



Recent Results from Kaon Experiments at CERN

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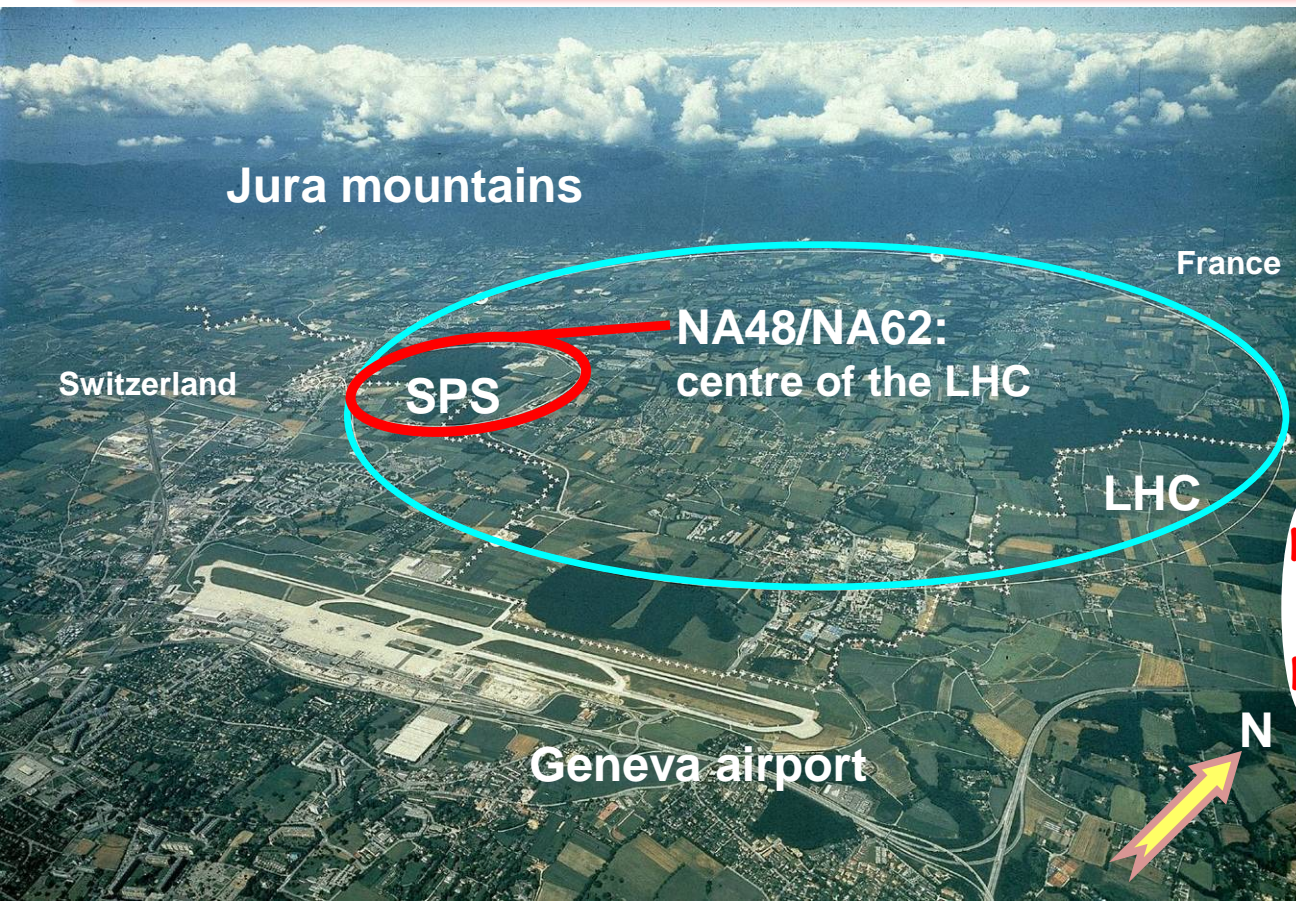
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Outline:

- 1) Recent CERN kaon experiments: NA48/2 and NA62-R_K
- 2) Measurement of leptonic K[±] decays
- 3) Measurement of the K[±] → π[±]γγ decay
- 4) Studies of the K[±] → ππe[±]ν decays
- 5) Summary

CERN NA48/NA62 experiments



Kaon decay in flight experiments.
 NA62: currently ~200 participants, 29 institutions

Earlier: NA31

1997: ϵ'/ϵ : K_L+K_S

1998: K_L+K_S

NA48
 discovery
 of direct
 CPV

1999: K_L+K_S | K_S HI

2000: K_L only | K_S HI

2001: K_L+K_S | K_S HI

NA48/1

2002: K_S /hyperons

NA48/2

2003: K^+/K^-

2004: K^+/K^-

NA62
 R_K phase

2007: $K_{e2}^{\pm}/K_{\mu2}^{\pm}$ | tests

2008: $K_{e2}^{\pm}/K_{\mu2}^{\pm}$ | tests

NA62

2012: technical run

2014: 1st $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ run

Recent K^\pm experiments at CERN

Experiment	NA48/2 (K^\pm)	NA62-R _K (K^\pm)	NA62 (K^+ ; <i>planned</i>)
Data taking period	2003–2004	2007–2008	2014–2017
Beam momentum, GeV/c	60	74	75
RMS momentum bite, GeV/c	2.2	1.4	0.8
Spectrometer thickness, X_0	2.8%	2.8%	1.8%
Spectrometer P_T kick, MeV/c	120	265	270
$M(K^\pm \rightarrow \pi^\pm \pi^+ \pi^-)$ resolution, MeV/c ²	1.7	1.2	0.8
K decays in fiducial volume	2×10^{11}	2×10^{10}	1.2×10^{13}
Main trigger	multi-track; $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$	e^\pm	$K_{\pi\nu\nu} + \dots$

Same detector (NA48)

The new NA62 detector:

- ❖ beam spectrometer and kaon tagger;
- ❖ improved mass reconstruction and particle identification;
- ❖ hermetic photon veto.

NA48/2 and NA62-R_K detector

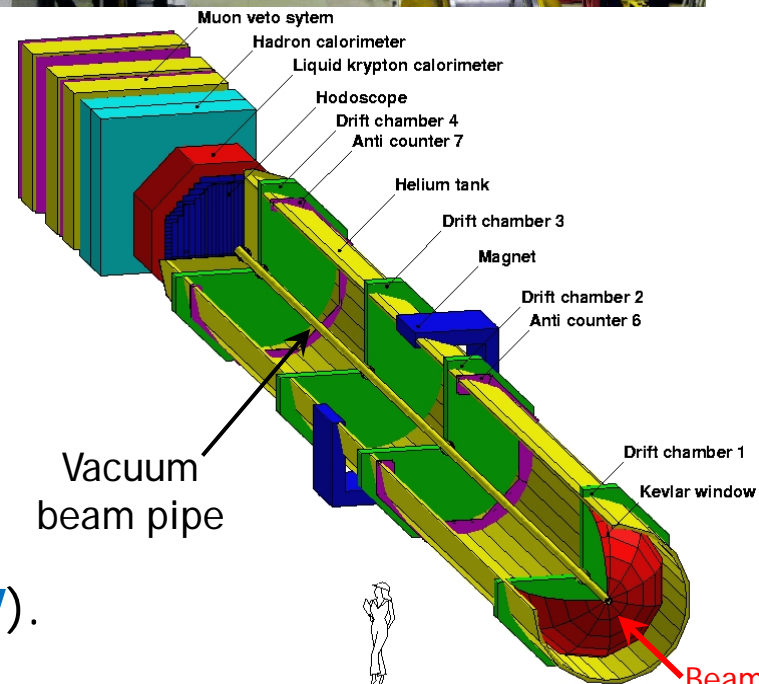
2003–2008: charged kaon beams,
the NA48 detector

Narrow momentum band K^\pm beams:
 $P_K = 60$ (74) GeV/c, $\delta P_K/P_K \sim 1\%$ (rms).

- ❖ Maximum K^\pm decay rate ~ 100 kHz;
- ❖ **NA48/2**: six months in 2003–04;
- ❖ **NA62-R_K**: four months in 2007.

