

# Leptogenesis

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Challenges in Particle Astrophysics  
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- Yuval Grossman (*Technion*)
- Enrico Nardi (*Frascati*)
- Esteban Roulet (*Bariloche*)

# Plan of Talk

1. Baryogenesis
2. Basics
3. Implications
4. Recent developments
  - Spectator processes
  - Finite temperature
  - Flavor
  - Variations

# Baryogenesis

Sakharov, 1967

## Sakharov Conditions

Nucleosynthesis, CMBR  $\implies$  
$$Y_B \equiv \frac{n_b - n_{\bar{b}}}{s} = \frac{n_b}{s} \sim 10^{-10}$$

The baryon asymmetry can be dynamically generated ('baryogenesis') provided that

1. Baryon number is violated;
2. C and CP are violated;
3. Departure from thermal equilibrium.

## SM Baryogenesis

Sakharov conditions are met within the SM:

1.  $B - L$  is conserved, but  $B + L$  is violated;
2. CP is violated by  $\delta_{\text{KM}}$ ;
3. Departure from thermal equilibrium at the EWPT.

## SM Baryogenesis

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The SM fails on two aspects:

1. The Higgs sector does not give a strongly first order PT;
2. KM CP violation is too suppressed.

## Alternative Scenarios

MSSM baryogenesis is (hardly) viable:

- New scalars  $\implies$  first order PT is possible;
- At least two new phases  $\implies$  diagonal CP violation;
- Pushed to a corner of parameter space:  
 $m_h < 115 \text{ GeV}, m_{\tilde{t}_1} < m_t, \tan \beta < 6, m_\chi < 250 \text{ GeV}.$

GUT baryogenesis not quite dead:

- Minimal SU(5) is dead (again) because  $B - L = 0$ ;
- Inflation will erase  $B$ ;
- $T_{RH} \ll M_{GUT}$  is a problem, but preheating might help.

# Basics

Fukugita and Yanagida, 1986



# The Seesaw Mechanism

- Atmospheric + Solar Neutrinos  $\implies$   $m_{\nu_3} \gtrsim 0.05 \text{ eV}$

- In the SM:  $m_\nu = 0$

- Add SM singlets  $N$ :  $\mathcal{L}_N = YNHL + MNN$

$\implies$  Neutrinos are massive but very light

- “The Seesaw Mechanism:”

$$m_\nu \sim \frac{Y^2 \langle \phi \rangle^2}{M}$$

( $\implies M/Y^2 \lesssim 10^{15} \text{ GeV}$ )

# The Seesaw $\Leftrightarrow$ Leptogenesis Relation

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$$\mathcal{L}_N = YNHL + MNN$$

- Implications:

1. Lepton number is violated ( $M$ )
2. New sources of CP violation ( $Y$ )
3. If  $\Gamma_{N_1} < H(T = M_{N_1})$  ( $\implies M_{N_1}/Y_1^2 \gtrsim 10^{15} \text{ GeV}$ )  
 $\implies N_1$  decays out of equilibrium

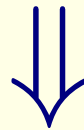
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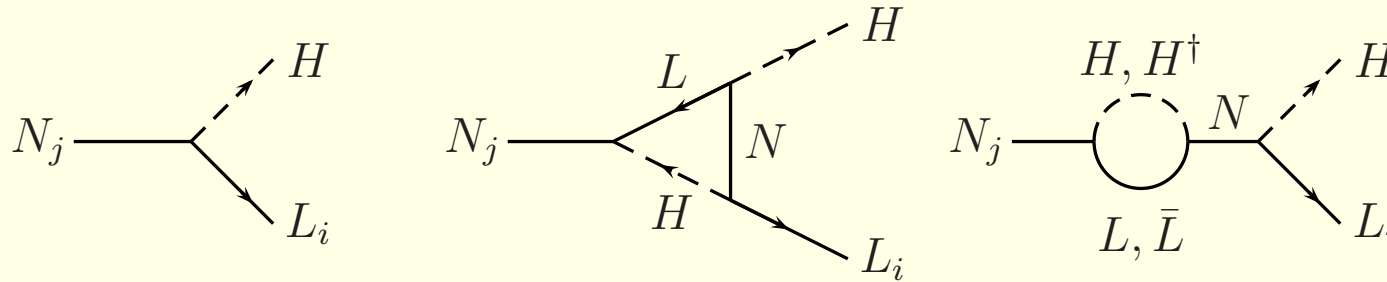
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LEPTOGENESIS

