



# Test of lepton flavour universality at LHCb

## Quy Nhon, NuFact 2016

Federica Lionetto  
on behalf of the LHCb collaboration

University of Zurich

23 August 2016



University of  
Zurich<sup>UZH</sup>



# Outline

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Motivation

LHCb

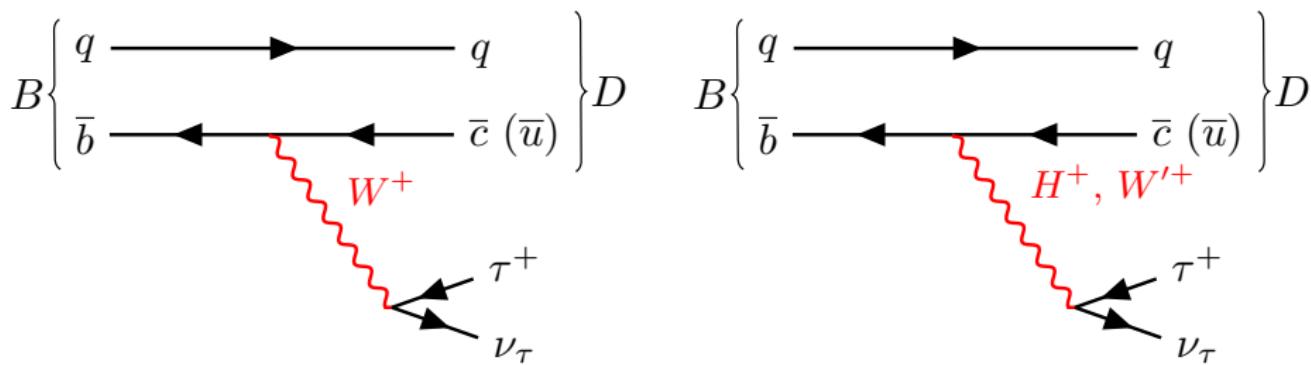
The  $R_{D^*}$  and  $R_K$  measurements

The  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  and  $B^0 \rightarrow K^{*0} e^+ e^-$  decays

Conclusions and future prospects

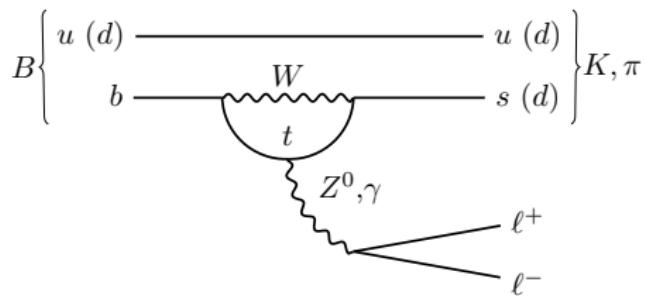
# The $b \rightarrow c\tau^-\bar{\nu}_\tau$ transition

- At tree level in the Standard Model
- However, measured to a precision of  $\mathcal{O}(20\%)$  in the  $\tau$  final state
- Sensitive to NP preferentially coupling to 3rd generation fermions, e.g. Higgs-like charged scalars or  $W'$  bosons



# The $b \rightarrow s\ell^+\ell^-$ transition

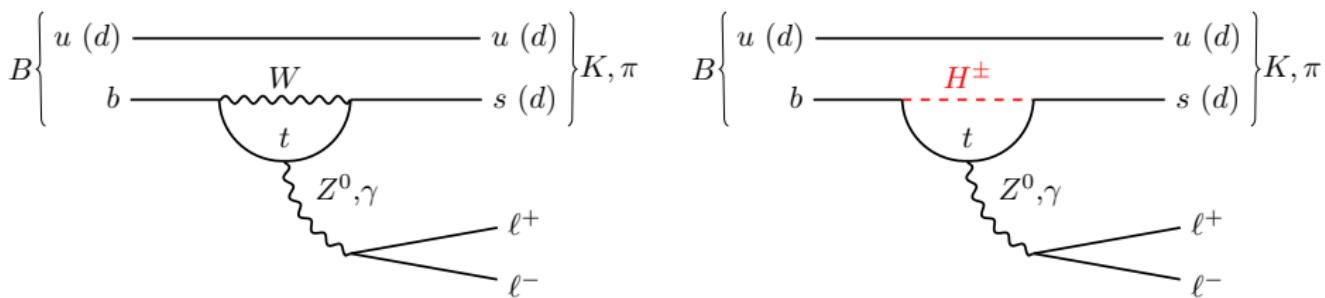
- Suppressed in the Standard Model
  - no FCNC at tree level
  - sensitive to New Physics contributions (including LFNU)



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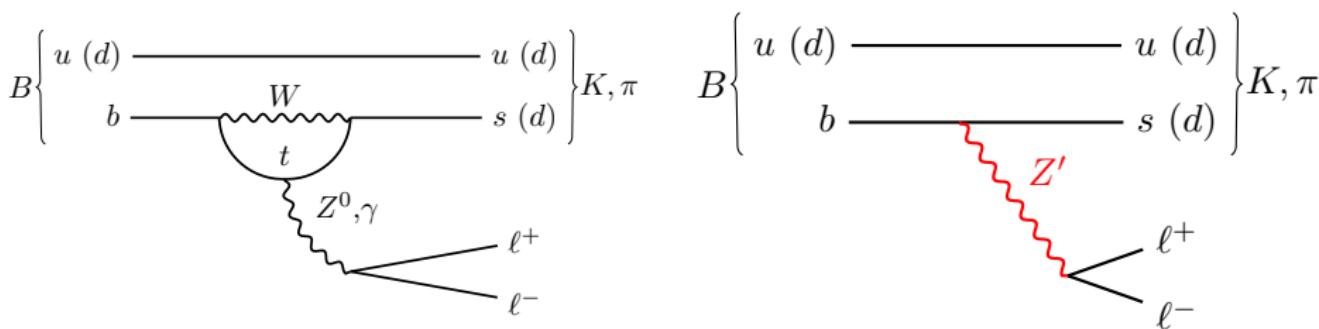
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- Model-independent description

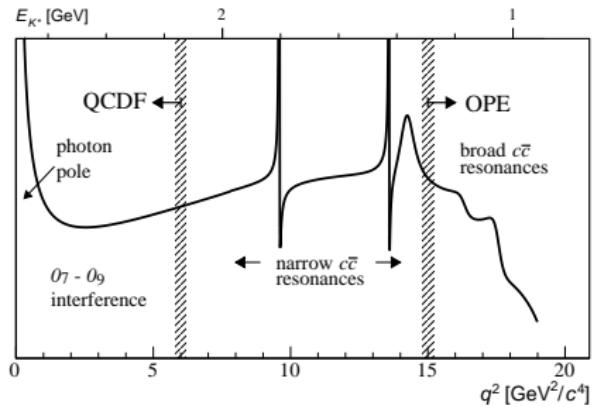
⇒ effective field theory

- Factorisation between

- short-range contributions,  
Wilson coefficients  $\mathcal{C}_i^{(\prime)}$
  - long-range contributions,  
local operators  $\mathcal{O}_i^{(\prime)}$

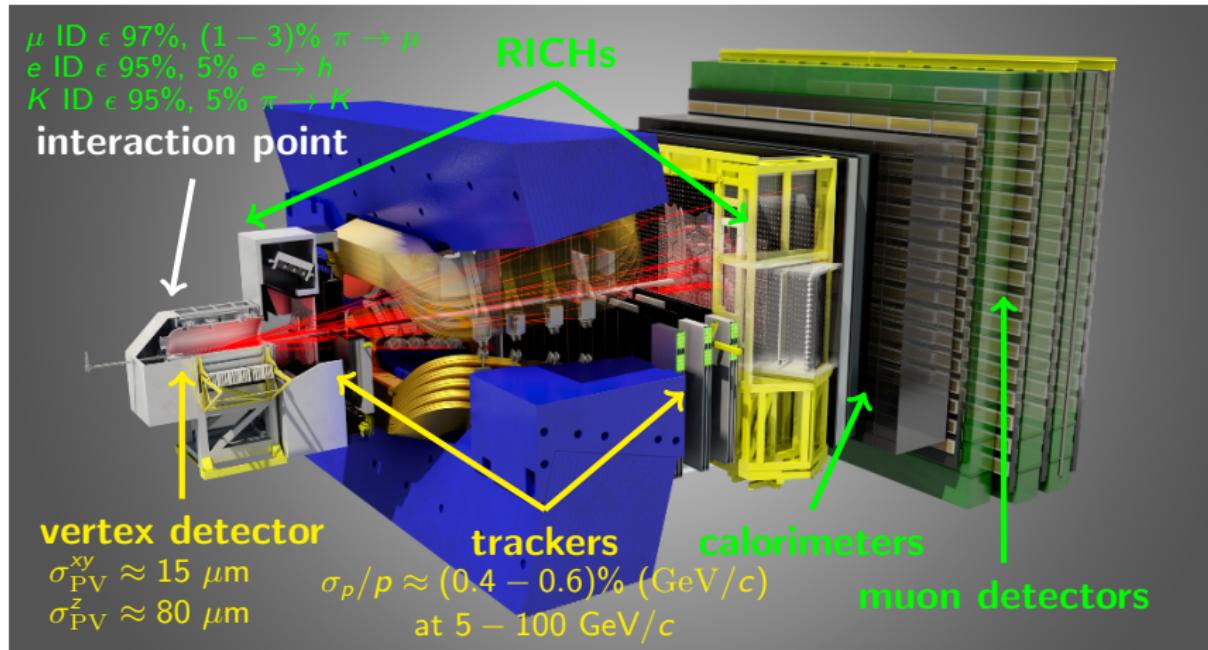
$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \sum_i (\mathcal{C}_i \mathcal{O}_i + \mathcal{C}'_i \mathcal{O}'_i)$$

$B^0 \rightarrow K^{*0} \mu^+ \mu^-$  differential decay width  
Annu. Rev. Nucl. Part. Sci. 65 (2015) 113