Principal subdetectors:

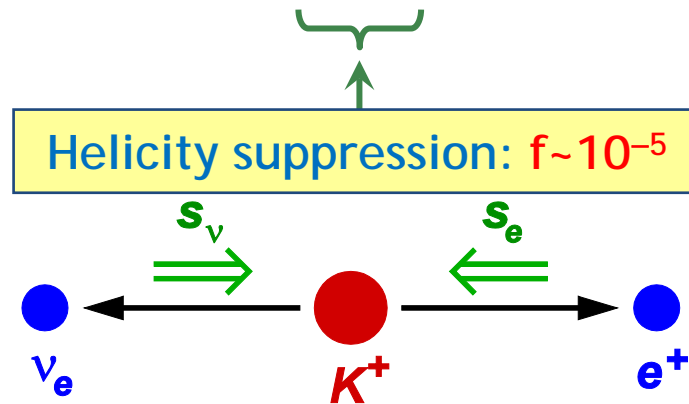
- ❖ **Magnetic spectrometer (4 DCHs)**
4 views/DCH: redundancy \Rightarrow efficiency;
 $\delta p/p = 0.48\% \oplus 0.009\% p$ [GeV/c] (in 2007)
- ❖ **Scintillator hodoscope**
Fast trigger, time measurement (150ps).
- ❖ **Liquid Krypton EM calorimeter (LKr)**
High granularity, quasi-homogeneous;
 $\sigma_E/E = 3.2\%/E^{1/2} \oplus 9\%/E \oplus 0.42\%$ [GeV];
 $\sigma_x = \sigma_y = 4.2\text{mm}/E^{1/2} \oplus 0.6\text{mm}$ (1.5mm@10GeV).



$R_K = \Gamma(K_{e2})/\Gamma(K_{\mu2})$ in the SM

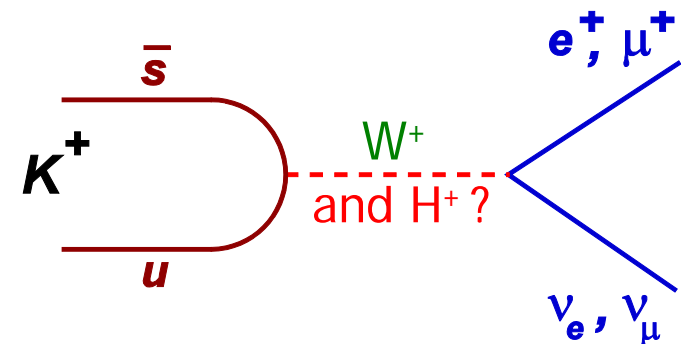
Lepton Universality test:

$$R_K = \frac{\Gamma(K^\pm \rightarrow e^\pm \nu)}{\Gamma(K^\pm \rightarrow \mu^\pm \nu)} = \frac{m_e^2}{m_\mu^2} \cdot \left(\frac{m_K^2 - m_e^2}{m_K^2 - m_\mu^2} \right)^2 \cdot (1 + \delta R_K^{\text{rad. corr.}})$$



Radiative correction
(well known, few %)

- ❖ **SM**: excellent sub-permille accuracy, not obstructed by hadronic uncertainties.
- ❖ Measurements of R_K and R_π have long been considered as LU tests.
- ❖ **Suppression of the SM contribution**: potentially accessible NP contributions.



$$R_K^{\text{SM}} = (2.477 \pm 0.001) \times 10^{-5}$$

*Cirigliano and Rosell,
PRL99 (2007) 231801*

$R_K = \Gamma(K_{e2})/\Gamma(K_{\mu2})$ beyond the SM

2HDM - tree level

$K^\pm \rightarrow l^\pm \nu$ can proceed via charged Higgs H^\pm
(in addition to W^\pm) exchange

→ Does not affect the ratio R_K

2HDM - one-loop level

Dominant contribution to R_K : H^\pm mediated

LFV (rather than LFC) with emission of ν_τ

→ R_K enhancement can be experimentally accessible

$$R_K^{\text{LFV}} \approx R_K^{\text{SM}} \left[1 + \left(\frac{m_K^4}{M_{H^\pm}^4} \right) \left(\frac{m_\tau^2}{M_e^2} \right) |\Delta_{13}|^2 \tan^6 \beta \right] \Rightarrow \text{sensitive to slepton mixing}$$

❖ MSSM: ~1% effect possible

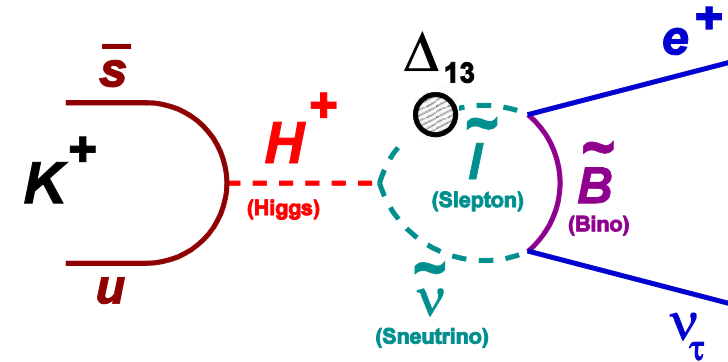
Girrbach and Nierste, arXiv:1202.4906

❖ However limited by $B_{(s)} \rightarrow \mu^+ \mu^-$ measurements

Fonseca, Romão and Teixeira, EPJC 72 (2012) 2228

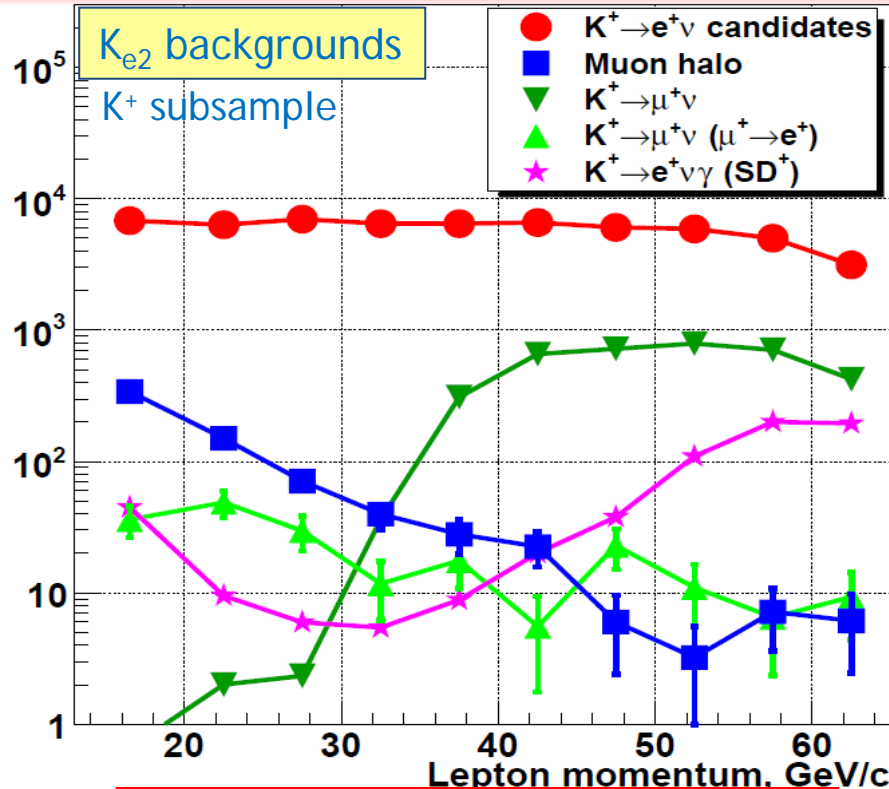
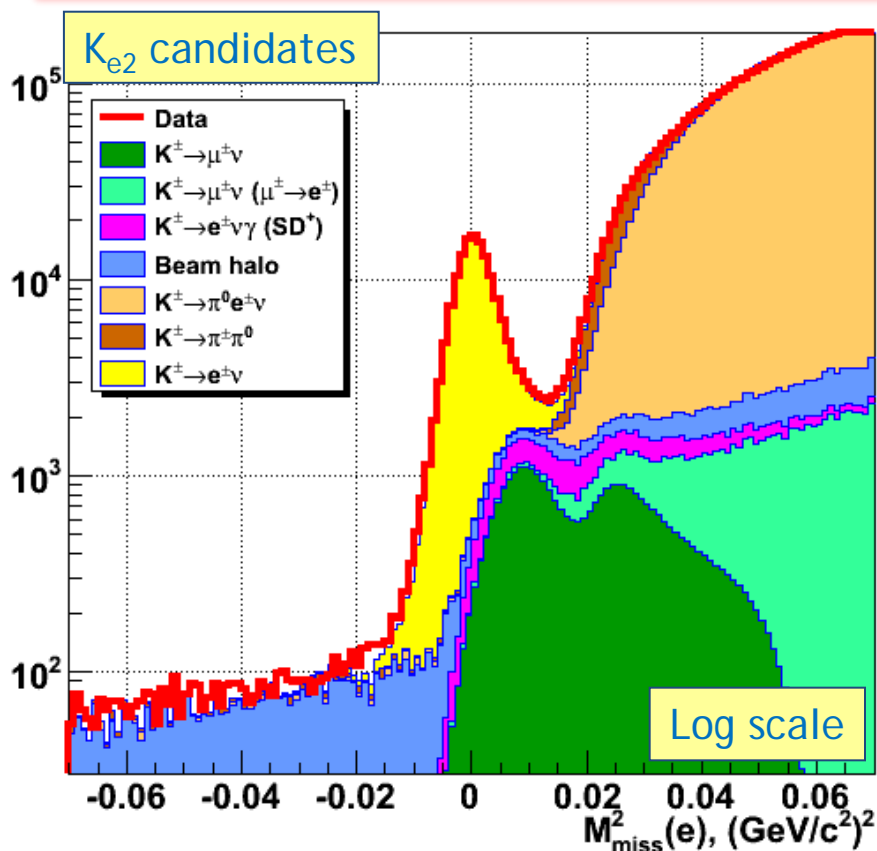
❖ Sensitive to SM extensions with 4th generation, sterile neutrinos

