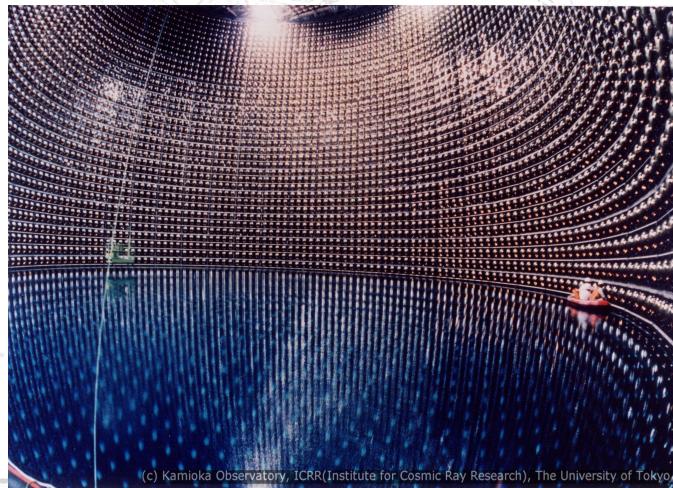


NuFact16 (August the 23rd, 2016)

# Search for $\bar{\nu}_e$ appearance at T2K & latest oscillation results.<sup>1</sup>

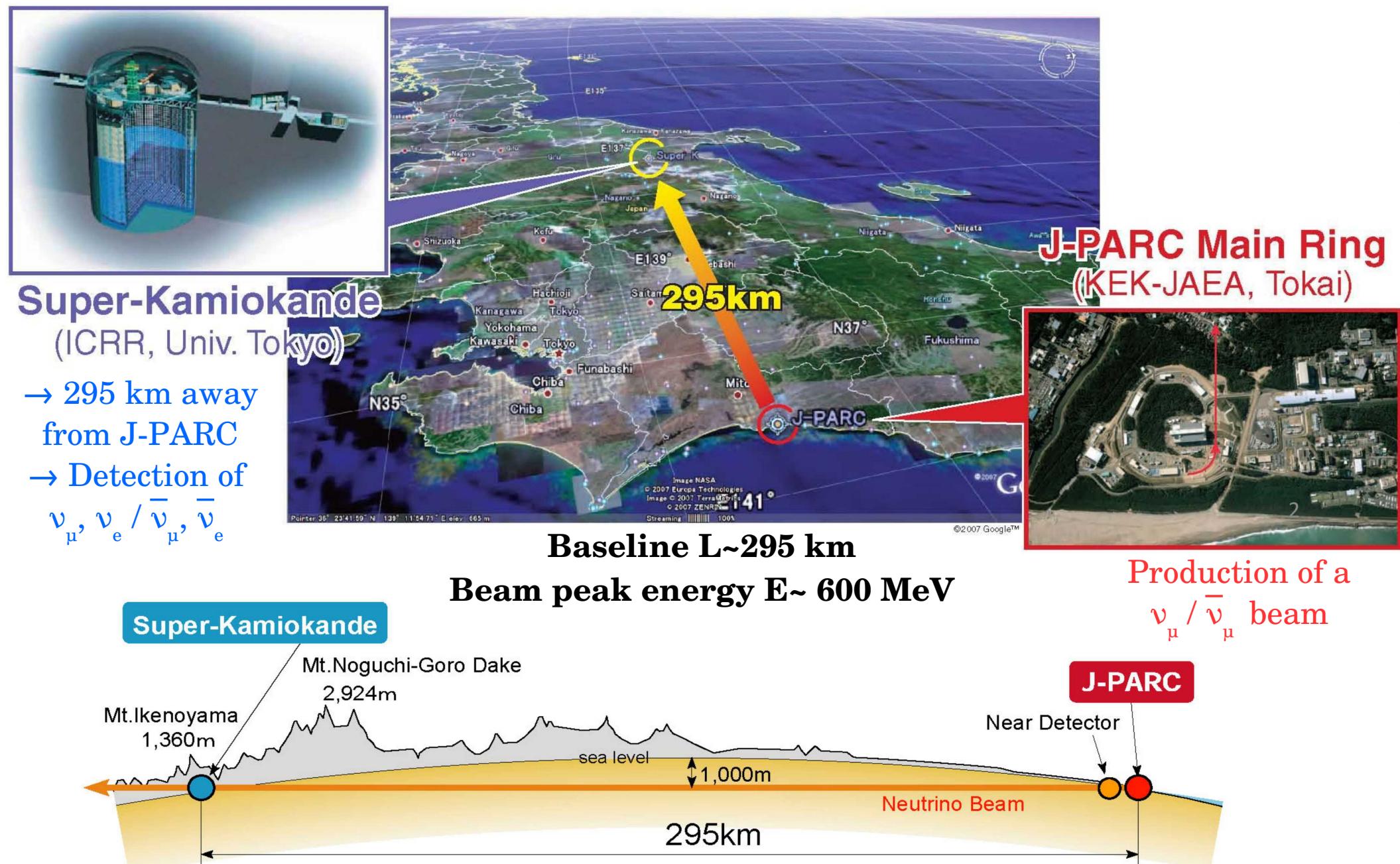
**Benjamin Quilain** (Kyoto University) on behalf of the T2K collaboration



## Outline :

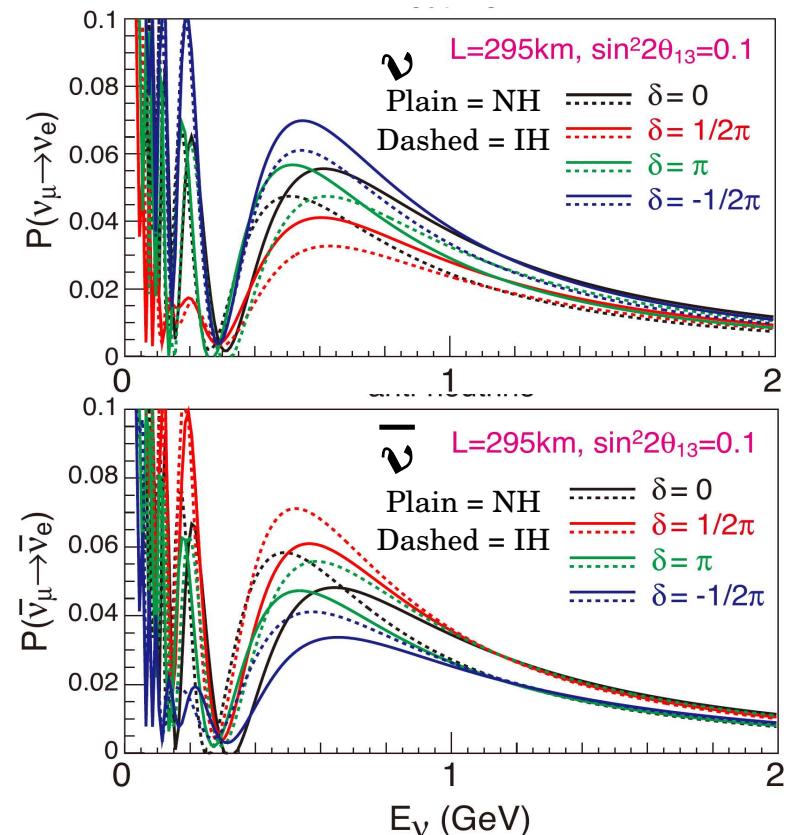
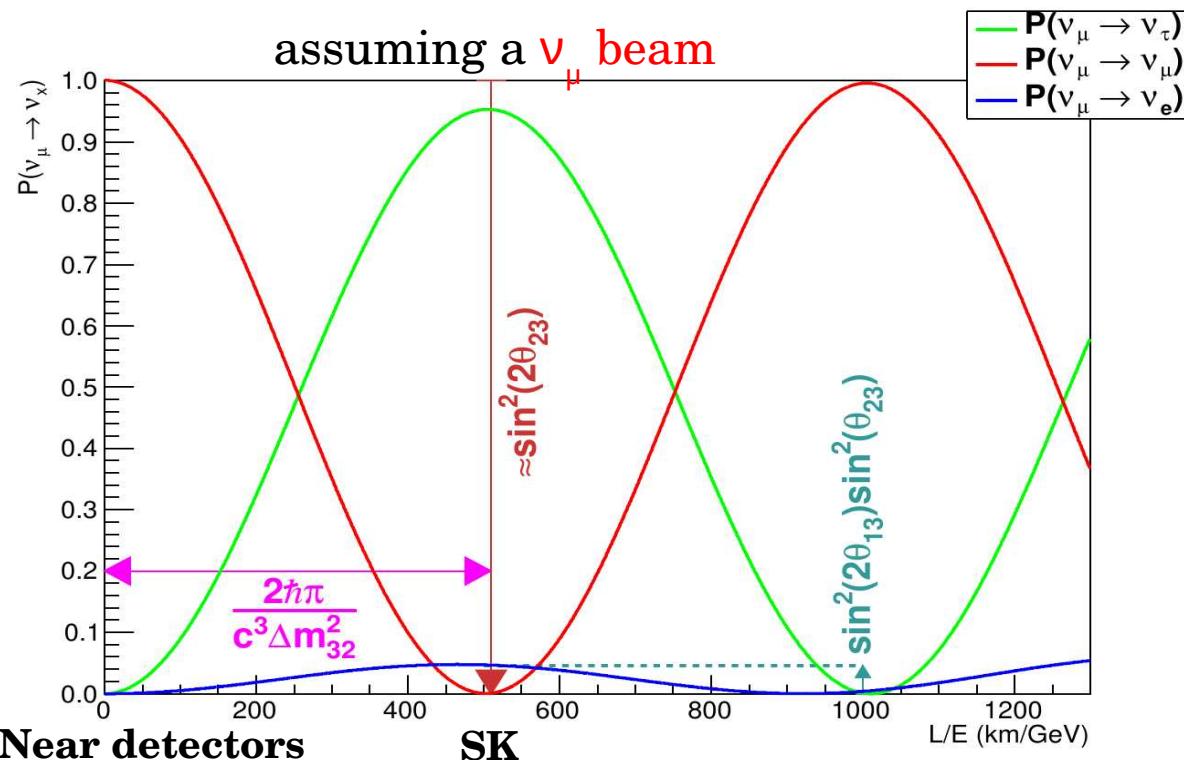
1. The T2K experiment
3. Results of the  $\bar{\nu}_e$  appearance search.
4. Latest constraints on atmospheric parameters & CP violation.
5. Future improvements & TK Phase-II sensitivity

# I-Overview of the T2K Experiment



# Summary of the oscillations at T2K

- Oscillation probability @ 3 flavours in L/E :**



Disappearance of  $\nu_\mu$  /  $\bar{\nu}_\mu$ : Leading term  $\sim \sin^2(2\theta_{23}) \sim 1 \rightarrow$  High (maximal)  $\nu_\mu \rightarrow \nu_\tau$  oscillation

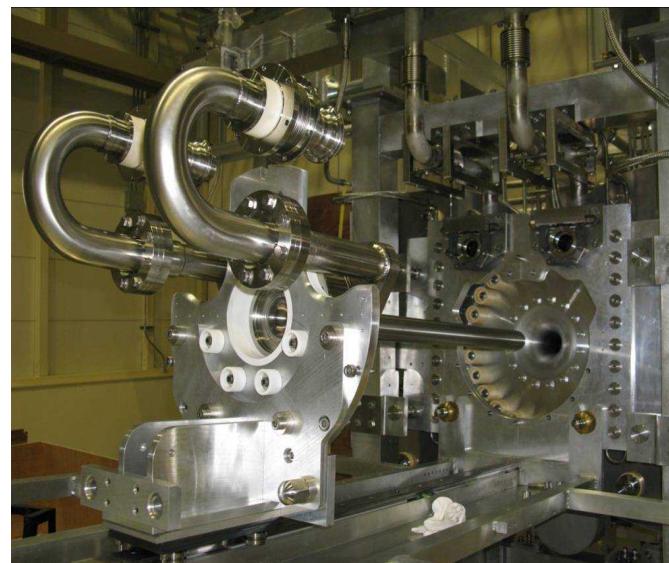
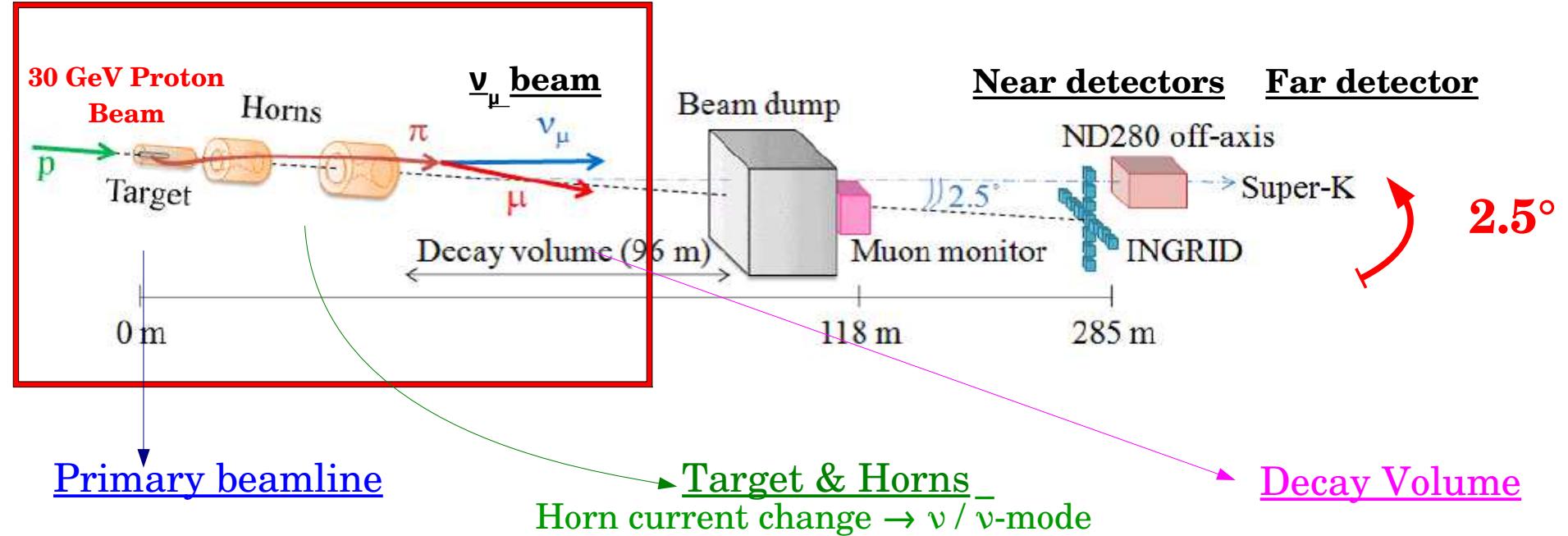
Appearance of  $\nu_e$  /  $\bar{\nu}_e$ : Leading term  $\sim \sin^2(2\theta_{13}) \sim 0.085 \rightarrow$  Small  $\nu_\mu \rightarrow \nu_e$  oscillation

+ CP-odd effect : if  $\delta_{CP} = -\pi/2 \rightarrow$  enhance  $\nu_\mu \rightarrow \nu_e$  (suppress  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ )  $\sim 27\%$  @T2K ( $\sin^2(2\theta_{23})=1$ )

+ Mass hierarchy effect : if NH  $\rightarrow$  enhance  $\nu_\mu \rightarrow \nu_e$  (suppress  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ )  $\sim 10\%$  @T2K ( $\sin^2(2\theta_{23})=1$ )

