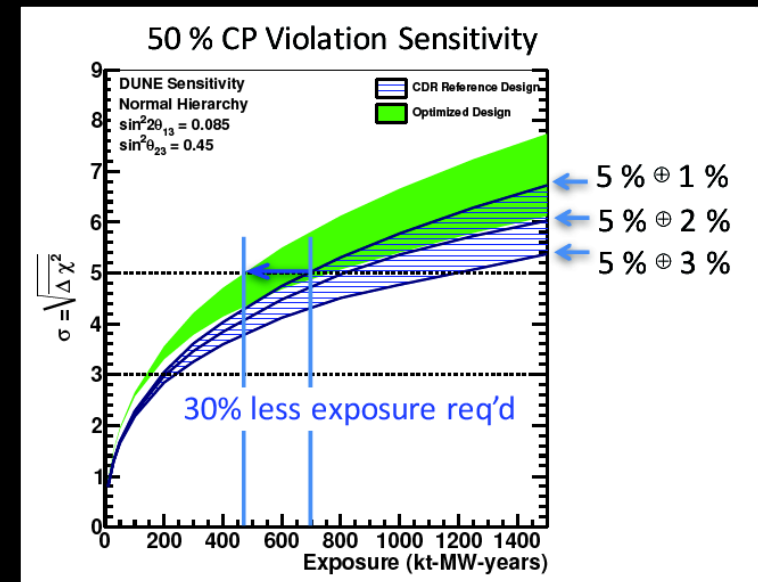
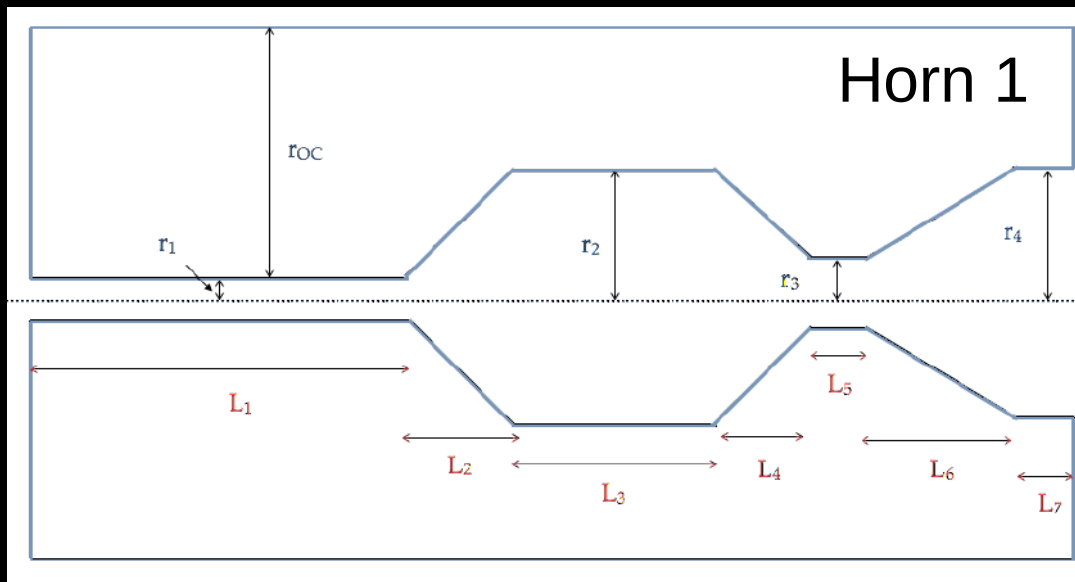
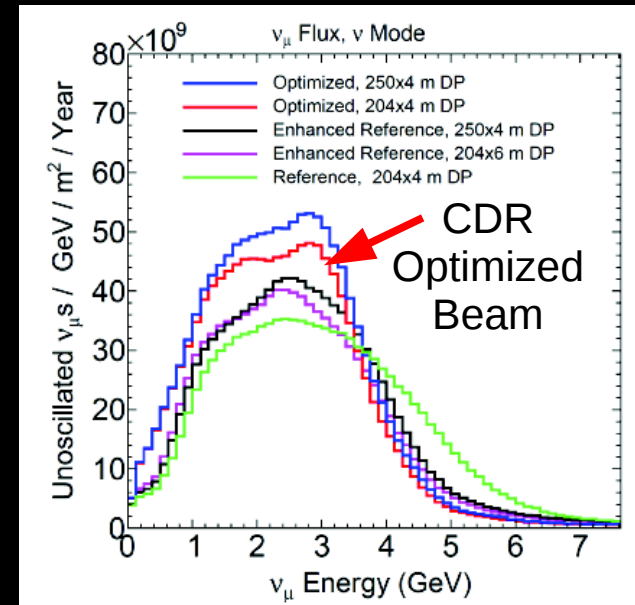
Beam Optimization Task Force

- Charge:
 - Physics driven optimization of the beam line (target, horns, etc)
 - Study alternate designs and develop a cost benefit analysis
 - Status:
 - Design has been optimized for multiple component sets (2 vs. 3 horns, multiple target designs, etc)
 - Realistic design based optimizations in advanced stages
 - Detailed studies of the design are in progress:
 - Physics sensitivities
 - Optimal run plan (v/\bar{v})
 - Cost implications
 - Alternate metrics
 - Alternate optimization routines
- 
- The diagram illustrates the BOTF beam line configuration. It shows three horns (Horn 1, Horn 2, and Horn 3) along the beam path. The beam direction is indicated by a red arrow. The beam starts at Z = 0mm, passes through Horn 1 at Z = 3637mm, and then through Horn 2 and Horn 3 at Z = 17478mm. The diagram is labeled 'BOTF Interim Report L. Feilds, A. Weber' and 'C. Crowley'.



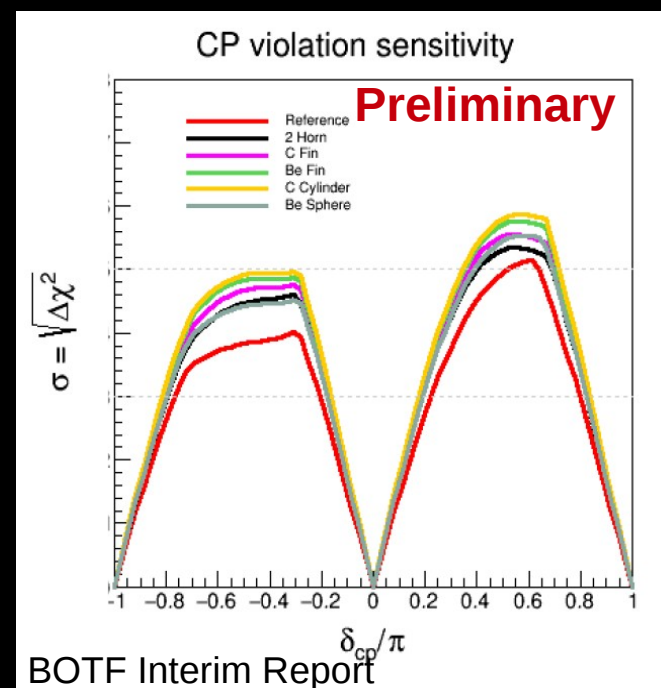
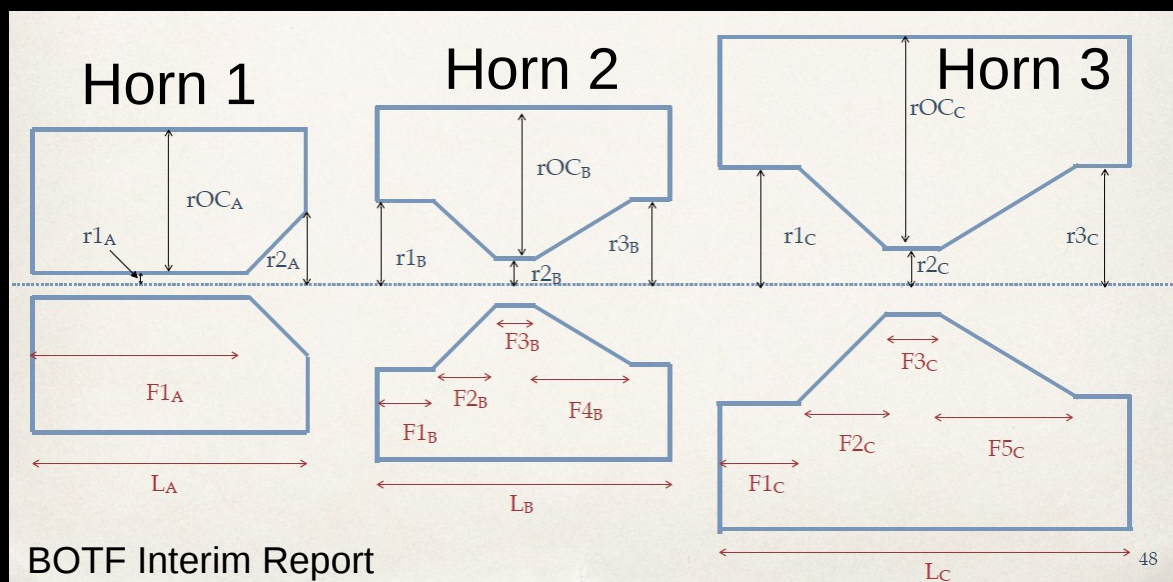
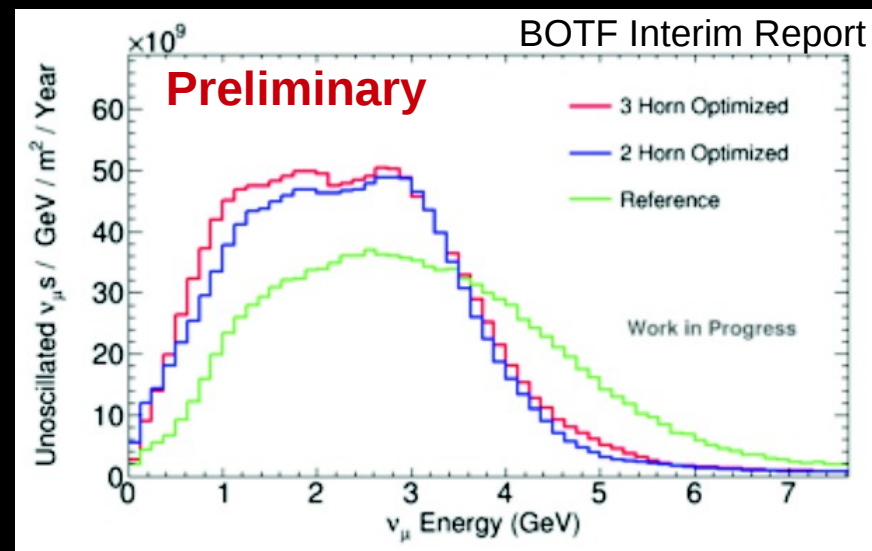
Beam line Genetic Optimization

- Optimizations studies conducted for the DUNE CDR
- Genetic optimization of:
 - Target and horn dimensions
 - Proton momentum
 - Decay pipe length
- Metric based on CPV sensitivity



Beam line Genetic Optimization

- Task force is building on the success of the CDR studies
- Optimization of 2 vs 3 horn design
- Studies of several target designs
- Shifted focus to engineering feasibility and design flexibility

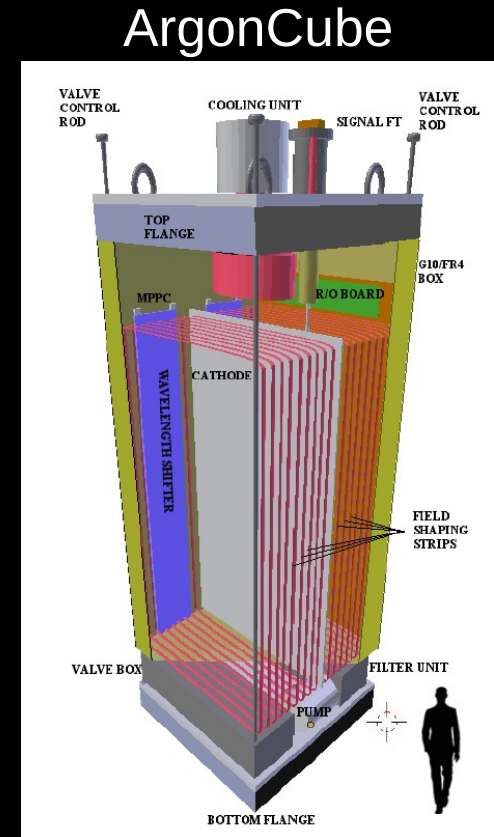


Uncertainty “Highlights”

- For systematics to be dangerous they must be able to replicate the effects of shifting δ_{cp} in all 4 analysis samples
- Absolute flux normalization and shape
 - Secondary and tertiary hadron production
 - Flux shape differences at the Near and Far detectors
- Uncertainties from cross section models and nuclear initial state models need to be factorized
- A coherent picture of nuclear initial state effects is required
- Cross section flavor differences and rates for exclusive final state channels require theoretical input
- The convolution of flux, cross section, FSI and detector effects in determining energy scale will be difficult to untangle
 - Both FSI and detector effects can be different for ν and $\bar{\nu}$
 - Relative $\bar{\nu}/\nu$ uncertainties currently provide freedom to mimic δ_{cp} -like effects
- Biases in the energy scale from mis-reconstruction and/or poorly modeled/constrained missing energy (neutrons) must be eliminated