Lacker and Menzel, JHEP 1007 (2010) 006; Abada et al., JHEP 1302 (2013) 048



*Masiero, Paradisi and Petronzio,
PRD 74 (2006) 011701,
JHEP 0811 (2008) 042*

NA62-R_K data: K_{e2} sample



145,958 $K^{\pm} \rightarrow e^{\pm} \nu$ candidates.
 Background: $B/(S+B) = (10.95 \pm 0.27)\%$.
 Electron ID efficiency: $(99.28 \pm 0.05)\%$.

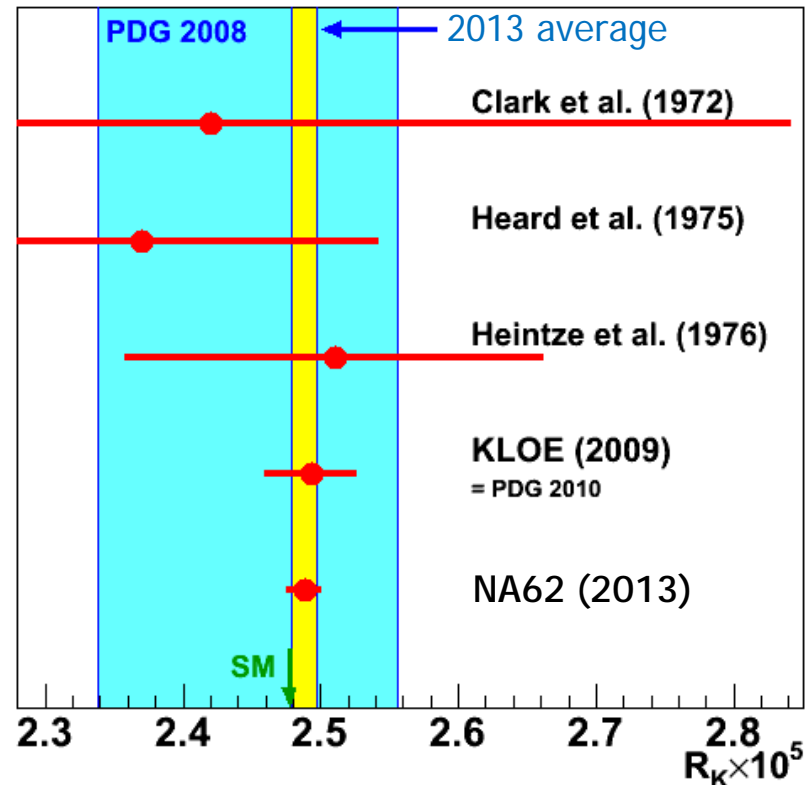
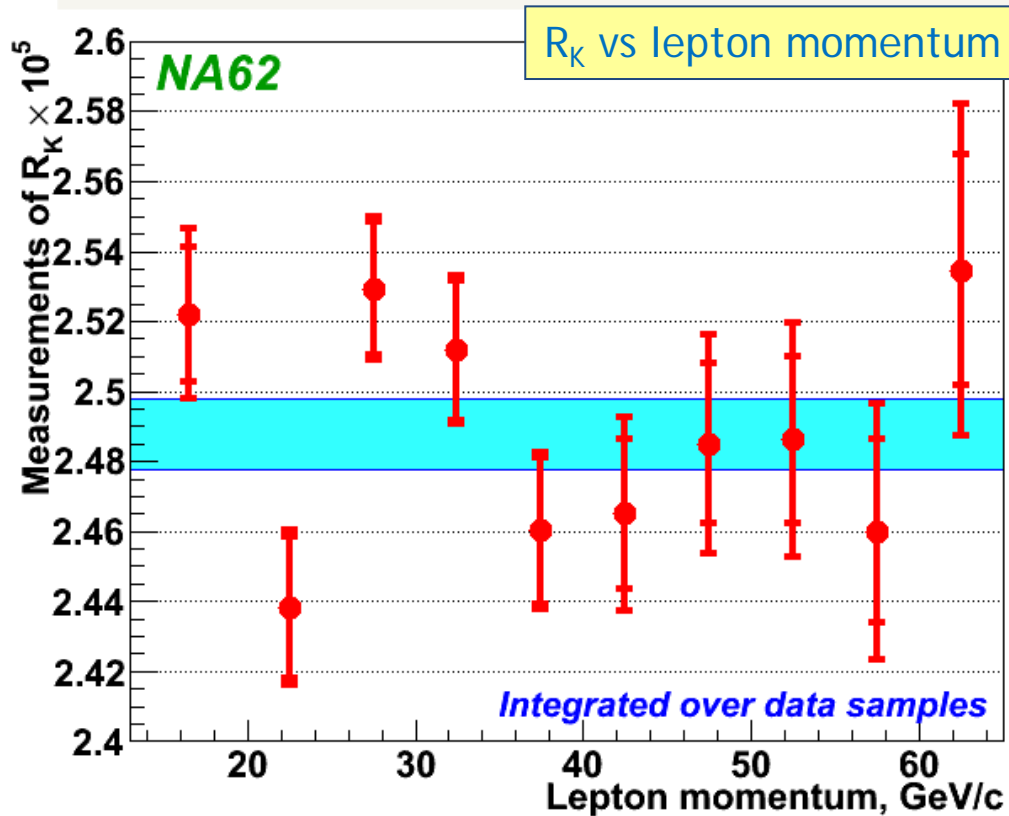
Source	$B/(S+B)$
$K_{\mu 2}$	$(5.64 \pm 0.20)\%$
$K_{\mu 2} (\mu \rightarrow e)$	$(0.26 \pm 0.03)\%$
$K_{e 2 \gamma} (SD)$	$(2.60 \pm 0.11)\%$
$K_{e 3(D)}$	$(0.18 \pm 0.09)\%$
$K_{2\pi(D)}$	$(0.12 \pm 0.06)\%$
Opposite sign K	$(0.04 \pm 0.02)\%$
Beam halo	$(2.11 \pm 0.09)\%$
Total	$(10.95 \pm 0.27)\%$