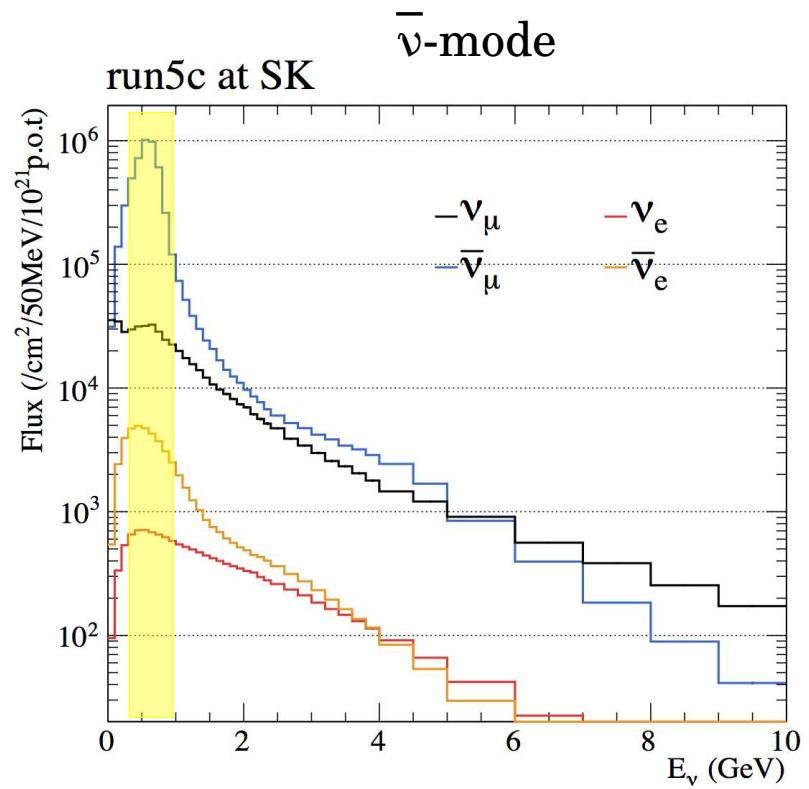
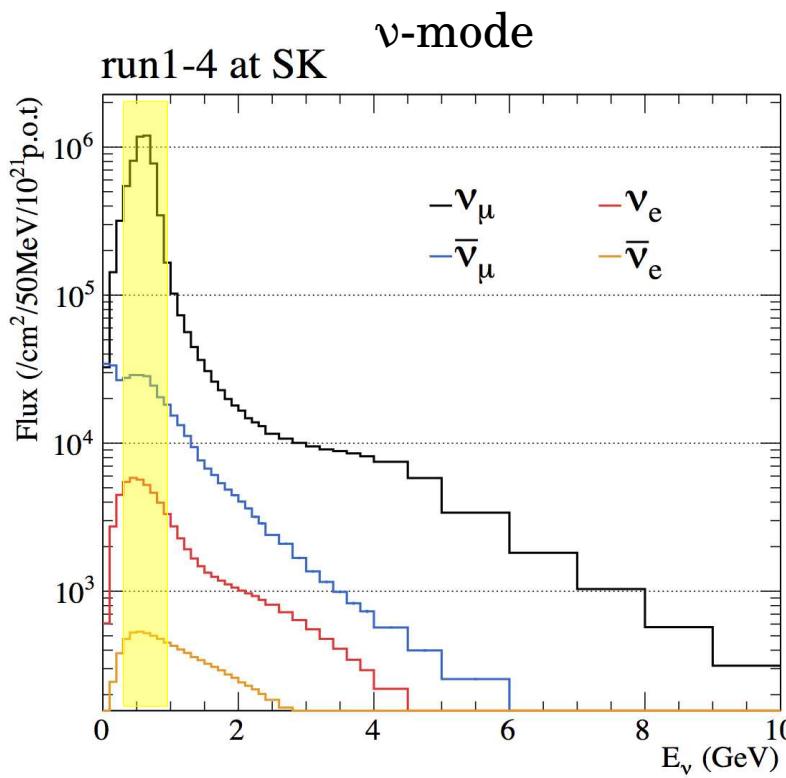
# Muon neutrino beam production

- Observation of  $\nu_e$  appearance in a  $\nu_\mu$  beam and  $\nu_\mu$  disappearance &  $\bar{\nu}$  equivalents



# Beam direction

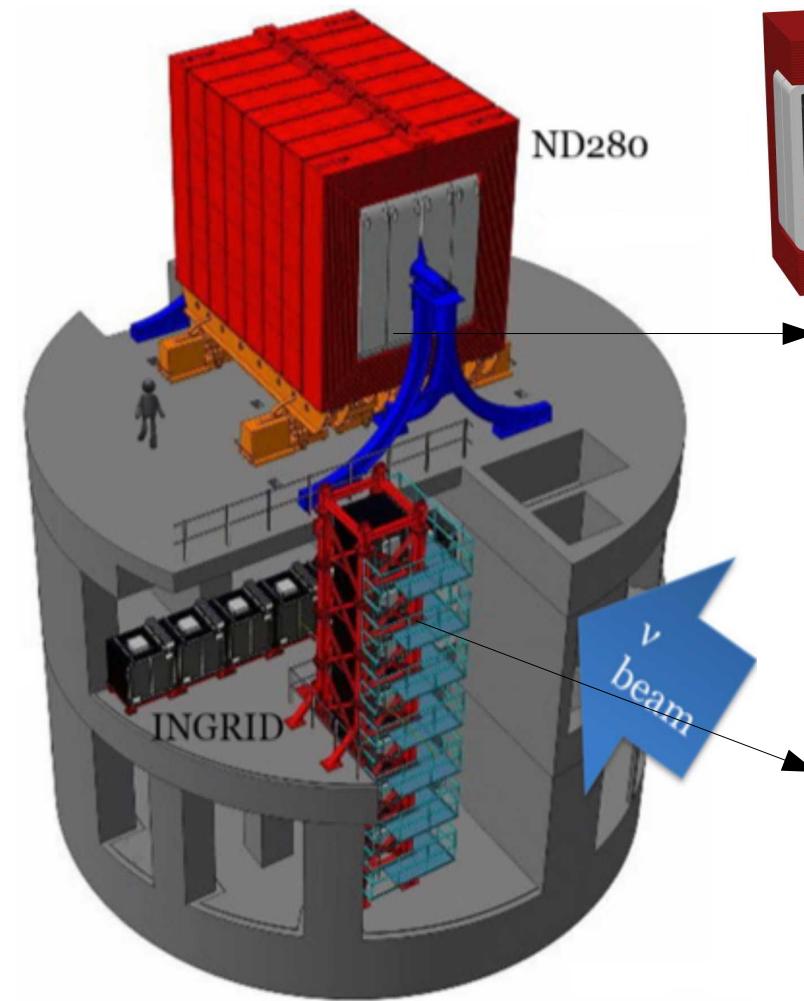
- **T2K is an off-axis experiment**: neutrino beam aimed at  $2.5^\circ$  from Super-K to maximize the oscillation at 295 km → Tune energy spectrum (**600 MeV**)
- **But :**
  - $\nu$  beam does not have one flavour & energy.
  - $\nu$  beam intensity & shape are not perfectly known.



- → Requires beam rate & shape measurements before oscillation !

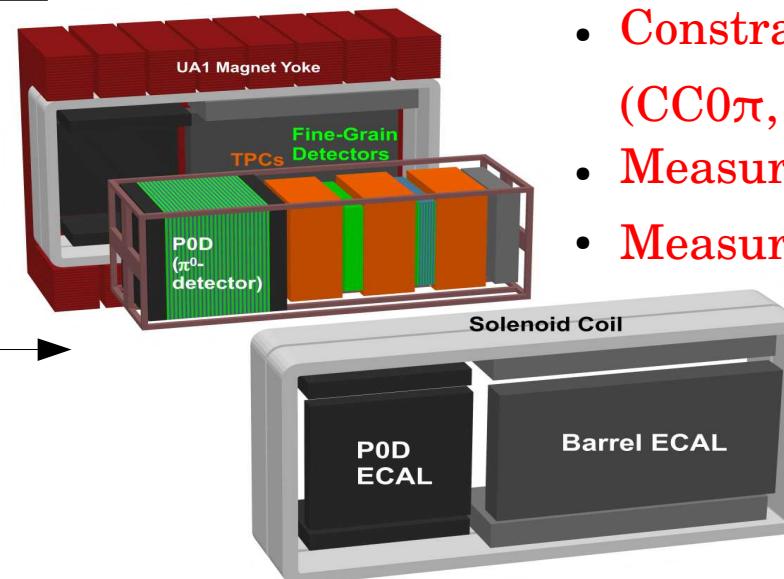
# ND280 detectors constraints on flux

## Complementary near detectors



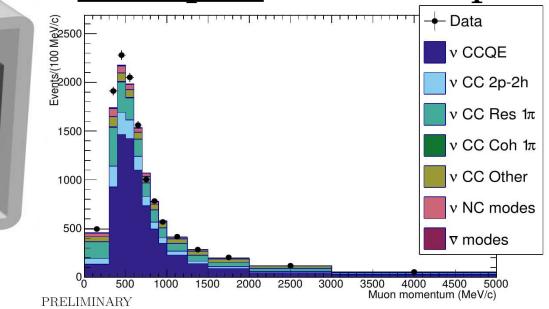
- Beam stability
- Off-axis angle : constrains neutrino spectra

## Off-axis near detector: ND280

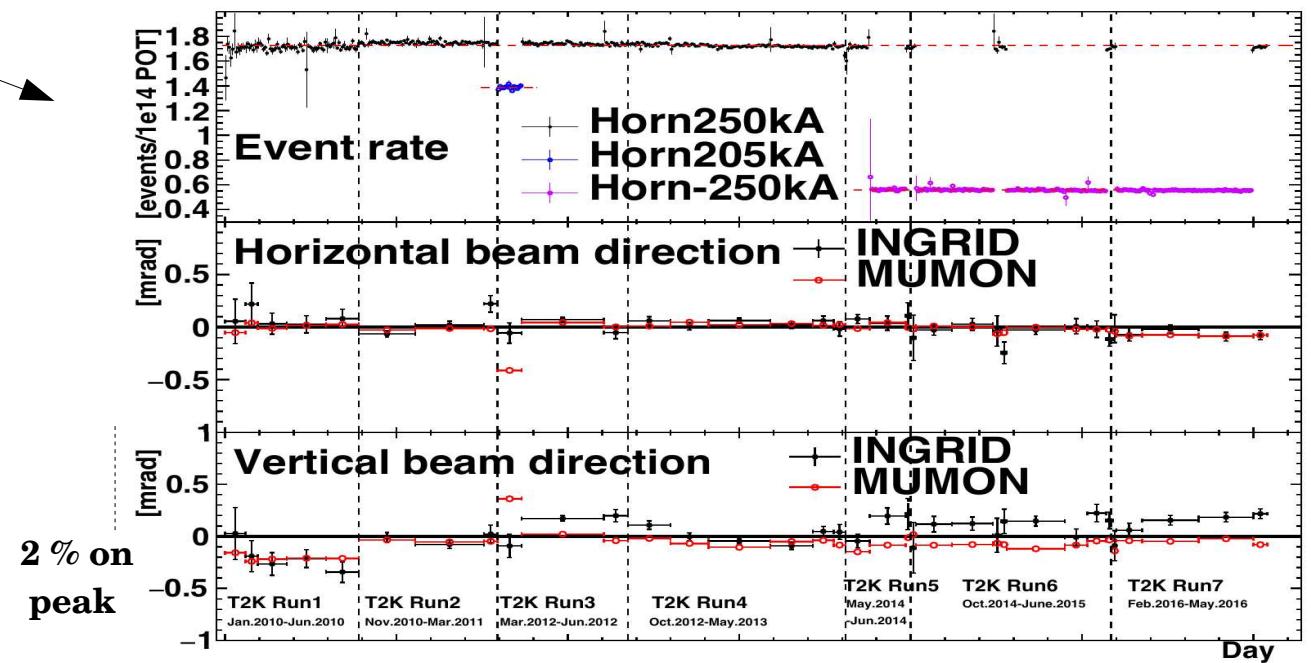


- Constraints on  $\nu_\mu$  spectrum (CC0 $\pi$ , CC1 $\pi$ , CCOthers)
- Measure  $\nu_e$  beam contamination
- Measure cross sections

Example : CC0 $\pi$  sample



## On-axis near detector: INGRID



# Flux & cross-section extrapolation at SK

## Flux simulation :

- Measurement on the proton beamline.
- Hadron cross-sections measured by NA61.
- INGRID measurements (off-axis angle + intensity)

Flux of  $\nu$

## Cross-section model :

- External experiment measurements.

Interacting  $\nu$

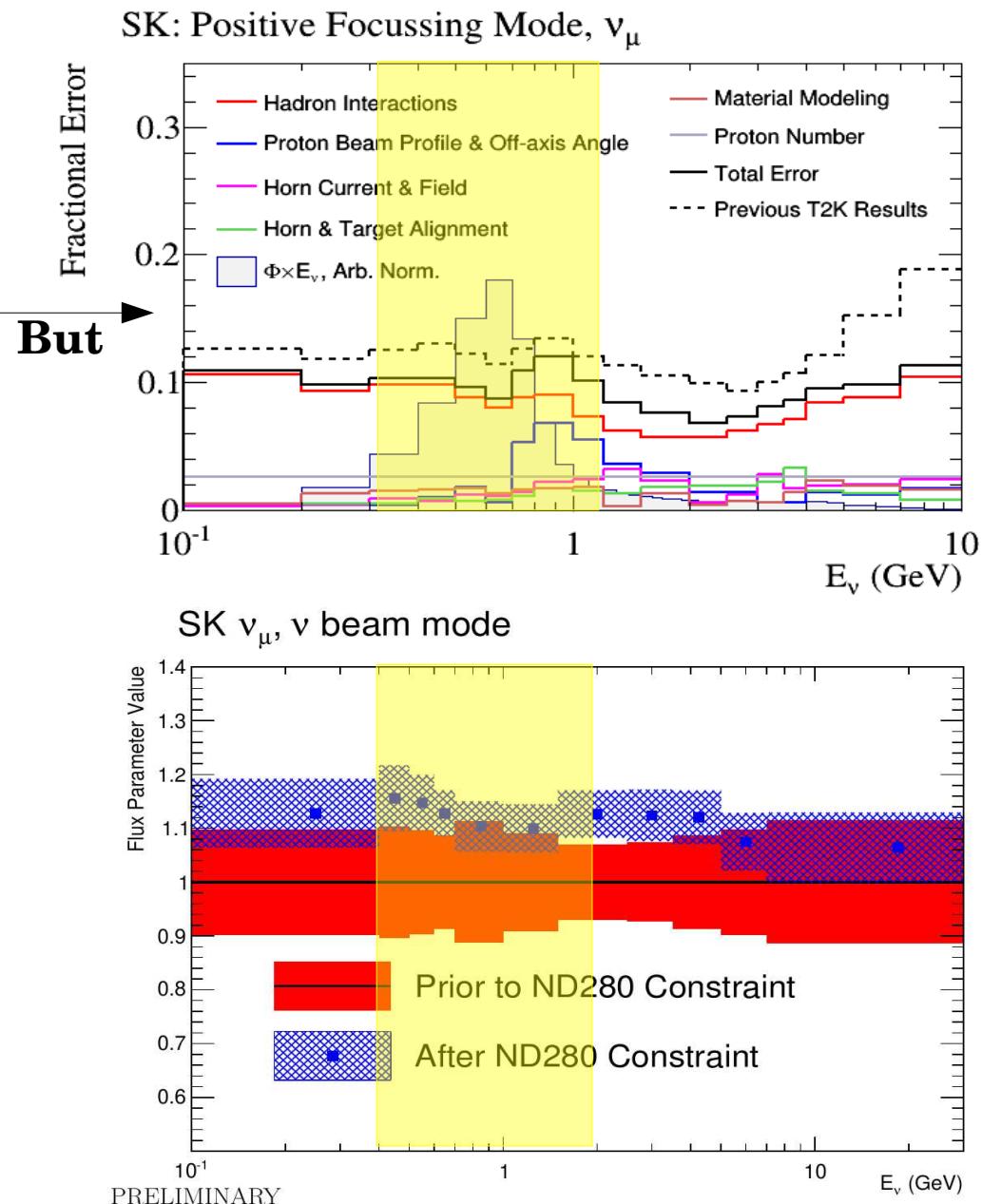
## Apply ND280 Reconstruction & Compare with data

