

# Status of the $\nu$ MSM (neutrino Minimal extension of the SM)

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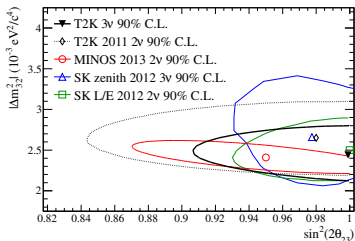
**Flavour Physics Conference**  
Xth Rencontres du Vietnam

Quy Nhon, Vietnam

# Outline

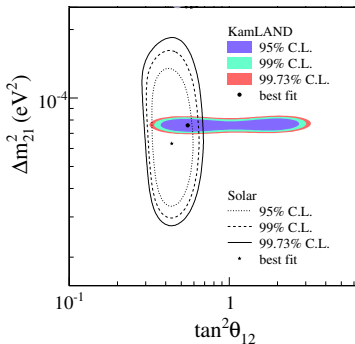
- 1 Neutrino oscillations: NP is below EW scale
- 2 Scheme: seesaw type I
- 3  $\nu$ MSM: 3 in 1 flask  
(neutrino oscillations, dark matter, baryon asymmetry of the Universe)
- 4 “Heavy” Neutral Leptons
- 5 Dark Matter neutrino
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# Neutrino oscillations: masses and mixing angles



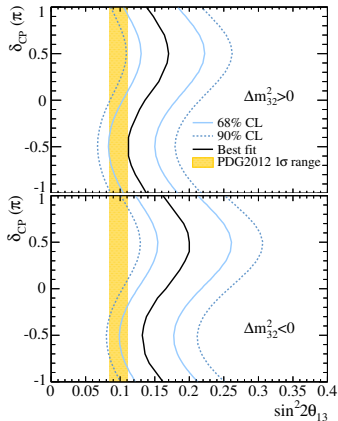
“atmospheric”  $2 \times 2$  sector

1308.0465



“solar”  $2 \times 2$  sector

0801.4589

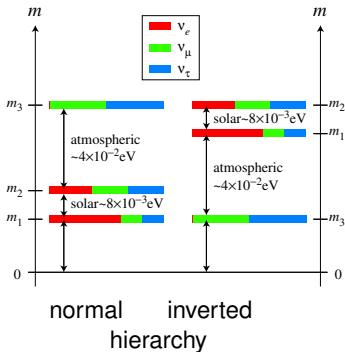


1311.4750

# “Normal” and “Inverted” neutrino mass hierarchies

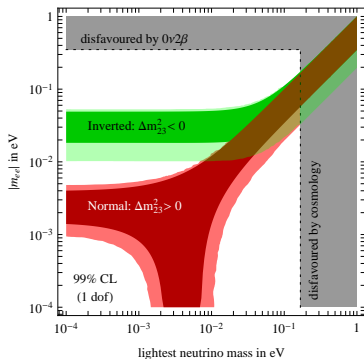
Only two squared mass differences are determined, there are options for masses. . .  
 may be, the hierarchy will be fixed by

T2K & Novae & JUNO



neutrinoless  $2\beta$ -decay  $Z^- \rightarrow (Z+2) + 2e^-$

CP ??



may be Cosmology will help...

Planck (2014)? . . . EUCLID (galaxy survey)

$$|m_{ee}| = \left| \sum U_{ei}^2 m_i \right|, \text{ for Majorana masses}$$

# Sterile neutrinos: NEW ingredients

One of the optional physics beyond the SM:

**sterile:** new fermions uncharged under the SM gauge group

**neutrino:** explain observed oscillations by mixing with SM (active) neutrinos

Attractive features:

- possible to achieve within **renormalizable** theory
- only  $N = 2$  **Majorana** neutrinos needed
- **massive** sterile neutrinos can explain via seesaw **why active ones are so light**
- **sterile neutrino (Majorana) masses violate lepton symmetry**  
produce **baryon asymmetry** via leptogenesis
- **dark matter** (with  $N \geq 3$  at least)
- **1 eV sterile neutrino might be responsible for neutrino anomalies... ?**  
not a dark matter ( $N \geq 4$  ?)