Near Detector Task Force

- Charge:
 - Develop full GEANT4 simulation of 3 technology options
 - Fine-Grained Tracker (FGT)
 - Modular Liquid Argon TPC (LAr TPC / ArgonCube)
 - High-Pressure Gaseous Argon TPC (HP GAr TPC)
 - Develop end-to-end simulation and analysis chain to evaluate the impact of each ND on CPV sensitivity
- Status:
 - Each step in the simulation and analysis chain, and interfaces between each step, have been developed
 - Full GEANT4 simulations have been completed
 - The VALOR framework is used for ND fits and a DUNE specific oscillation analysis has been developed
 - Progress on event reconstruction is hard fought
 - Detector uncertainties represent the next (and last) big challenge

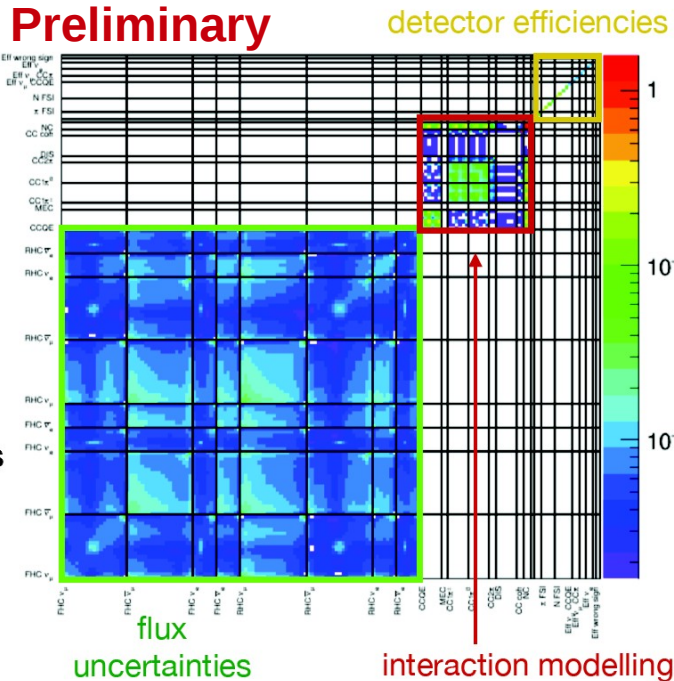


SPSC-I-243

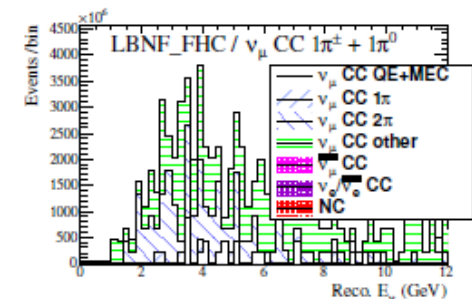
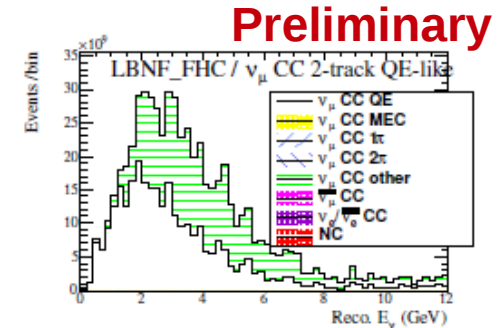
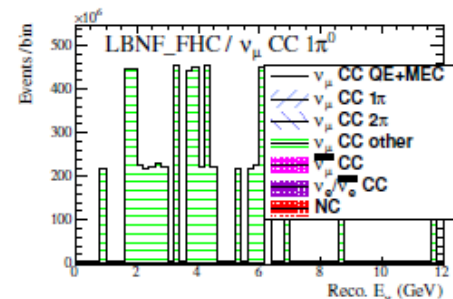
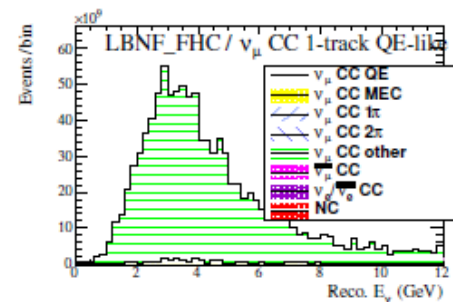
VALOR Fits to ND Samples

- Inputs (examples below):
 - Covariance matrix of priors on flux, xsec, and detector uncertainties
 - Topologically classified event samples
- Fit ND event samples to toy data (> 150 parameters)
- Output: covariance matrix containing constraints on input parameters → FD oscillation fits

The VALOR Group



The VALOR Group

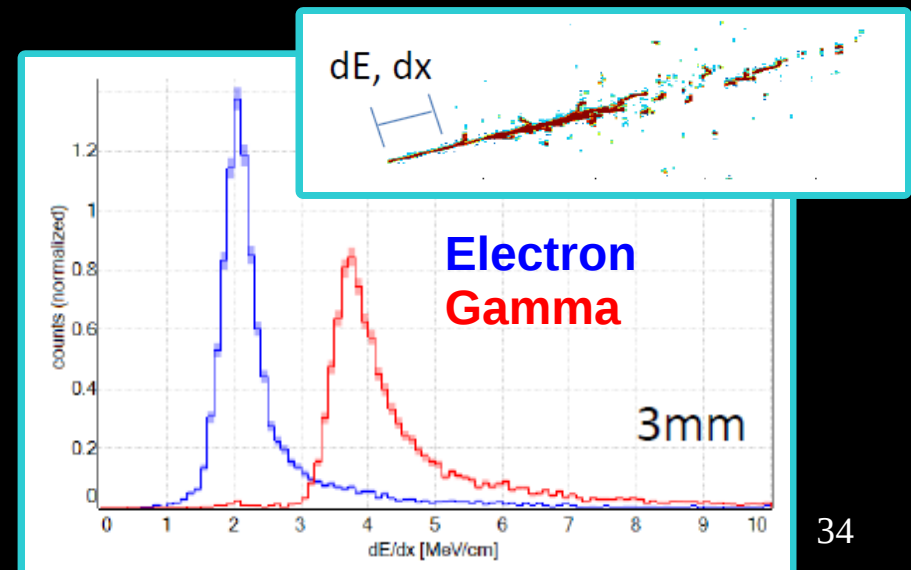
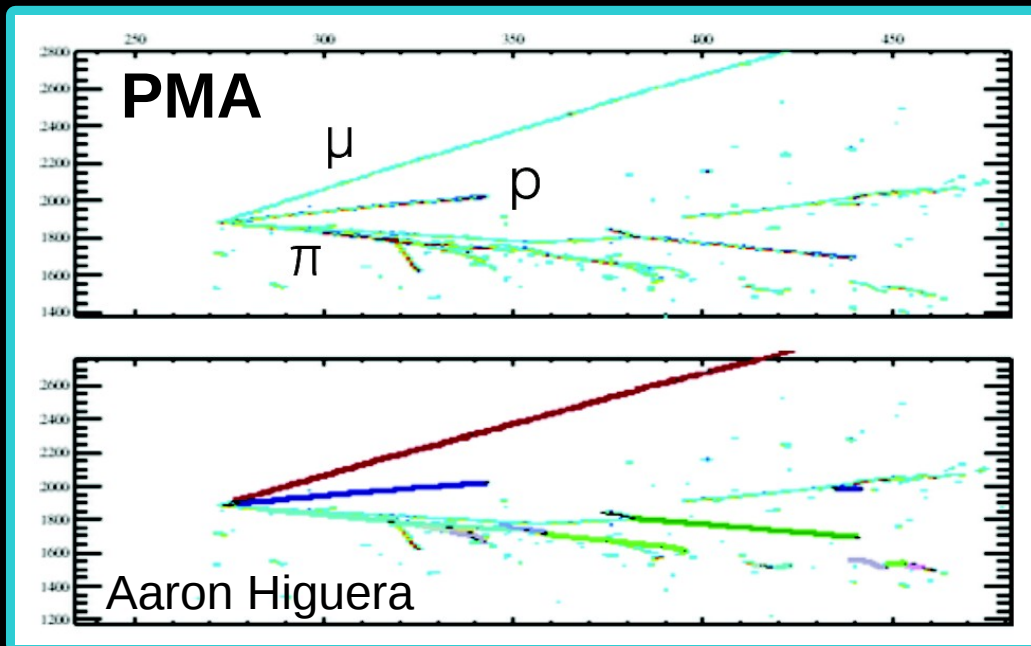


Far Detector Optimization

- Charge:
 - Full GEANT4 simulation and reconstruction for reference and alternate designs
 - Optimization studies for FD components and configurations
 - Evaluate full range of FD physics topics
 - Oscillation: accelerator, atmospheric
 - Non-oscillation: proton decay, supernova bursts
- Status:
 - Detector simulation in advanced stages, including 2-phase
 - Recent non-accelerator event generation improvements
 - Reconstruction and PID algorithms in development
 - First round of optimization studies using full simulation tools underway
 - More progress on reconstruction required to draw conclusions

LAr TPC Reconstruction

- Full simulation of beam ν , atmospheric ν , PDK, and Supernova events
- Huge progress has been made on reconstruction
 - Three reconstruction packages (PMA, Pandora, WireCell)
 - Exploring other options including machine learning techniques
 - Shower / track selection, particle ID, momentum and angle reconstruction
- Use of centralized software tools and infrastructure is crucial
 - LArSoft allows for easy collaboration with other LAr TPC experiments

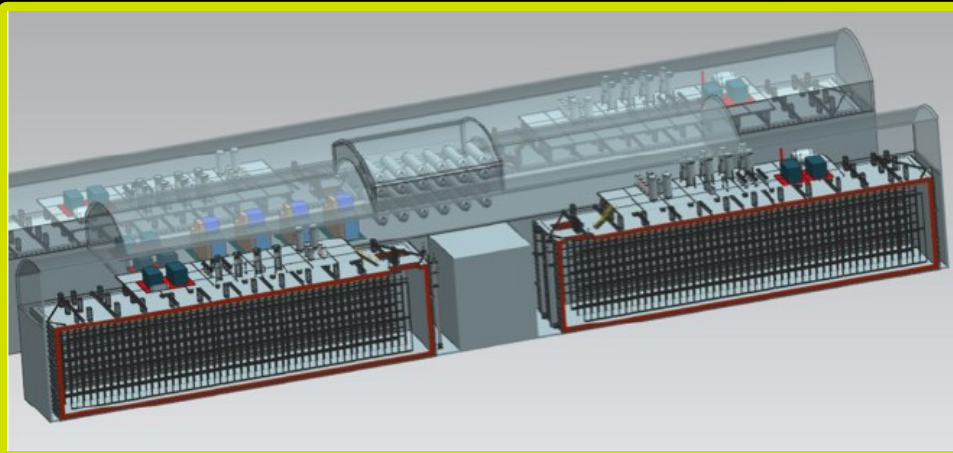


Dorota Stefan & Robert Sulej

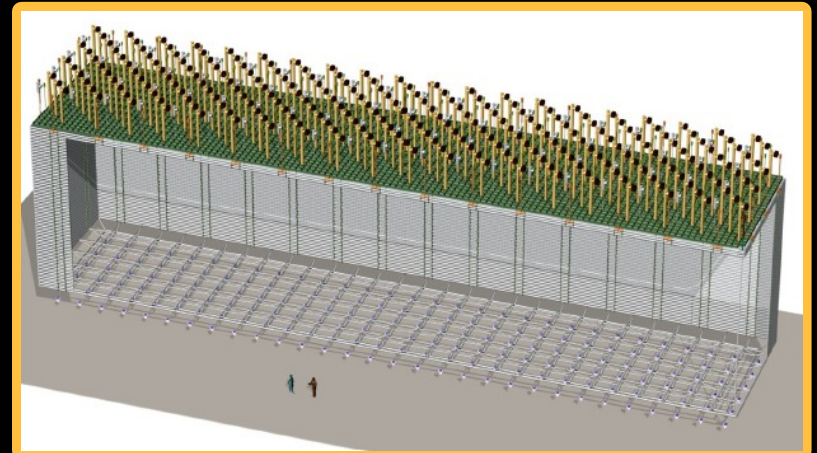
Far Detector Options and R&D

- Two FD detector options:
- Single Phase
 - 35 ton (completed)
 - ProtoDune (2018)
 - Far Detector (1st module)
- Dual Phase
 - 311 (coming soon)
 - ProtoDune (2018)
 - Far Detector
- Important contribution from SBN Program detectors