# Leptogenesis at Work



- Lepton number violation at tree level,
- Direct CP violation at one loop,
- Requires at least 2 N's.

$$\epsilon \equiv \frac{\Gamma(N \rightarrow LH) - \Gamma(N \rightarrow \bar{L}H^\dagger)}{\Gamma(N \rightarrow LH) + \Gamma(N \rightarrow \bar{L}H^\dagger)} = \frac{1}{8\pi} \sum_k \frac{\text{Im}[(Y^\dagger Y)_{k1}^2]}{(Y^\dagger Y)_{11}} \times f\left(\frac{M_k^2}{M_1^2}\right)$$

$$\frac{n_B}{s} = -1.38 \times 10^{-3} \eta \epsilon.$$

# Implications

## The relevant parameters

The final  $Y_B$  depends on four parameters:

- $\epsilon$ , the CP asymmetry;
- $M_1$ , the mass of the lightest  $N$ ;
- $\tilde{m}_1 \equiv \frac{(Y^\dagger Y)_{11} v^2}{M_1}$ , the effective neutrino mass;
- $\bar{m}^2 = m_1^2 + m_2^2 + m_3^2$ , the sum of light neutrino mass-squared.

Successful baryogenesis requires

- $M_1 \gtrsim 4 \times 10^8 \text{ GeV}$  ( $\implies T_{RH} \gtrsim 3 \times 10^9 \text{ GeV}$ )  
With supersymmetry: gravitino problem?
- No model-independent bound on low energy phases

## Implications

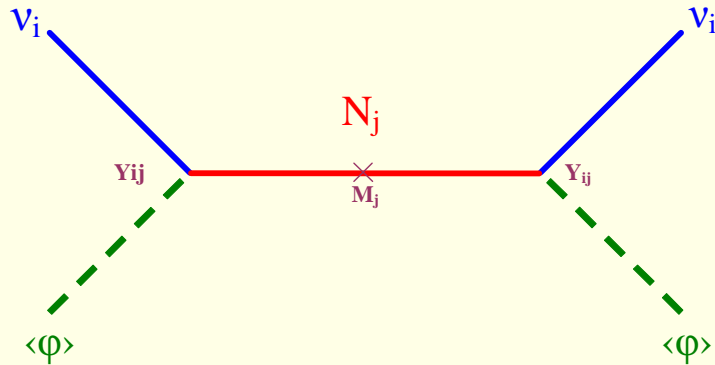
$$\underline{\tilde{m}_1 \equiv \frac{(Y^\dagger Y)_{11} \langle \phi \rangle^2}{M_1} \sim 10^{-3} \text{ eV}}$$

- $N_1$  Decay rate:  $\Gamma_1 = \frac{M_1 (Y^\dagger Y)_{11}}{8\pi}$
- The expansion rate:  $H(T) = 1.66 g_*^{1/2} \frac{T^2}{M_{\text{Pl}}}$
- The out of equilibrium decay condition:  $\Gamma_1 \lesssim H(T = M_1)$
- Equivalently:  $\tilde{m}_1 \equiv \frac{(Y^\dagger Y)_{11} \langle \phi \rangle^2}{M_1} \lesssim 10^{-3} \text{ eV}$
- $\tilde{m}_1$  determines almost all washout effects:  $\eta \sim (10^{-3} \text{ eV} / \tilde{m}_1)$
- $\tilde{m}_1$  always larger than the lightest  $\nu$  mass,  $\tilde{m}_1 \geq m_1$