Disappointing feature:

Major part of parameter space is UNTESTABLE

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# Sterile neutrino lagrangian

Most general renormalizable with  $2(3\dots)$  right-handed neutrinos  $N_I$

$$\mathcal{L}_N = \bar{N}_I i \not{\partial} N_I - f_{\alpha I} \bar{L}_\alpha \tilde{H} N_I - \frac{M_{N_I}}{2} \bar{N}_I^c N_I + \text{h.c.}$$

## Parameters to be determined from experiments

9(7): active neutrino sector

2  $\Delta m_{ij}^2$ : oscillation experiments

3  $\theta_{ij}$ : oscillation experiments

1 CP-phase: oscillation experiments

2(1) Majorana phases:  $0\nu e\bar{e}$ ,  $0\nu\mu\bar{\mu}$

1(0)  $m_\nu$ :  ${}^3\text{H} \rightarrow {}^3\text{He} + e + \bar{\nu}_e$ , cosmology, ...

11:  $N = 2$  sterile neutrinos  
(works if  $m_\nu = 0$  !!!)

2: Majorana masses  $M_{N_I}$

9: New Yukawa couplings  $f_{\alpha I}$   
which form

2: Dirac masses  $M^D = f\langle H \rangle$

3+1: mixing angles

2+1: CP-violating phases

4 new parameters in total  
help with leptogenesis

18:  $N = 3$  sterile neutrinos:

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9 new parameters in total  
both BAU and DM are possible

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|--|---|--|
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| 2 $\Delta m_{ij}^2$ : oscillation experiments  | 2: Majorana masses $M_{N_I}$                                | 3: Majorana masses $M_{N_I}$                           |
| 3 $\theta_{ij}$ : oscillation experiments  | 9: New Yukawa couplings $f_{\alpha I}$ which form           | 15: New Yukawa couplings $f_{\alpha I}$ which form     |
| 1 CP-phase: oscillation experiments  | 2: Dirac masses $M^D = f\langle H \rangle$                  | 3: Dirac masses $M^D = f\langle H \rangle$             |
| 2(1) Majorana phases: $0\nu e\bar{e}$ , $0\nu\mu\bar{\mu}$                                 | 3+1: mixing angles  | 3+3: mixing angles                                     |
| 1(0) $m_\nu$ : ${}^3\text{H} \rightarrow {}^3\text{He} + e + \bar{\nu}_e$ , cosmology, ... | 2+1: CP-violating phases                                    | 3+3: CP-violating phases                               |
|  | 4 new parameters in total help with leptogenesis            | 9 new parameters in total both BAU and DM are possible |



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### Three Generations of Matter (Fermions) spin $\frac{1}{2}$

	I	II	III
mass →	2.4 MeV	1.27 GeV	171.2 GeV
charge →	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$
name →	Left <b>u</b> Right up	Left <b>c</b> Right charm	Left <b>t</b> Right top
Quarks	4.8 MeV	104 MeV	4.2 GeV
	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$
	Left <b>d</b> Right down	Left <b>s</b> Right strange	Left <b>b</b> Right bottom
	$<0.0001$ eV $\sim 10$ keV	$\sim 0.01$ eV $\sim$ GeV	$\sim 0.04$ eV $\sim$ GeV
	Left $\nu_e$ Right <b><math>N_1</math></b>	Left $\nu_\mu$ Right <b><math>N_2</math></b>	Left $\nu_\tau$ Right <b><math>N_3</math></b>
	electron neutrino	muon neutrino	tau neutrino
Leptons	0.511 MeV	105.7 MeV	1.777 GeV
	-1	-1	-1
	Left <b>e</b> Right electron	Left <b><math>\mu</math></b> Right muon	Left <b><math>\tau</math></b> Right tau

Bosons (Forces) spin 1	0	<b>g</b>	gluon
	0	<b><math>\gamma</math></b>	photon
	91.2 GeV	<b><math>Z^0</math></b>	weak force
	80.4 GeV	<b><math>W^\pm</math></b>	weak force
	$>114$ GeV	<b>H</b>	Higgs boson
			spin 0

Seesaw type I mechanism:  $M_N \gg m_{\text{active}}$ 

$$\mathcal{L}_N = \bar{N}_l i \not{\partial} N_l - f_{\alpha l} \bar{L}_\alpha \tilde{H} N_l - \frac{M_{N_l}}{2} \bar{N}_l^c N_l + \text{h.c.}$$

where  $l = 1, 2, 3$  and  $\alpha = e, \mu, \tau$      $\tilde{H}_a = \varepsilon_{ab} H_b^*$