Single phase, 2 modules



Dual phase, 1 module

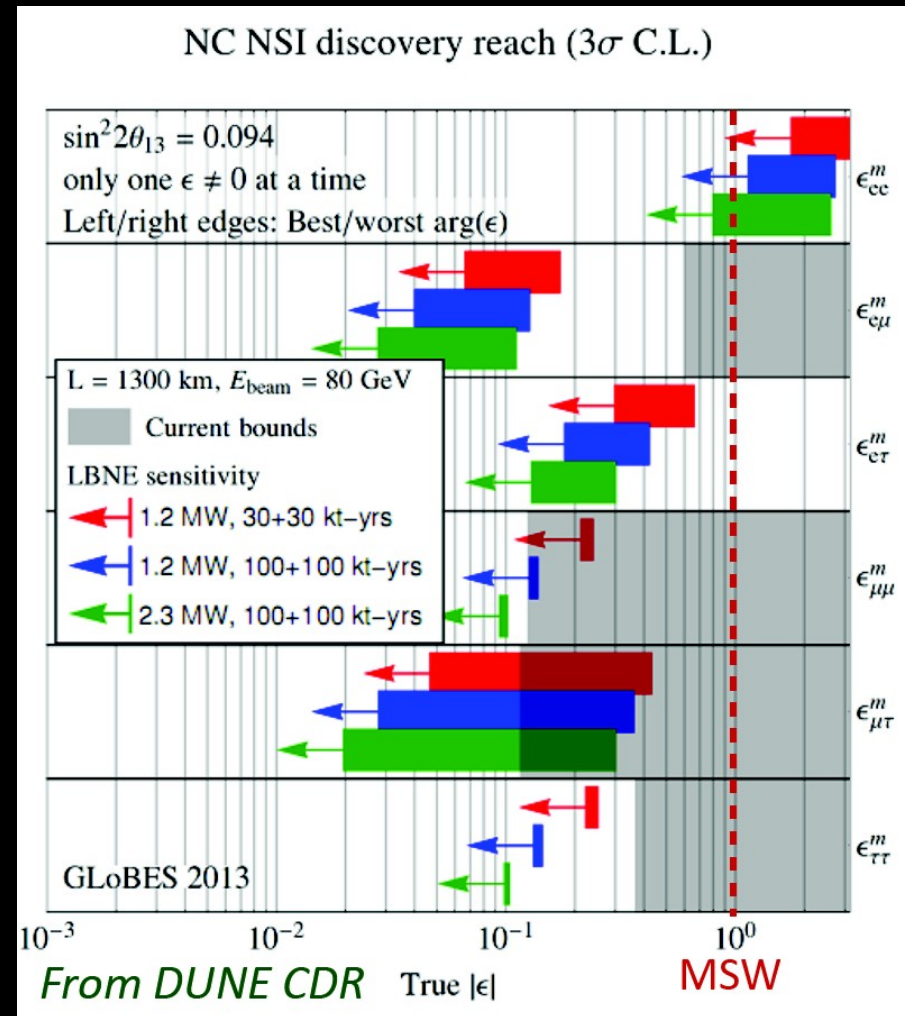


Input From the Intermediate ν Program

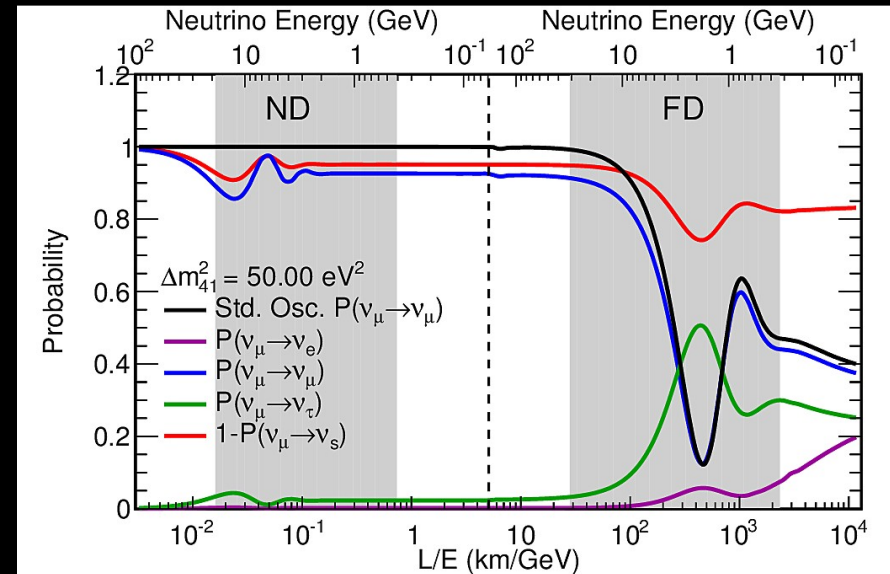
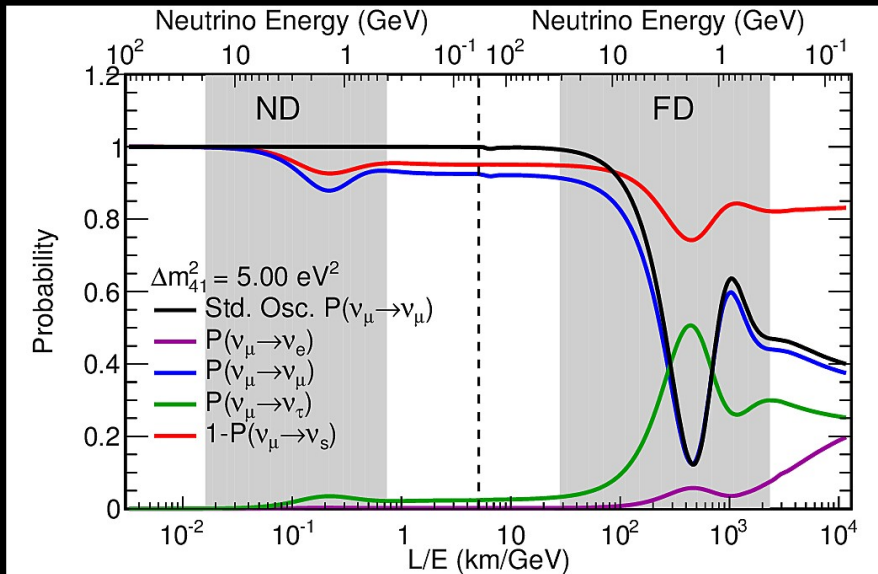
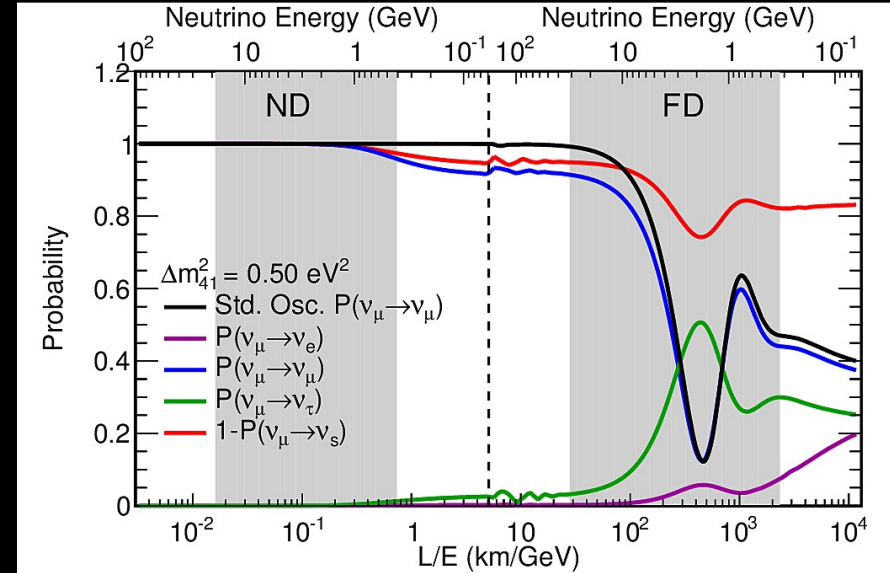
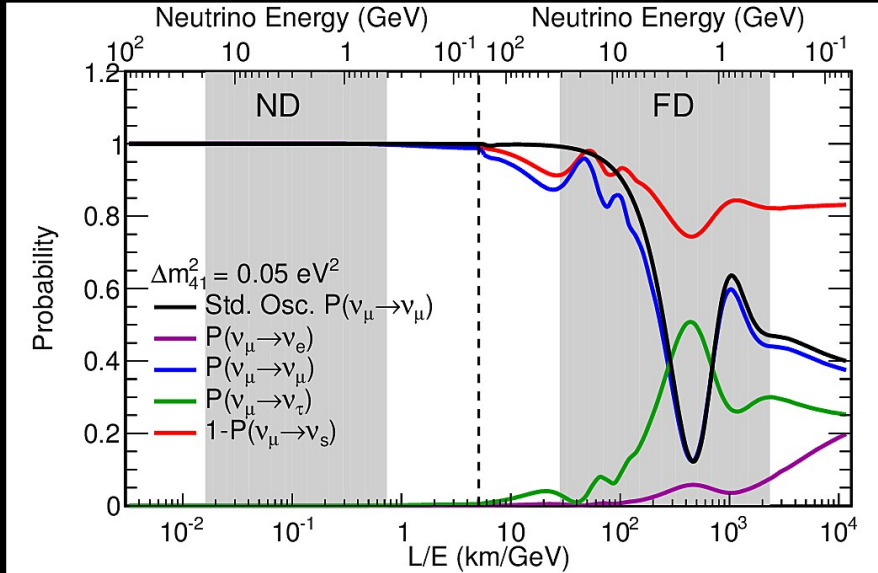
- In addition to the in-situ measurements from the beamline monitoring, and the DUNE ND and FD, many external measurements are required
- NA61/SHINE and MIPP will provide data for hadron production model tuning used in beamline simulations
- Electron scattering at JLab will provide data on the nuclear structure of Ar
- Test beam LAr TPCs: CAPTAIN, LArIAT, CERN Prototypes
 - High statistics data on detector response required for calibrations
 - Allows for in-situ tests of detector components and comparison of detector technologies
- LArTPCs in neutrino beams from FNAL SBN Program
 - Test and refine reconstruction algorithms and calibration methods
 - Measure cross sections and nuclear effects on Ar₄₀
- Other cross section experiments like Minerva and ND280 (T2K) will map out cross sections over a wide energy range and on a multitude of nuclear targets
- Neutrino event generator development and tuning

BSM Physics in DUNE

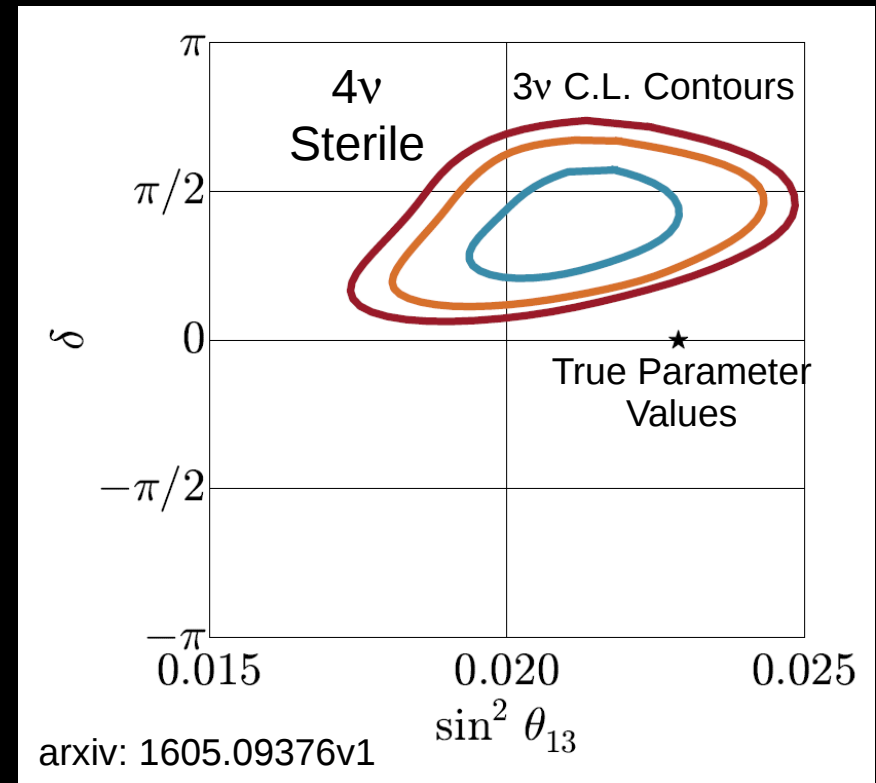
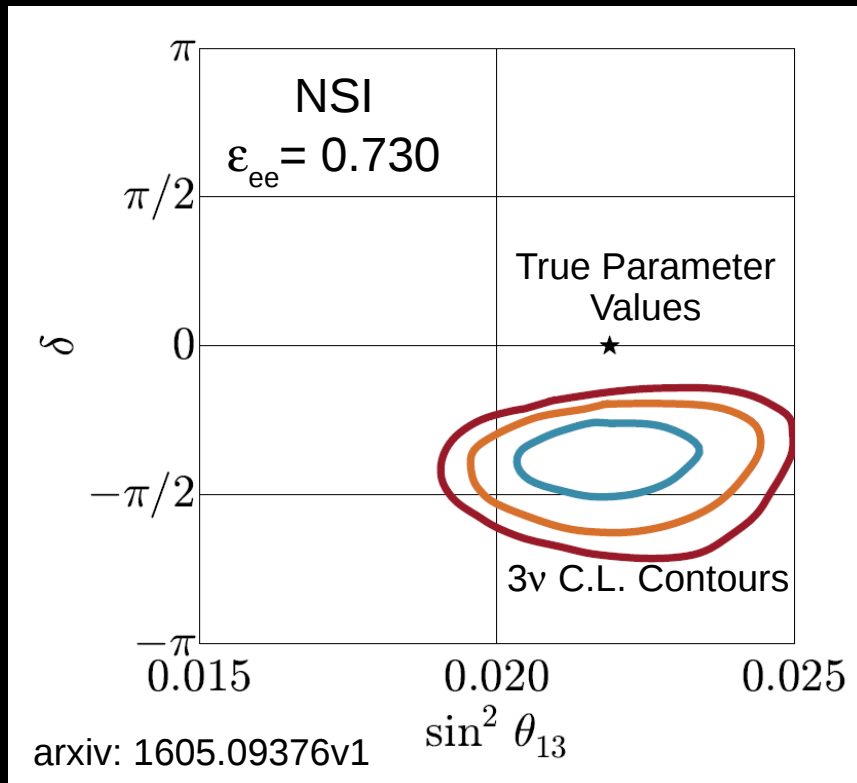
- DUNE is beginning to study sensitivities to BSM physics
- Topics related to oscillation physics include:
 - Sterile neutrinos
 - Non-standard interactions
 - Non-unitarity of the PMNS matrix
 - Large extra dimensions
- Initial studies on the changes to event rate predictions have been performed
- Current work is focused on simulations and reconstruction
- Effects on 3 ν CPV searches are also planned
- Impact of atmospheric neutrino samples?