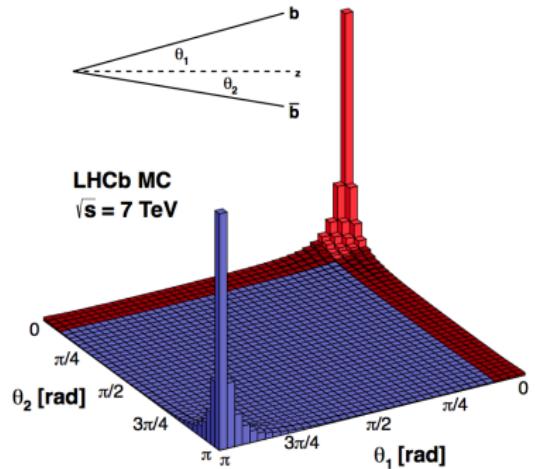
# The LHCb detector

$pp$  collisions at  $7 - 13$  TeV, pseudorapidity  $2 < \eta < 5$



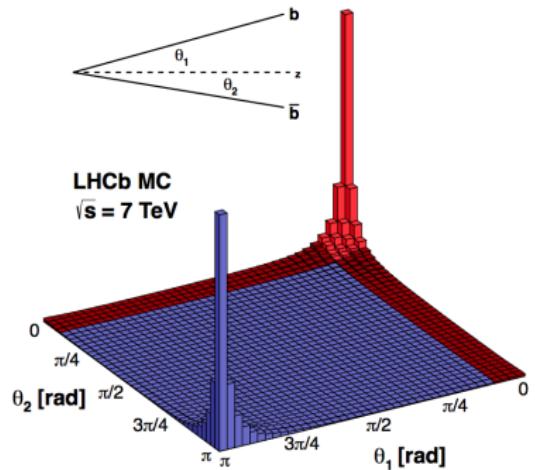
# The LHCb experiment

- Tailored for heavy flavour physics at the LHC
- Ideal for studying rare  $b$ -hadron decays
  - excellent vertex and momentum resolution
  - good PID capabilities
- Run I
  - $3 \text{ fb}^{-1}$  at  $7 - 8 \text{ TeV}$
  - large  $b\bar{b}$  production cross section  
 $\sigma_{b\bar{b}} = (75.3 \pm 14.1) \mu\text{b}$  in acceptance
- Run II
  - $1 \text{ fb}^{-1}$  at  $13 \text{ TeV}$  already collected
  - increased  $\sigma_{b\bar{b}}$



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$$R_{D^*} = \frac{\overline{B^0} \rightarrow D^{*+} \tau^- \overline{\nu_\tau}}{\overline{B^0} \rightarrow D^{*+} \mu^- \overline{\nu_\mu}}$$

# The $R_{D^*}$ measurement

- Branching fraction ratio of  $\overline{B^0} \rightarrow D^{*+} \tau^- \bar{\nu}_\tau$  to  $\overline{B^0} \rightarrow D^{*+} \mu^- \bar{\nu}_\mu$ 
  - $R_{D^*}^{\text{SM}} = 0.252 \pm 0.003$
  - previously measured by BaBar and Belle

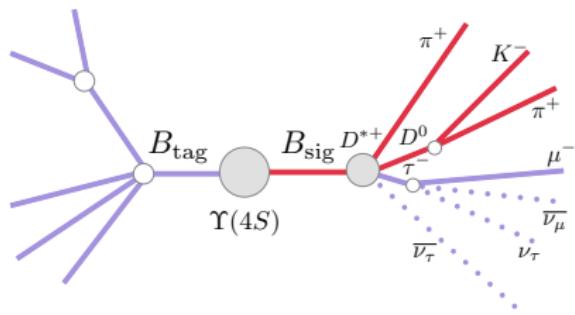
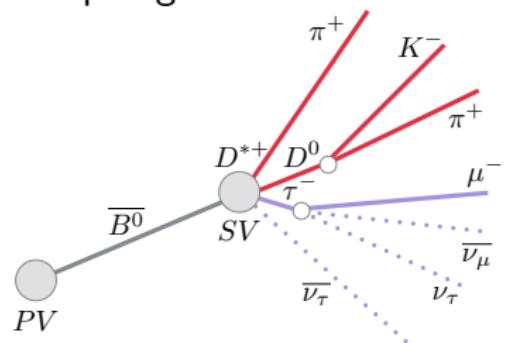
Experiment	$R_{D^*}$	SM discrepancy
BaBar*	$0.332 \pm 0.024 \pm 0.018$	$2.7 \sigma$
Belle**	$0.293 \pm 0.038 \pm 0.015$	$1.8 \sigma$
	$0.302 \pm 0.030 \pm 0.011$	$1.6 \sigma$

\* Phys. Rev. Lett. 109, 101802 (2012), Phys. Rev. D 88, 072012 (2013)

\*\* Phys. Rev. D 92, 072014 (2015), arXiv:1607.07923, Belle-CONF-1602

## LHCb analysis strategy

- $D^{*+} \rightarrow D^0\pi^+$ , with  $D^0 \rightarrow K^-\pi^+$ , and  $\tau^- \rightarrow \mu^-\bar{\nu}_\mu\nu_\tau$
- 3 $\nu$  final state
- Kinematic information on  $B_{tag}$  at  $B$  factories, but at LHCb?
  - $B$  flight direction given by PV and SV
  - approximated  $B$  momentum along the beam  $\Rightarrow p_z = (m/m_{rec})p_{rec,z}$
- Signal and normalisation channels with identical visible final-state topologies



# Yields determination

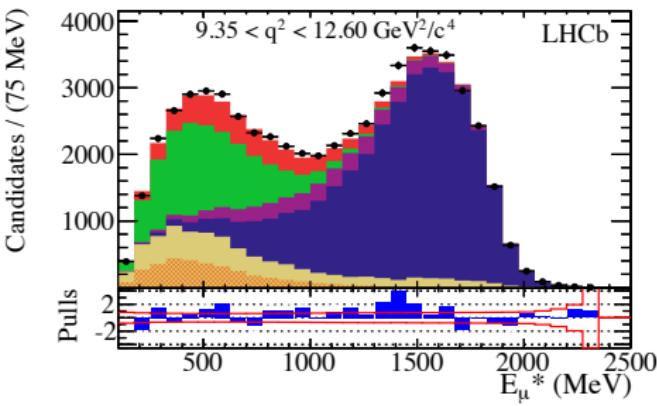
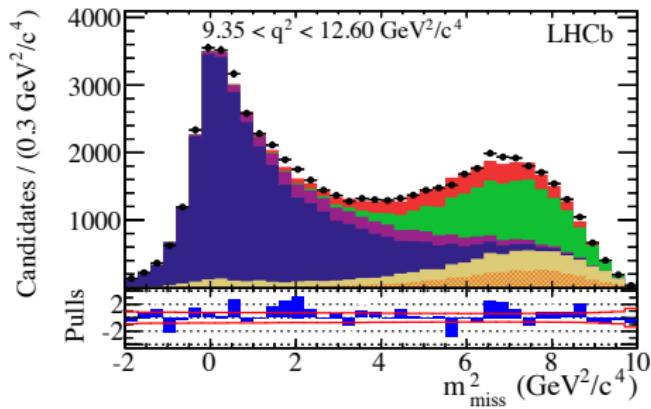
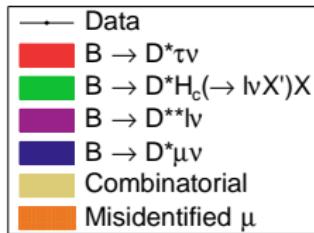
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- Exploit
  - $\tau - \mu$  mass difference
  - presence of extras  $\nu s$  in the signal channel
- Evaluate  $E_\mu^*, m_{\text{miss}}^2 = (p_B^\mu - p_D^\mu - p_\mu^\mu)^2$ , and  $q^2 = (p_B^\mu - p_D^\mu)^2$  in the  $B$  rest frame
- Background from
  - partially reconstructed decays  
 $B \rightarrow D^{*(*)} l \nu, B \rightarrow D^* D X$
  - combinatorial
- ML fit using template distributions from control samples and MC
- Simultaneous fit to several background-enriched samples (data-driven)

## Results for $R_{D^*}$

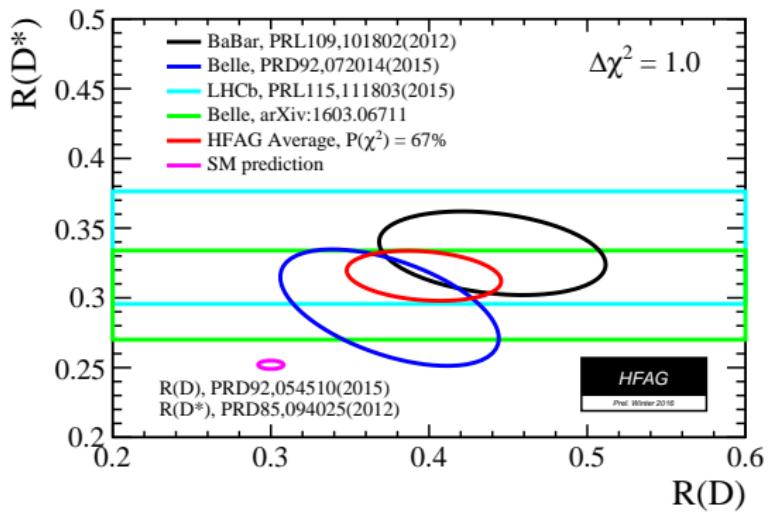
- First measurement of  $b \rightarrow \tau$  decays at hadron colliders
- Compatible with the SM at  $2.1 \sigma$

$$R_{D^*} = 0.336 \pm 0.027(\text{stat}) \pm 0.030(\text{syst})$$



# Combined results for $R_D$ and $R_{D^*}$

- Excess w.r.t. SM prediction observed by several experiments
  - corresponding to  $4\sigma$  according to latest HFAG average



$$R_K = \frac{B^+ \rightarrow K^+ \mu^+ \mu^-}{B^+ \rightarrow K^+ e^+ e^-}$$

# The $R_K$ measurement

- Ratio of branching fractions of  $B^+ \rightarrow K^+ \mu^+ \mu^-$  and  $B^+ \rightarrow K^+ e^+ e^-$ 
  - $R_K \stackrel{\text{SM}}{=} 1 + \mathcal{O}(10^{-2})$
  - sensitive to new scalar and pseudoscalar interactions or  $Z'$  bosons
  - previously measured by BaBar and Belle