When Higgs gains  $\langle H \rangle = v/\sqrt{2}$  we get in neutrino sector

$$\mathcal{L}_N \rightarrow \frac{1}{2} \left( \bar{\nu}_\alpha, \bar{N}_l^c \right) \begin{pmatrix} 0 & v \frac{\hat{f}}{\sqrt{2}} \\ v \frac{\hat{f}^\tau}{\sqrt{2}} & \hat{M}_N \end{pmatrix} \left( \nu_\alpha^c, N_l \right)^\top + \text{h.c.}$$

Then for **off-diag**  $\ll$  **diag**    ( $\hat{M}_D = v \frac{\hat{f}}{\sqrt{2}} \ll M_N$ ) we find the eigenvalues:

$$\simeq \hat{M}_N \quad \text{and} \quad \hat{M}^\nu = -\hat{M}_D \frac{1}{\hat{M}_N} \hat{M}_D^T \propto \left( \frac{\text{off-diag}}{\text{diag}} \right)^2 M_N \lll M_N$$

Mixings: flavor state  $\nu_\alpha = U_{\alpha i} \nu_i + \theta_{\alpha l} N_l$

active-active mixing: (PMNS-matrix  $U$ )     $U^T \hat{M}^\nu U = \text{diag}(m_1, m_2, m_3)$

active-sterile mixing:     $\theta_{\alpha l} = \frac{M_{D_{\alpha l}}}{M_l} \propto \hat{f} \frac{v}{M_N} \lll 1$

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# Sterile neutrino mass scale: $\hat{M}_V = -v^2 \hat{f}^T \hat{M}_N^{-1} \hat{f}$

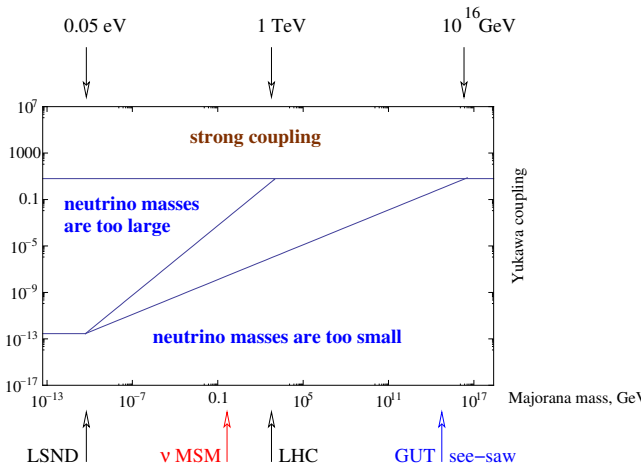
Is not fixed: lighter sterile neutrinos with smaller Yukawas

With fine tuning in  $\hat{M}_N$  and  $\hat{f}$  we can get  $f \sim 1$ , hierarchy in sterile neutrino masses, etc

T.Asaka, M.Shaposhnikov  
(2005)

vMSM implies

- 2 “heavy” neutral leptons of 0.1-50 GeV  
– responsible for seesaw  
– responsible for leptogenesis (must be degenerate  $\Delta M \sim 100$  keV)
- 1 “light” sterile neutrinos of 2-50 keV  
– form dark matter

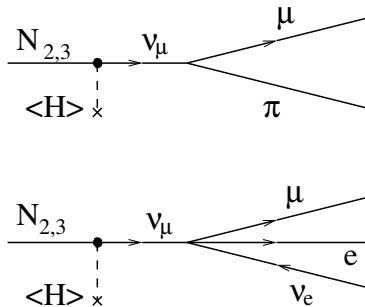
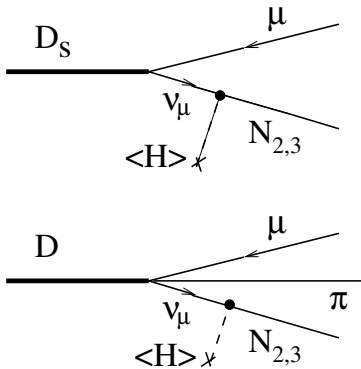