Measuring Sterile ν 's in DUNE

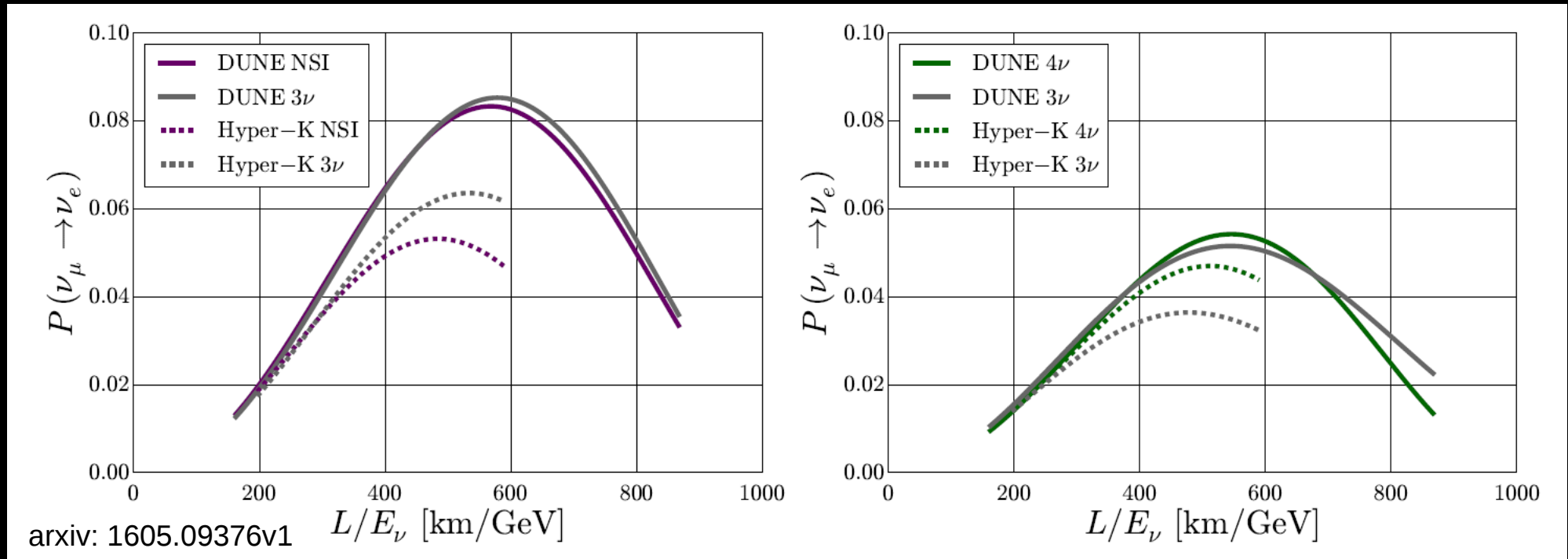


Sterile ν and NSI Degeneracies for CPV Measurements



- It is possible (and not particularly difficult) to contrive a set of NSI or sterile parameters to fake a CPV signal
- The degeneracy can be broken with different L, but same L/E, e.g. DUNE and HK

Sterile ν and NSI Degeneracies for CPV Measurements



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- The degeneracy can be broken with different L , but same L/E , e.g. DUNE and HK

Many Papers on These Topics

- Capabilities of long-baseline experiments in the presence of a sterile neutrino, Debajyoti Dutta, Raj Gandhi, Boris Kayser, Mehedi Masud, and Suprabh Prakash, e-Print: arXiv:1607.02152v1.
- Non-standard interactions and the resolution of ordering of neutrino masses at DUNE and other long baseline experiments , Mehedi Masud (Harish-Chandra Res. Inst.), Poonam Mehta (Nehru U.). Jun 17, 2016. 30 pp. e-Print: arXiv:1606.05662
- Nonstandard interactions spoiling the CP violation sensitivity at DUNE and other long baseline experiments, Mehedi Masud (Harish-Chandra Res. Inst.), Poonam Mehta (Nehru U.). Mar 4, 2016. 20 pp. Published in Phys.Rev. D94 (2016) 013014 DOI: 10.1103/PhysRevD.94.013014 e-Print: arXiv:1606.05662
- Probing CP violation signal at DUNE in presence of non-standard neutrino interactions, Mehedi Masud (Harish-Chandra Res. Inst.), Animesh Chatterjee (Texas U., Arlington), Poonam Mehta (Nehru U.). Oct 28, 2015. 19 pp. Published in J.Phys. G43 (2016) no.9, 095005 DOI: 10.1088/0954-3899/43/9/095005/meta, 10.1088/0954-3899/43/9/095005, e-Print: arXiv:1510.08261
- Non-standard Neutrino Interactions at DUNE, André de Gouvêa, Kevin J. Kelly, e-Print: arXiv:1511.05562
- A Sterile Neutrino at DUNE, Jeffrey M. Berryman, Andre de Gouvea, Kevin J. Kelly, Andrew Kobach, e-Print: arXiv:1507.03986
- Large, Extra Dimensions at the Deep Underground Neutrino Experiment, Jeffrey M. Berryman, André de Gouvêa, Kevin J. Kelly, O.L.G. Peres, Zahra Tabrizi, e-Print: arXiv:1603.00018
- False Signals of CP-Invariance Violation at DUNE, André de Gouvêa, Kevin J. Kelly, e-Print: arXiv:1605.09376

Conclusions

- DUNE has demonstrated sensitivity to θ_{13} , θ_{23} (including octant), ΔM^2_{32} (including MH), and δ_{CP} in a single experiment
- Current work is focused on:
 - Beam line optimization
 - Full GEANT4 detector simulations
 - Event selection and reconstruction
- Improvements in simulations will usher in a new era of detailed sensitivity studies that will include:
 - Detailed flux and detector uncertainties
 - Realistic ND constraints for a variety of ND options
 - Sensitivity to exotic physics and degeneracies with the 3v analysis

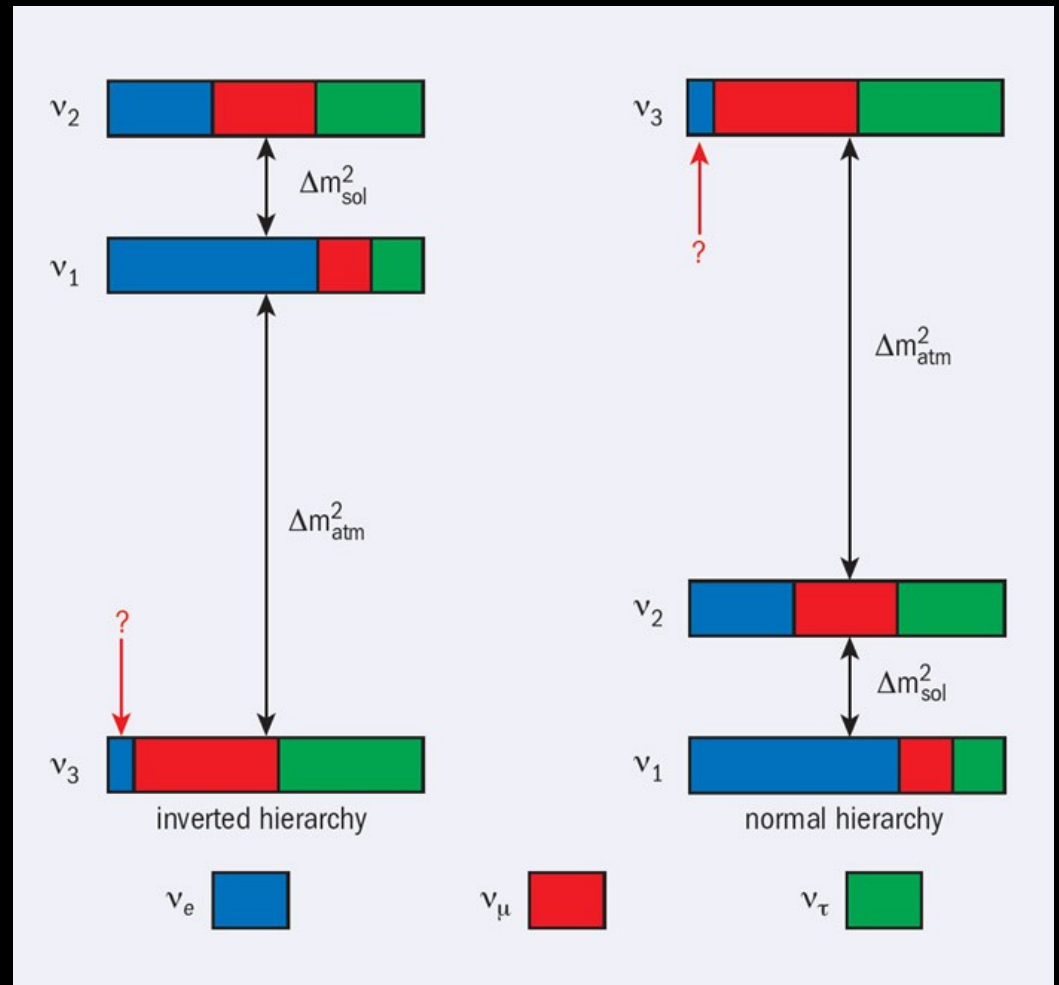
Backup Slides

Overview

- Physics potential of current ν oscillation experiments
- The DUNE experimental setup
- The physics of DUNE
- The plan for DUNE infrastructure
- Inputs from the intermediate neutrino program
- Conclusions

Unanswered Questions

- What are the ν masses?
- Are ν their own antiparticle?
- What is the ν mass ordering?
- Is there CP violation (CPV) in the lepton sector, and what is the value of δ_{cp} ?
- What is the θ_{23} octant?
- Do protons decay?