Experiment	$q^2$ (GeV $^2$ )	$R_K$
BaBar*	0.1 – 16.0	$1.00^{+0.31}_{-0.25} \pm 0.07$
	0.1 – 8.12	$0.74^{+0.40}_{-0.31} \pm 0.06$
	> 10.11	$1.43^{+0.65}_{-0.44} \pm 0.12$
Belle**	0.00 – 16.0	$1.03 \pm 0.19 \pm 0.06$

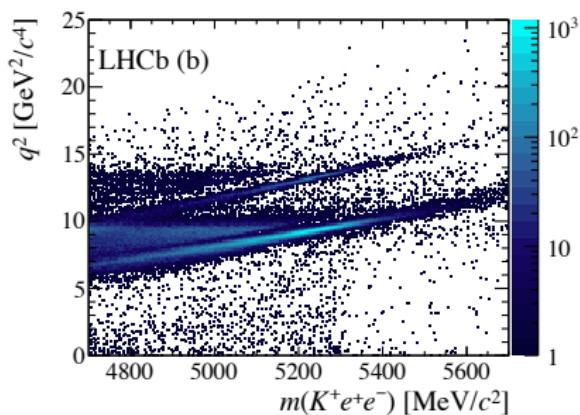
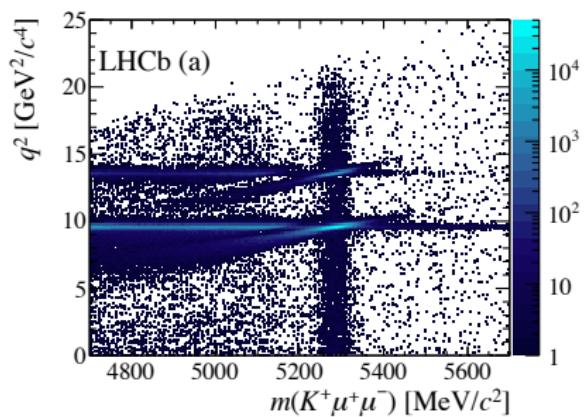
\* Phys. Rev. D 86 (2012) 032012

\*\* Phys. Rev. Lett. 103 (2009) 171801

# The $R_K$ measurement at LHCb

- $q^2 \in [1, 6] \text{ GeV}^2/c^4$
- Double ratio with respect to the resonant decay mode  $B^+ \rightarrow J/\psi K^+$

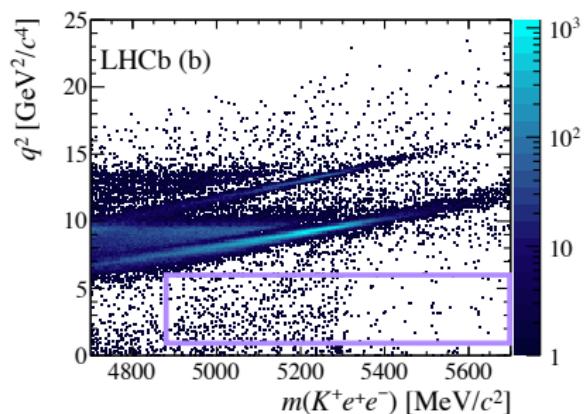
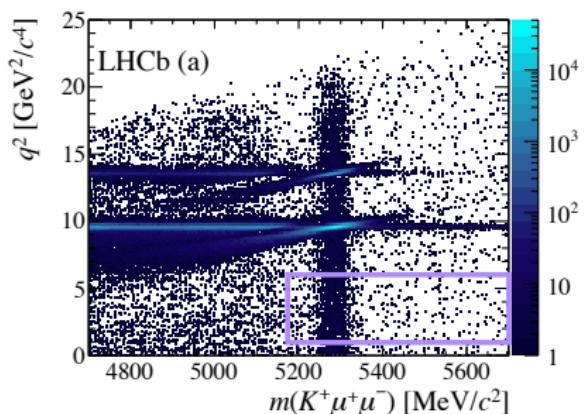
$$R_K = \frac{\int_{q^2_{\min}}^{q^2_{\max}} \frac{d\Gamma(B^+ \rightarrow K^+ \mu\mu)}{dq^2} dq^2}{\int_{q^2_{\min}}^{q^2_{\max}} \frac{d\Gamma(B^+ \rightarrow K^+ ee)}{dq^2} dq^2} = \left( \frac{N_{K\mu\mu}}{N_{Ke\bar{e}}} \right) \left( \frac{N_{KJ/\psi(ee)}}{N_{KJ/\psi(\mu\mu)}} \right) \left( \frac{\epsilon_{Kee}}{\epsilon_{K\mu\mu}} \right) \left( \frac{\epsilon_{KJ/\psi(ee)}}{\epsilon_{KJ/\psi(\mu\mu)}} \right)$$



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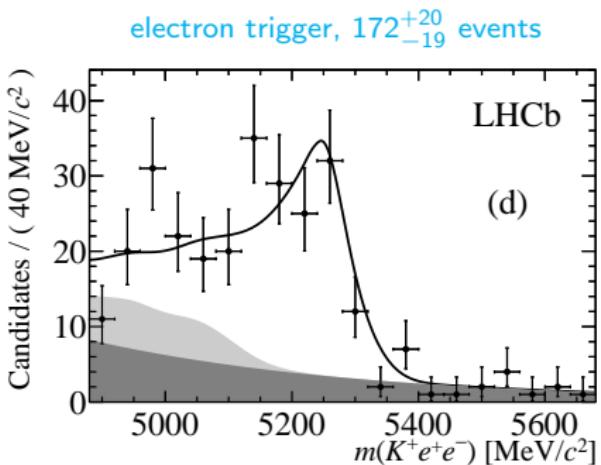
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## Results for $R_K$

- Distributions affected by
  - trigger
  - *bremssstrahlung* photons
- Most precise result to date
- Compatible with the SM at  $2.6\sigma$

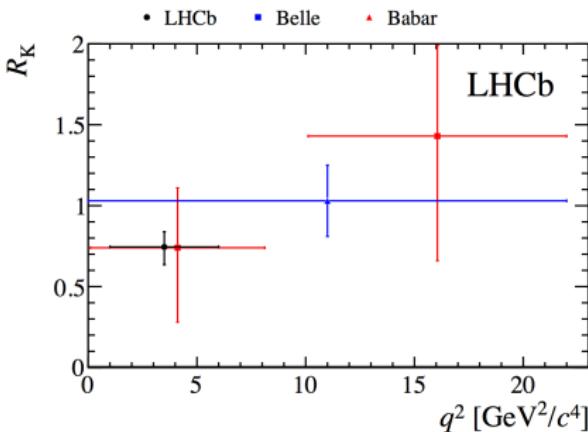


$$R_K = 0.745^{+0.090}_{-0.074}(\text{stat}) \pm 0.036(\text{syst})$$

- Fundamental for future measurements of decays with electrons in the final state

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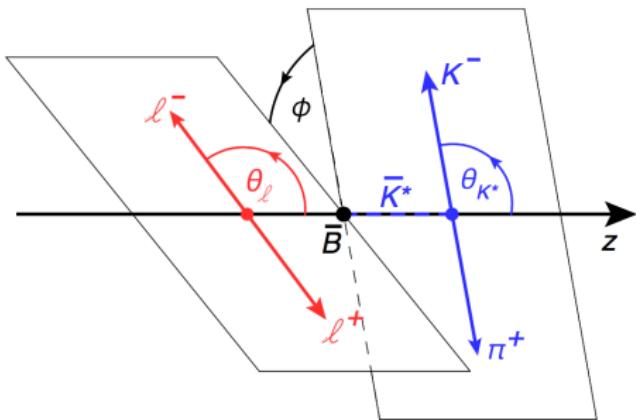
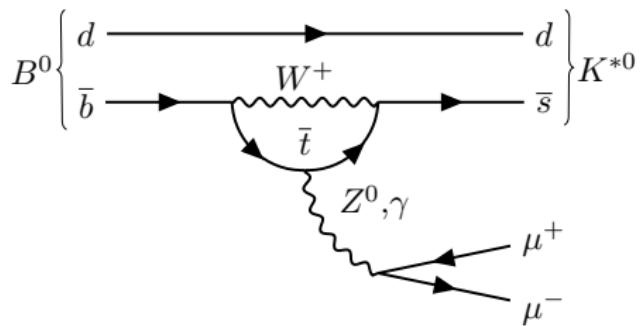
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- Fundamental for future measurements of decays with electrons in the final state

Look at muons and electrons separately...