BSM is below EW scale:  
– no gauge hierarchy  
– available for direct tests

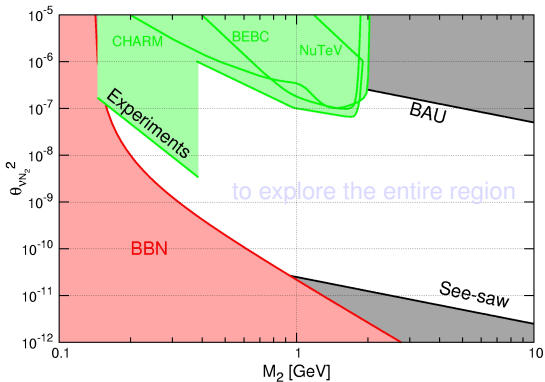
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# Production and decays (if $M_N < 5 \text{ GeV}$ )



# Probing leptogenesis SHiP upgrading to Aerocarrier



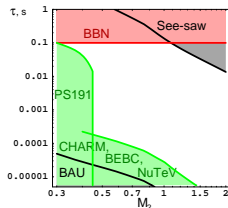
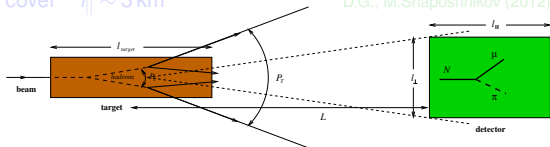
D.G., M.Shaposhnikov (2007)

lower bound at  $\times 10^{-4}$

- $\text{Br}(D \rightarrow IN) \lesssim 2 \times 10^{-8}$
- $\text{Br}(D_s \rightarrow IN) \lesssim 3 \times 10^{-7}$
- $\text{Br}(D \rightarrow KIN) \lesssim 2 \times 10^{-7}$
- $\text{Br}(D_s \rightarrow \eta IN) \lesssim 5 \times 10^{-8}$
- $\text{Br}(D \rightarrow K^* IN) \lesssim 7 \times 10^{-8}$
- $\text{Br}(B \rightarrow DIN) \lesssim 7 \times 10^{-8}$
- $\text{Br}(B \rightarrow D^* IN) \lesssim 4 \times 10^{-7}$
- $\text{Br}(B_s \rightarrow D_s^* IN) \lesssim 3 \times 10^{-7}$

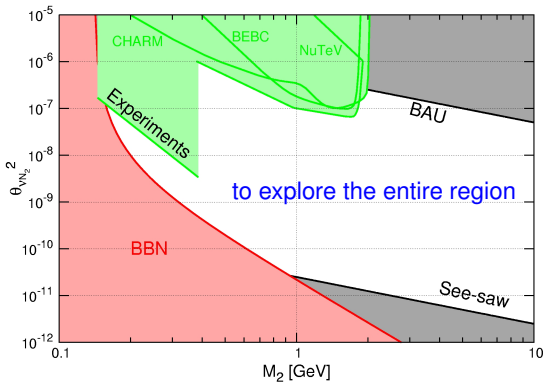
For  $10^{20}$  PoT at 400 GeV (SPS) detectors have to cover  $l_{\parallel} \sim 3$  km

D.G., M.Shaposhnikov (2012)



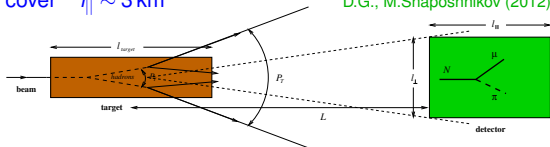


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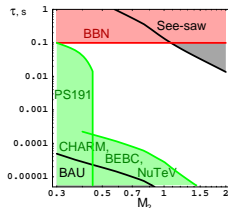
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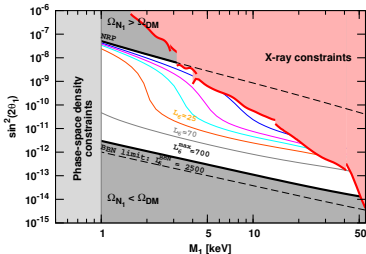
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# SubEW sterile neutrinos: $M_N \simeq 1 \text{ keV} - 50 \text{ GeV}$ $\nu$ MSM

T.Asaka, S.Blanchet, M.Shaposhnikov (2005)