NA62- R_K final result

$$R_K = (2.488 \pm 0.007_{\text{stat}} \pm 0.007_{\text{syst}}) \times 10^{-5}$$

$$= (2.488 \pm 0.010) \times 10^{-5}$$

PLB719 (2013) 326

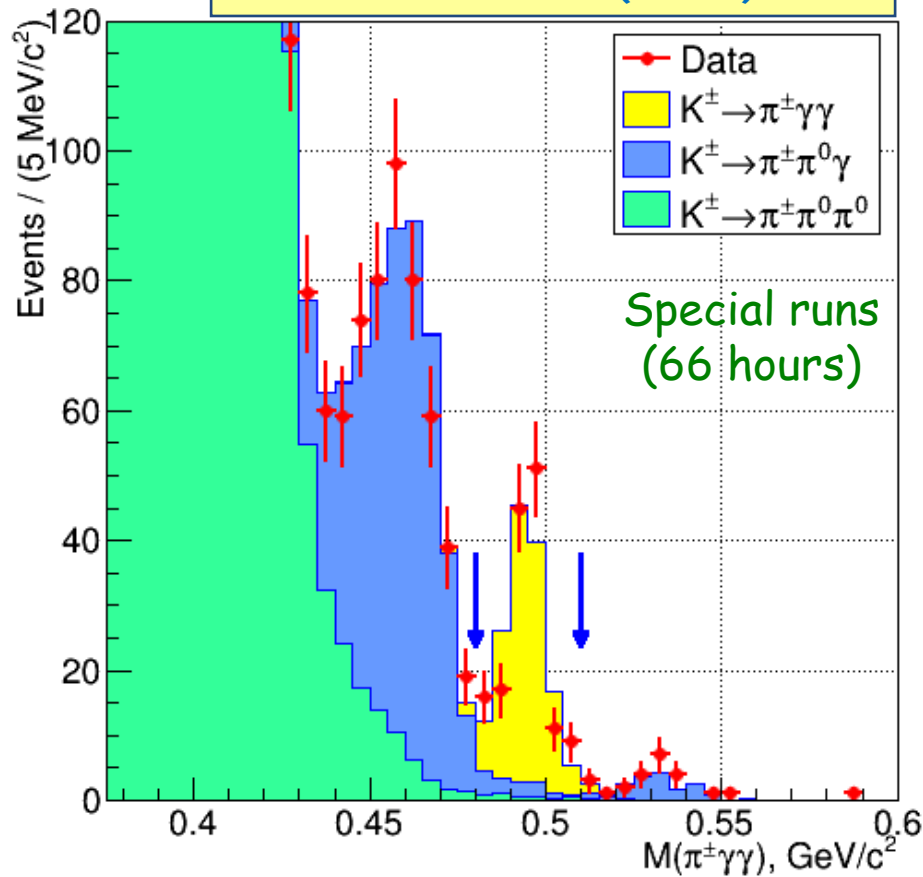


World average	$R_K \times 10^5$	Precision
PDG 2008	2.447 ± 0.109	4.5%
2013	2.488 ± 0.009	0.4%

NA62 prospects:
improve precision by a factor ~ 2 .
Competitor: TREK@J-PARC.

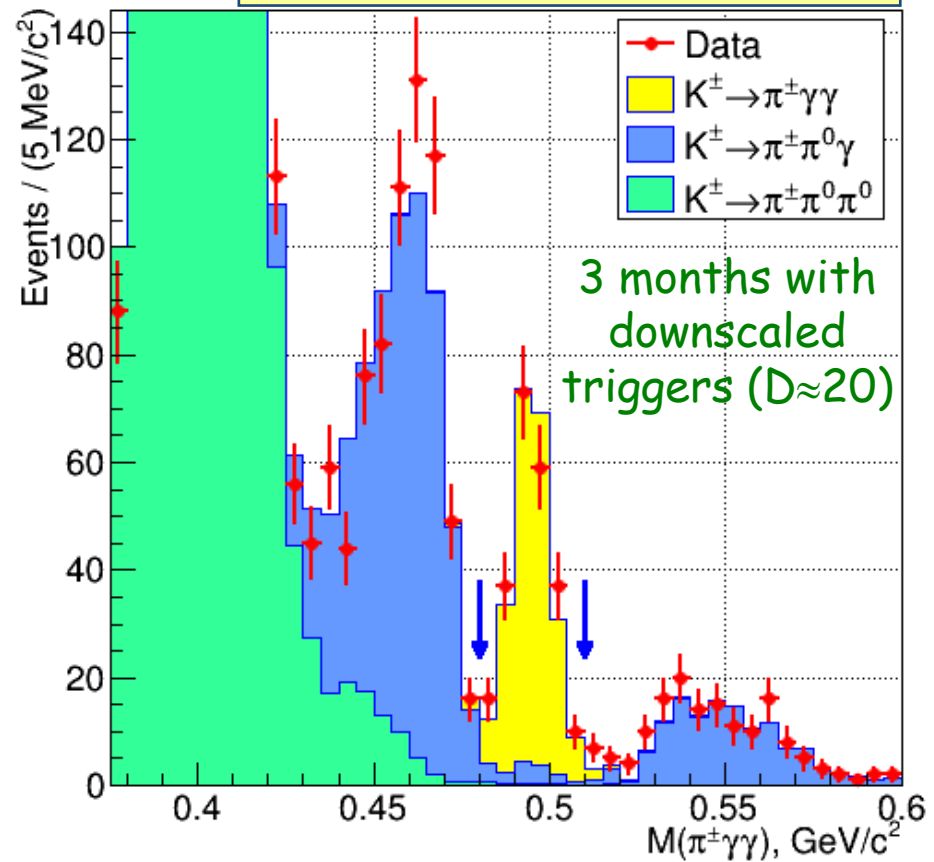
Minimum bias data: $K^\pm \rightarrow \pi^\pm \gamma \gamma$

NA48/2: PLB730 (2014) 141



$K_{\pi\gamma\gamma}$ candidates	149
$K_{2\pi(\gamma)}$ background	11.4 ± 0.6
$K_{3\pi}$ background	4.1 ± 0.4
$K_{\pi\gamma\gamma}$ signal	134 ± 12

NA62-R_K: PLB732 (2014) 65



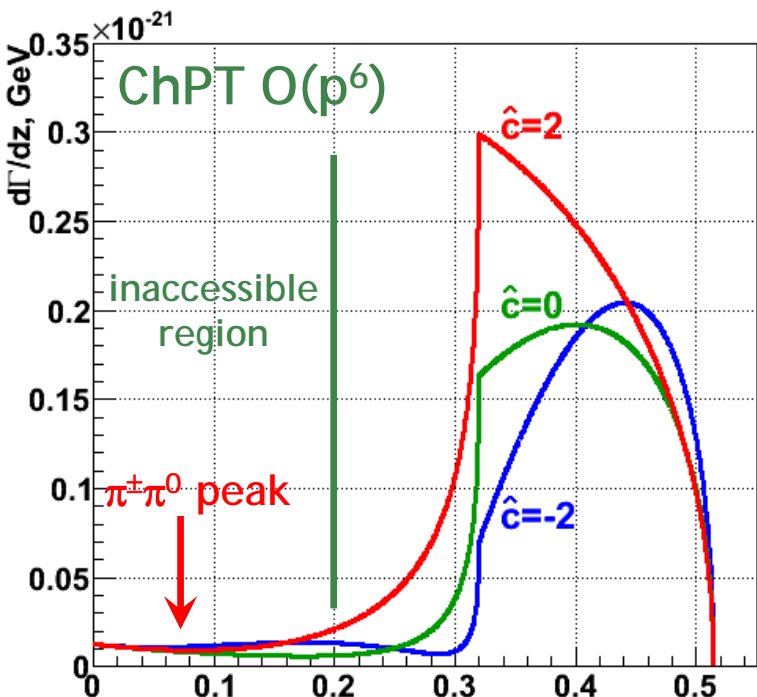
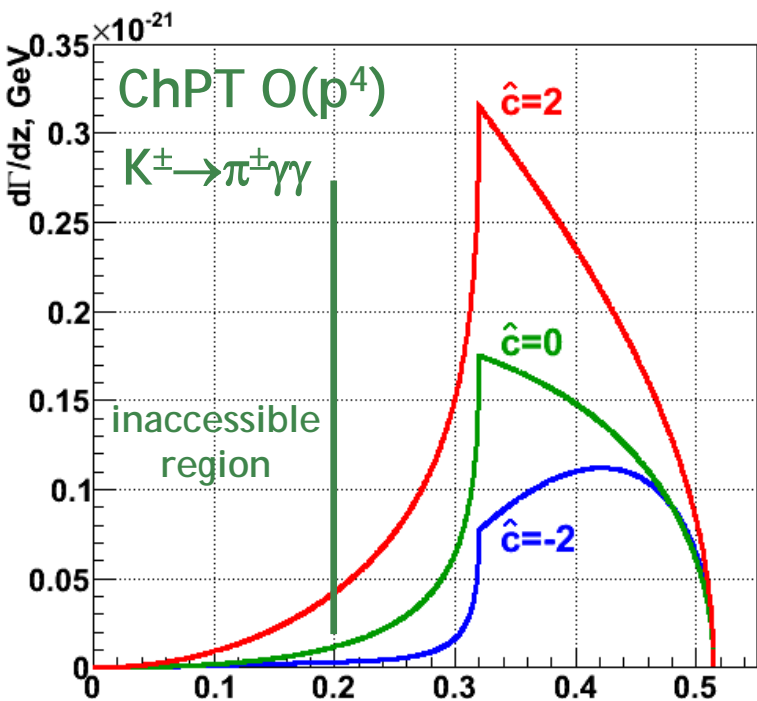
$K_{\pi\gamma\gamma}$ candidates	232
$K_{2\pi(\gamma)}$ background	15.3 ± 1.1
$K_{3\pi}$ background	2.1 ± 0.3
$K_{\pi\gamma\gamma}$ signal	215 ± 15

ChPT description

D'Ambrosio, Portoles, PLB386 (1996) 403

$$z = \frac{(q_1 + q_2)^2}{m_K^2} = \left(\frac{m_{\gamma\gamma}}{m_K} \right)^2, \quad y = \frac{p(q_1 - q_2)}{m_K^2}$$