# The $B^0 \rightarrow K^{*0} \mu\mu$ decay

- Angular analysis in terms of  $\vec{\Omega} = (\theta_I, \theta_k, \phi)$  and  $q^2 = m_{\mu\mu}^2$



# LHCb analysis strategy

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- Determine

$$\frac{1}{d(\Gamma + \bar{\Gamma})/dq^2} \frac{d^4(\Gamma + \bar{\Gamma})}{d\vec{\Omega} dq^2} = \frac{9}{32\pi} [\frac{3}{4}(1 - F_L) \sin^2 \theta_k + F_L \cos^2 \theta_k \\ + \frac{1}{4}(1 - F_L) \sin^2 \theta_k \cos 2\theta_\ell - F_L \cos^2 \theta_k \cos 2\theta_\ell \\ + S_3 \sin^2 \theta_k \sin^2 \theta_\ell \cos 2\phi + S_4 \sin 2\theta_k \sin 2\theta_\ell \cos \phi \\ + S_5 \sin 2\theta_k \sin \theta_\ell \cos \phi + \frac{4}{3} A_{FB} \sin^2 \theta_k \cos \theta_\ell \\ + S_7 \sin 2\theta_k \sin \theta_\ell \sin \phi + S_8 \sin 2\theta_k \sin 2\theta_\ell \sin \phi \\ + S_9 \sin^2 \theta_k \sin^2 \theta_\ell \sin 2\phi]$$

with  $F_L, A_{FB}, S_i = f(C_7^{(\prime)}, C_9^{(\prime)}, C_{10}^{(\prime)})$ ,  
combinations of  $K^{*0}$  decay amplitudes

- Theoretical uncertainty on hadronic form factors

⇒ reduced by moving to optimised observables, e.g.  $P'_5 = \frac{S_5}{\sqrt{F_L(1-F_L)}}$

[arXiv:1305.4808](https://arxiv.org/abs/1305.4808)

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## ■ Theoretical uncertainty on hadronic form factors

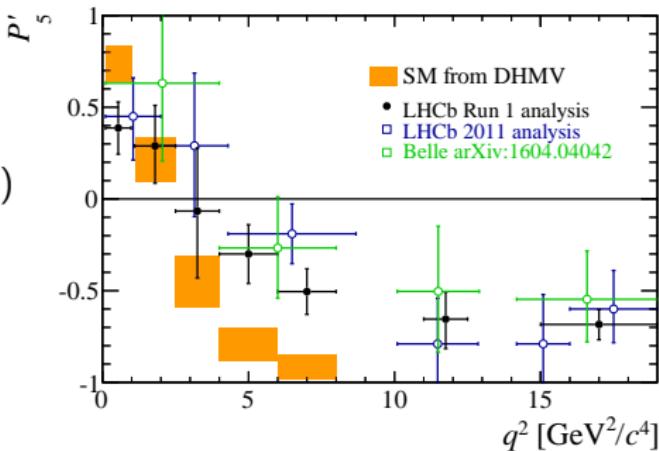
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## Results for $B^0 \rightarrow K^{*0} \mu^+ \mu^-$

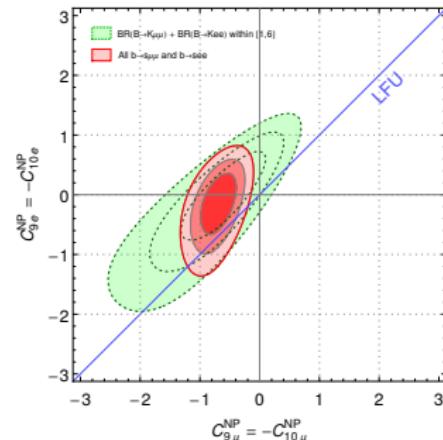
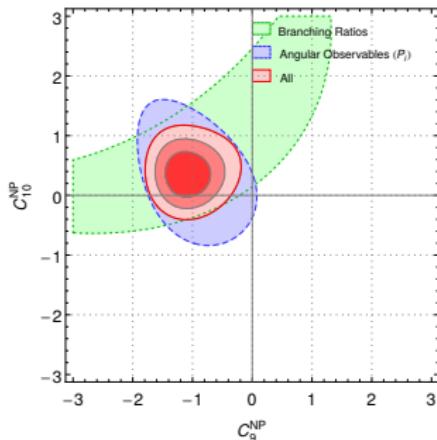
- Analysis in 8 bins of  $q^2 \in [0.1, 19.0] \text{ GeV}^2/c^4$ ,  $K^{*0} \rightarrow K^+ \pi^-$
- Most angular observables compatible with SM predictions
- Tension observed in  $P'_5$ 
  - global fit at  $3.4 \sigma$  from the SM prediction
  - compatible with previous LHCb and recent Belle measurements

- Explainable in terms of
  - SM charm-loop effects  
(cannot explain tension in  $R_K$ )  
⇒ JHEP 06 (2016) 116
  - NP involving  $\mathcal{C}_9$   
(and possibly  $\mathcal{C}_{10}$ ),  
otherwise



# Theory interpretation in terms of NP

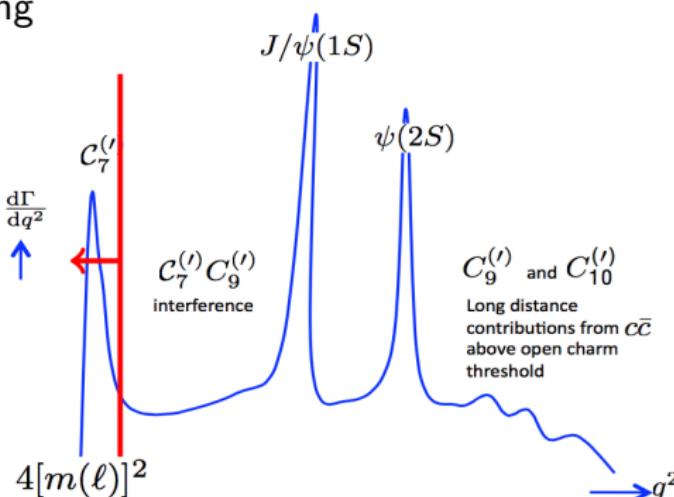
- Measurements in favour of a reduced  $\mathcal{C}_9$
- Possible link to LFNU  
⇒ [arXiv:1605.03156](#), [JHEP 12 \(2014\) 131](#), [Phys. Rev. D 90, 054014 \(2014\)](#)
- Can explain  $R_{D^*}$  anomaly, assuming  $W'$  and  $Z'$   
⇒ [arXiv:1506.01705](#)



Compare to  $B^0 \rightarrow K^{*0} e^+ e^- \dots$

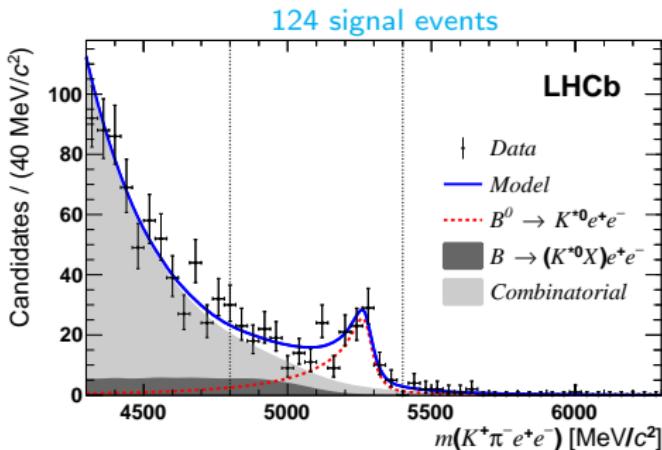
# The $B^0 \rightarrow K^{*0} e^+ e^-$ decay

- Simplified formalism, 4 angular observables
  - $K^{*0}$  longitudinal polarisation fraction  $F_L$
  - transverse asymmetries  $A_T^{(2)}$ ,  $A_T^{Im}$  and  $A_T^{Re}$
- Experimentally more challenging
  - statistics
  - resolution
  - trigger
  - *bremssstrahlung* photons
- $q^2 \in [0.002, 1.120] \text{ GeV}^2/c^4$ 
  - photon polarisation
- $K^{*0} \rightarrow K^+ \pi^-$
- ML fit of  $\vec{\Omega}$  and  $m_{K\pi ee}$

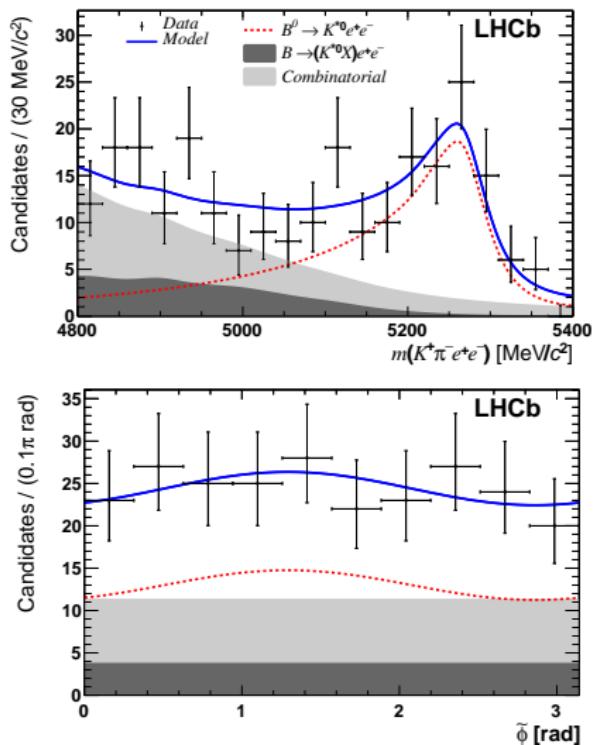


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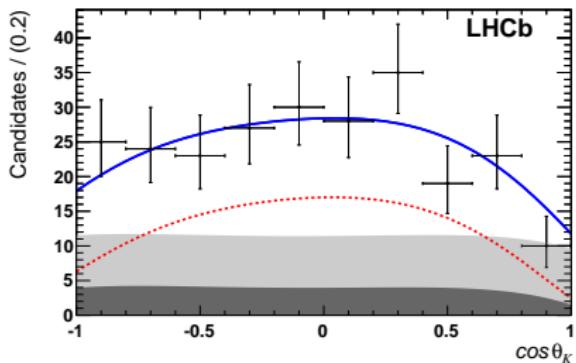
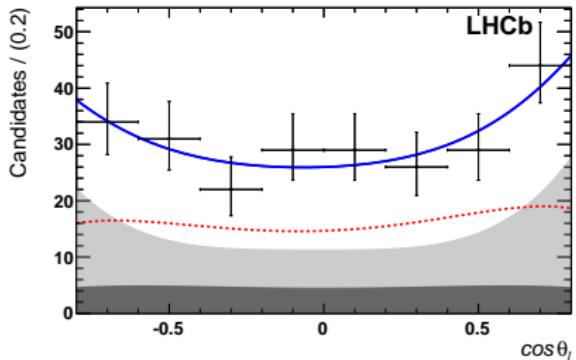
$F_L$	$= +0.16 \pm 0.06 \pm 0.03$
$A_T^{(2)}$	$= -0.23 \pm 0.23 \pm 0.05$
$A_T^{Im}$	$= +0.14 \pm 0.22 \pm 0.05$
$A_T^{Re}$	$= +0.10 \pm 0.18 \pm 0.05$