- At  $T > 100 \text{ GeV}$  active-sterile neutrino oscillations produce lepton asymmetry in the early Universe, if  $\Delta M_N \ll M_N \rightarrow$  baryogenesis E.Akhmedov, V.Rubakov, A.Smirnov (1998)
- Lightest sterile neutrino may comprise Dark Matter
  - production in primordial plasma due to mixing with active neutrinos is ruled out from searches at X-ray telescopes



$$\Gamma_{N \rightarrow \nu\gamma} \simeq 5.5 \times 10^{-22} \theta_1^2 \left( \frac{M_1}{1 \text{ keV}} \right)^5 \text{ s}^{-1}$$

a narrow line ( $\delta E_\gamma / E_\gamma \sim \nu \sim 10^{-3}$ )

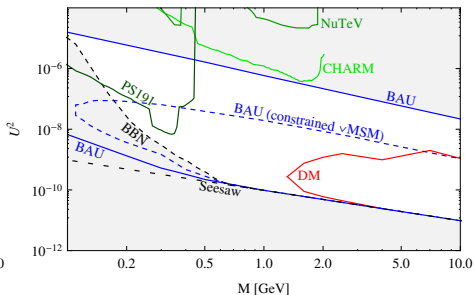
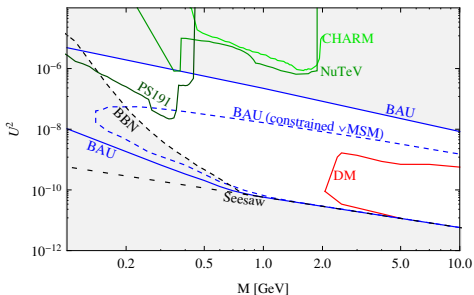
at  $E_\gamma = M_N/2$

- Possible for 1-50 keV (WDM-CDM range) either with fine-tuning in  $M_{N_1}$  ( $\Delta M_N \sim 10^{-7} \text{ eV}$ ) to get  $L \gg B$  and use the resonant production or with ANOTHER source of production, e.g. inflaton decays..

M.Shaposhnikov, I.Tkachev (2006), F.Bezrukov, D.G. (2009)

# $\nu$ MSM parameter space with resonant DM

Not an easy task (coherence, plasma, expansion, etc. . . )  
 concentrating in a particular region of parameter space  
 people calculated what happens and **found**  
 where inside that region **BAU & Dark Matter**  
 can be both explained for sure



L.Canetti, M.Drewes, M.Shaposhnikov 1208.4607

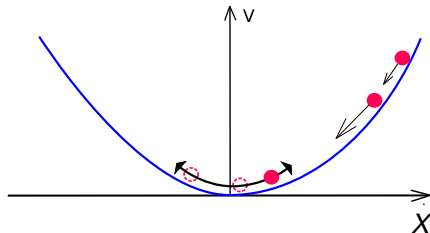
# Inflation and $\nu$ MSM: dark matter production ?

$$\ddot{X} + 3H\dot{X} + V'(X) = 0$$

$$X_e > M_{Pl}$$

scale-invariant scalar perturbations from exponentially stretched quantum fluctuations of  $X$

$$\delta\rho/\rho \sim 10^{-5} \text{ requires } V = \beta X^4 : \beta \sim 10^{-13}$$



Chaotic inflation, A.Linde (1983)

DM production (inflaton gives mass)  
from inflaton decays in plasma at  $T \sim m_\chi$

M.Shaposhnikov, I.Tkachev (2006)

$$M_{N_i} \bar{N}_i^c N_i \leftrightarrow f_i X \bar{N}_i N_i$$

Can be “naturally” Warm ( $250 \text{ MeV} < m_\chi < 1.8 \text{ GeV}$ )

F.Bezrukov, D.G. (2009)

$$M_1 \lesssim 15 \times \left( \frac{m_\chi}{300 \text{ MeV}} \right) \text{ keV}$$

# Higgs Inflation and $\nu$ MSM: dark matter ?

If  $\lambda(\sim M_{Pl}) > 0$  & if non-minimally coupled to gravity  $H^\dagger H R$  the SM Higgs field may serve as the inflaton

F.Bezrukov, M.Shaposhnikov (2007)

Then DM sterile neutrino may be produced via dim-5 operator

$$\mathcal{L} = \frac{\beta}{\Lambda} H^\dagger H \bar{N}^c N + \text{h.c.}$$

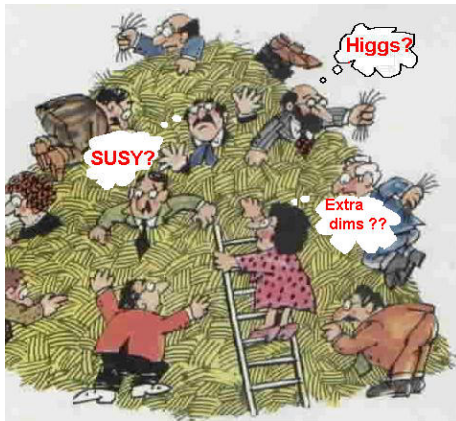
where  $\Lambda$  is either  $M_{Pl}$  or  $\Lambda = \Lambda(h)$

and no need for DM sterile-active neutrino (?) mixing...