- Measure  $\nu_\mu, \nu_e$  spectra & cross-sections

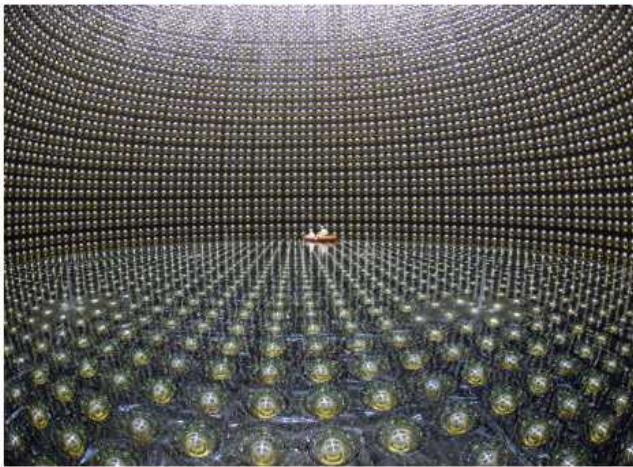
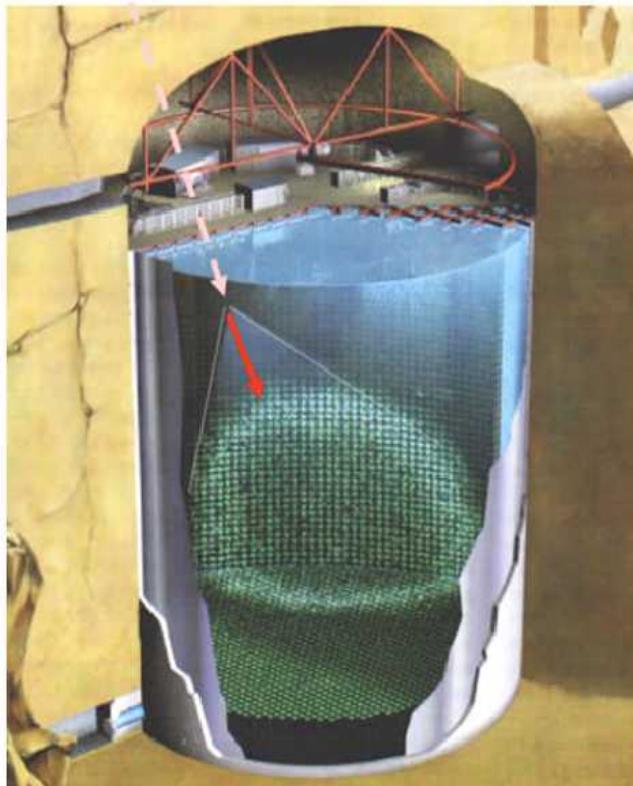
## Extrapolate flux & cross-section @SK :

- Uses the high correlation between the ND280 & SK flux & cross-sections.
- Error Flux $\times$ Cross-sections reduced ~10 % to <5 % (see slide 12)

- Compare the number of reconstructed events between data and simulation
- Require the SK measurement & reconstruction efficiency

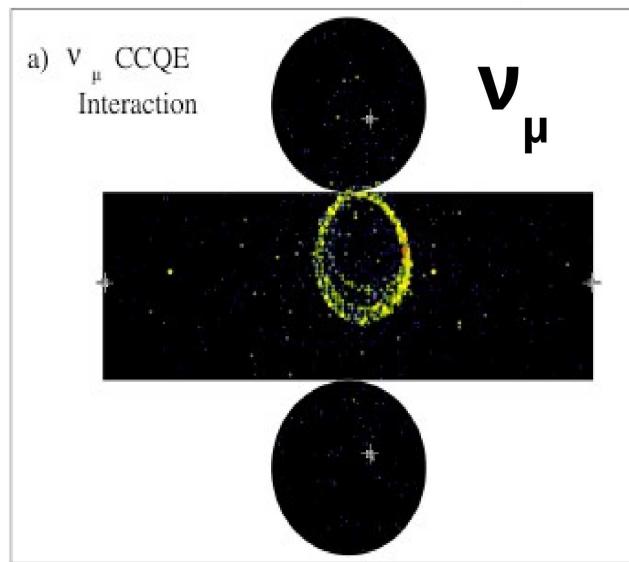


# The Far Detector : Super-Kamiokande

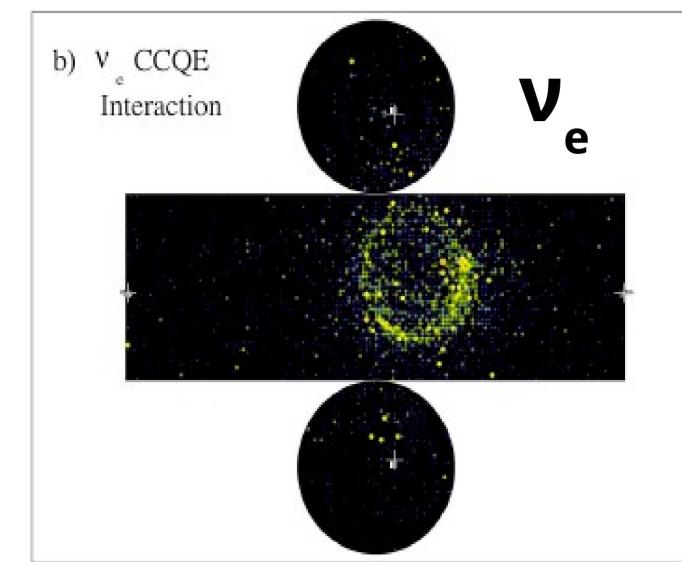


- 50 kT water Cherenkov detector (Fiducial Volume = 22.5 kT)
- T2K event selection : [-2, 10]  $\mu\text{s}$  around beam trigger.
- High  $\mu/\text{e}$  separation (ring sharpness): misidentification probability of a single electron is 0.7 % (0.8 % for  $\mu$ )

Single ring  $\mu$ -like (SK-1R $\mu$ )  
 $\sim \text{CCQE} (\nu_\mu)$



Single ring e-like (SK-1R $e$ )  
 $\sim \text{CCQE} (\nu_e)$



- $\nu$ -mode &  $\bar{\nu}$ -mode treated as separated samples.

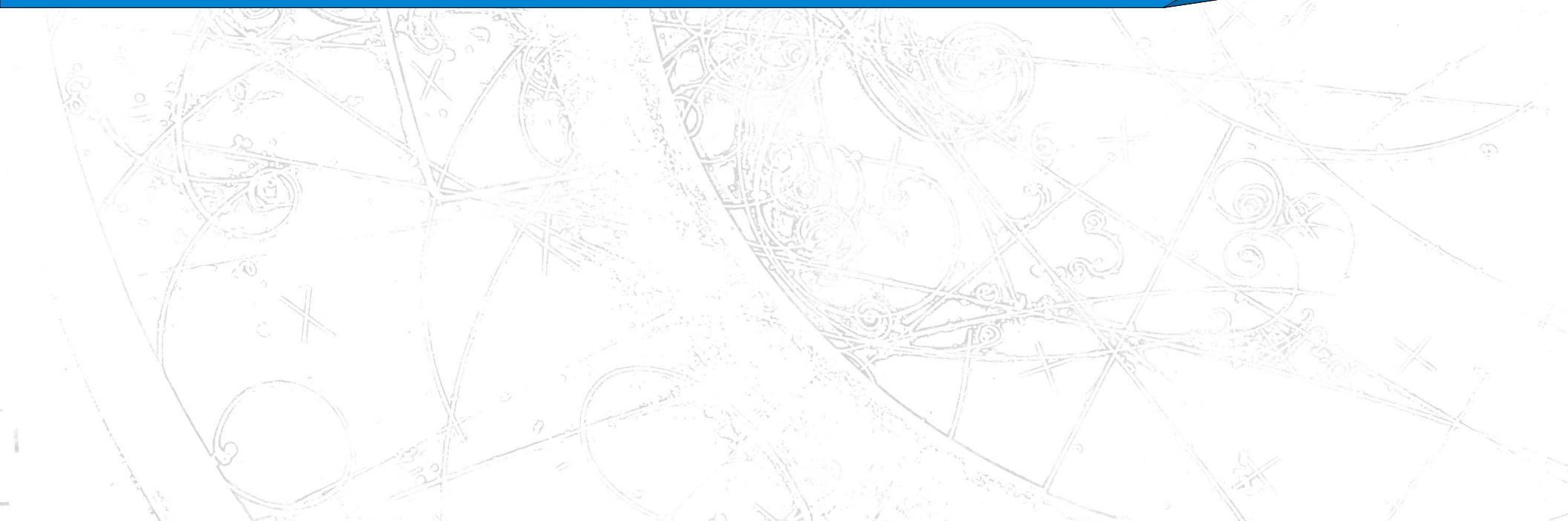
→ 4 samples :  $\nu$ -mode &  $\bar{\nu}$ -mode SK-1R $\mu$  & SK-1R $e$



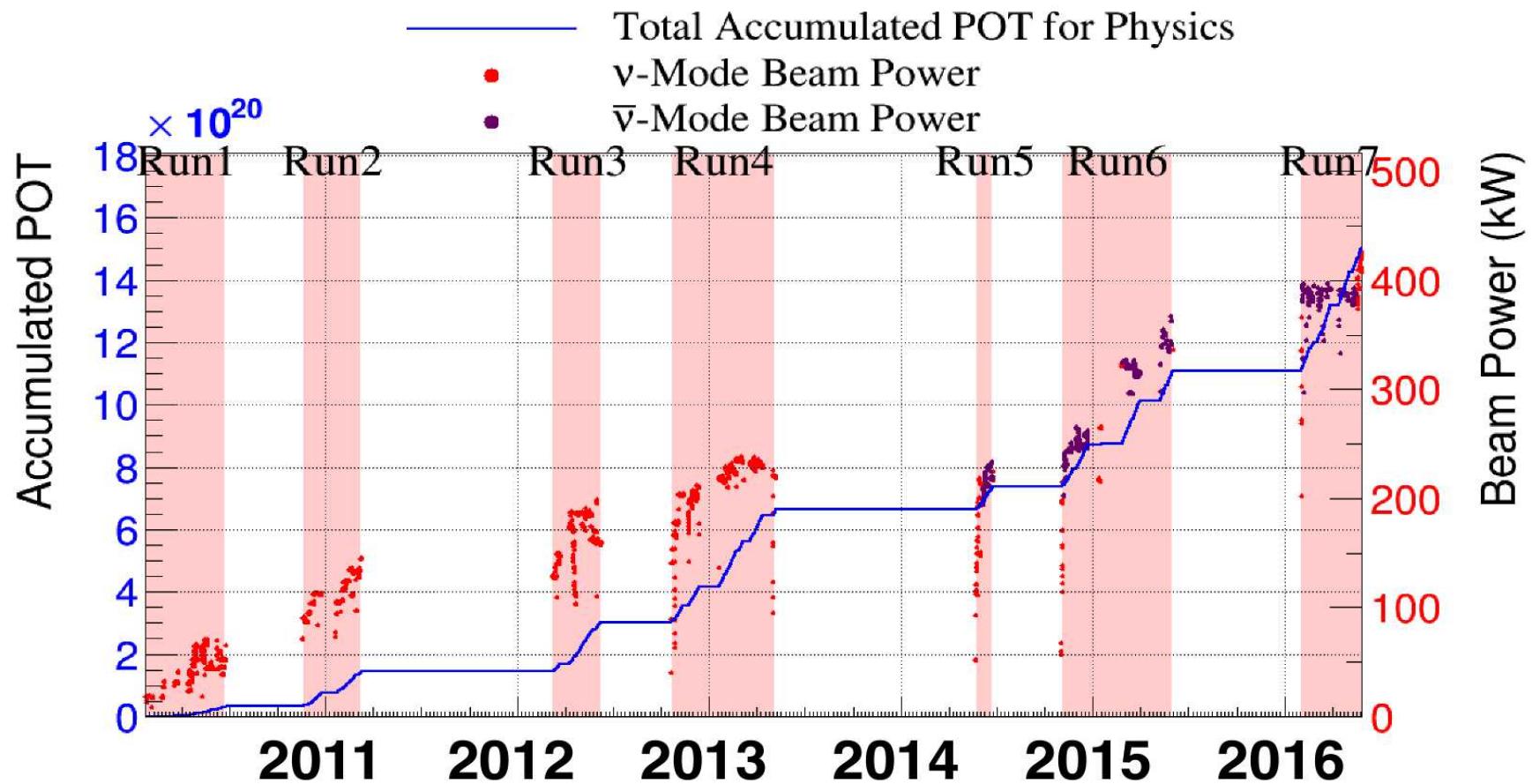
Benjamin Quilain

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## II-Oscillation results from the T2K experiment



# Accumulated neutrino beam



**27 May 2016**  
**POT total:  $1.510 \times 10^{21}$**

**v-mode POT:  $7.57 \times 10^{20}$  (50.14%)**  
 **$\bar{v}$ -mode POT:  $7.53 \times 10^{20}$  (49.86%)**

- Beam power reached 425 kW.
- Accumulated ~same number of POT (protons-on-target) in  $v$ -mode &  $\bar{v}$ -mode.
- Recently, almost taken only data in  $\bar{v}$ -mode → today's results update mainly in  $\bar{v}$ -mode.