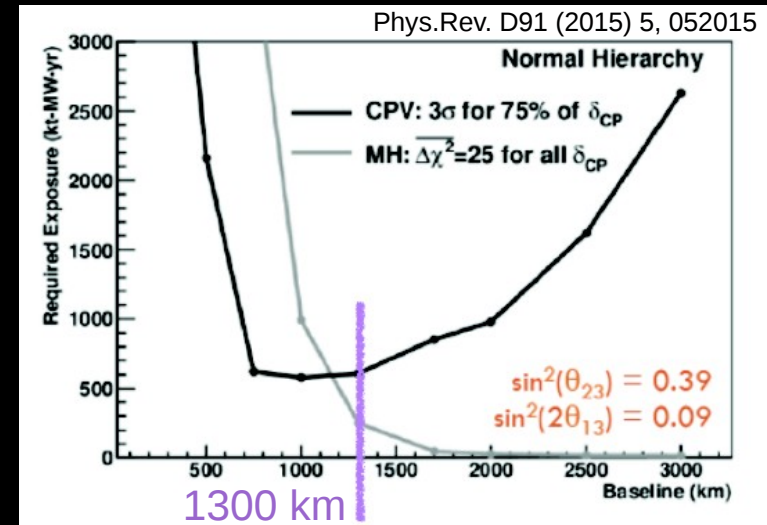


DUNE and LBNF

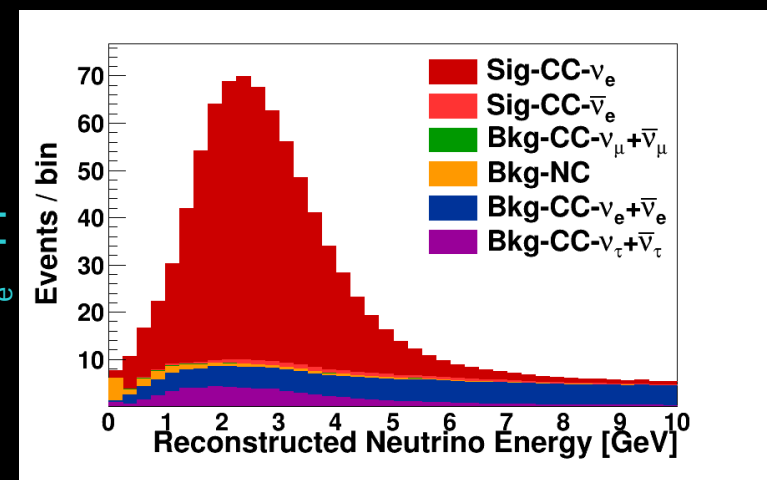
- Detectors and science collaboration will be managed separately from the neutrino facility and infrastructure
- Long-Baseline Neutrino Facility (LBNF)
 - Neutrino beam line
 - Near detector complex (but not the ND)
 - Far site (Sanford Lab) conventional facilities; detector hall, cryogenic systems
 - Operating costs for all of the above
- Deep-Underground Neutrino Experiment (DUNE)
 - Definition of **scientific goals** and **design requirements** for all facilities
 - **The Near and Far Detectors**
 - The scientific research program
- Close and continuous coordination between DUNE and LBNF will be required

The DUNE Experimental Setup

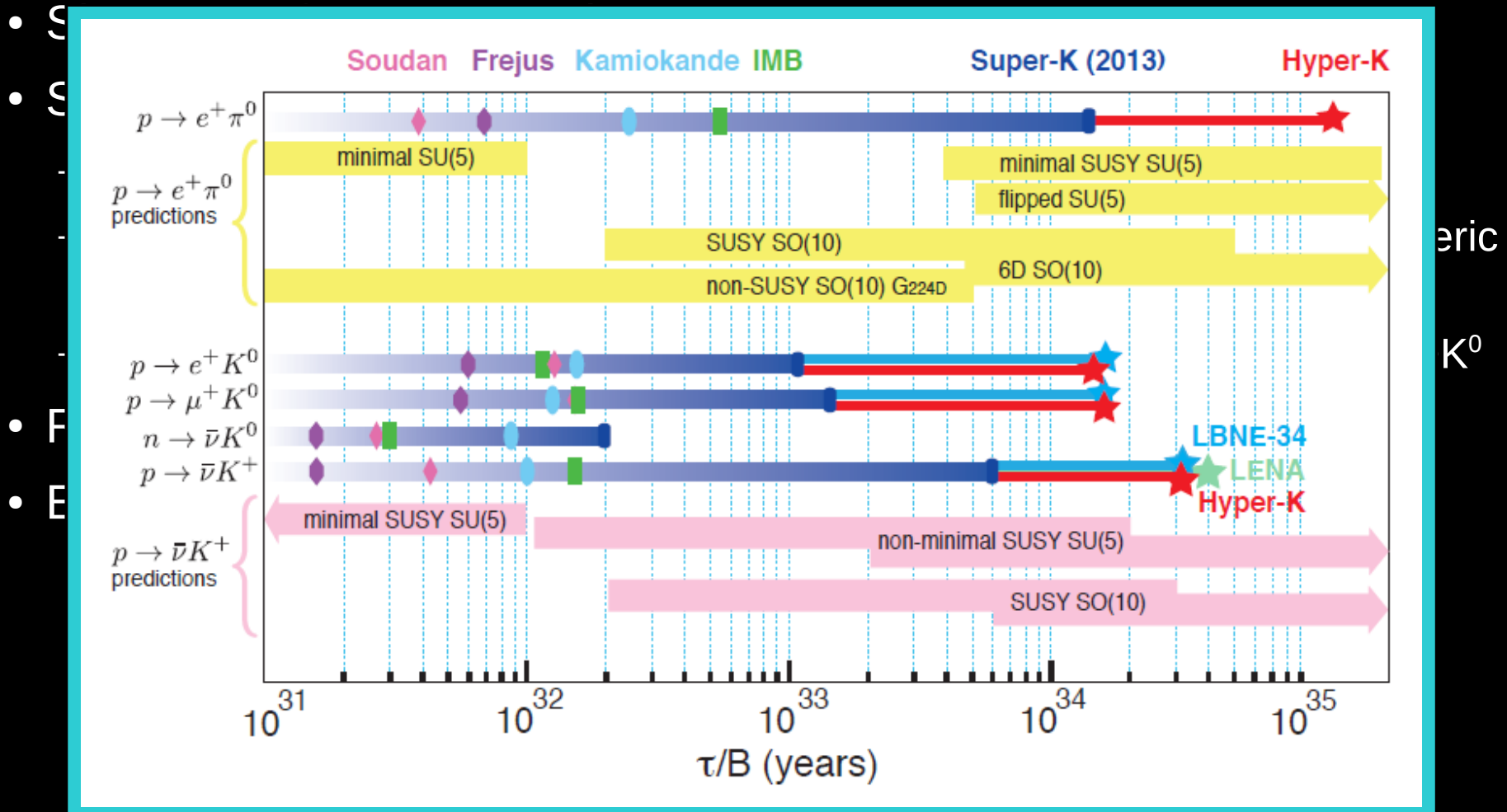
- DUNE is designed to provide a broad program of ν oscillation physics, ν interaction physics, proton decay, supernova physics, and BSM physics
- Oscillation Physics:
 - Baseline of 1300 km
 - A megawatt class beam covering the 1st and 2nd oscillation maxima
 - A highly capable ND to constrain the FD event rate prediction
 - A large (40 kt), high resolution FD deployed deep underground
 - Exposure of 6-12 yr with $\sim 50\%$ / 50% ν / $\bar{\nu}$ running
 - Sensitivity to δ_{cp} and the MH in the same experiment



DUNE ν_e appearance



The Physics of DUNE: Underground Physics: Proton Decay



$n \rightarrow K^+ e^-$	10%	3	96%	< 2
$n \rightarrow e^+ \pi^-$	19%	2	44%	0.8

The Physics of DUNE: Underground Physics: Atmospheric ν

- Low energy thresholds gives superior L/E resolution

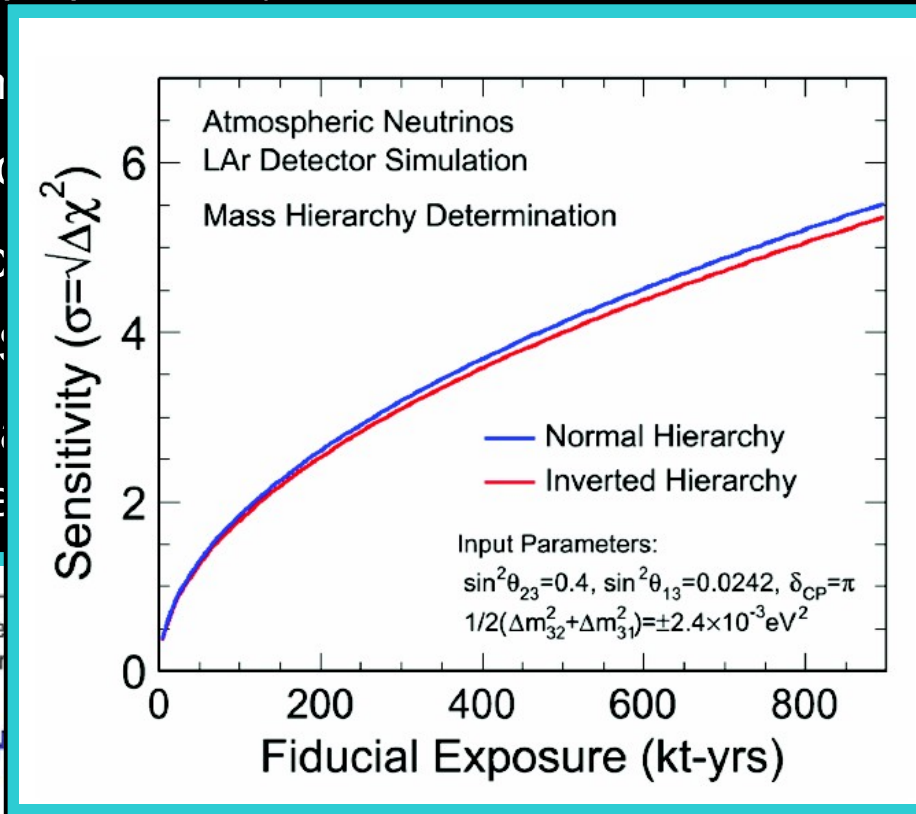
- Fully reconstruct
- Low missing p_T in

- Good sensitivity to

- Combine with acc

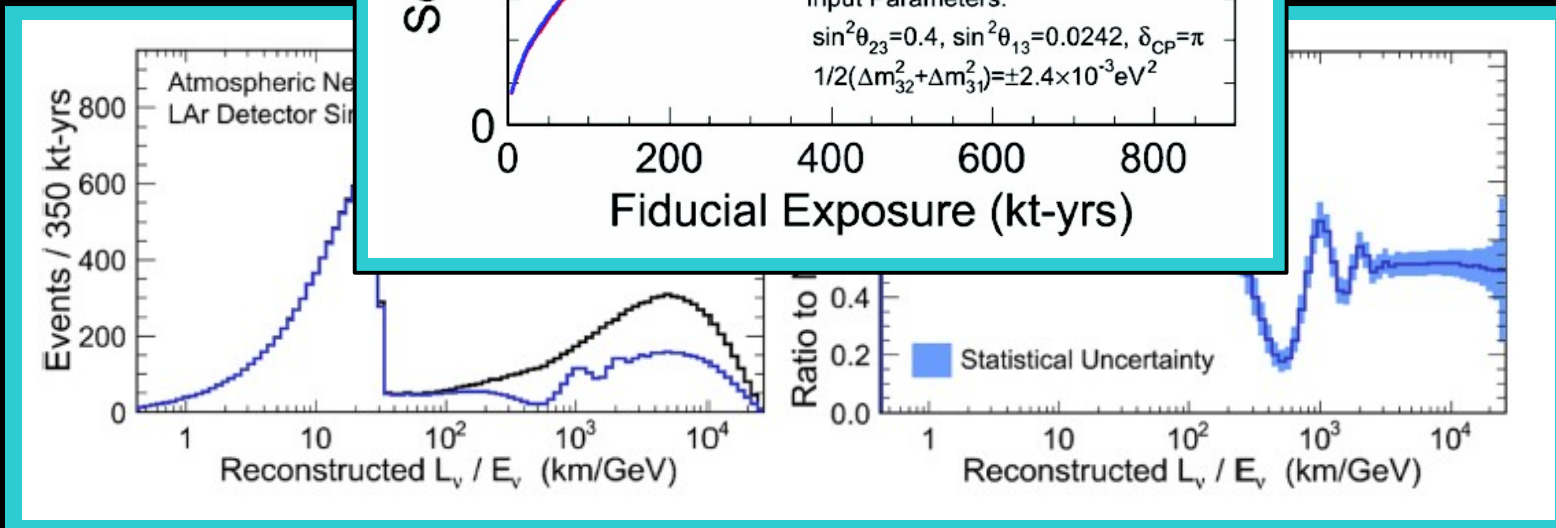
- Sensitive to PMNS

- Expect ~14k cont
- 350kt-yr exposure



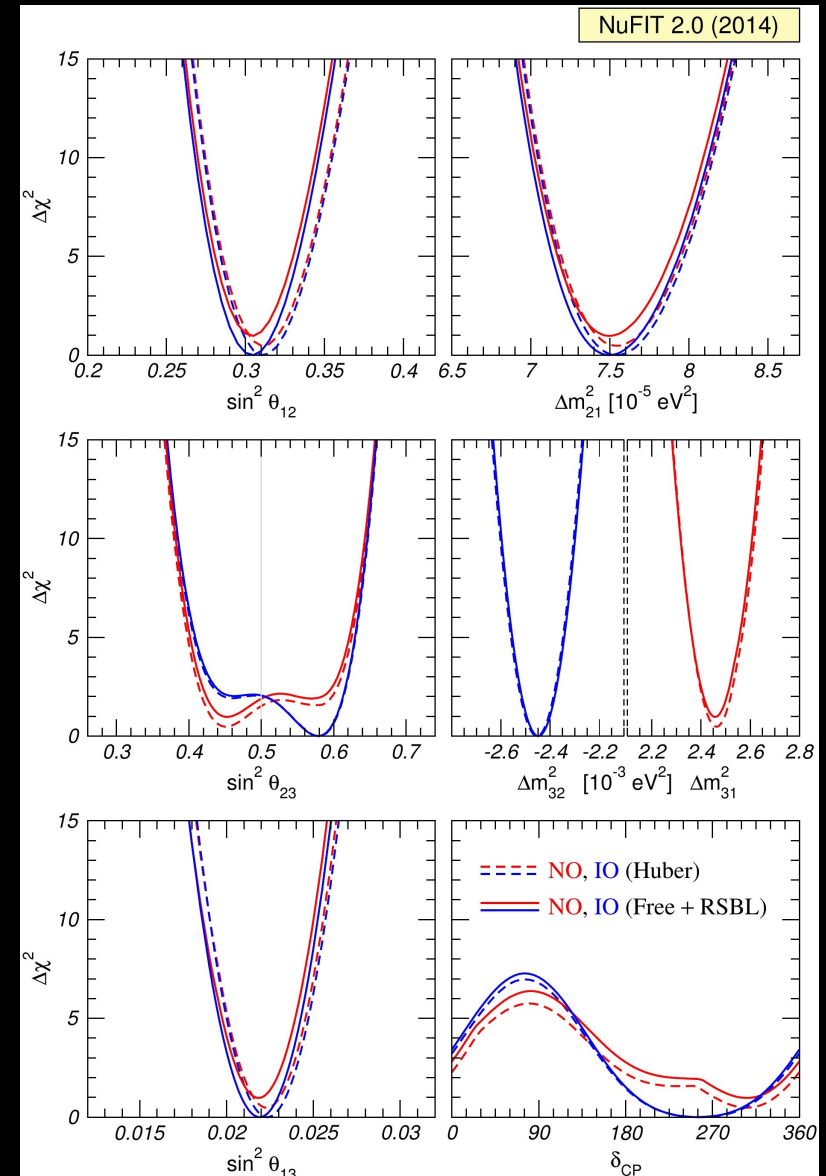
physics measurements

and ν_μ -like events for a



The Current State of ν Oscillation Measurements

- PMNS matrix, factorized
- Numu \rightarrow nue oscillation probability
- NuFit14 results