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# Searches at LHC



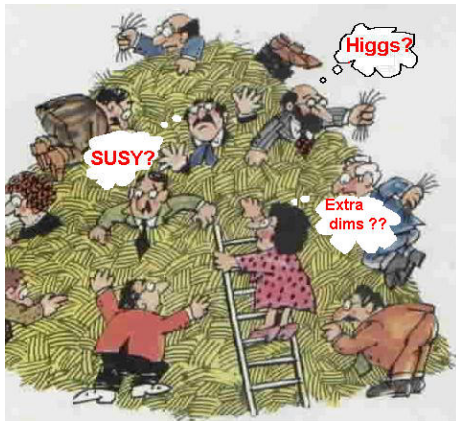
Please LHC!  
Pleeeassee!



Finally...  
Higgs boson has been recognized



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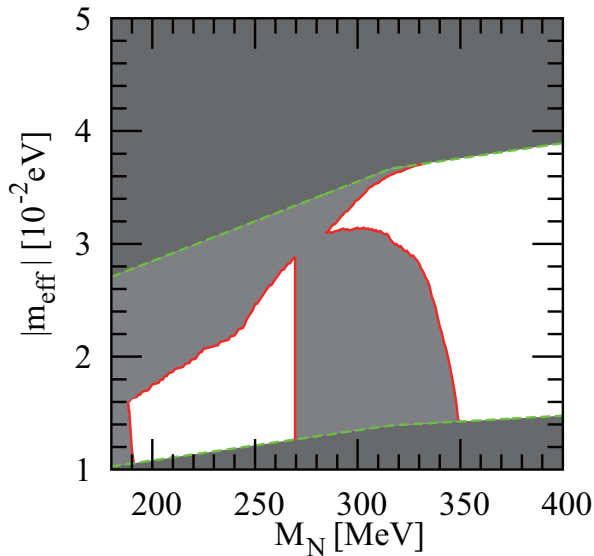
# Summary: intensity frontier

- We definitely need New Physics
- There are arguments in favour of NP below EW scale. . .
  - True Extension of the Standard Model **should at least**
    - ▶ Reproduce the correct neutrino oscillations
    - ▶ Contain the viable DM candidate
    - ▶ Be capable of explaining the baryon asymmetry of the Universe
    - ▶ **Have the inflationary mechanism operating at early times**
- $\nu$ MSM can do it
- and be directly tested right now (GeV mass scale) or later (for 10-100 GeV masses)



# Backup slides

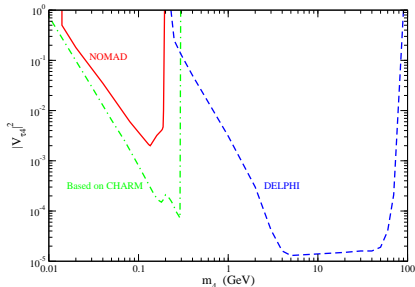
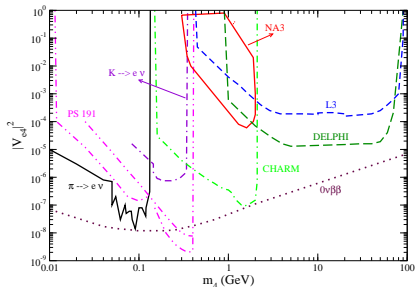
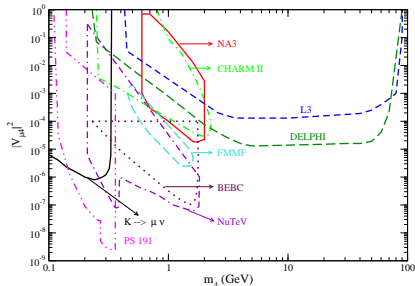
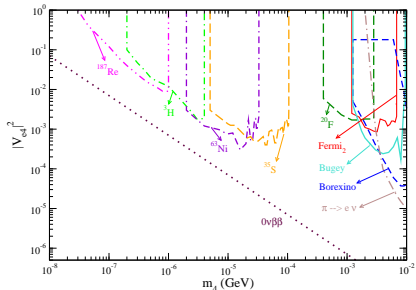
# Leptogenesis in 2 + 1 scheme: $0\nu 2\beta$ decay region