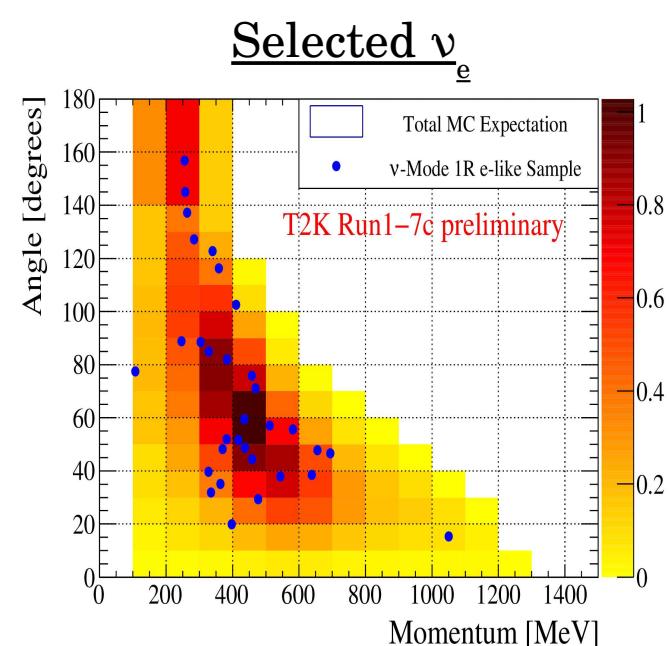
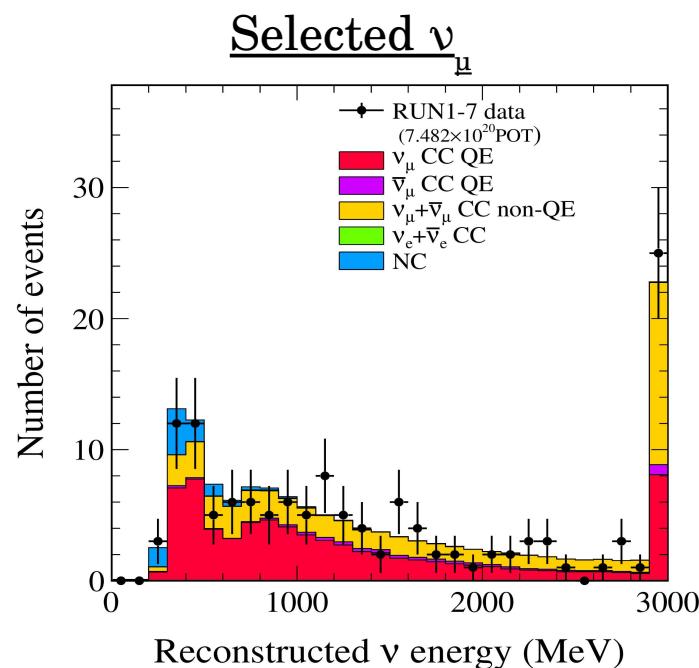
# Selected events at Super-Kamiokande

- 4 samples :  $\nu$ -mode &  $\bar{\nu}$ -mode SK-1R $\mu$  & SK-1Re
- MC predictions shown here for Asimov with NH &  $\delta_{CP} = -\pi/2$
- Number of events :

Parameter	Value
$\sin^2 \theta_{12}$	0.304
$\sin^2 2\theta_{13}$	0.085
$\sin^2 \theta_{23}$	0.528
$\Delta m_{21}^2$	$7.53 \times 10^{-5} \text{ eV}^2/\text{c}^4$
$\Delta m_{32}^2$	$+2.509 \times 10^{-3} \text{ eV}^2/\text{c}^4$
$\delta_{CP}$	-1.601

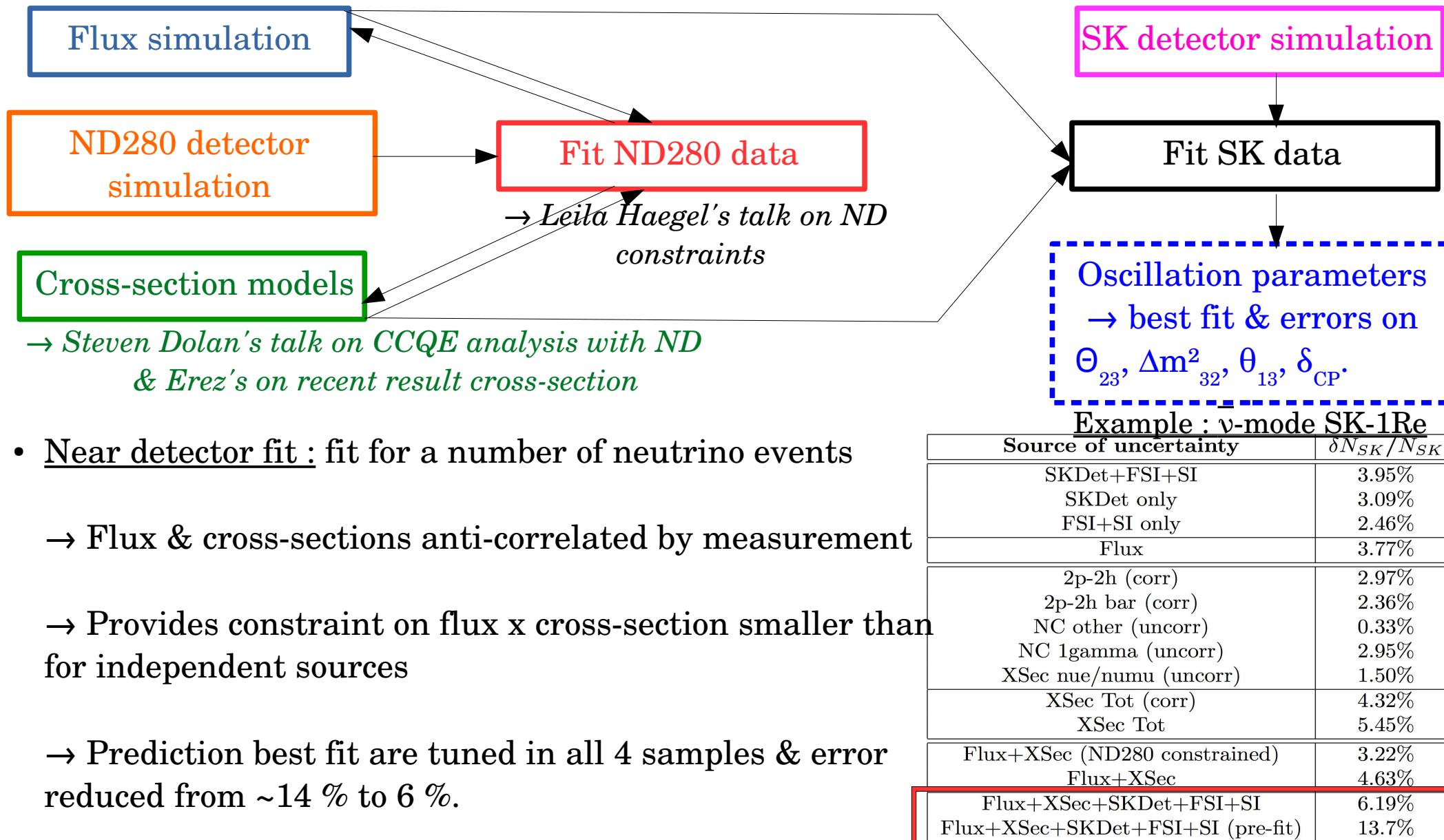
Normal hierarchy						
Beam mode	Sample	$\delta_{CP} = -\pi/2$	$\delta_{CP} = 0$	$\delta_{CP} = +\pi/2$	$\delta_{CP} = \pi$	Observed
neutrino	$\mu$ -like	135.8	135.5	135.7	136.0	135
neutrino	$e$ -like	28.7	24.2	19.6	24.1	32
antineutrino	$\mu$ -like	64.2	64.1	64.2	64.4	66
antineutrino	$e$ -like	6.0	6.9	7.7	6.8	4

More  $\nu_e$  & less  $\bar{\nu}_e$  events observed than expected !  
→ Even with NH &  $\delta_{CP} = -\pi/2$



# The joint fit method & errors

- Oscillation & systematic parameters shared between the 4 SK samples  
→ Joint fit of the 4 samples → maximize sensitivity to the oscillation parameters !



# $\bar{\nu}_e$ appearance search

- Motivations:  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  has never been observed !

- To test the  $\bar{\nu}_e$  appearance only:  $P(\nu_\mu \rightarrow \nu_e)$  unchanged.

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) [\text{PMNS}] \rightarrow \beta \times P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$$

$\beta=0 \rightarrow$  No  $\bar{\nu}_e$  appearance,  $\beta=1 \rightarrow \bar{\nu}_e$  appearance predicted by PMNS

- Null hypothesis testing: no  $\bar{\nu}_e$  appearance ( $\beta=0$ ) + PMNS model testing ( $\beta=1$ ).
- Prior used for the parameters:

Parameter	Prior	Variation range
$\sin^2 \theta_{12}$	fixed	0.304
$\sin^2 2\theta_{13}$	gaussian	$0.085 \pm 0.005$
$\sin^2 \theta_{23}$	uniform	[0.3; 0.7]
$\Delta m_{21}^2$	fixed	$7.53 \times 10^{-5} \text{ eV}^2/\text{c}^4$
$\Delta m_{32}^2$	uniform	$[2; 3] \times 10^{-3} \text{ eV}^2/\text{c}^4$
$\delta_{CP}$	uniform	$[-\pi; +\pi]$
Mass hierarchy	uniform	0.5 for NH and IH

- Joint fit is used  $\rightarrow$  Apply the T2K constraints from the  $\nu_\mu$ ,  $\nu_e$  &  $\bar{\nu}_\mu$  data samples on the  $\bar{\nu}_e$  sample.
- Correlations between the 4 samples are maintained using a posterior predictive method.

- 2 analyses:

Rate-only  $\rightarrow$  Observable is the number of observed events.

Rate+shape  $\rightarrow$  Observable is the fitted  $\chi^2$  difference between  $\beta=0$  &  $\beta=1$  hypotheses.

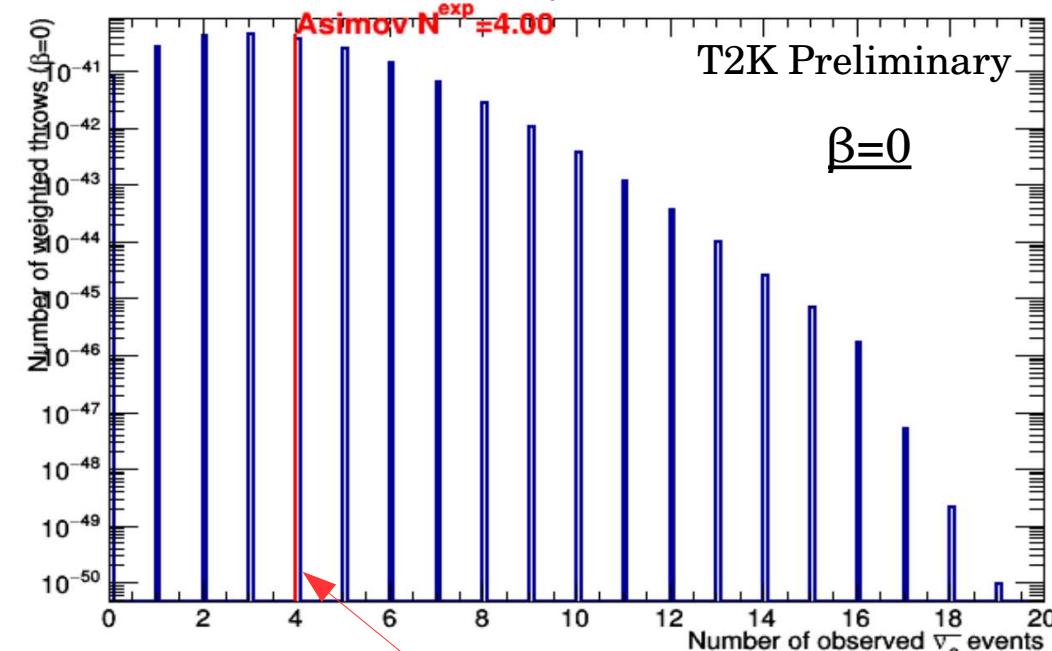
$$\Delta\chi^2 = \chi^2(\beta = 0) - \chi^2(\beta = 1)$$

# $\bar{\nu}_e$ appearance search

- Results are shown for  $\beta=0$  hypothesis in the toy generation)

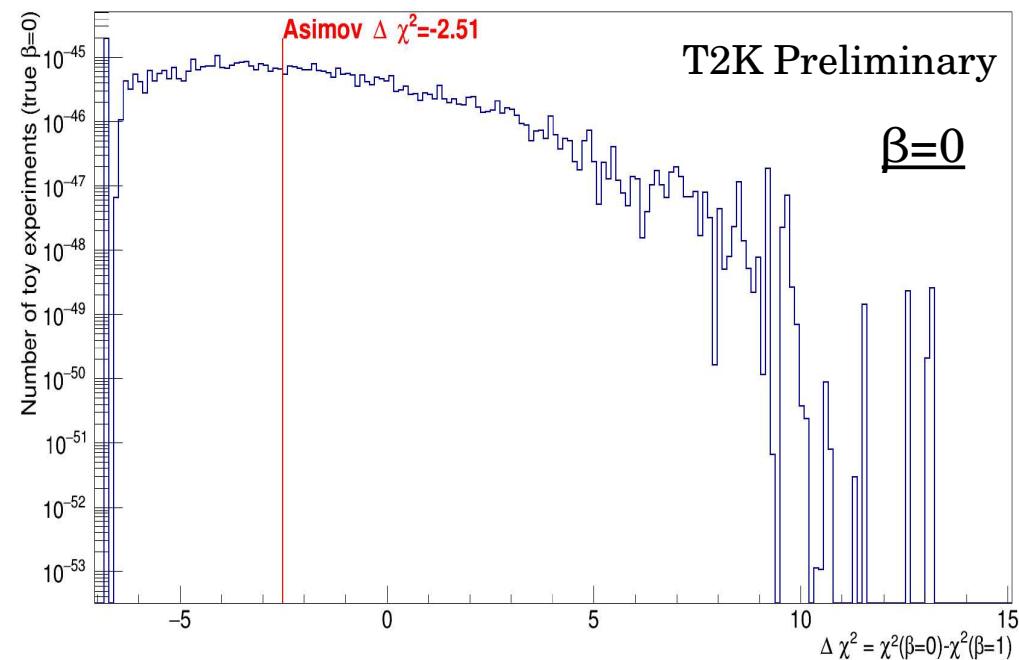
Rate-only :

Number of observed  $\bar{\nu}_e$  events @SK.



Rate+shape :

$$\Delta\chi^2 = \chi^2(\beta = 0) - \chi^2(\beta = 1)$$



- $p\text{-value} = \frac{N_{\text{obs}}^{\bar{\nu}_e} > \text{data}}{\text{Total } N_{\text{obs}}^{\bar{\nu}_e}}$  for rate-only (Rate+shape :  $N_{\text{obs}}^{\bar{\nu}_e}$  is replaced by  $\Delta\chi^2$ ).

- Results on the number of events :

	$\nu_e$	$\bar{\nu}_e$
Expected (Asimov NH & $\delta_{\text{CP}} = -\pi/2$ )	28.7	6.0
Observed (Data)	32	4

- Again → Higher discrepancy between  $\nu_e$  &  $\bar{\nu}_e$  events observed than expected!

# Interpretation of the results

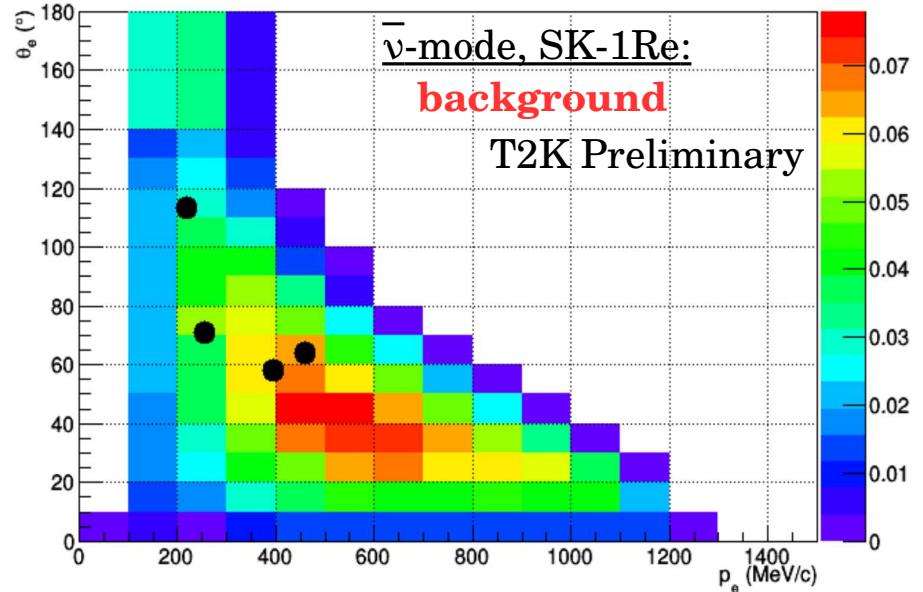
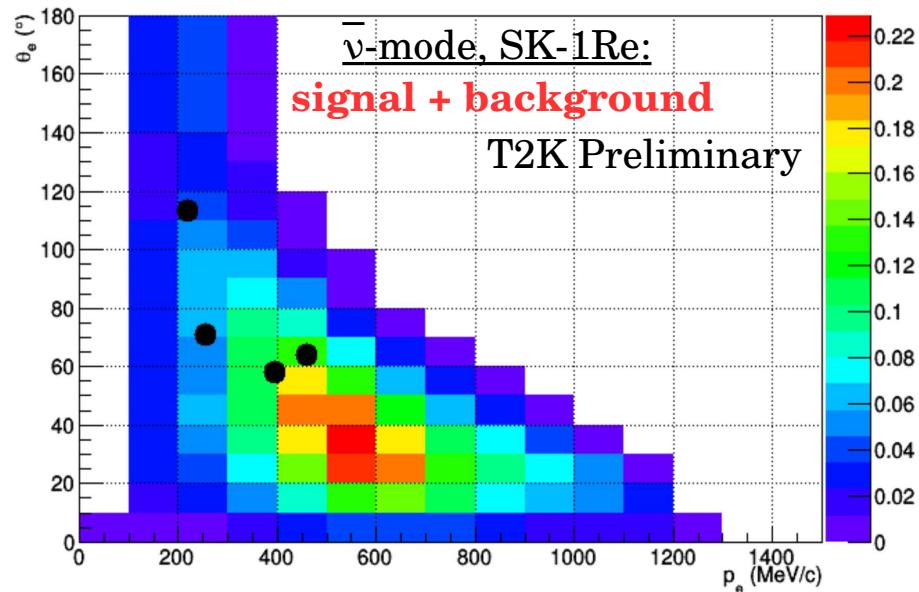
- Results summary :

	P-value ( $\beta=0$ )	P-value ( $\beta=1$ )
Rate-only	0.41	0.21
Rate+shape	0.46	0.07

$N_{\bar{\nu}_e}^{\text{obs}} = 4$  ( $N_{\bar{\nu}_e}^{\text{exp}} = 6.0$ )  $\rightarrow$  lower rate  $\rightarrow$  Data set compatible with no  $\bar{\nu}_e$  appearance.