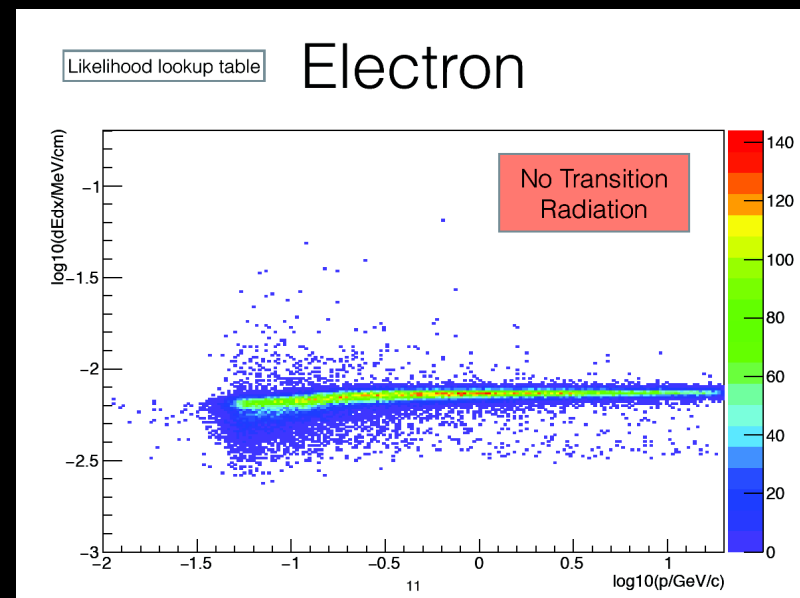
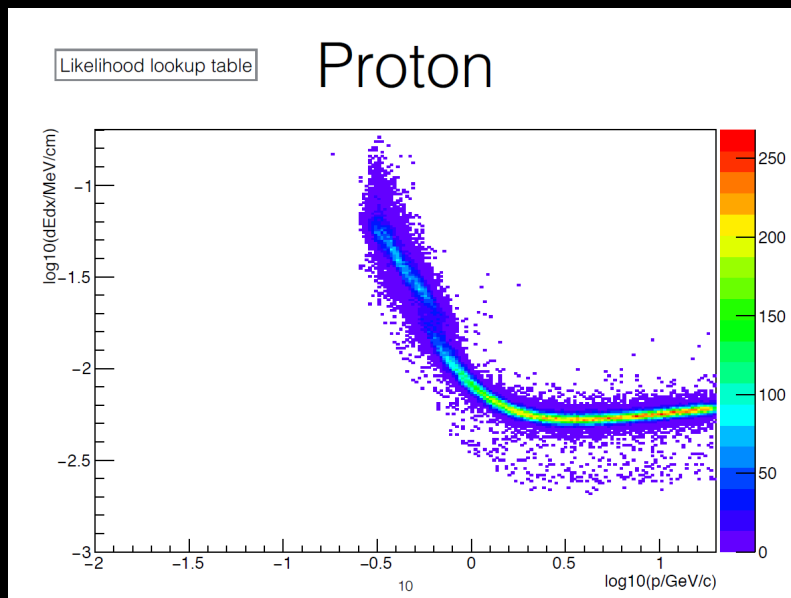
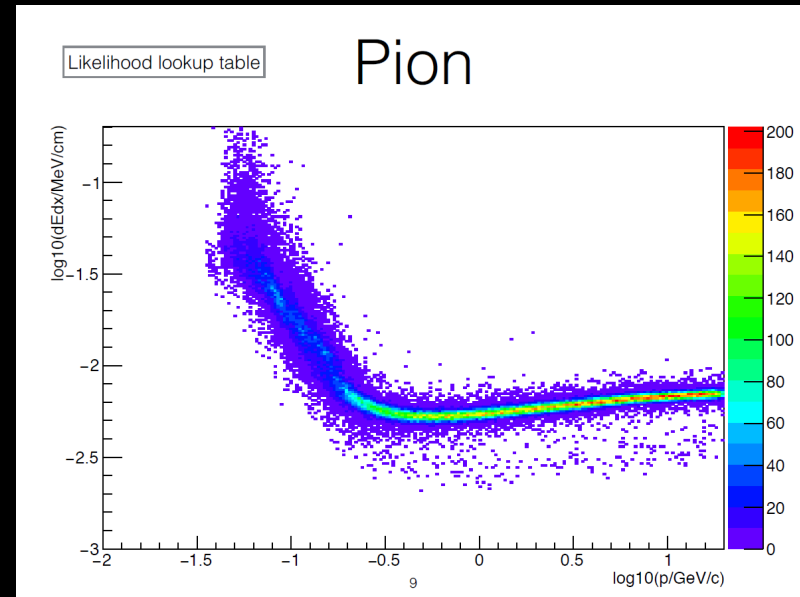
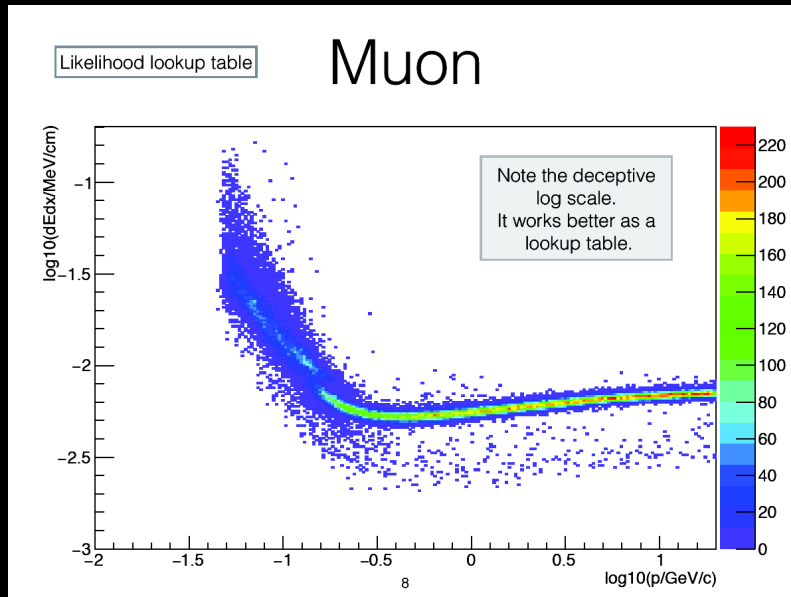


The Physics of DUNE:

Near Detector Physics

- The high resolution fine grained tracker (FGT) required for DUNE oscillation physics will allow for a multitude of ν and other weak interaction physics measurements
- High statistics with excellent particle ID and reconstruction will allow for World leading measurements
- Full phase space differential measurements from 4π coverage
- Precision cross section measurements of exclusive and inclusive channels, including many rare processes
- Variety of nuclear targets will help disentangle nuclear effects (both the nuclear initial state and final state interactions) from ν interaction physics
- Precision electroweak and isospin measurements
- Exotic physics searches including heavy sterile neutrinos, light dark matter searches, and large Δm^2 sterile ν oscillations

FGT dE/dx Profiles



VALOR DUNE: Final state samples

2016a (2nd pass-through)

- ν_μ CC
 1. 1-track QE enhanced (μ^- only)
 2. 2-track QE enhanced ($\mu^- + p$)
 3. $1\pi^\pm$ ($\mu^- + 1\pi^\pm + X$)
 4. $1\pi^0$ ($\mu^- + 1\pi^0 + X$)
 5. $1\pi^\pm + 1\pi^0$ ($\mu^- + 1\pi^\pm + 1\pi^0 + X$)
 6. Other
- Wrong-sign ν_μ CC
 7. Inclusive ($\mu^+ + X$)
- ν_e CC
 8. Inclusive ($e^- + X$)
- NC
 9. Inclusive

FHC
+ RHC

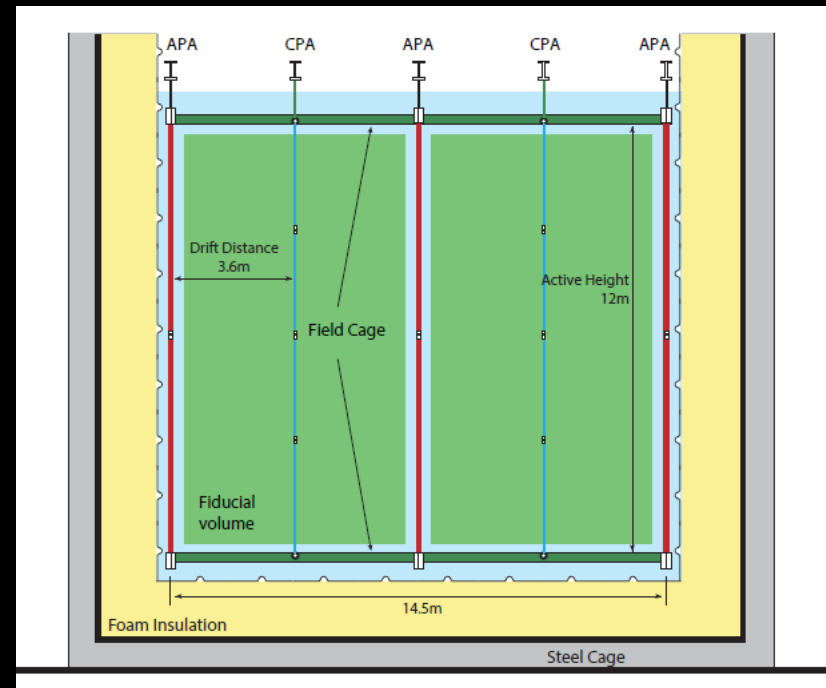
2016b (3rd pass-through)

- ν_μ CC
 1. 1-track 0π (μ^- only)
 2. 2-track 0π ($\mu^- + \text{nucleon}$)
 3. N-track 0π ($\mu^- + (>1) \text{ nucleons}$)
 4. 3-track Δ -enhanced ($\mu^- + \pi^+ + p$, with $W_{\text{reco}} \approx 1.2 \text{ GeV}$)
 5. $1\pi^\pm$ ($\mu^- + 1\pi^\pm + X$)
 6. $1\pi^0$ ($\mu^- + 1\pi^0 + X$)
 7. $1\pi^\pm + 1\pi^0$ ($\mu^- + 1\pi^\pm + 1\pi^0 + X$)
 8. Other
- Wrong-sign ν_μ CC
 9. 0π ($\mu^+ + X$)
 10. $1\pi^\pm$ ($\mu^+ + \pi^\pm + X$)
 11. $1\pi^0$ ($\mu^+ + \pi^0 + X$)
 12. Other
- ν_e CC
 13. 0π ($e^- + X$)
 14. $1\pi^\pm$ ($e^- + \pi^\pm + X$)
 15. $1\pi^0$ ($e^- + \pi^0 + X$)
 16. Other
- NC
 17. 0π (nucleon(s))
 18. $1\pi^\pm$ ($\pi^\pm + X$)
 19. $1\pi^0$ ($\pi^0 + X$)
 20. Other
- νe
 21. $\nu_e + e^-$ elastic
 22. Inverse muon decay $\bar{\nu}_e + e^- \rightarrow \mu^- + \bar{\nu}_\mu$