Inverse hierarchy [1308.3550](#)

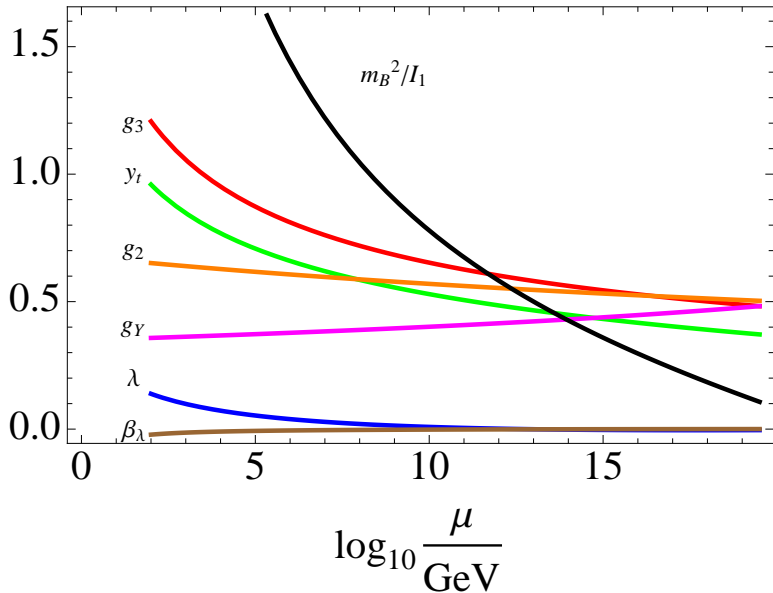
# Present limits

0901.3589: 1)  $0\nu\beta\beta$ -bound is stronger by 10, 1205.3867 2) limits from LHCb and CMS

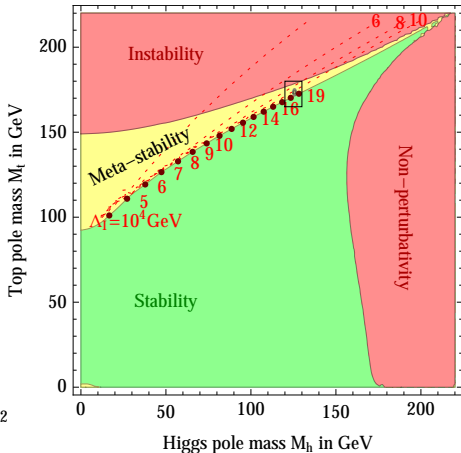
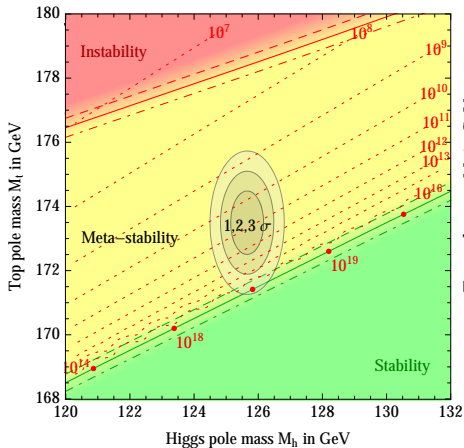


## RG evolution of the SM couplings

1305.7055



# How “natural” the 126 GeV...



1307.7879



# Active neutrino masses without new fields

Dimension-5 operator  $\Delta L = 2$

$$\mathcal{L}^{(5)} = \frac{F_{\alpha\beta}}{4\Lambda} \bar{L}_\alpha \tilde{H} H^\dagger L_\beta^c + \text{h.c.}$$

$L_\alpha$  are SM leptonic doublets,  $\alpha = 1, 2, 3$ ,  $\tilde{H}_a = \epsilon_{ab} H_b^*$ ,  $a, b = 1, 2$ ; in a unitary gauge  
 $H^T = (0, (v+h)/\sqrt{2})$  and