- Data are in mild tension with the PMNS model

$\rightarrow$  Due to Higher assymetry between  $\nu_e$  &  $\bar{\nu}_e$  than expected w/ maximal CPV & NH  
 $\rightarrow$  Not only rate, but shape also increases the disagreement.



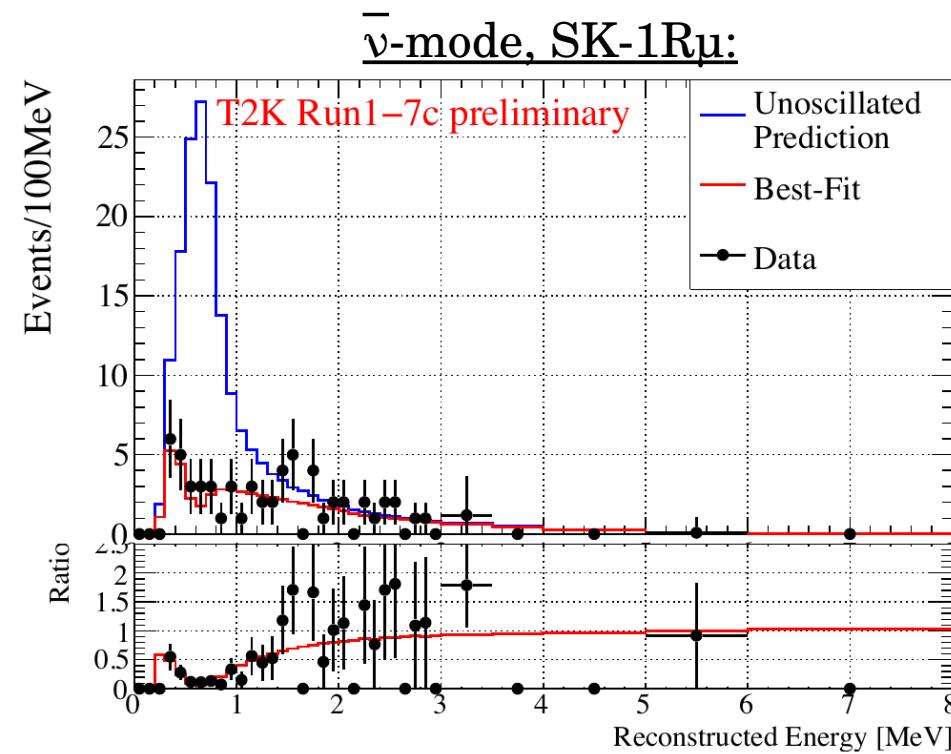
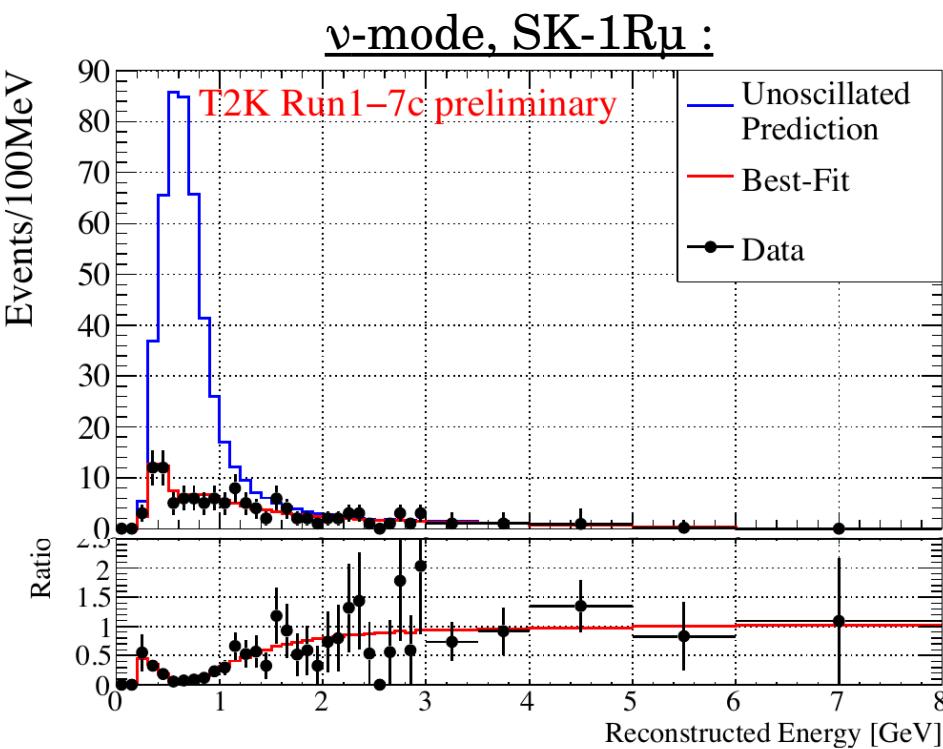
- 2 data point far from data peak  $\rightarrow$  Not only the rate is low, but the shape of  $\bar{\nu}_e$  events looks like background !  $\rightarrow$  Rate+Shape :  $\sim 2\sigma$  deviation from PMNS

# $\nu_\mu$ & $\bar{\nu}_\mu$ disappearance

- Motivations :

- Test CPT conservation by comparing  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu)$  and  $P(\nu_\mu \rightarrow \nu_\mu)$ .
- Assuming PMNS model (CPT conservation), tighten constraint on  $\theta_{23}$  &  $\Delta m^2_{32}$ .

- Results :

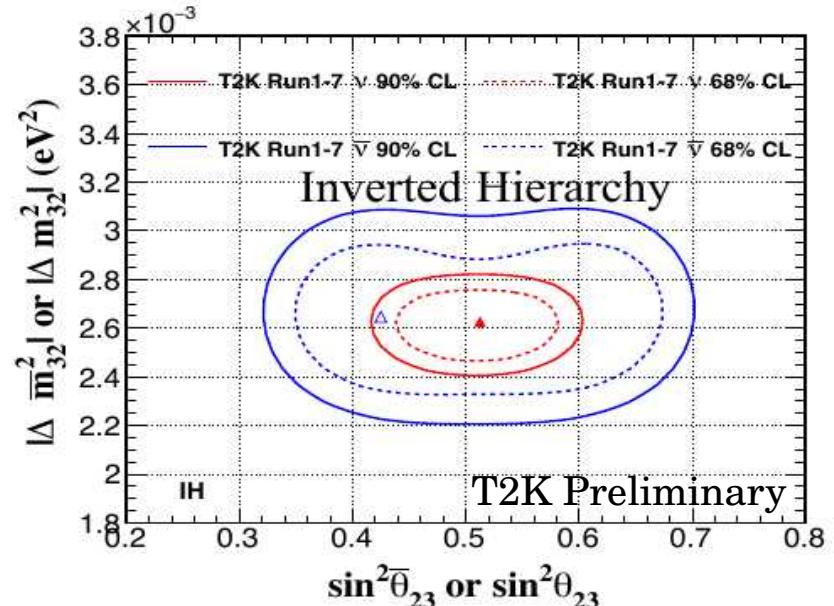
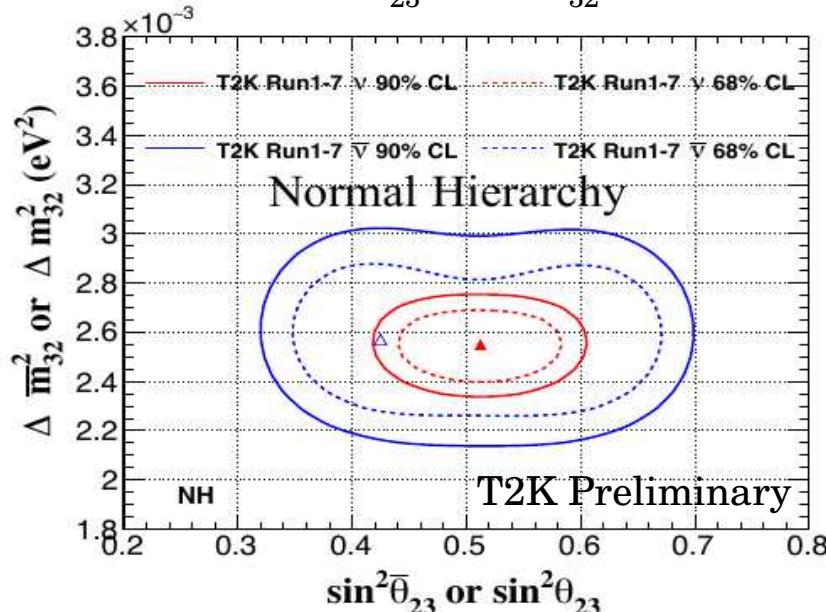


$\nu$ -mode events: 135.8 exp. / 135 obs /  $\bar{\nu}$ -mode events: 64.2 exp. / 66 obs.

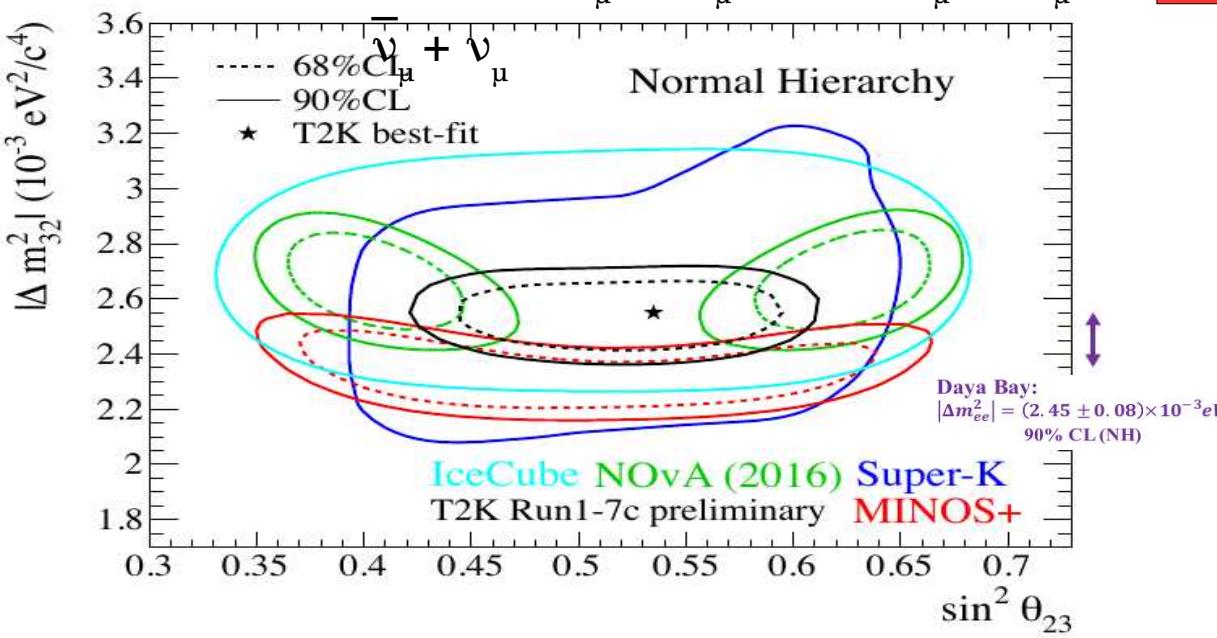
$\bar{\nu}_\mu$  disappearance clearly observed in rate & shape @ 600 MeV.

# $\nu_{\mu}$ & $\bar{\nu}_{\mu}$ disappearance

Constraints on the  $\theta_{23}$  &  $|\Delta m^2_{32}|$  parameters:



- Agreement between  $P(\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{\mu})$  and  $P(\nu_{\mu} \rightarrow \nu_{\mu}) \rightarrow$  No sign of CPT violation.



	NH
$\sin^2(\theta_{23})$	$0.532^{+0.046}_{-0.068}$
$ \Delta m^2_{32} $ ( $\times 10^{-5} \text{ eV}^2/\text{c}^4$ )	$254.5^{+8.1}_{-8.4}$

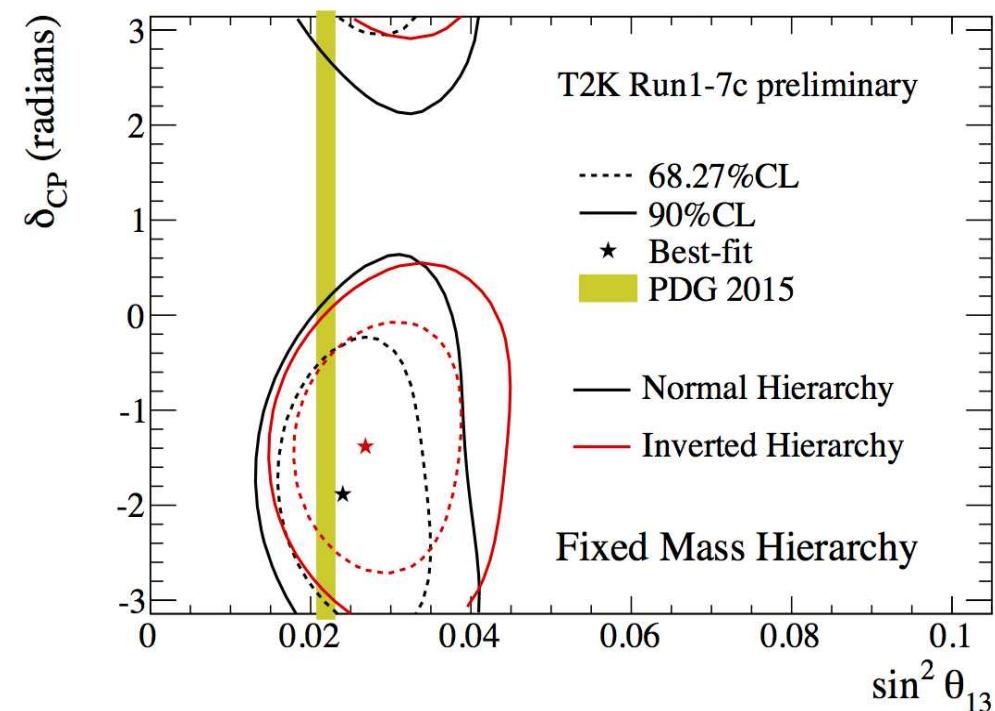
- $|\Delta m^2_{32}|$  compatible with T2K former results & Nova
- T2K compatible w/ maximal mixing