FHC
+ RHC

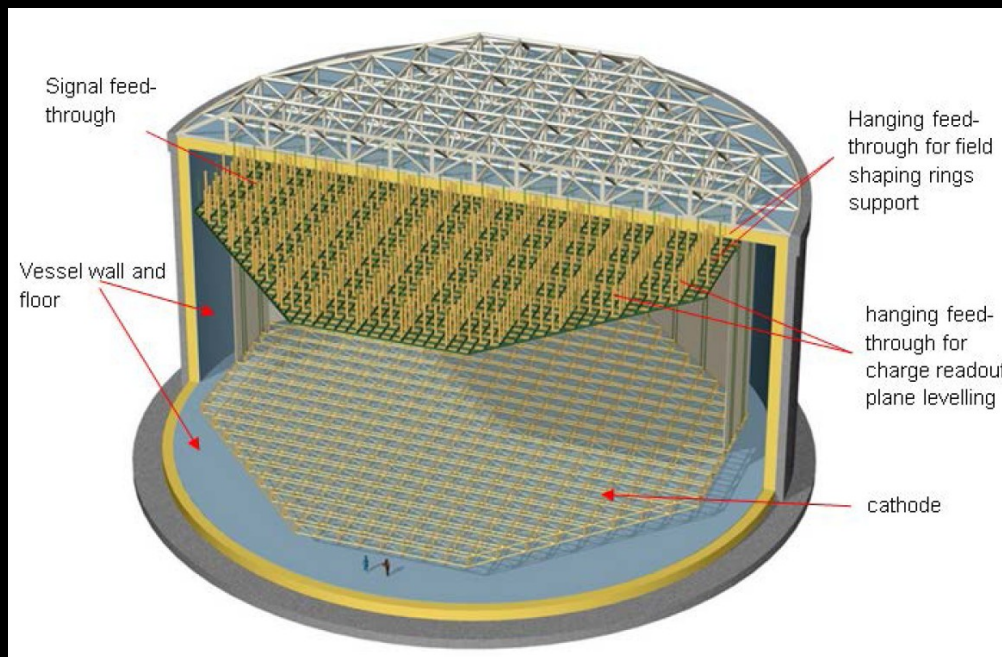
Experimental Infrastructure: The DUNE Far Detector

- Heart of a deep underground neutrino and nucleon decay observatory
- Liquid Argon (LAr) Time Projection Chamber (TPC) with a 40 kt fiducial mass
- Staged construction with the goal of the first 10 kt by 2021/22
- Two potential designs:
 - Single phase
 - Current reference design
 - Based on ICARUS design
 - Horizontal drift ~3.6 m
 - Wire pitch of 5 mm
 - Detection and electronics in liquid
 - Modular approach
 - Well known cost and schedule



Experimental Infrastructure: The DUNE Far Detector

- Heart of a deep underground neutrino and nucleon decay observatory
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- Staged construction with the goal of the first 10 kt by 2021/22
- Two potential designs:



→ Dual phase

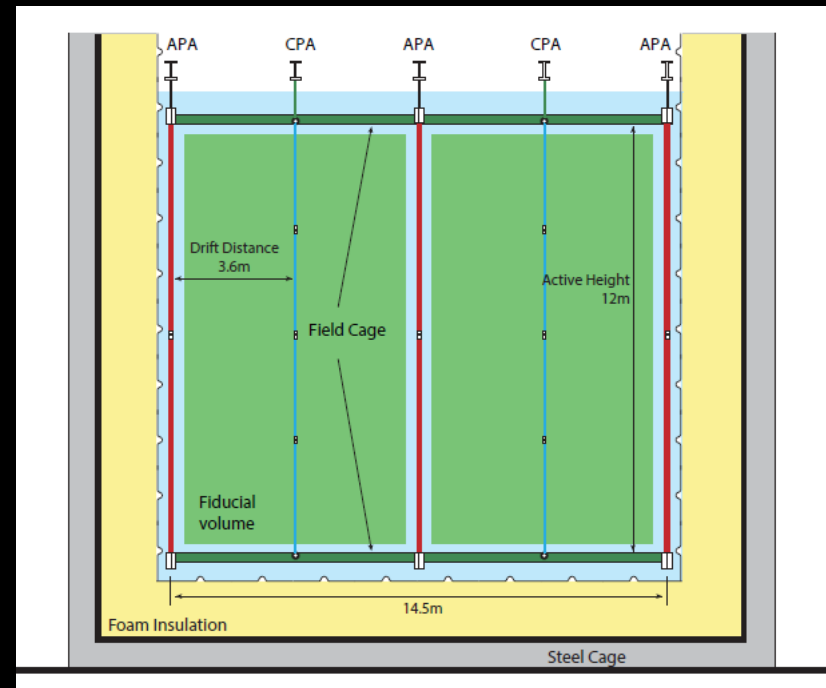
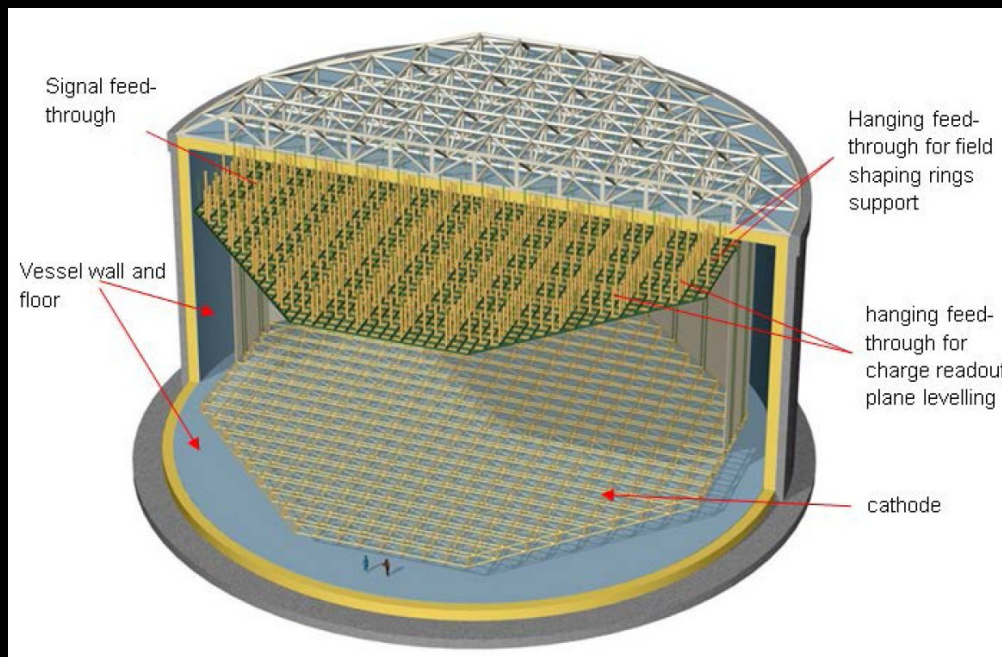
- Alternate design
- New technique; signal amplification
- Vertical drift $\sim 10 - 20$ m
- Detection and electronics in gas
- Adaptable to cryostat shape
- Low thresholds, high S/N ratio
- Pitch of 3 mm or less

Experimental Infrastructure: The DUNE Far Detector

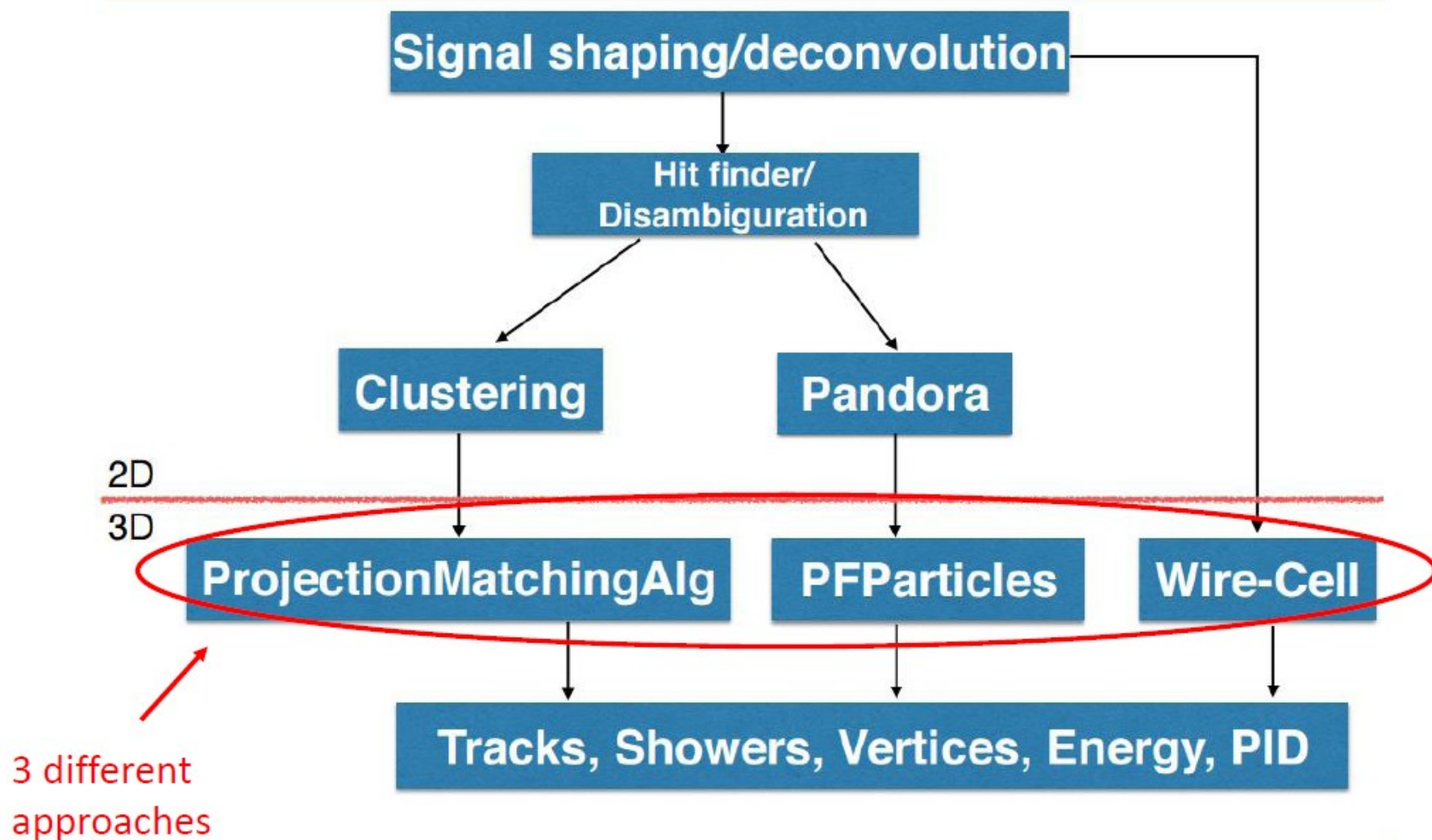
Heart of a deep underground neutrino and nuclear decay

The CERN Neutrino Platform is working to build $\sim 6 \text{ m}^3$ prototype detectors for both designs, and deploy them in CERN a charged particle test beam

Two potential designs:

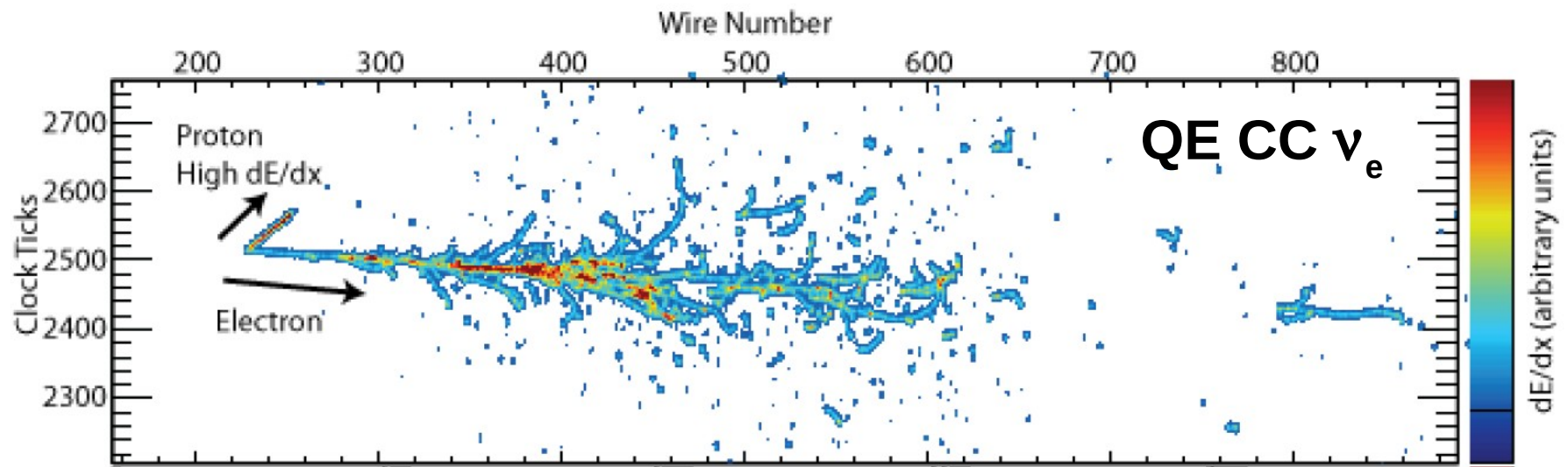


Reminder: Reconstruction Chain

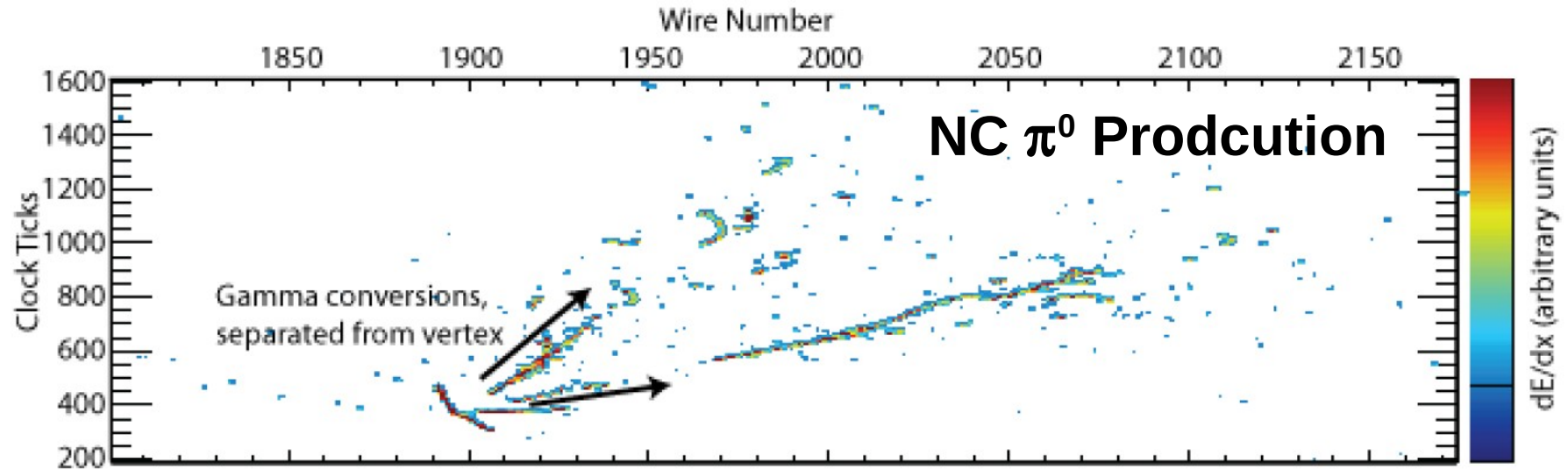


Tingjun Yang

Experimental Infrastructure:

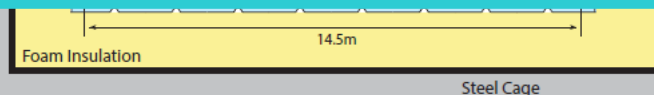


MicroBooNE simulation of ν interactions in a LArTPC



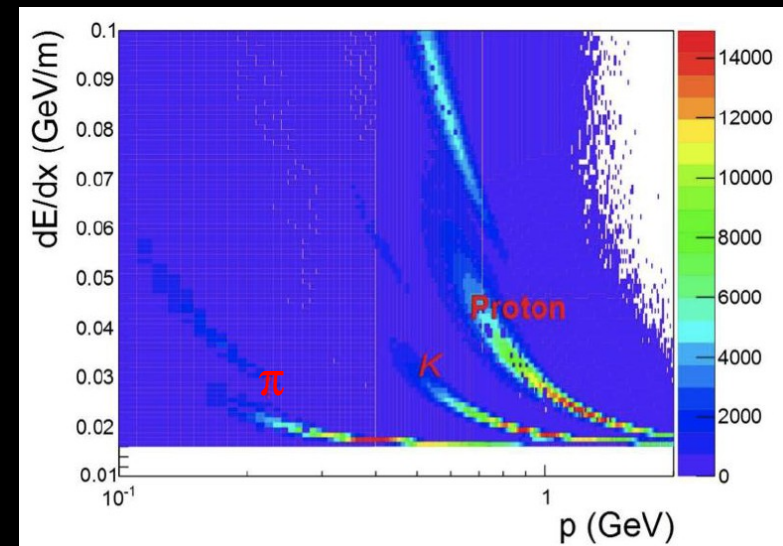
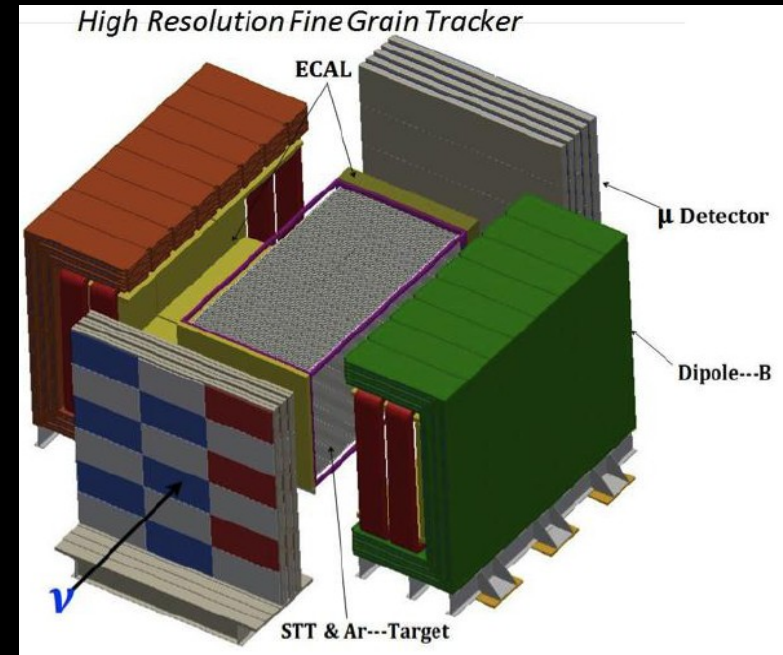
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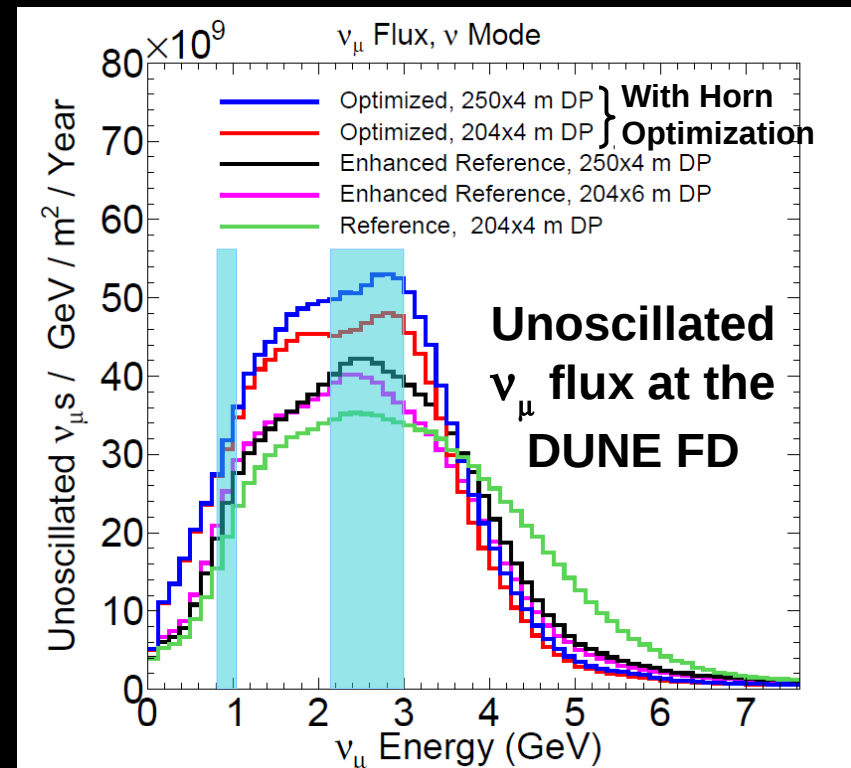
Experimental Infrastructure: The DUNE Near Detector

- Detector requirements
 - Constrain **flux rate and shape** to the few % level
 - Charge ($\nu/\bar{\nu}$) separation
 - Hadronic shower composition
 - Ar40 & Ca40 nuclei
 - $\nu/\bar{\nu}$ differences
 - Constrain relevant cross sections
 - Provide a wealth of physics measurements
- Detector Options
 - Fine Grained Tracker (reference)
 - LArTPC
 - High pressure GArTPC
 - Hybrid detector (ArTPC + FGT)



Experimental Infrastructure: The FNAL → SURF Beam

- Beam requirements
 - 1.2 MW, upgradeable to 2.3 MW (120 GeV protons):
 - POT/pulse: 7.5×10^{13} p
 - Cycle time: 1.2 sec
 - Uptime: 56%
 - Direction 5.8° downward
 - Wide-band spectrum covering the 1st and 2nd oscillation maxima
- Upgrades from reference design
 - PIP-II: increase p throughput
 - Horn current: 200 kA → 230 kA
 - Target design: C → Be, shape
 - Decay Pipe: 204 m → 250 m
 - Horn design optimization



- Can use 60 - 80 GeV protons
 - Increase flux at 2nd max
 - Reduces high energy tail
 - Need more POT to maintain power

The Path to the Full Exposure

- A “Conceptual Design Review” is being held next month
- Goal: Install the first 10 kt underground on the 2021/22 timescale
 - Begin underground physics program, and engage collaboration
 - Test all aspects of the the underground installation and detector performance
 - Ready for beam physics program when beam turns on
- Remaining modules, up to 40 kt, installed in rapid succession
 - Initial 10 kt installation provides infrastructure for required conventional facilities
 - Opportunity for combination of multiple detector technologies
- Leverage intermediate neutrino program to inform design, and improve detector performance
- Construction of a fine grained near detector
- Collect beam data by 2024, and run for ~10 exposure-yr

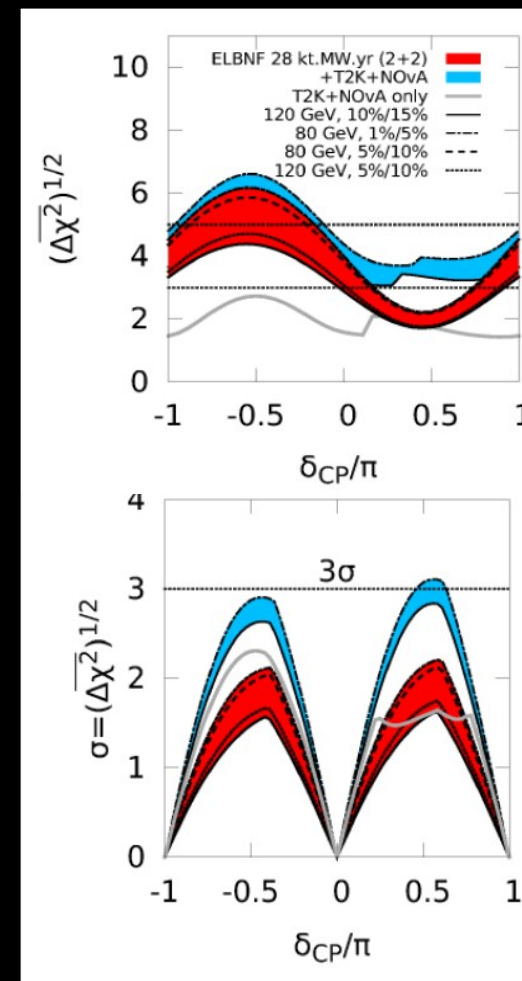
Input From the Intermediate ν Program

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 - Test and refine reconstruction algorithms and calibration methods
 - Measure cross sections and nuclear effects on Ar40
- Other cross section experiments like Minerva and ND280 (T2K) will map out cross sections over a wide energy range and nuclear targets
- Neutrino event generator development and tuning

Physics with the First 10 kt*

*Assuming a 50 kt-yr exposure

- Baryon number violation
 - 50 kt-yr will competitive limits / signal events for $p \rightarrow K + \bar{\nu}$
 - Early measurements of background rates for other decay channels
- Core-collapse supernova neutrinos
 - Largest detector sensitive to ν_e via $\nu_e + \text{Ar}^{40} \rightarrow e + K^{*40}$
 - Prompt supernova alert due to early ν_e production
 - 100's to ~1,000 events at ~10 kpc
- Atmospheric neutrinos
 - Provide ~2500 ν_e CC events
 - Test reconstruction and allow for leptonic and hadronic energy scale calibrations
- Accelerator neutrino (right)
 - Expected events: ν_e 94 ± 23 , $\bar{\nu}_e$ 23 ± 5 (NH, $\delta_{cp} = [-\pi/2, 0, \pi/2]$)
 - Improved MH sensitivity over NOvA+T2K, even better combined
 - CPV sensitivity commensurate with NOvA+T2K, better combined



Novel Features of the Experimental Design

- DUNE calls for unprecedented precision in a ν experiment
- Achieving this precision will require hard work, innovation, and a start-of-the-art experimental design
- LArTPCs allows for high resolution of final state particle 4-momenta
 - The resolution δ_{cp} largely limited by energy scale uncertainties which are limited by hadronic system reconstruction
 - Nearly background free to proton decay searches
 - Access to ν_e flux from supernovas
- The DUNE FGT ND