$F_L$	$_{\text{SM}}^{= +0.10^{+0.11}_{-0.05}}$
$A_T^{(2)}$	$_{\text{SM}}^{= +0.03^{+0.05}_{-0.04}}$
$A_T^{Im}$	$_{\text{SM}}^{= (-0.2^{+1.2}_{-1.2}) \times 10^{-4}}$
$A_T^{Re}$	$_{\text{SM}}^{= -0.15^{+0.04}_{-0.03}}$

Phys. Rev. D 93, 014028 (2016)

In agreement with SM

# Results for $B^0 \rightarrow K^{*0} e^+ e^-$



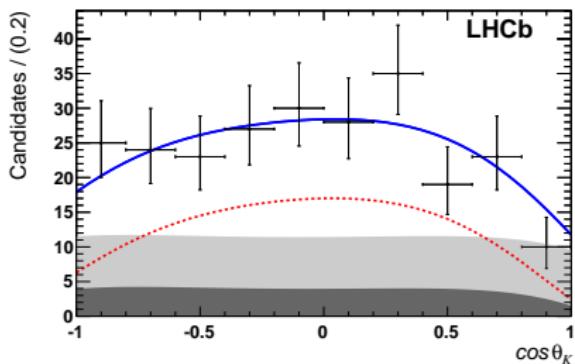
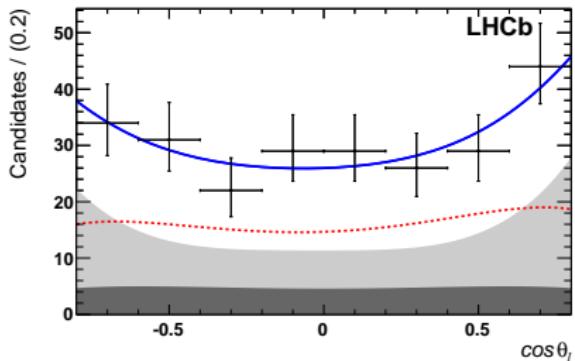
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Phys. Rev. D 93, 014028 (2016)

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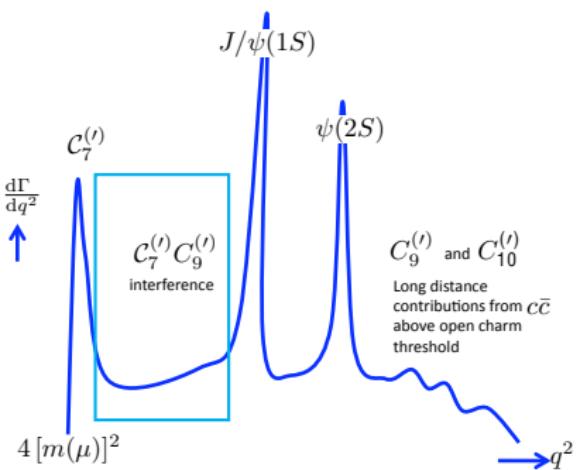
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Phys. Rev. D 93, 014028 (2016)

...and consistent with  
 $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  anomaly, since  
contribution from  $\mathcal{C}_7$  only

# Future prospects

- $R_{K^*}$ 
  - analogous to  $R_K$  in the  $B^0 \rightarrow K^{*0} \ell^+ \ell^-$  decay mode
  - larger  $q^2$  range
- $R_\phi$ ,  $R_D$ , update for  $R_{D^*}$
- S-wave scalar components  $B^0 \rightarrow K^+ \pi^- \mu^+ \mu^-$  and  $B^0 \rightarrow K^+ \pi^- e^+ e^-$
- Update for angular analyses of  $B^0 \rightarrow K^{*0} \ell^+ \ell^-$
- Asymmetry measurements in angular observables, e.g.  $e - \mu$  asymmetry in  $P'_5$



# Conclusions

- Search for NP in the  $b \rightarrow c\tau^-\bar{\nu}_\tau$  transition
  - excess of  $4\sigma$  w.r.t. SM prediction observed in  $R_{D^*}$
  - might be a hint to LFU violation between  $\mu$  and  $\tau$
- Search for NP in the  $b \rightarrow sl^+\ell^-$  transition
  - hints of tension with SM predictions observed in  $R_K$  and  $B^0 \rightarrow K^{*0}\mu^+\mu^-$
  - possible coherent pattern in terms of  $\mathcal{C}_9$  (and possibly  $\mathcal{C}_{10}$ )
- Update with Run II statistics
- Further measurements foreseen at LHCb, stay tuned!



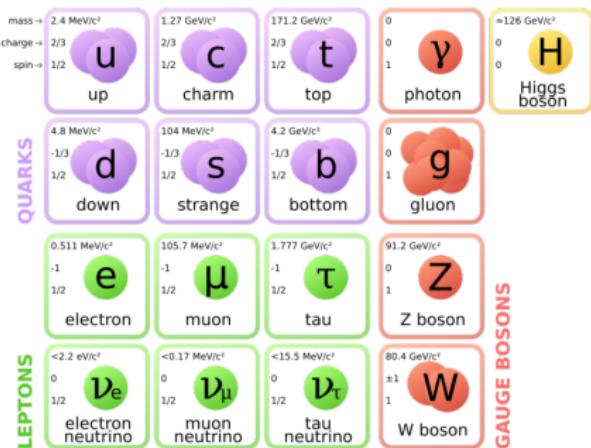
Thanks for the attention!



# Spare slides

# Motivation

- Lepton flavour conservation/universality due to SM accidental symmetry
- Any contrary evidence would be a clear hint of NP
  - neutrino oscillations
  - contribution from rare decays measurements at LHCb



# Effective Hamiltonian approach

- Combine results from several decays in order to
  - classify NP contributions
  - perform consistency checks

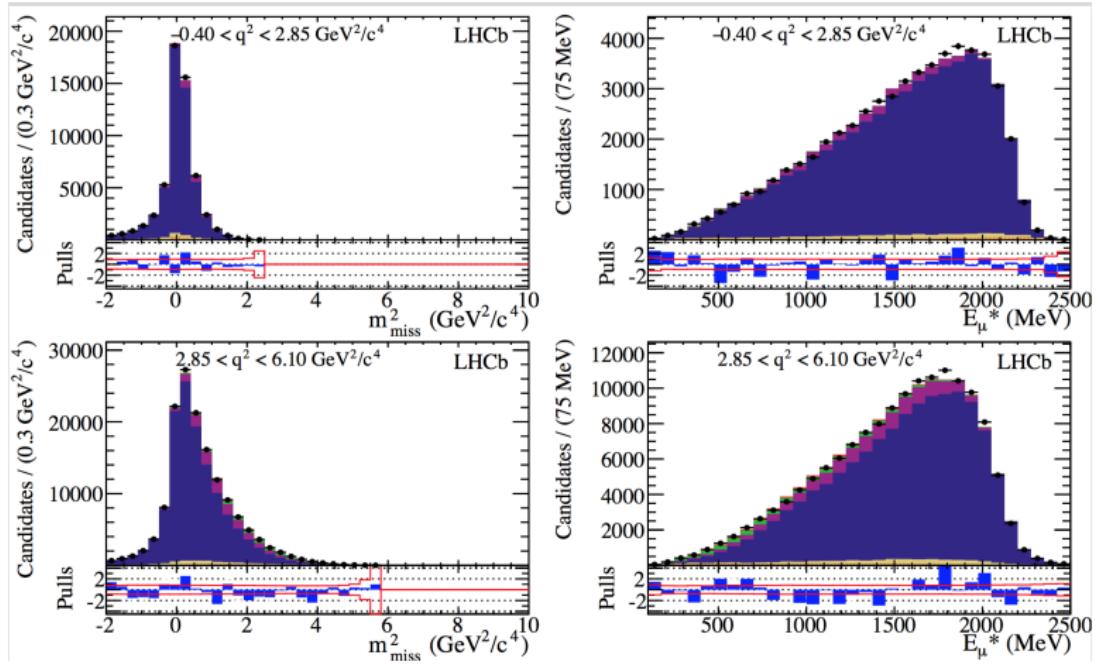
Operator $\mathcal{O}_i$	$B \rightarrow K^{*0}\gamma$	$B \rightarrow K^{*0}\mu^+\mu^-$	$B \rightarrow \mu^+\mu^-$
	$\mathcal{O}_7 \sim m_b(\bar{s}_L \sigma_{\mu\nu} b_R) F_{\mu\nu}$	✓	✓
	$\mathcal{O}_9 \sim (\bar{s}b)_{V-A}(\bar{\ell}\ell)_V$		✓
	$\mathcal{O}_{10} \sim (\bar{s}b)_{V-A}(\bar{\ell}\ell)_A$	✓	✓
	$\mathcal{O}_{S,P} \sim (\bar{s}b)_{S+P}(\bar{\ell}\ell)_{S,P}$		✓