# Full joint fit : constraints on $\theta_{13}$ & $\delta_{CP}$ .

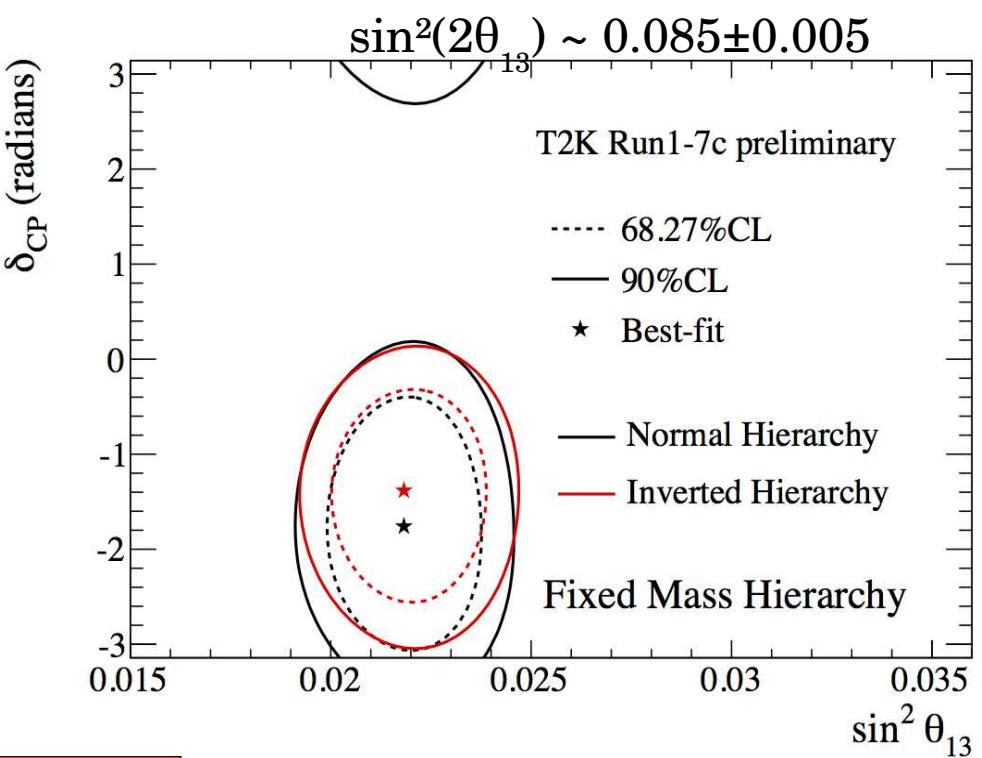
- Reminder : For NH &  $\delta_{CP} = -\pi/2$  :  $27.0 \nu_e$  &  $6.0 \bar{\nu}_e$  expected.  
 $\rightarrow 32 \nu_e$  &  $4 \bar{\nu}_e$  observed  $\rightarrow$  T2K's observed difference between the observed events is larger than PMNS predictions for  $\delta_{CP} = -\pi/2$  !

- Results :

T2K-only



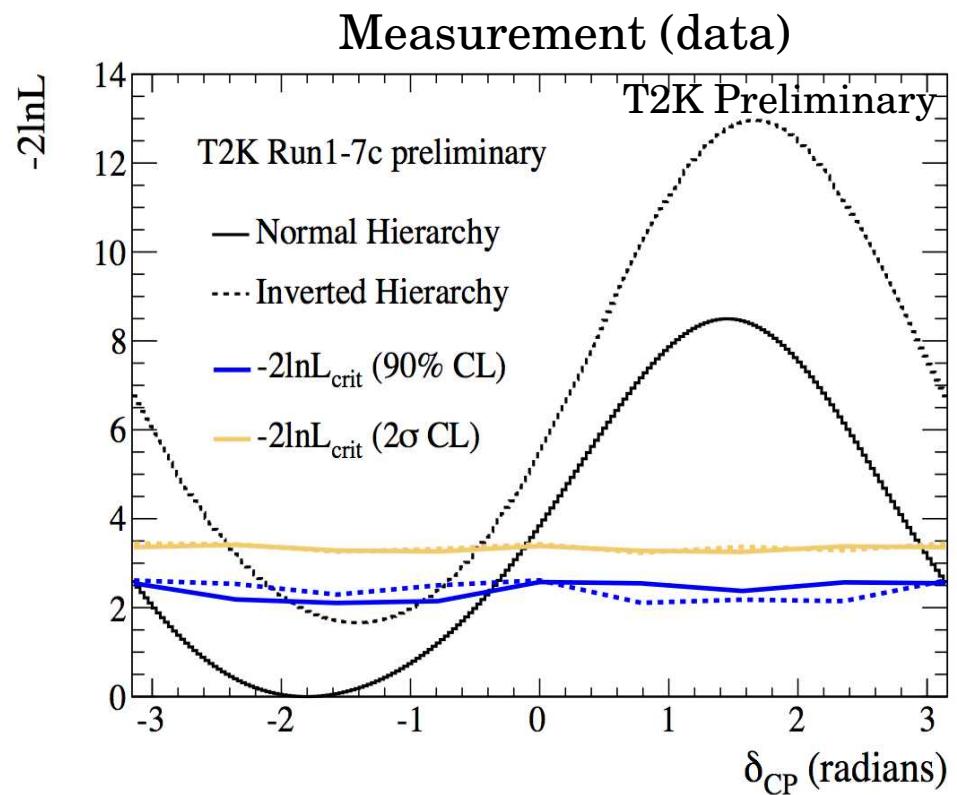
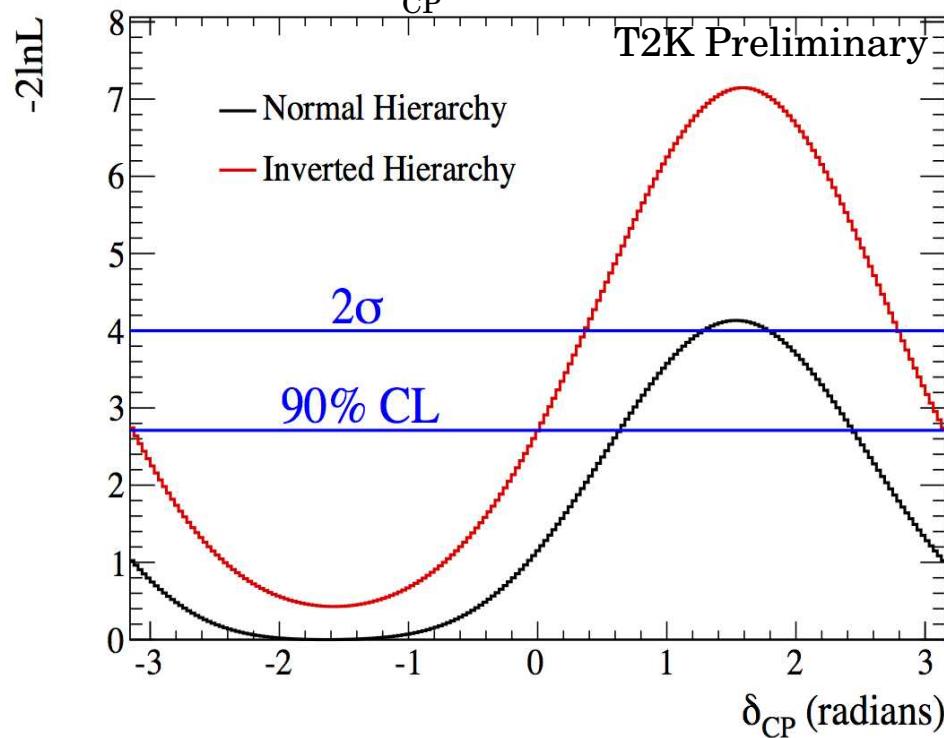
T2K+reactor



- T2K results are consistent with the reactor results.
- Maximal CPV favoured ( $\delta_{CP} = -\pi/2$ )  $\rightarrow$  The  $\bar{\nu}_e$  data confirms the tendency seen in  $\nu_e$

# Full joint-fit : constraints on $\delta_{CP}$

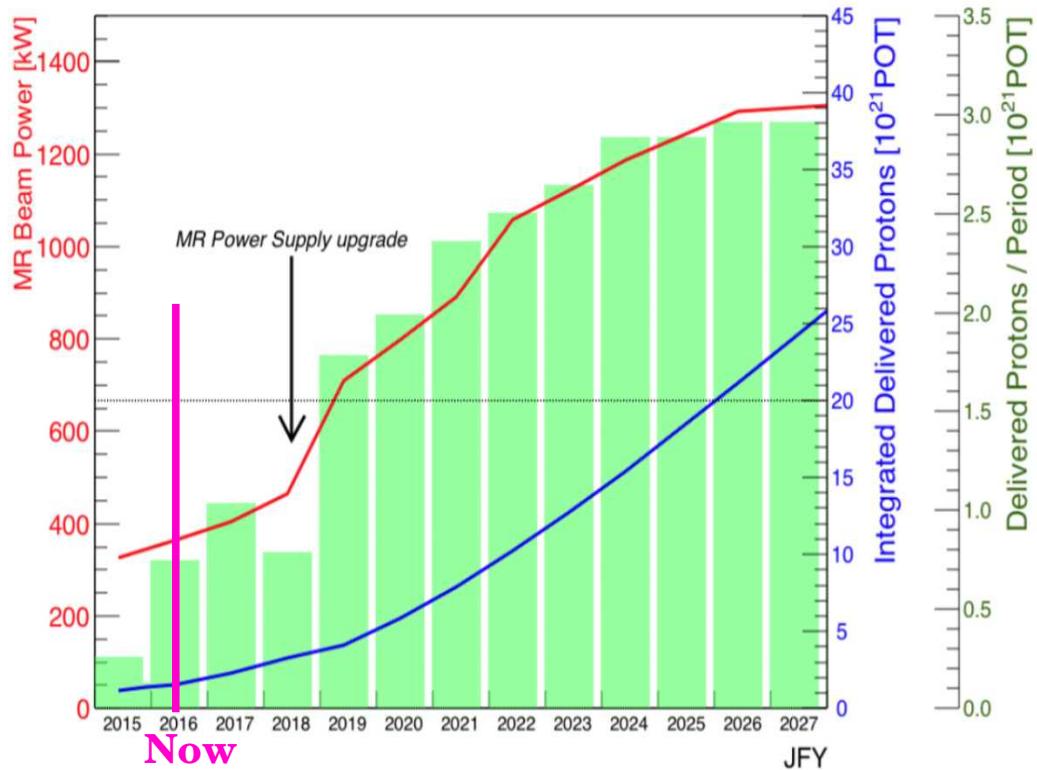
- Marginalize over  $\sin^2(2\theta_{13})$  assuming the reactor constraints.
- Results Asimov  $\delta_{CP} = -\pi/2$  (simulation)



- CP conservation excluded  $> 90\% CL$ .
- $\delta_{CP}$  90 % CL :  $[-3.13, -0.39]$  (NH) and  $[-2.09, -0.74]$  (IH)
- $\delta_{CP} = 0$  excluded  $> 2\sigma$ .

# III-Improvements & prospects

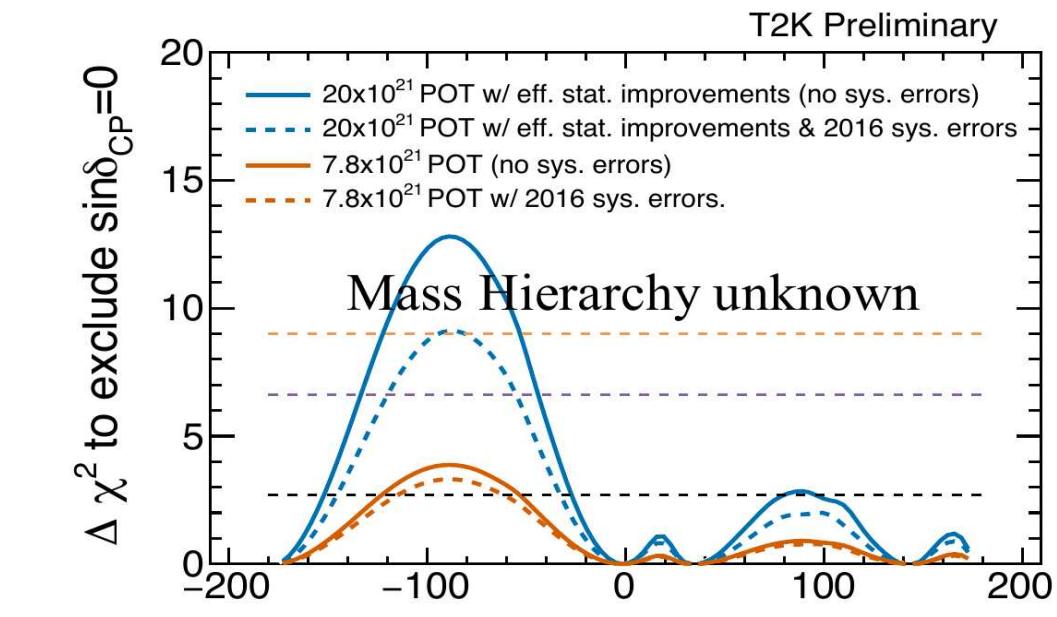
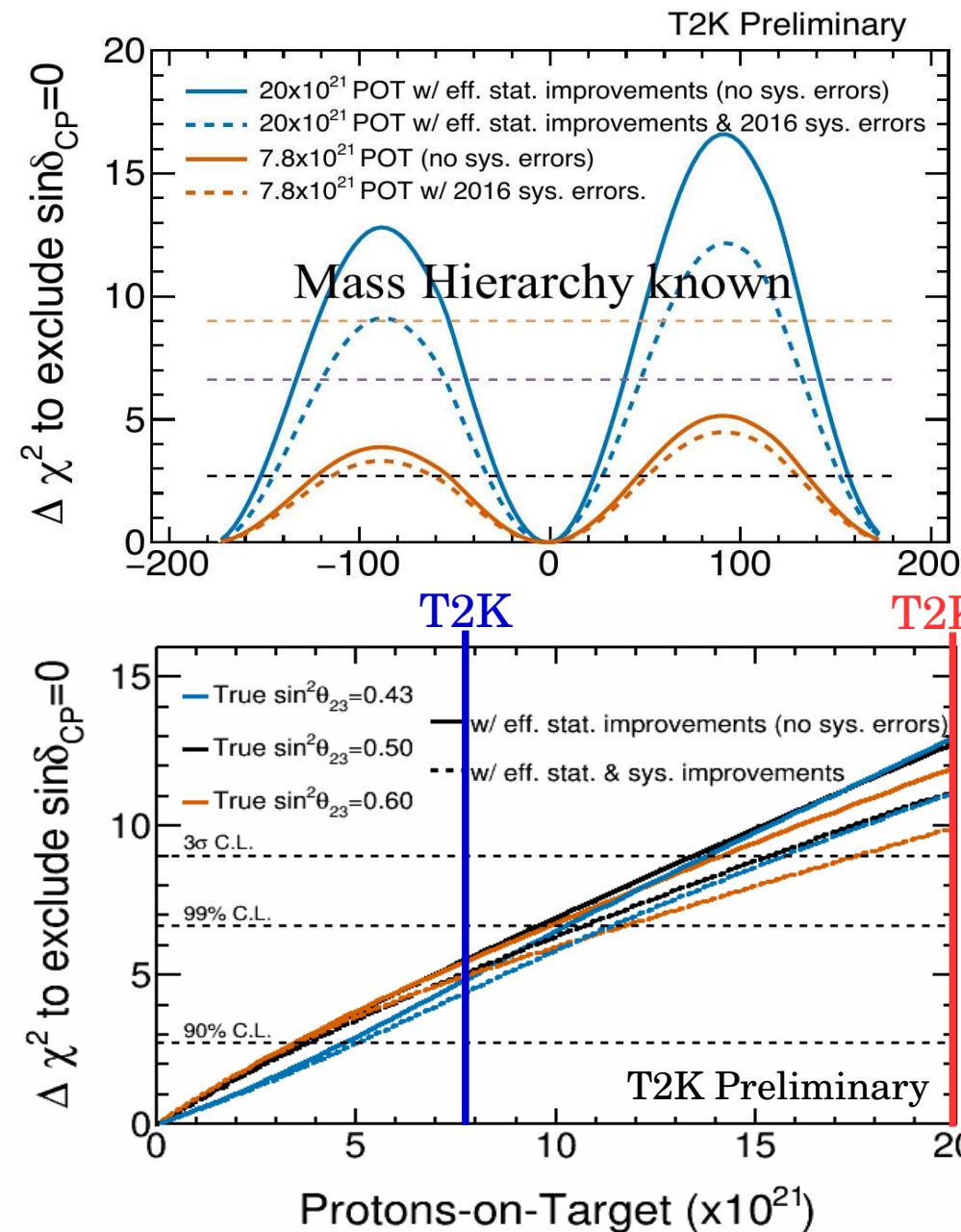
- Continue to take data, with higher beam intensity  
→ @500 kW this year → 750 kW in 2018 → > 1 MW in 2021 ?
- Flux, ND280 and SK analyses improvement → reduce systematics by 2/3 → reach 4 %.
- Include multi ring e-like at SK (+35 % statistics) & increase Fiducial Volume (+10~15%).
- Future : proposal for T2K-phase II experiment → #POT from 7.8 (T2K) to  $20.0 \times 10^{21}$  POT



- T2K aim to reach the number of approved POT ( $7.8 \times 10^{21}$ ) in 2021 → starts T2K-II phase in 2021 → 2026.
- 13 times more statistics than now (summer 2016)!
- Rely on an beam performance upgrade :  
→ see Nakadaira-san's talk

# III-T2K-II sensitivity

- Goal : First experiment to exclude CP conservation  $> 3\sigma$  !