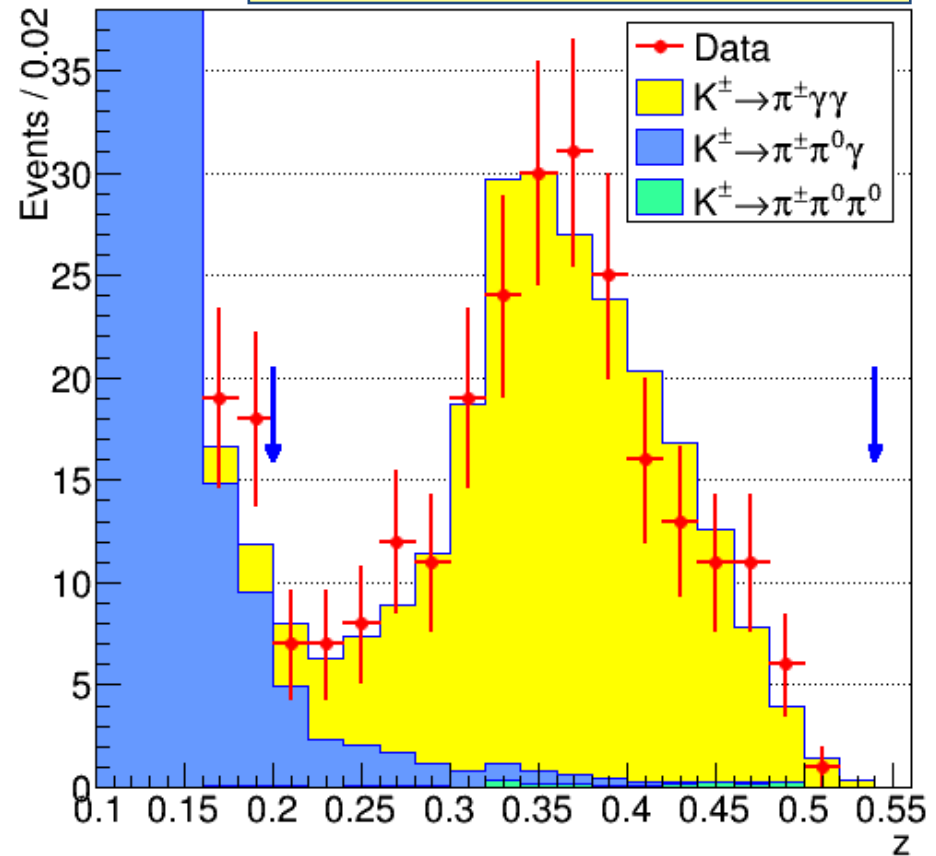
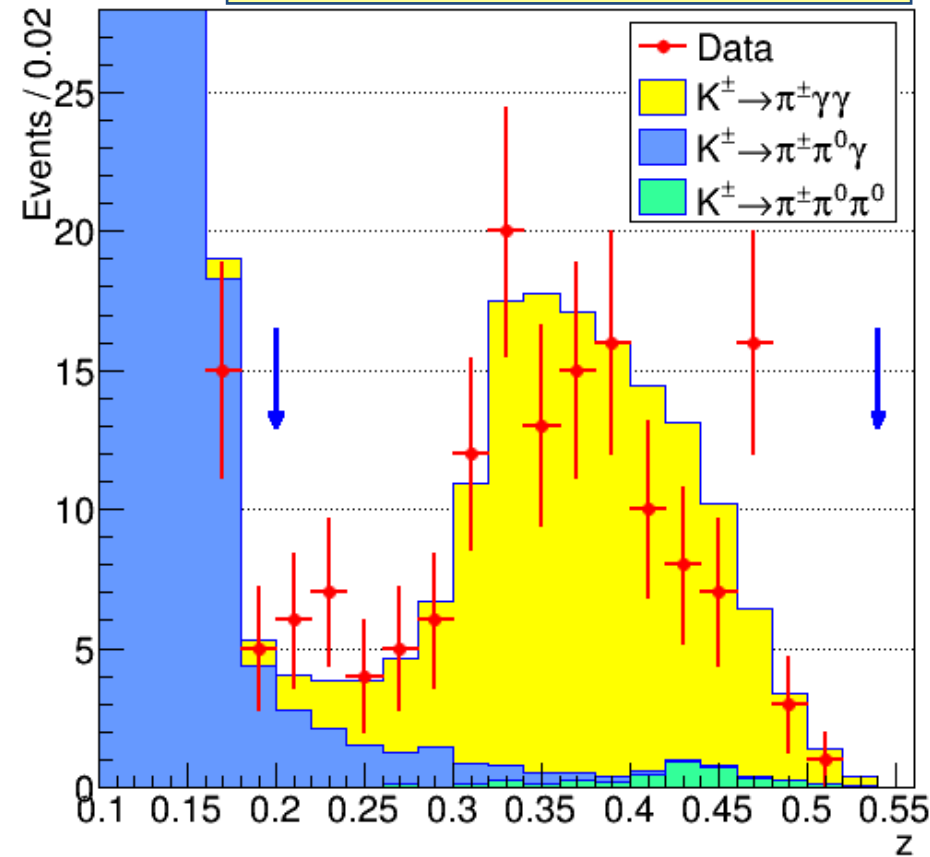
- ❖ Leading ChPT contribution at $O(p^4)$.
- ❖ Rate and spectrum determined by a single “unknown” parameter: \hat{c} .
- ❖ A number of fixed external inputs: G_8 ; at $O(p^6)$, $K_{3\pi}$ amplitude parameters and “polynomial contributions” η_i .
- ❖ Dominated by pion loop amplitude: cusp at di-pion threshold $z=(2m_\pi/m_K)^2=0.32$.
- ❖ ChPT $O(p^6)$: non-zero $d\Gamma/dz$ at $z=0$ and (weak) y -dependence of $d^2\Gamma/dz/dy$.



Fits to ChPT description

NA48/2: PLB730 (2014) 141

NA62-R_K: PLB732 (2014) 65



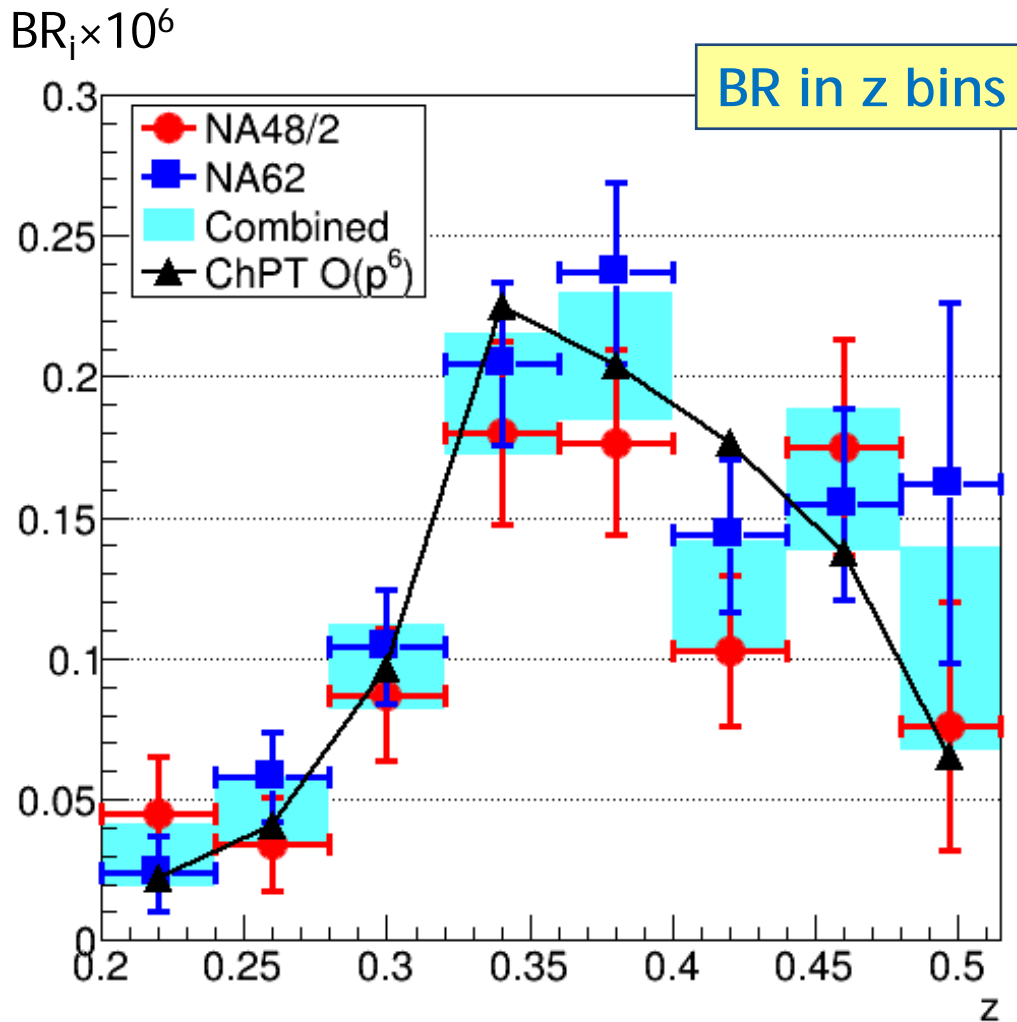
→ Data support the ChPT prediction of a cusp at di-pion threshold