# The $R_{D^*}$ measurement at LHCb

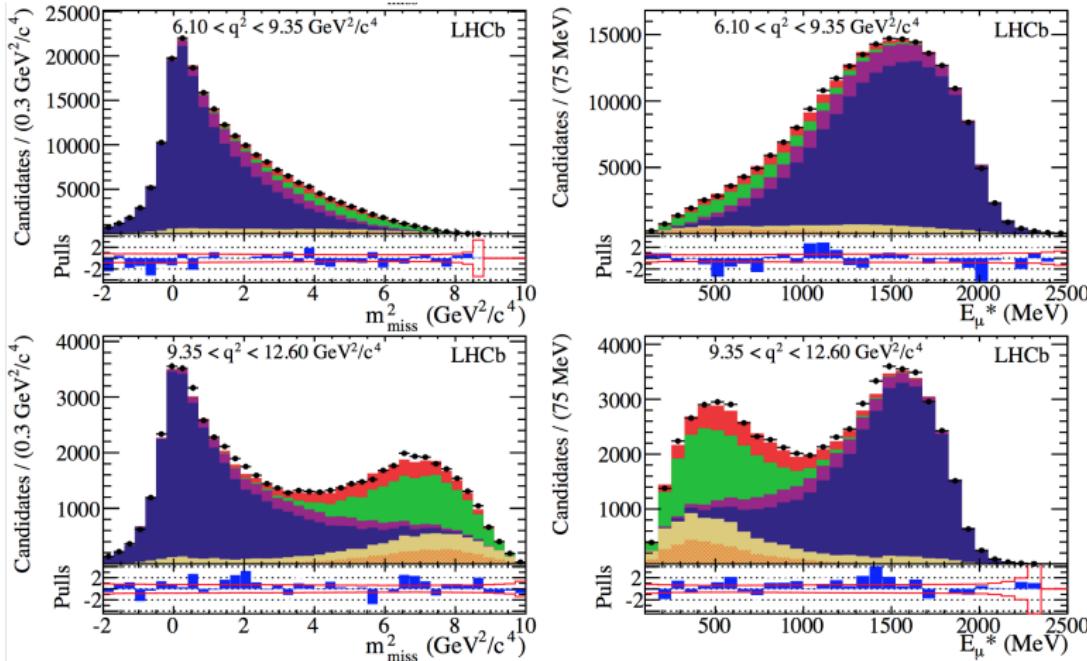
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- Background-enriched data samples by MVA applied on tracks (threshold on MVA output)
- Control samples for partially reconstructed decays
  - $D^{*+}\mu^-\pi^-$  (one track above threshold)
  - $D^{*+}\mu^-\pi^+\pi^-$  (two tracks above threshold)
  - $D^{*+}\mu^-K^\pm$  (one track above threshold identified as  $K^\pm$ )
- Efficiency from simulation validated against data
- Fit in
  - 4 bins of  $q^2 \in [-0.4, 12.6] \text{ GeV}^2$
  - 30 bins of  $E_\mu^* \in [100, 2500] \text{ MeV}$
  - 40 bins of  $m_{\text{miss}}^2 \in [-2, 10] \text{ GeV}^2$

# The $R_{D^*}$ measurement at LHCb

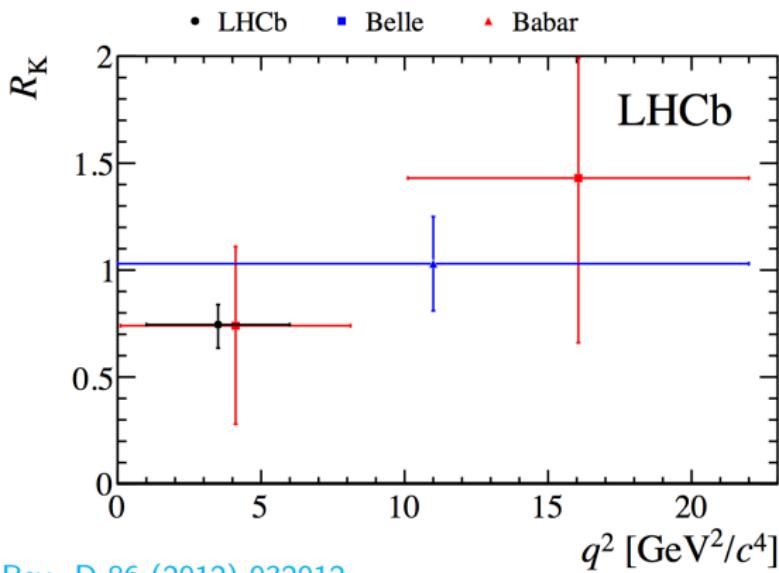


# The $R_{D^*}$ measurement at LHCb



# $R_K$

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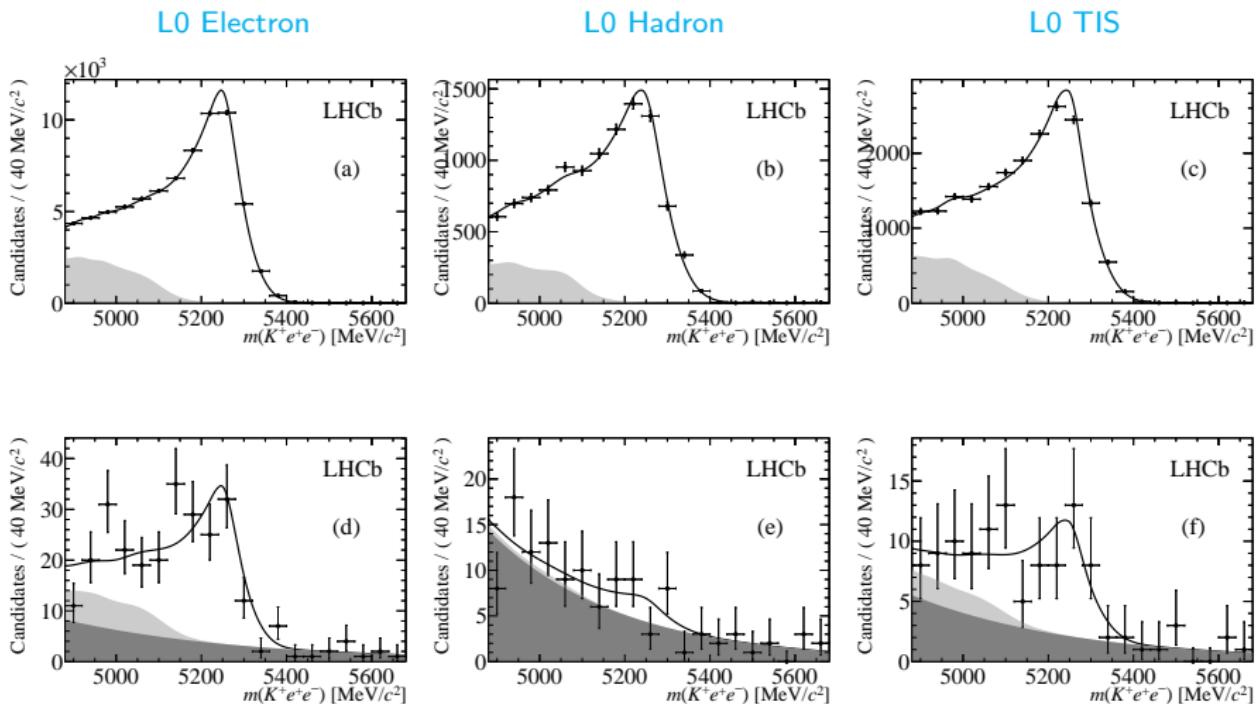


BaBar: Phys. Rev. D 86 (2012) 032012

Belle: Phys. Rev. Lett. 103 (2009) 171801

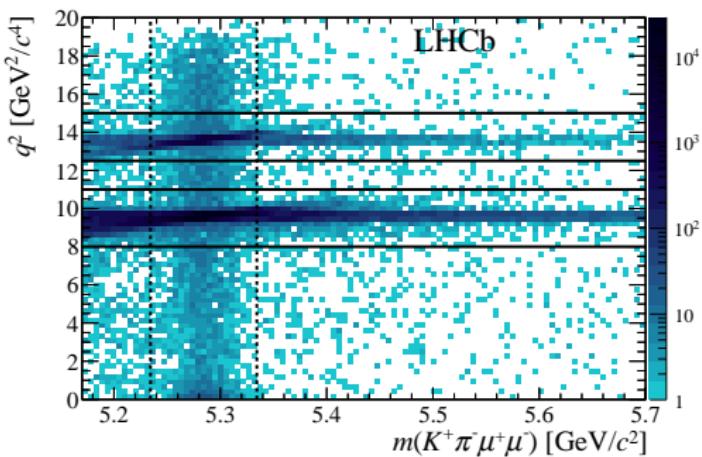
LHCb: Phys. Rev. Lett. 113 (2014) 151601

# The $R_K$ measurement at LHCb



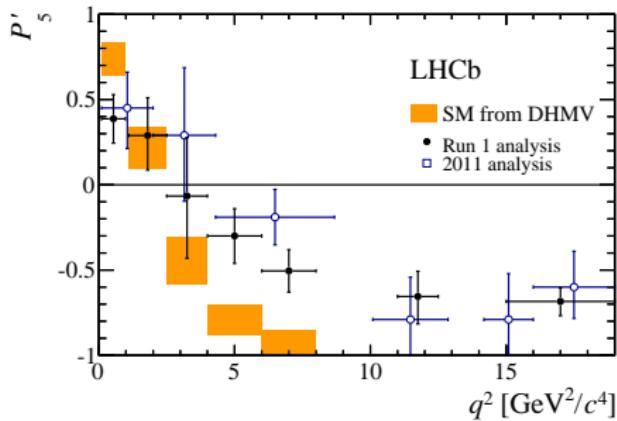
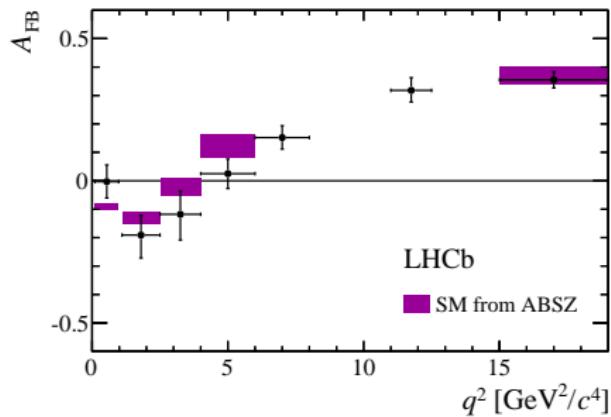
# The $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ decay

- Contribution due to S-wave decay  $B^0 \rightarrow K^+ \pi^- \mu^+ \mu^-$
- ML fit of  $\vec{\Omega}$  and  $m_{K\pi\mu\mu}$