- If maximal CPV ( $\delta_{CP} = -\pi/2$ ) :
  - T2K-II excludes CP conservation  $> 3\sigma$  even if Mass Hierarchy is unknown.
  - 3 $\sigma$  goal achieved whether  $\theta_{23}$  is maximal or not.
- CP conservation might be excluded  $> 3\sigma$  in this generation of experiments !

# Conclusions

- T2K accumulated a total of  $1.51 \times 10^{21}$  POT ( $\nu:\bar{\nu} = 1:1$ )  $\sim 19\%$  of T2K total stat.  
→ T2K will continue to accumulate lots of data with an higher accumulation rate.  
(J-PARC beam operated @425 kW → 500 kW expected this autumn)
- $\bar{\nu}_e$  appearance search :  
→ Data set is compatible with no  $\bar{\nu}_e$  appearance → Only 4 events in the  $\bar{\nu}_e$  ( $\bar{\nu}$ -mode SK-1Re) sample.  
→ Higher assymetry between  $\nu_e$  &  $\bar{\nu}_e$  than expected + shape effect → the  $\bar{\nu}_e$  appearance results deviate from  $\sim 2\sigma$  with respect to the PMNS model.
- $\bar{\nu}_\mu$  disappearance observed & compatible with  $\nu_\mu$  disappearance  
→ No CPT violation observed
- T2K full data set favours maximal mixing for  $\theta_{23}$ .
- Joint fit constraints on  $\theta_{13}$  &  $\delta_{CP}$  :  
→ Agree with reactor's results.  
→ Prefer large CP violation ( $\delta_{CP} = -\pi/2$ ) → Driven by the large assymetry between  $\nu_e$  &  $\bar{\nu}_e$ .
- CP parity is excluded with more than 90 %CL → **Please, stay tuned.**

# Additional slides

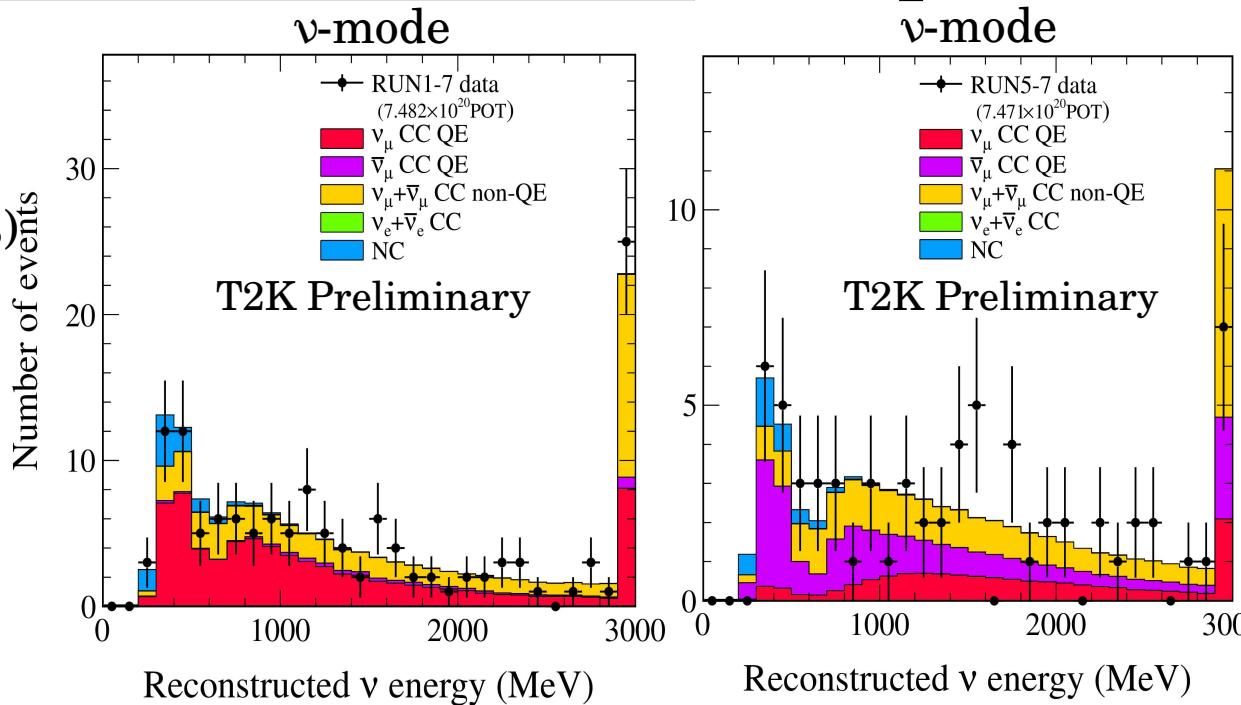
# Selection at Super-Kamiokande

## Single ring $\mu$ -like

1. Fully contained within the SK FV
2. Only one reconstructed ring
3. Be  $\mu$ -like (PID using ring sharpness)
4. Reconstructed  $p_\mu > 200 \text{ MeV}/c$
5. One or no decay-e

$\nu$ -mode events: 135.8 exp. / 135 obs.

$\bar{\nu}$ -mode events: 64.2 exp. / 66 obs.

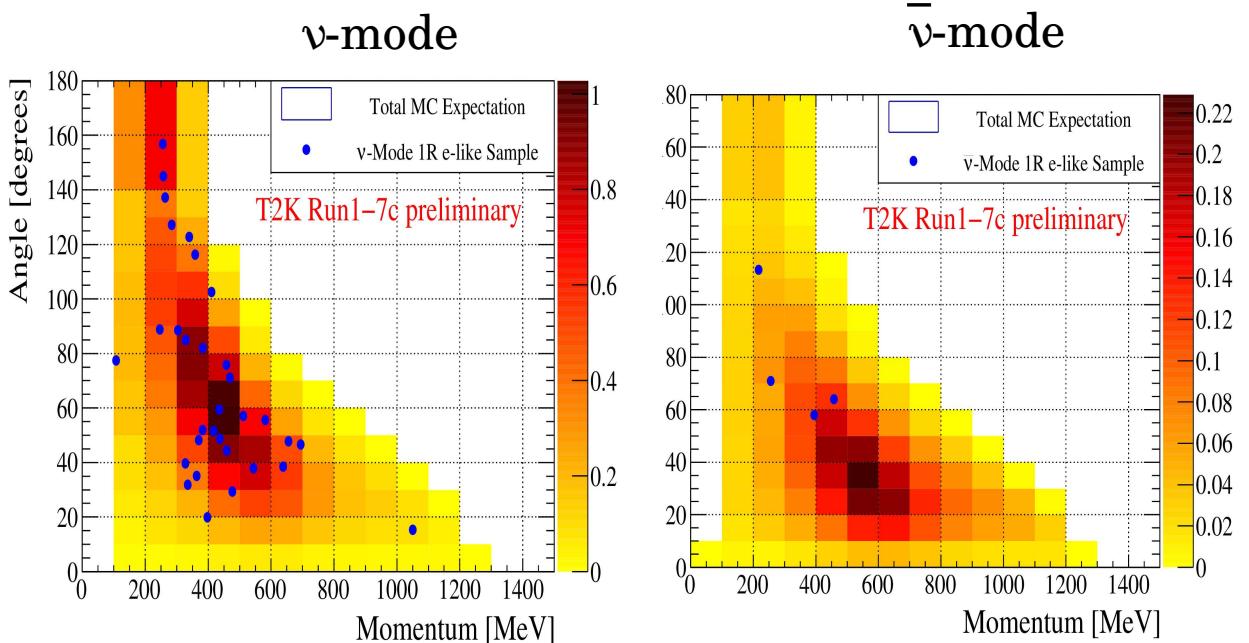


## Single ring e-like

1. Fully contained within the SK FV
2. Only one reconstructed ring
3. Be e-like (PID using ring sharpness)
4. Visible energy  $E > 100 \text{ MeV}$
5. One or no decay-e
6. Reconstructed  $E_{rec} < 1250 \text{ MeV}$
7.  $\pi^0$  mass and likelihood cut

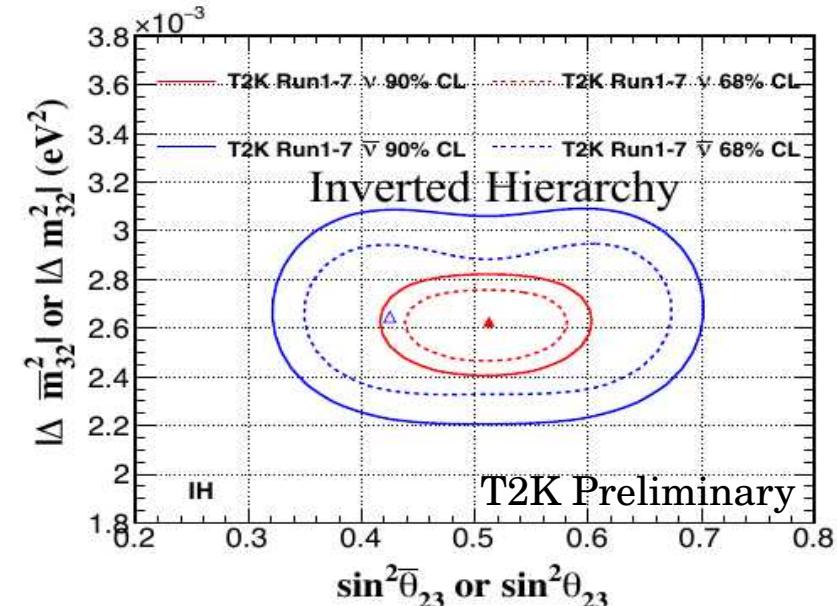
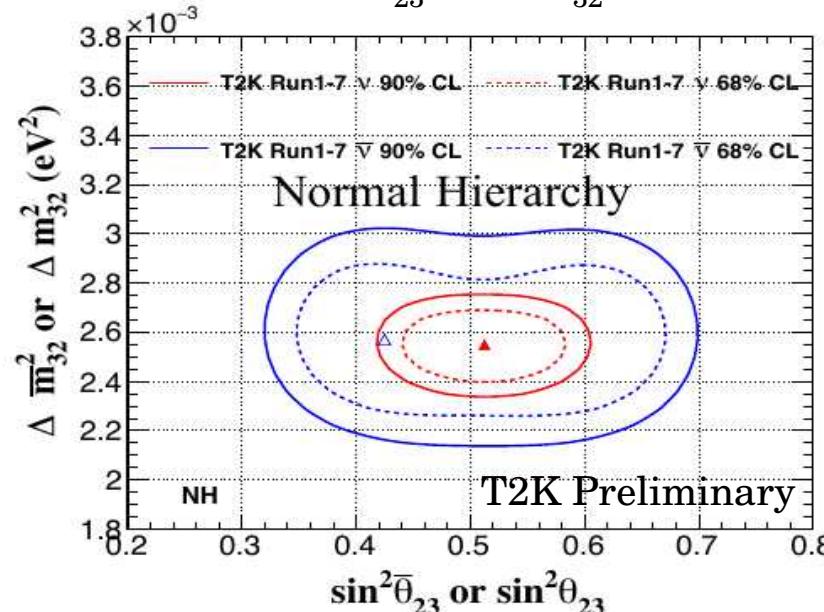
$\nu$ -mode events: 27.0 exp. / 32 obs.

$\bar{\nu}$ -mode events: 7.0 exp. / 4 obs.

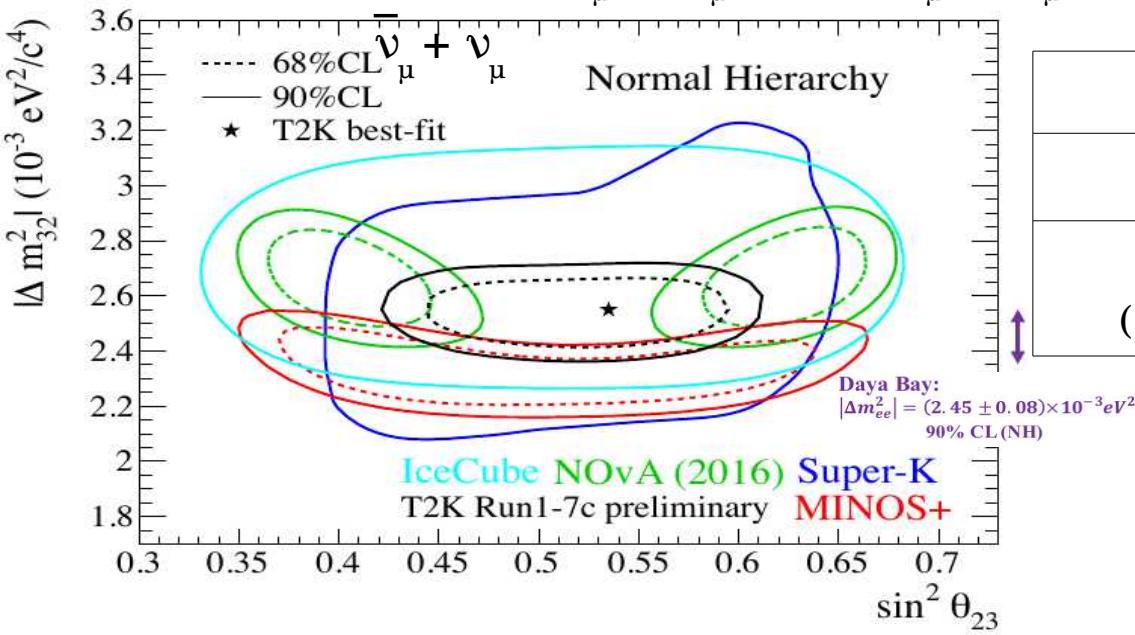


# $\nu_{\mu}$ & $\bar{\nu}_{\mu}$ disappearance

Constraints on the  $\theta_{23}$  &  $\Delta m^2_{32}$  parameters:



- Agreement between  $P(\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{\mu})$  and  $P(\nu_{\mu} \rightarrow \nu_{\mu}) \rightarrow$  No sign of CPT violation.