NA48/2 & NA62-R_K combined measurement:

$$\hat{c}_4 = 1.72 \pm 0.20_{\text{stat}} \pm 0.06_{\text{syst}} ; \quad \hat{c}_6 = 1.86 \pm 0.23_{\text{stat}} \pm 0.11_{\text{syst}}$$

Improved precision wrt BNL E787 (31 $K^+ \rightarrow \pi^+ \gamma \gamma$ candidates, *PRL* 79 (1997) 4079)
 and NA48/2 (120 $K^\pm \rightarrow \pi^\pm \gamma e^+ e^-$ candidates, *PLB* 659 (2009) 493)

Model-independent BR($K^\pm \rightarrow \pi^\pm \gamma \gamma$)



Model-independent BR measurement in narrow z bins is possible:

- ✓ acceptance in bins is almost independent of the assumed $d\Gamma/dz$;
- ✓ weak y -dependence of the differential rate and acceptance.

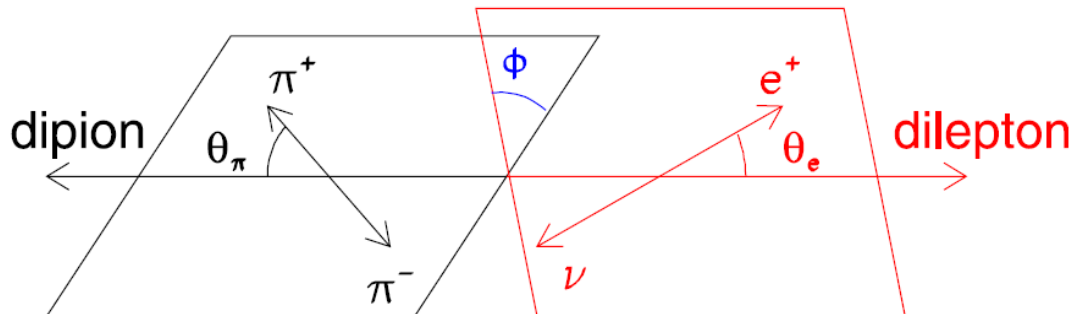
Final result with 349 events:

$$BR_{MI}(z > 0.2) = (0.965 \pm 0.061_{\text{stat}} \pm 0.014_{\text{syst}}) \times 10^{-6}$$

PLB 730 (2013) 141,
PLB 732 (2014) 65

K_{e4} decays: introduction

Five kinematic variables: $S_\pi = (m_{\pi\pi})^2$, $S_e = (m_{e\nu})^2$, $\cos\theta_\pi$, $\cos\theta_e$, Φ



[Cabibbo, Maksymowicz, PR137 (1965) B438]

Partial wave expansion and form factors [Pais, Treiman, PR168 (1968) 1858]

$$K^\pm \rightarrow \pi^+ \pi^- e^\pm \nu \quad (K_{e4}^{+-})$$

$$K^\pm \rightarrow \pi^0 \pi^0 e^\pm \nu \quad (K_{e4}^{00})$$

$$F = F_s e^{i\delta_s} + F_p e^{i\delta_p} \cos\theta_\pi + \dots$$

$$F = F_s e^{i\delta_s}$$

$$G = G_p e^{i\delta_g} + \dots; \quad H = H_p e^{i\delta_h} + \dots$$

axial

$$\left\{ \begin{array}{l} F_s = f_s + f'_s q^2 + f''_s q^4 + f'_e S_e / 4m_\pi^2 + \dots \\ F_p = f_p + f'_p q^2 + \dots \\ G_p = g_p + g'_p q^2 + \dots \\ H_p = h_p + h'_p q^2 + \dots \end{array} \right.$$

vector

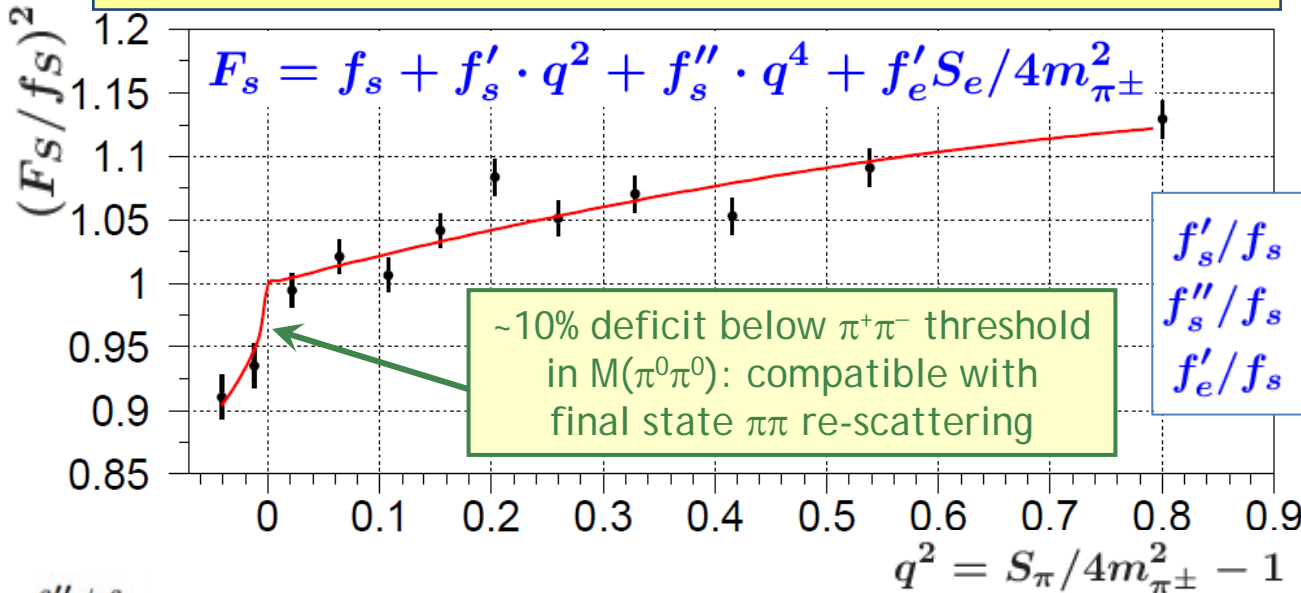
$$\delta(q^2) = \delta_s - \delta_p.$$

$\pi\pi$ s-wave scattering lengths can be extracted from variation of δ with S_π [Ananthanarayan et al., Phys.Rep. 353 (2001) 207; Colangelo, Gasser, Rusetsky, EPJC59 (2009) 777]

NA48/2: $K^\pm \rightarrow \pi^0 \pi^0 e^\pm \nu$ form factor

65.2K candidates, 1.0% background (from $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$, accidentals)

Form-factor measurement above $2m(\pi^\pm)$ threshold



Final results:

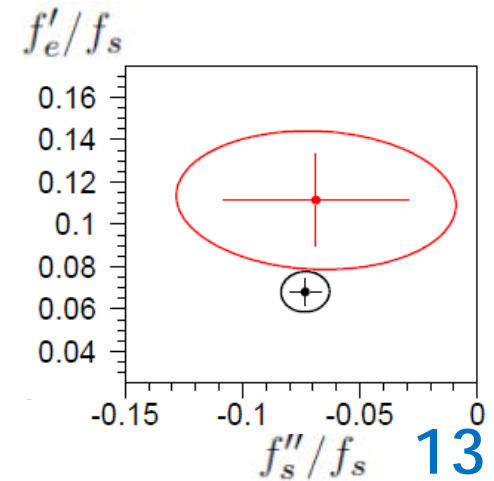
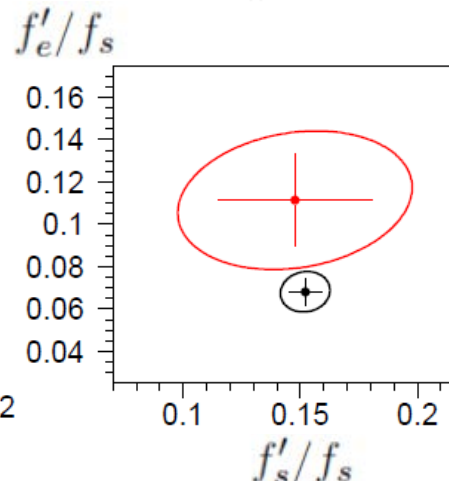
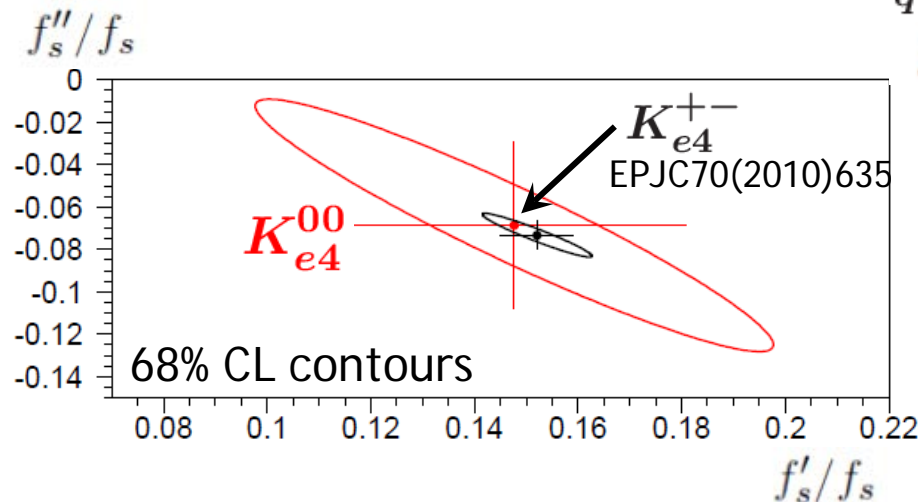
[CERN-PH-2014-145, arXiv:1406.4749, submitted to JHEP]

$$f'_s/f_s = 0.149 \pm 0.033 \pm 0.014$$

$$f''_s/f_s = -0.070 \pm 0.039 \pm 0.013$$

$$f'_e/f_s = 0.113 \pm 0.022 \pm 0.007$$

Evidence for S_e -dependence of F_s



BR(K[±]→π⁺π⁻e[±]ν) and f_s

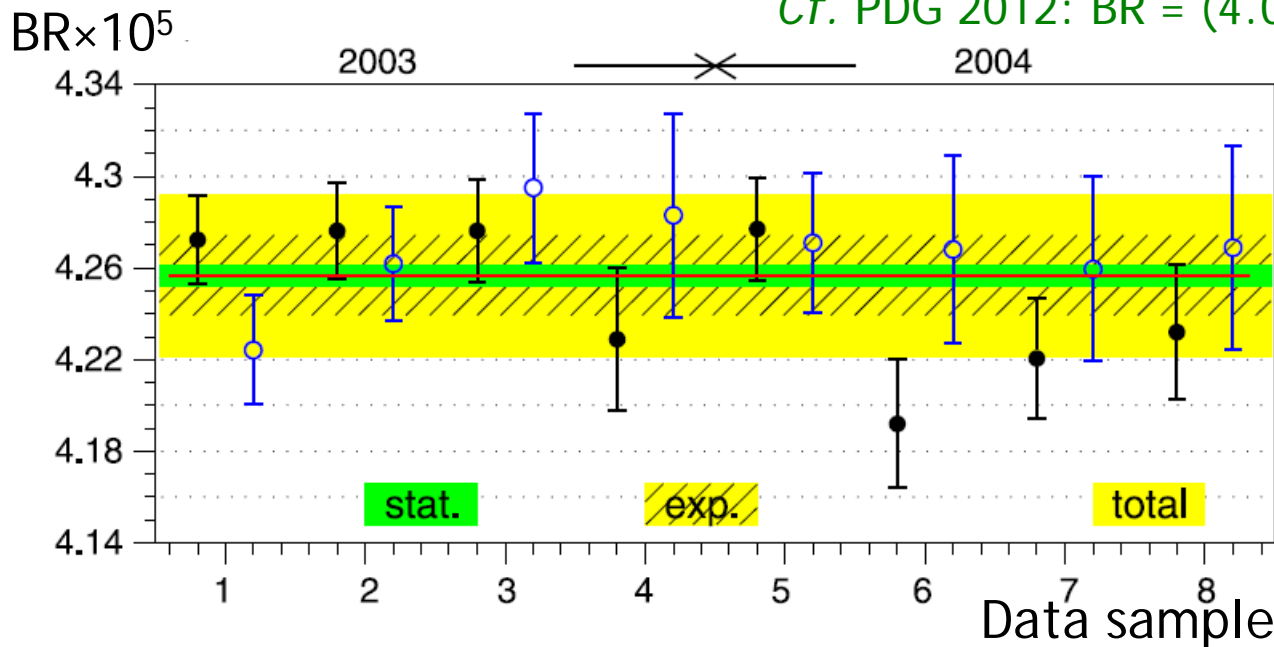
BR measured with respect to normalisation mode: K[±]→π⁺π⁺π⁻.

1.13M candidates, 0.6% background (from K[±]→π⁺π⁺π⁻, K[±]→π⁺π⁰_D (π⁰))

Final result: [PLB 715 (2012) 105]

$$\text{BR}(K^{\pm} \rightarrow \pi^{+}\pi^{-}e^{\pm}\nu) = (4.257 \pm 0.004_{\text{stat}} \pm 0.016_{\text{syst}} \pm 0.031_{\text{ext}}) \times 10^{-5}$$

Cf. PDG 2012: BR = (4.09±0.10)×10⁻⁵



$$\text{BR}(K^{\pm} \rightarrow \pi^{+}\pi^{-}e^{\pm}\nu) = \tau_K (|V_{us}| f_s)^2 \times \mathfrak{F} \rightarrow \text{absolute } f_s \text{ measurement.}$$

$$\begin{aligned} \text{Results: } |V_{us}| f_s &= 1.285 \pm 0.001_{\text{stat}} \pm 0.004_{\text{syst}} \pm 0.005_{\text{ext}} \\ f_s &= 5.705 \pm 0.003_{\text{stat}} \pm 0.017_{\text{syst}} \pm 0.031_{\text{ext}} \end{aligned}$$

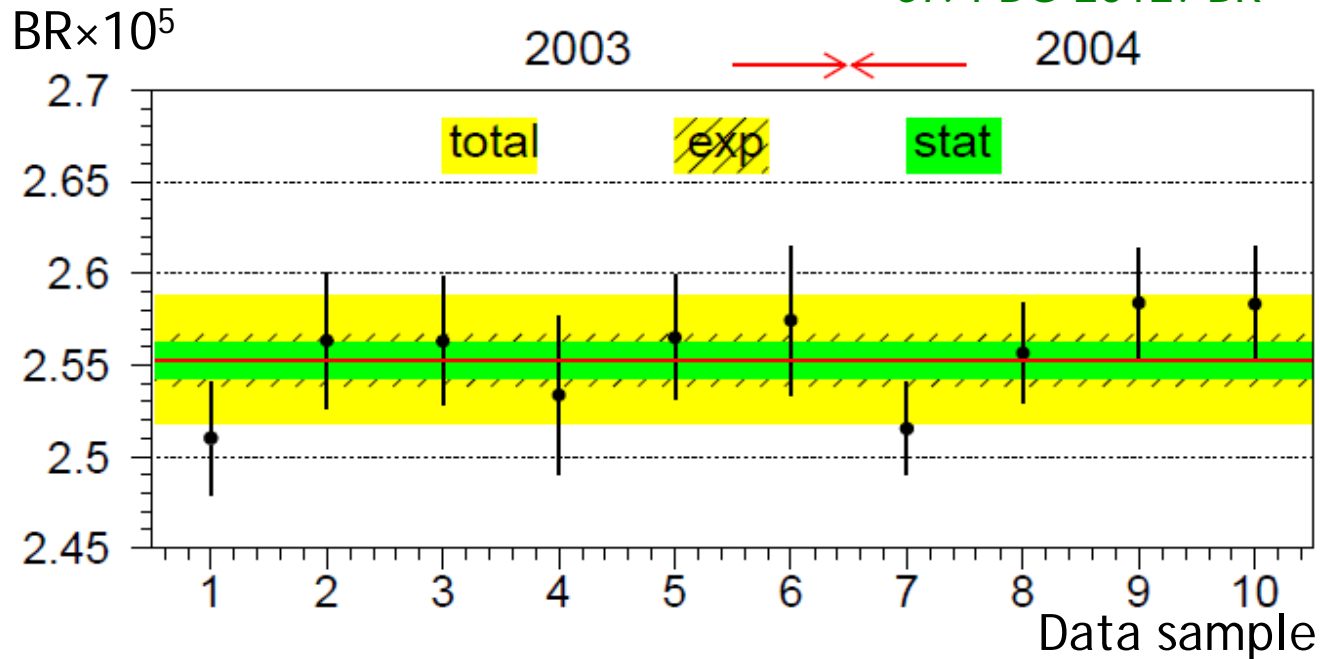
BR(K[±]→π⁰π⁰e[±]ν) and f_s

BR measured with respect to normalisation mode: K[±]→π[±]π⁰π⁰.