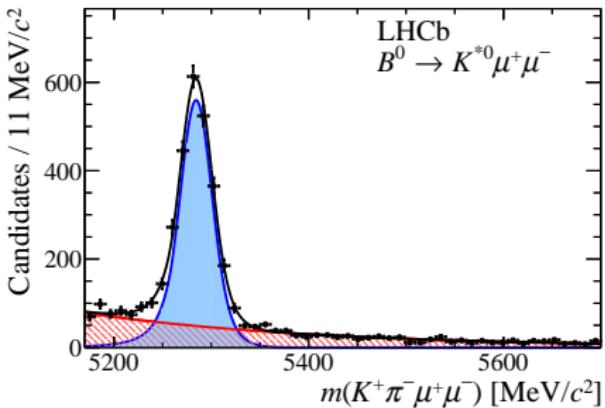
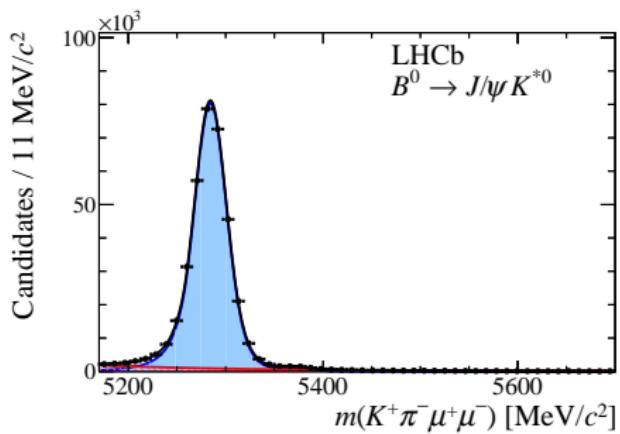
# The $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ decay

- Most angular observables compatible with SM predictions
- However, tension in  $P'_5$ 
  - data fit at  $3.4\sigma$  from SM
  - compatible with the measurement based on  $1\text{ fb}^{-1}$



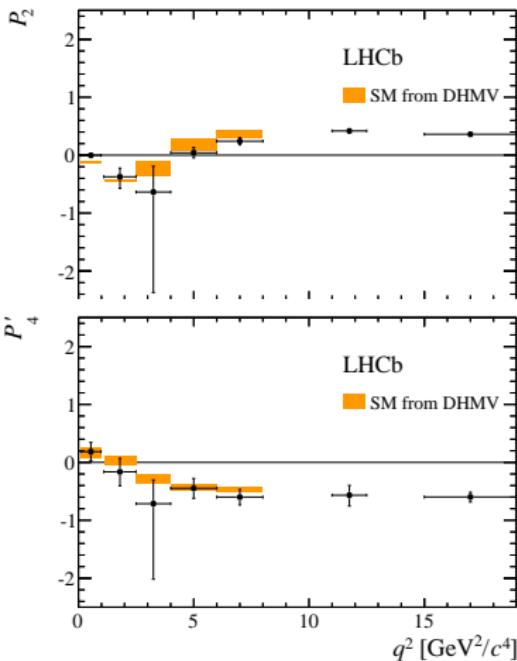
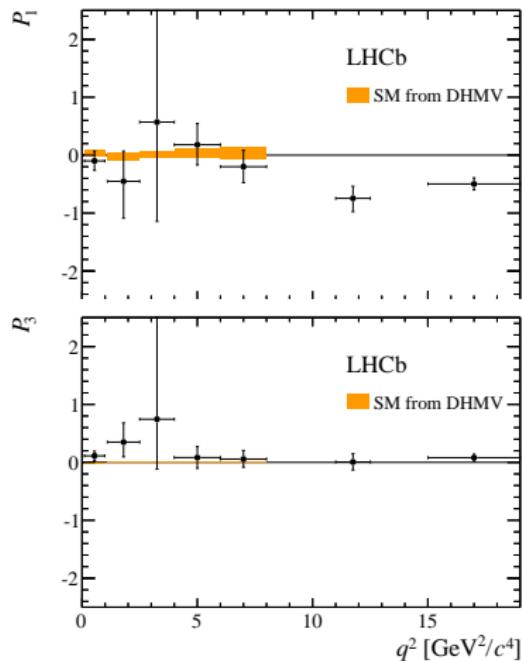
# The $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ decay

- Invariant mass distributions for control and signal channels
- Integrated over the full  $q^2$  range



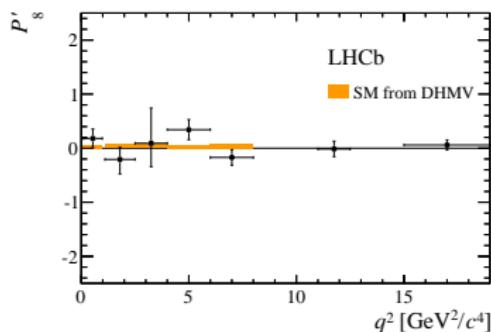
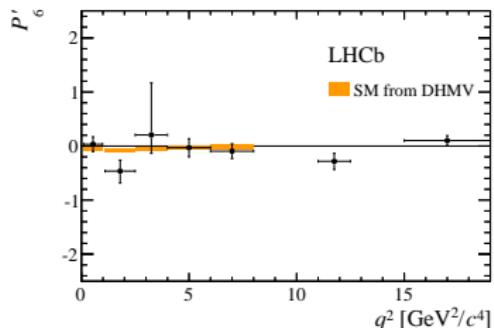
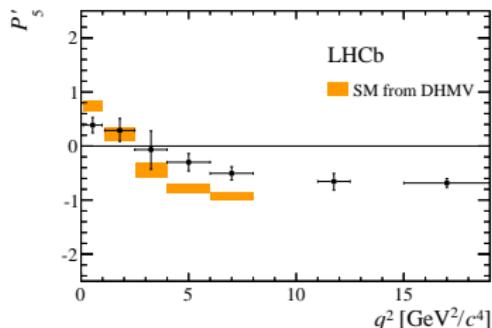
# The $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ decay

- ML fit to the data



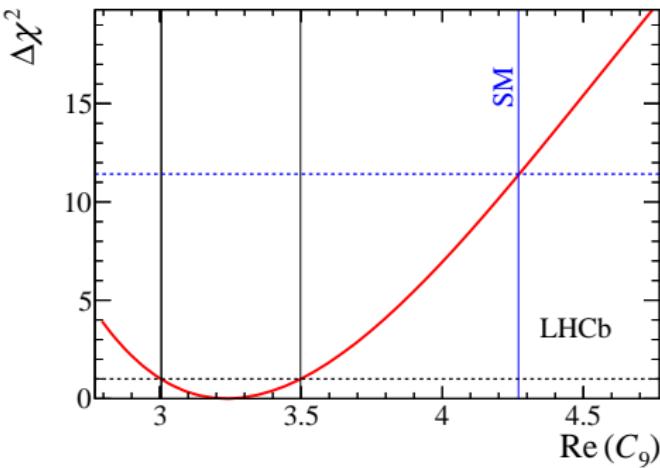
# The $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ decay

## ■ ML fit to the data



# The $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ decay

- $\chi^2$  fit to  $F_L$ ,  $A_{FB}$ , and  $S_3 - S_9$  obtained from the ML fit to the data
- Best fit point at  $\Delta \text{Re}(C_9) = -1.04 \pm 0.25$
- Significance of  $3.4\sigma$



# The $B^0 \rightarrow K^{*0} e^+ e^-$ decay

$$\frac{1}{d(\Gamma + \bar{\Gamma})/dq^2} \frac{d^4(\Gamma + \bar{\Gamma})}{dq^2 d \cos \theta_\ell d \cos \theta_k d\tilde{\phi}} = \frac{9}{16\pi} [\frac{3}{4}(1 - F_L) \sin^2 \theta_k + F_L \cos^2 \theta_k \\ + (\frac{1}{4}(1 - F_L) \sin^2 \theta_k - F_L \cos^2 \theta_k) \cos 2\theta_\ell \\ + \frac{1}{2}(1 - F_L) A_T^{(2)} \sin^2 \theta_k \sin^2 \theta_\ell \cos 2\tilde{\phi} \\ + (1 - F_L) A_T^{Re} \sin^2 \theta_k \cos \theta_\ell \\ + \frac{1}{2}(1 - F_L) A_T^{Im} \sin^2 \theta_k \sin^2 \theta_\ell \sin 2\tilde{\phi}]$$

$$F_L = \frac{|A_0|^2}{|A_0|^2 + |A_{||}|^2 + |A_{\perp}|^2}$$

$$A_T^{(2)} = \frac{|A_{\perp}|^2 - |A_{||}|^2}{|A_{\perp}|^2 + |A_{||}|^2}$$

$$A_T^{Re} = \frac{2\Re(A_{||L}A_{\perp L}^* + A_{||R}A_{\perp R}^*)}{|A_{||}|^2 + |A_{\perp}|^2}$$

$$A_T^{Im} = \frac{2\Im(A_{||L}A_{\perp L}^* + A_{||R}A_{\perp R}^*)}{|A_{||}|^2 + |A_{\perp}|^2}$$