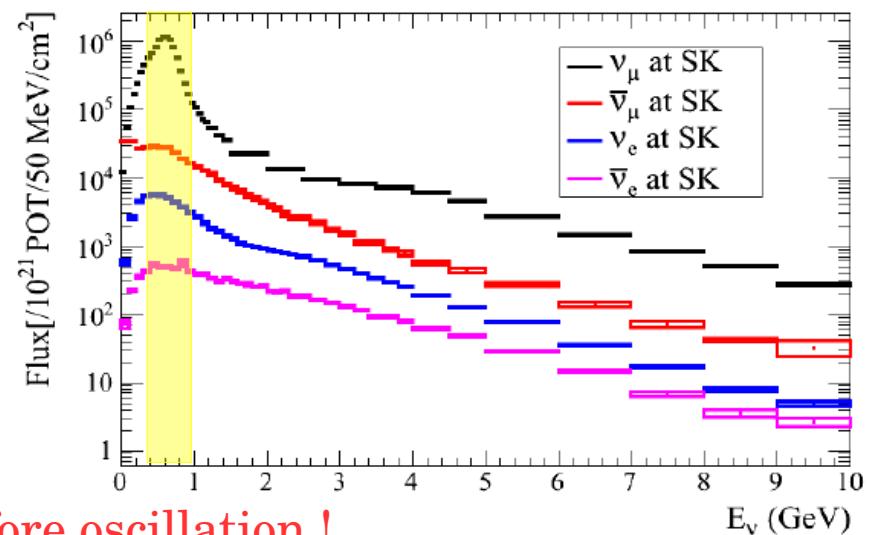
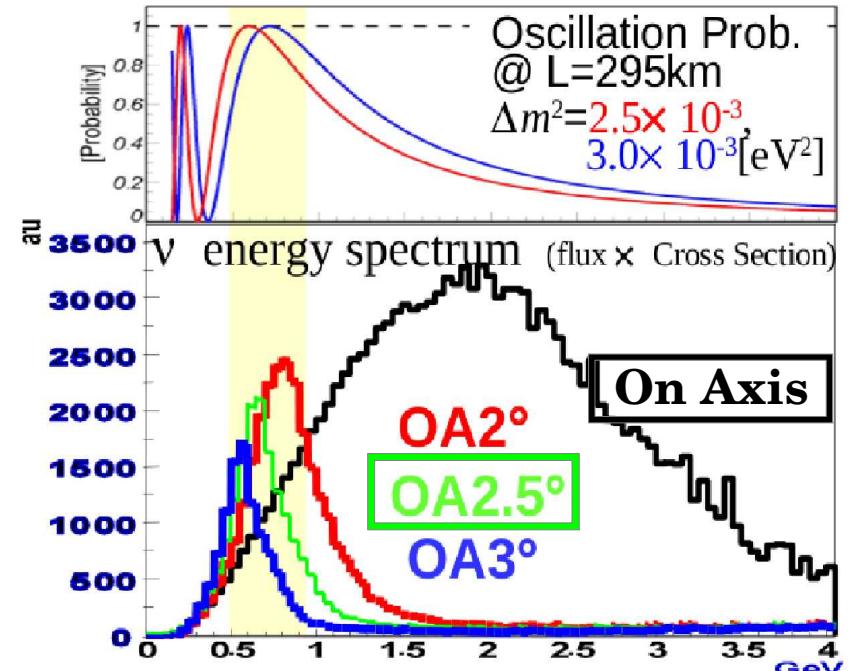


	NH	IH
$\sin^2(\theta_{23})$	$0.532^{+0.046}_{-0.068}$	$0.534^{+0.043}_{-0.07}$
$ \Delta m^2_{32} $ (x 10 $^{-5}$ eV $^2/c^4$ )	$254.5^{+8.1}_{-8.4}$	$251.0^{+8.1}_{-8.3}$

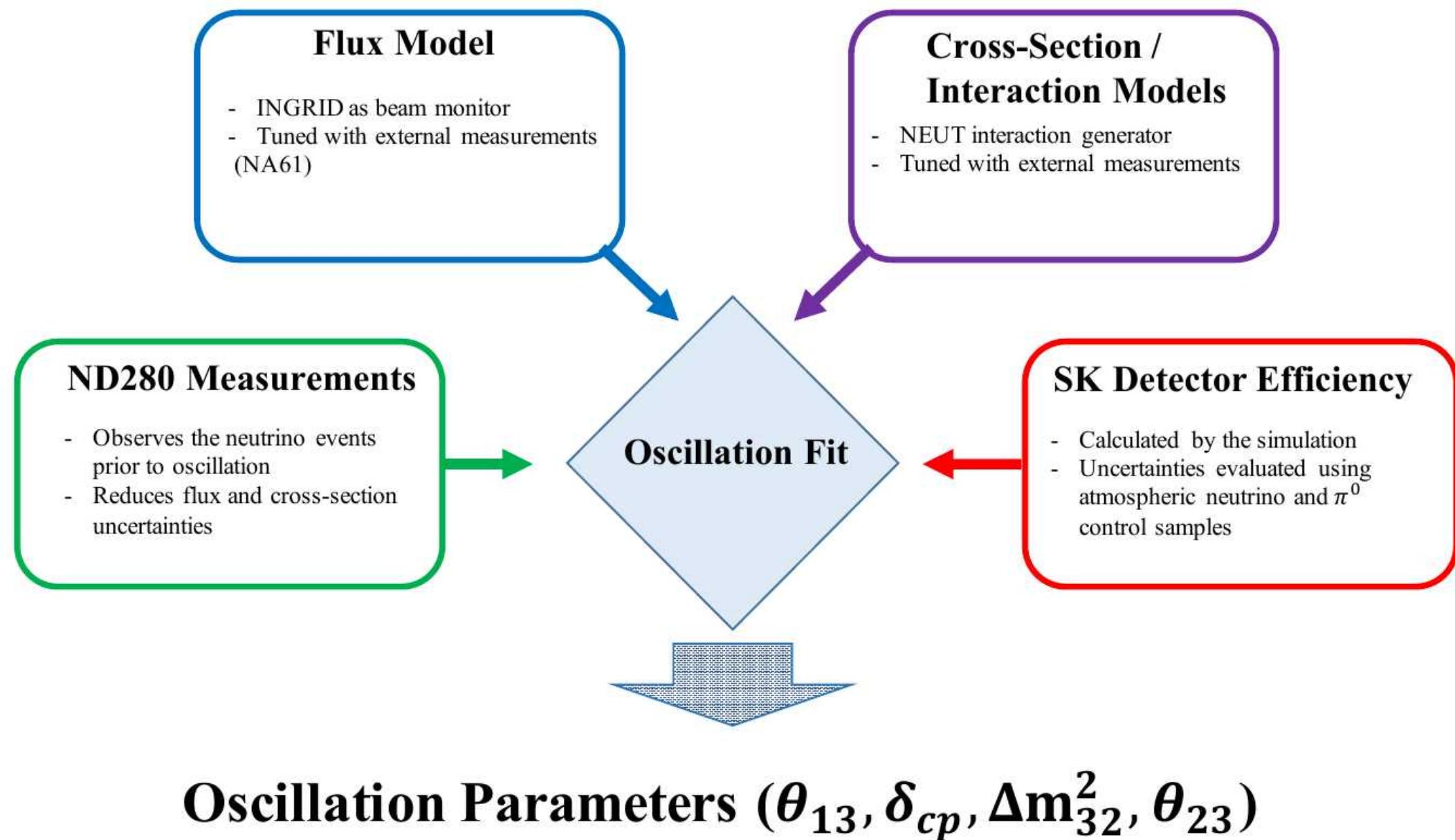
- Favours maximal mixing
- $|\Delta m^2_{32}|$  compatible with T2K former results & Nova

# Off-axis technique

- **T2K is an off-axis experiment : neutrino beam aimed at  $2.5^\circ$  from Super-K.**
- **Why ?** maximize oscillation at 295 km  
→ Tune energy spectrum (600 MeV)
  - **Higher statistics** of oscillated neutrinos
  - **Reduce contamination** from non-oscillated high-energy neutrinos
  - Reduce  $\nu_e$  contamination
- **But :**
  - $\nu$  beam does not have one flavour & energy.
  - $\nu$  beam intensity & shape are not perfectly known.
- → Requires beam rate & shape measurements before oscillation !



# The ND280 detector



# Remaining issues in neutrino oscillations

## $\nu_\mu / \bar{\nu}_\mu$ disappearance @ T2K (~ «Atmospheric»)

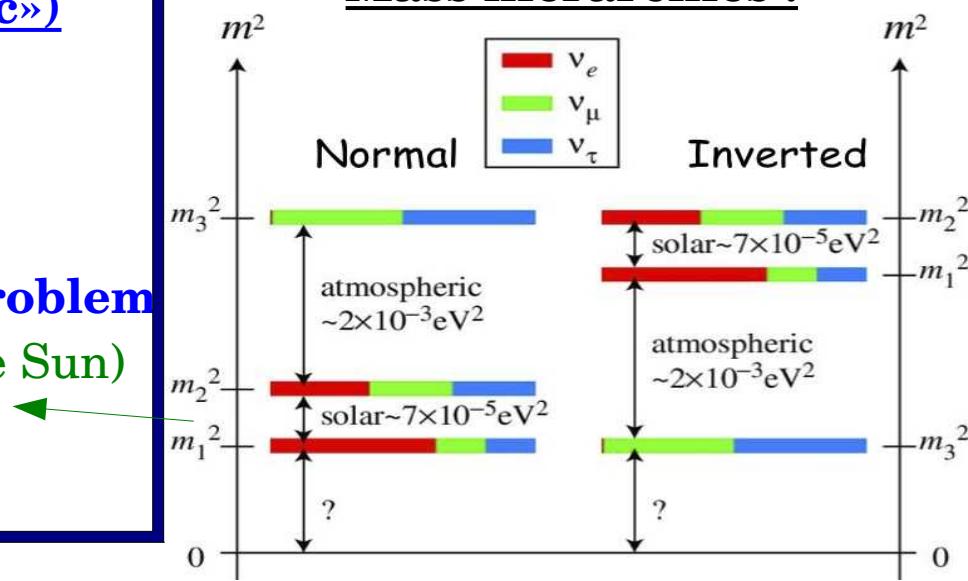
1. Is  $\nu_2 \rightarrow \nu_3$  mixing maximal ? ( $\Theta_{23} = 45^\circ$ )

(if not, what is the  $\Theta_{23}$  octant ?)

2.  $\Delta m_{32}^2$  sign unknown : mass hierarchy problem

- Solar : known (large matter effects in the Sun)
- Atmospheric : Not known

## Mass hierarchies :

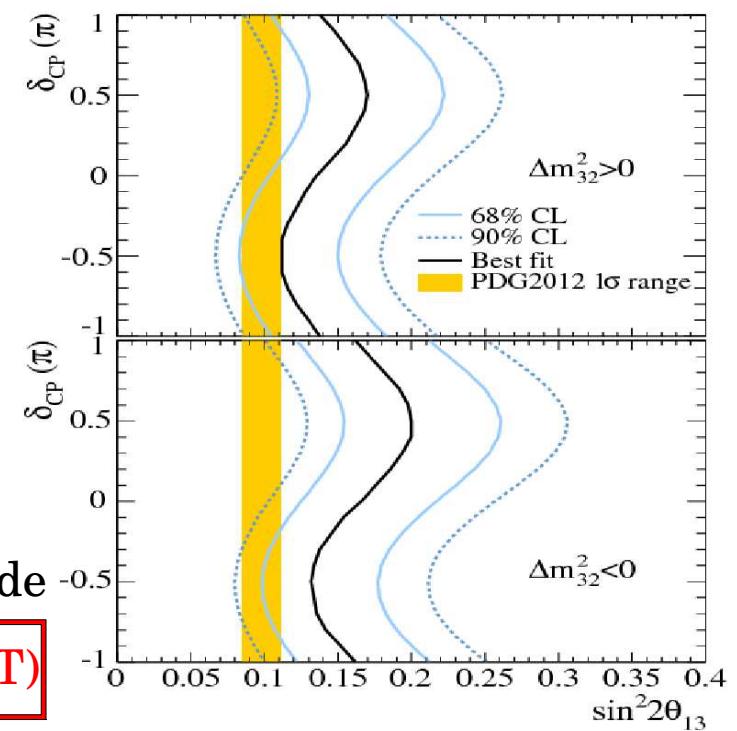


## $\nu_e / \bar{\nu}_e$ appearance @ T2K

Is CP violated in the leptonic sector ? ( $\delta_{cp} \neq 0$ )

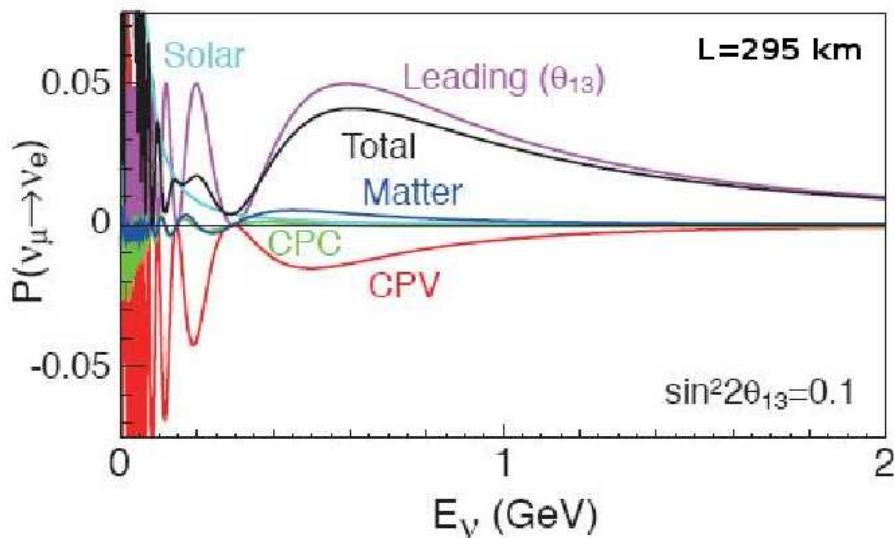
- T2K observed  $\nu_e$  appearance
- T2K ( $\nu_e$ ) and reactor ( $\bar{\nu}_e$ ) results in tension  
→ Large CP violation ?
- To provide first answers : T2K currently runs in  $\bar{\nu}$ -mode

1st results on  $\bar{\nu}_\mu$  disappearance @ T2K ( $2.3 \times 10^{20}$  POT)



# Appearance Formula

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & \boxed{4c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \Delta_{31}} \\
 & + 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} \\
 & - 8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} \\
 & + 4s_{12}^2 c_{13}^2 (c_{12}^2 c_{23}^2 + s_{12}^2 s_{23}^2 s_{13}^2 - 2c_{12} c_{23} s_{12} s_{23} s_{13} \cos \delta) \sin^2 \Delta_{21} \\
 & - 8c_{13}^2 s_{12}^2 s_{23}^2 \frac{aL}{4E} (1 - 2s_{13}^2) \cos \Delta_{32} \sin \Delta_{31} \\
 & + 8c_{13}^2 s_{13}^2 s_{23}^2 \frac{a}{\Delta m_{31}^2} (1 - 2s_{13}^2) \sin^2 \Delta_{31}
 \end{aligned}$$



## Leading order :

- Sensitive theta13 & theta23

## Higher orders :

- Dependence on solar parameters also
- Sensitivity to CP violation & matter effects