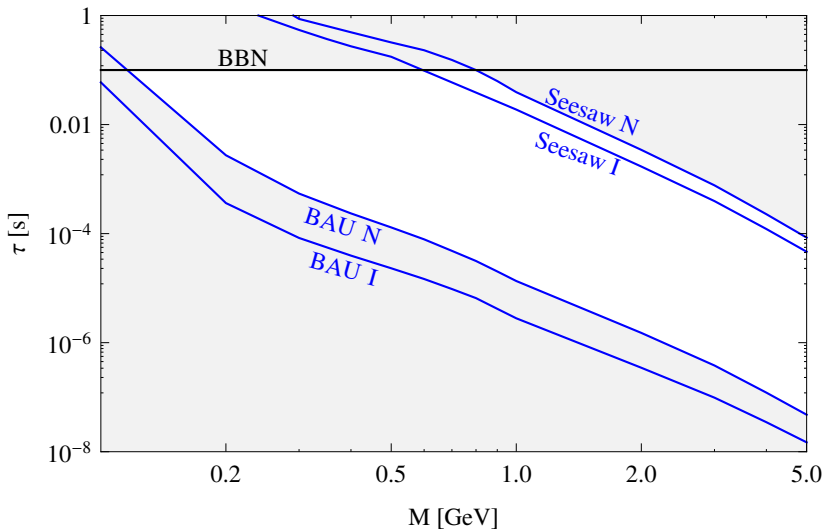
$$\mathcal{L}_{\nu\nu}^{(5)} = \frac{v^2 F_{\alpha\beta}}{4\Lambda} \times \frac{1}{2} \bar{\nu}_\alpha \nu_\beta^c + \text{h.c.} = m_{\alpha\beta} \times \frac{1}{2} \bar{\nu}_\alpha \nu_\beta^c + \text{h.c.}$$

where

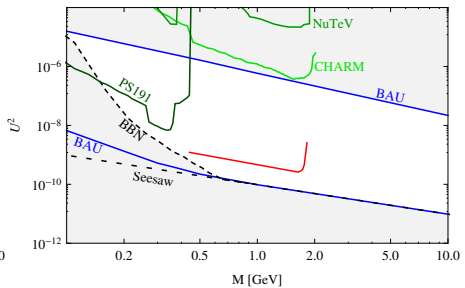
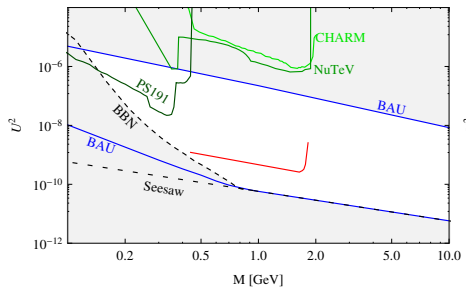
$\Lambda$  is the scale of new dynamics only their ratio is fixed

$F_{\alpha\beta}$  is the strength of new dynamics by the scale of active neutrino masses

# $\nu$ MSM: Heavy neutral lepton lifetime (1208.4607)

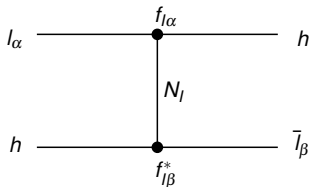
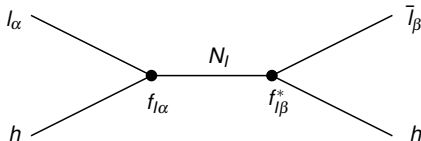


# $\nu$ MSM: Heavy neutral lepton mixing (1208.4607)



# We get dim-5 operator at small momentum transfer

$$\mathcal{L}_N = \bar{N}_I i \not{\partial} N_I - f_{\alpha I} \bar{L}_\alpha \tilde{H} N_I - \frac{M_{N_I}}{2} \bar{N}_I^c N_I + \text{h.c.}$$



at  $|Q_N^2| \ll M_N^2$

we arrive at **effective interaction (dim-5 operator)**

$$\Rightarrow \mathcal{L}^{(5)} = \frac{F_{\alpha\beta}}{4\Lambda} \bar{L}_\alpha \tilde{H} H^\dagger L_\beta^c + \text{h.c.} \quad \text{where } \hat{F} = \hat{f}^T \hat{M}_N^{-1} \hat{f}$$