$$|A_0|^2 = |A_{0L}|^2 + |A_{0R}|^2$$

$$|A_{||}|^2 = |A_{||L}|^2 + |A_{||R}|^2$$

$$|A_{\perp}|^2 = |A_{\perp L}|^2 + |A_{\perp R}|^2$$

with

$$A_T^{(2)}(q^2 \rightarrow 0) = \frac{2\Re(C_7 C_7'^*)}{|C_7|^2 + |C_7'|^2}$$

$$A_T^{Im}(q^2 \rightarrow 0) = \frac{2\Im(C_7 C_7'^*)}{|C_7|^2 + |C_7'|^2}$$

## Local operators

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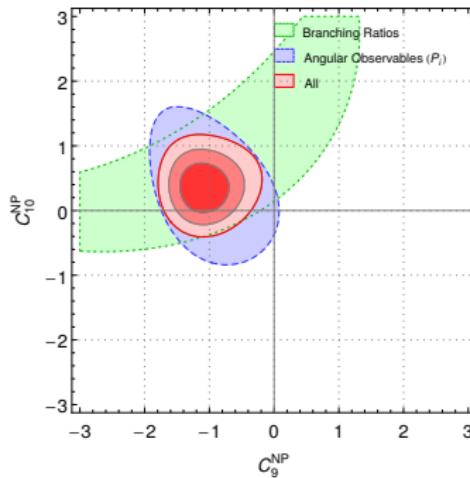
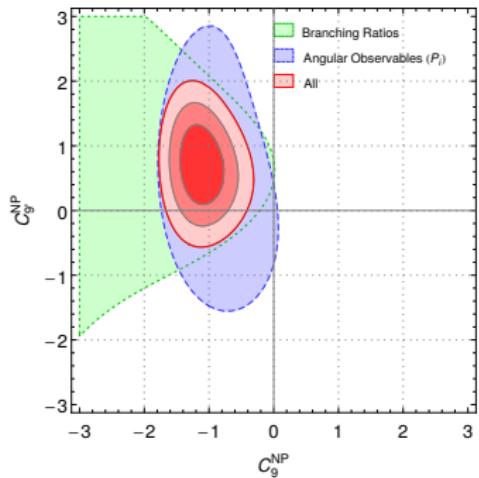
$$\begin{aligned}\mathcal{O}_7 &= \frac{m_b}{e} \bar{s} \sigma^{\mu\nu} P_R b F_{\mu\nu}, & \mathcal{O}'_7 &= \frac{m_b}{e} \bar{s} \sigma^{\mu\nu} P_L b F_{\mu\nu}, \\ \mathcal{O}_8 &= g_s \frac{m_b}{e^2} \bar{s} \sigma^{\mu\nu} P_R T^a b G_{\mu\nu}^a, & \mathcal{O}'_8 &= g_s \frac{m_b}{e^2} \bar{s} \sigma^{\mu\nu} P_L T^a b G_{\mu\nu}^a, \\ \mathcal{O}_9 &= \bar{s} \gamma_\mu P_L b \bar{\ell} \gamma^\mu \ell, & \mathcal{O}'_9 &= \bar{s} \gamma_\mu P_R b \bar{\ell} \gamma^\mu \ell \\ \mathcal{O}_{10} &= \bar{s} \gamma_\mu P_L b \bar{\ell} \gamma^\mu \gamma_5 \ell, & \mathcal{O}'_{10} &= \bar{s} \gamma_\mu P_R b \bar{\ell} \gamma^\mu \gamma_5 \ell\end{aligned}\quad (1)$$

$$\begin{aligned}\mathcal{O}_S &= \bar{s} P_R b \bar{\ell} \ell, & \mathcal{O}'_S &= \bar{s} P_L b \bar{\ell} \ell \\ \mathcal{O}_P &= \bar{s} P_R b \bar{\ell} \gamma_5 \ell, & \mathcal{O}'_P &= \bar{s} P_L b \bar{\ell} \gamma_5 \ell\end{aligned}\quad (2)$$

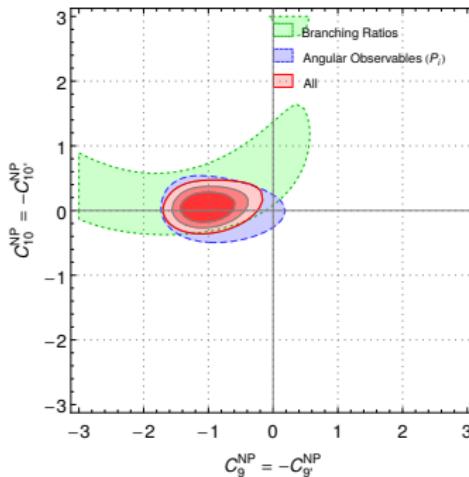
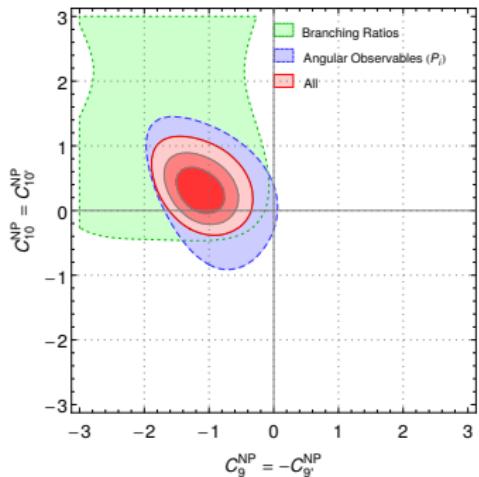
$$\mathcal{O}_T = \bar{s} \sigma_{\mu\nu} b \bar{\ell} \sigma^{\mu\nu} \ell, \quad \mathcal{O}_{T5} = \bar{s} \sigma_{\mu\nu} b \bar{\ell} \sigma^{\mu\nu} \gamma_5 \ell \quad (3)$$

$$\mathcal{O}_1 = \frac{4\pi}{\alpha_e} \bar{s} \gamma_\mu P_L b \bar{c} \gamma^\mu P_L c, \quad \mathcal{O}_2 = \frac{4\pi}{\alpha_e} \bar{s} \gamma_\mu P_L c \bar{c} \gamma^\mu P_L b. \quad (4)$$

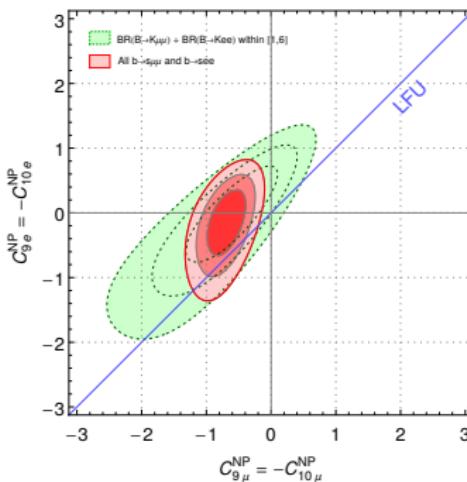
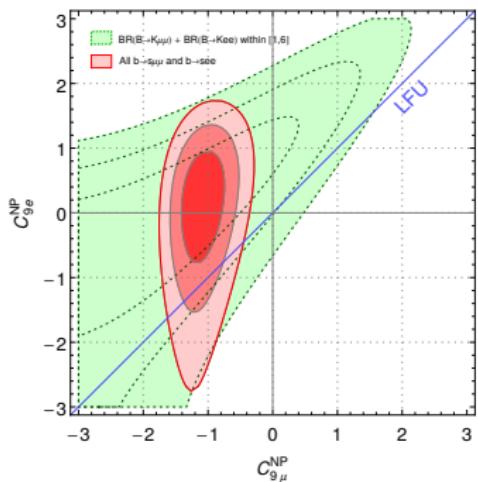
# Theory interpretation in terms of NP



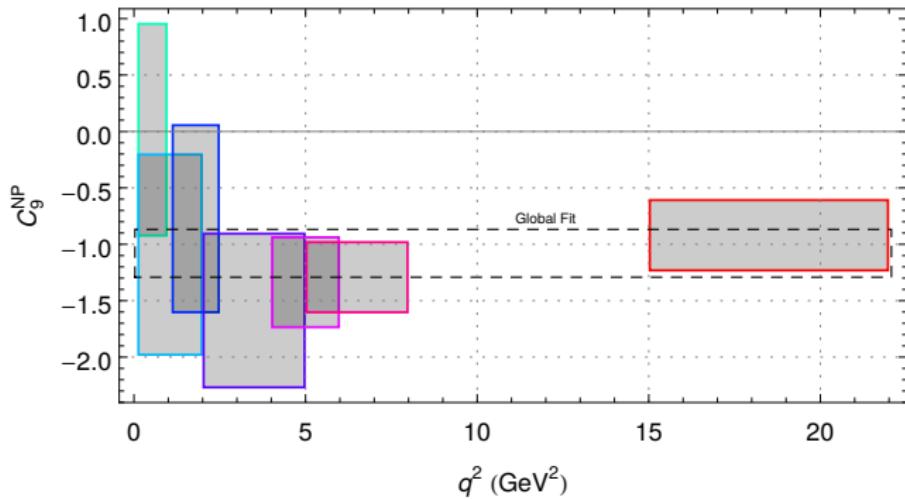
# Theory interpretation in terms of NP



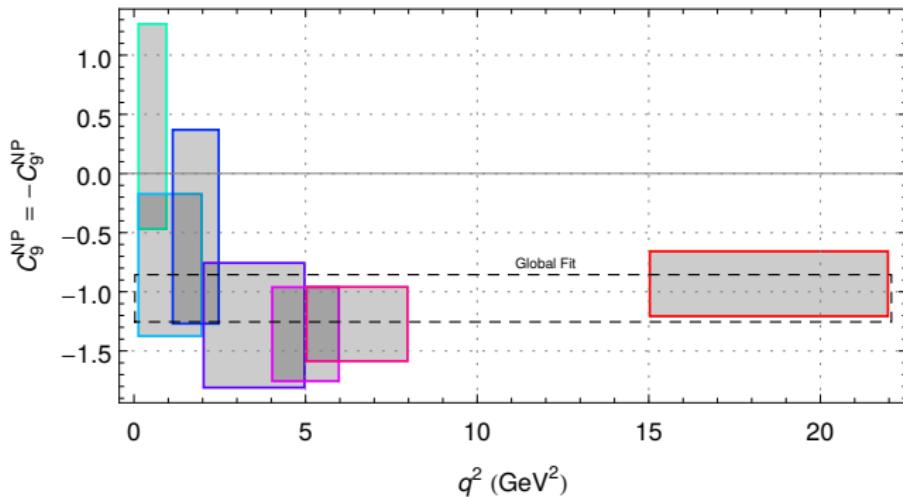
# Theory interpretation in terms of NP



# Theory interpretation in terms of NP



# Theory interpretation in terms of NP



# Theory interpretation in terms of NP