Final result: [CERN-PH-2014-145, arXiv:1406.4749]

$$\text{BR}(K^{\pm} \rightarrow \pi^0 \pi^0 e^{\pm} \nu) = (2.552 \pm 0.010_{\text{stat}} \pm 0.010_{\text{syst}} \pm 0.032_{\text{ext}}) \times 10^{-5}$$

Cf. PDG 2012: BR = (2.2±0.4)×10⁻⁵



$$\text{BR}(K^{\pm} \rightarrow \pi^0 \pi^0 e^{\pm} \nu) = \tau_K (|V_{us}| f_s)^2 \times \mathfrak{F} \rightarrow \text{absolute } f_s \text{ measurement.}$$

Neglecting EM corrections,

$$|V_{us}| f_s = 1.369 \pm 0.003_{\text{stat}} \pm 0.006_{\text{syst}} \pm 0.009_{\text{ext}}$$

$$f_s = 6.079 \pm 0.012_{\text{stat}} \pm 0.027_{\text{syst}} \pm 0.046_{\text{ext}}$$

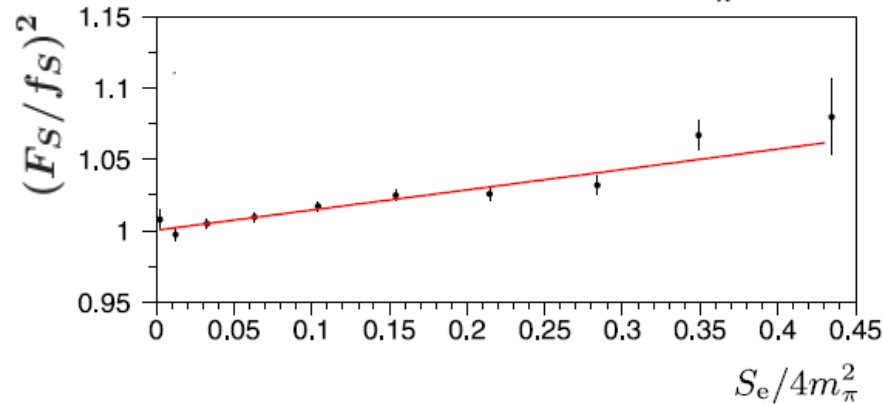
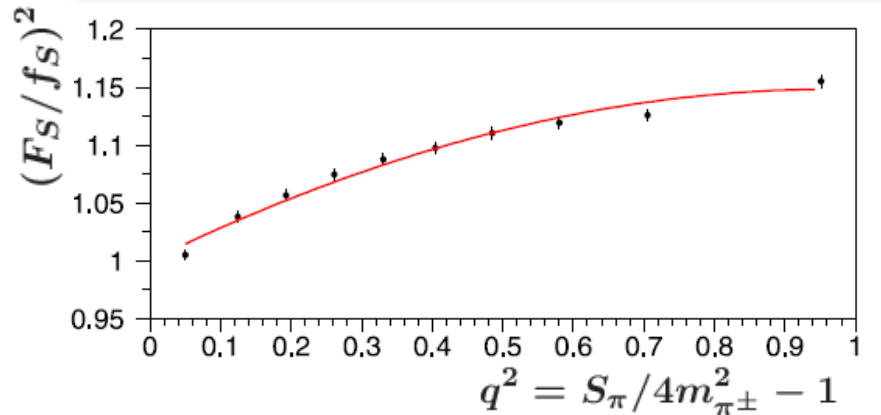
- ❖ **NA48/2** (2003–2004): a multi-purpose K^\pm experiment.
 - ✓ K^\pm physics at a new precision level (17 peer-reviewed papers so far);
 - ✓ Further analyses of rare/forbidden K^\pm , π^0 decays in progress.
- ❖ **NA62- R_K** (2007–2008): minimum bias electron trigger.
 - ✓ Lepton Universality test at record **0.4%** precision:
 $BR(K^\pm \rightarrow e^\pm \nu) / BR(K^\pm \rightarrow \mu^\pm \nu) = (2.488 \pm 0.010) \times 10^{-5}$;
 - ✓ further rare decay analyses completed or in progress.
- ❖ **Recent results presented:**
lepton universality test, $K^\pm \rightarrow \pi^\pm \gamma \gamma$ and $K^\pm \rightarrow \pi \pi e^\pm \nu$ decays.
More results are coming soon.

Spares

NA48/2: $K^\pm \rightarrow \pi^+ \pi^- e^\pm \nu$ form factors

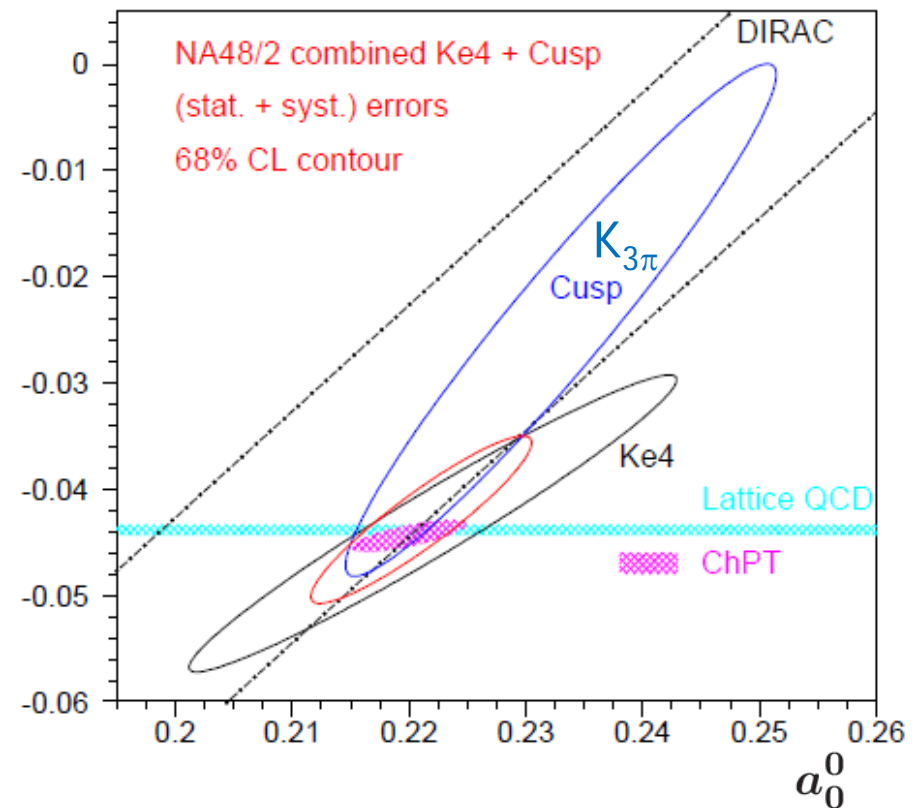
Final NA48/2 results [EPJC70 (2010) 635]

1.13M candidates, 0.6% background
(from $K^\pm \rightarrow \pi^\pm \pi^+ \pi^-$, $K^\pm \rightarrow \pi^\pm \pi^0_D$ (π^0))



a_0^2

s-wave pion scattering lengths



f'_s/f_s	=	0.152	$\pm 0.007_{\text{stat}}$	$\pm 0.005_{\text{syst}}$
f''_s/f_s	=	-0.073	$\pm 0.007_{\text{stat}}$	$\pm 0.006_{\text{syst}}$
f'_e/f_s	=	0.068	$\pm 0.006_{\text{stat}}$	$\pm 0.007_{\text{syst}}$
f_p/f_s	=	-0.048	$\pm 0.003_{\text{stat}}$	$\pm 0.004_{\text{syst}}$
g_p/f_s	=	0.868	$\pm 0.010_{\text{stat}}$	$\pm 0.010_{\text{syst}}$
g'_p/f_s	=	0.089	$\pm 0.017_{\text{stat}}$	$\pm 0.013_{\text{syst}}$
h_p/f_s	=	-0.398	$\pm 0.015_{\text{stat}}$	$\pm 0.008_{\text{syst}}$