$$\implies \boxed{m_1 \leq \tilde{m}_1 \lesssim 10^{-1} \text{ eV}}$$

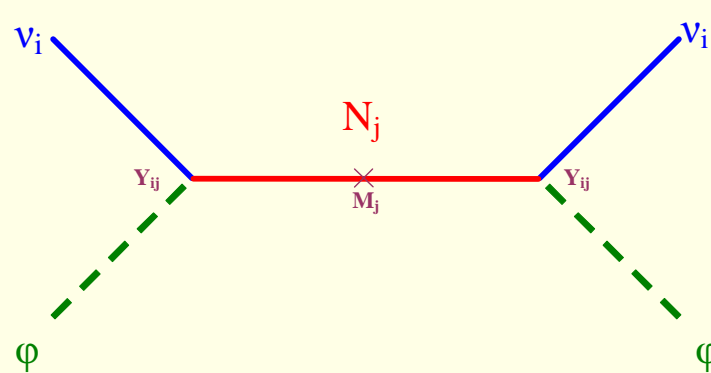
## Implications

$$\underline{m_1^2 + m_2^2 + m_3^2 \lesssim (0.15 \text{ eV})^2}$$



Light Neutrino Masses

$$m_i \propto \sum_j Y_{ij}^2 / M_j$$



$L$ -changing  $2 \rightarrow 2$  Scattering

$$\sigma \propto \sum_i \left| \sum_j Y_{ij}^2 / M_j \right|^2$$

- Require that  $\Delta L = 2$  washout effects are not too strong:

$$m_1^2 + m_2^2 + m_3^2 \lesssim (0.15 \text{ eV})^2$$

Buchmuller, Plumacher; Giudice et al.

- $T_{\text{LG}} < 10^{12} \text{ GeV} + \text{Flavor effects} \implies m_\nu \lesssim 4 \text{ eV} (10^{10} \text{ GeV}/T_{\text{LG}})^{1/2}$



# Recent Developments

## Spectator Processes

- Fast,  $B - L$  conserving processes:  
Gauge, (Heavy) Yukawa, Sphaleron  
 $\implies$  T-dependent relations among chemical potentials

- $T > 10^{13}$  GeV:  
Higgs asymmetry enhances washout-effects  
 $\implies$  Suppression of  $Y_{B-L}$  by  $\sim 40\%$

Buchmuller, Plumacher, PLB 511 (2001) 74

- $T < 10^8$  GeV:  
Lepton asymmetry transferred into baryons and into SU(2)-singlets  
 $\implies$  Enhancement of  $Y_{B-L}$  by  $\sim 20\%$

Nardi, Nir, Racker, Roulet, JHEP 0601 (2006) 068

## Finite Temperature Effects

- Finite temperature effects modify masses and couplings  
⇒ Decay and scattering rates depend on temperature

- The most dramatic effect:  $\frac{m_H^2}{T^2} = \frac{3}{16}g_2^2 + \frac{1}{16}g_Y^2 + \frac{1}{4}y_t^2 + \frac{1}{2}\lambda$

- $N \rightarrow LH$  blocked above  $T \sim 2m_N$

- $H \rightarrow LN$  opened above  $T \sim 7m_N$

⇒  $\mathcal{O}(1)$  corrections to final asymmetry

Giudice, Notari, Raidal, Riotto, Strumia, NP B685 (2004) 89

## Flavor Issues

- See Ibarra's talk
- $N_1 \rightarrow H\ell_1$ : Define  $K_i = |\langle \ell_i | \ell_1 \rangle|^2$  ( $i = e, \mu, \tau$ )
- $\epsilon_i \sim \epsilon K_i^0 + (K_i - \bar{K}_i)$
- For generic flavor structure ( $K_i = \mathcal{O}(1), \neq 0, 1$ )
 

$\eta_i \sim \eta K_i \implies Y_{B-L} \propto \sum_{i=1}^{n_f} \eta_i \epsilon_i \sim n_f (\eta \epsilon)$

$$n_f = \begin{cases} 1 & T > 10^{13} \text{ GeV}, \\ 2 & 10^{11} < T < 10^{13} \text{ GeV}, \\ 3 & T < 10^{11} \text{ GeV}. \end{cases}$$
- For non-generic flavor structure ( $K_i \ll 1, \neq 0$ )  
Large (order of magnitude) effects are possible
- Qualitatively new effects from  $K_i \neq \bar{K}_i$

Barbieri et al, NP B575 (2000) 61; Abada et al, JCAP 0604 (2006) 004

Nardi et al, JHEP 0601 (2006) 164; Abada et al, hep-ph/0605281

## Resonant Leptogenesis

- The lepton asymmetry is resonantly enhanced for  $M_2 - M_1 \sim \Gamma_N$   
 $\implies$  Successful leptogenesis is possible even with  $M \sim 1 \text{ TeV}$

e.g. Pilaftsis, Underwood, NP B692 (2004) 303

- If, however,  $M_2 - M_1 \propto |Y|^2$ , the CP asymmetry is, quite generally, high order in  $Y$   
 $\implies$  Successful resonant leptogenesis requires  $M \gtrsim 10^{12} \text{ GeV}$ .

Cirigliano, Isidori, Poretti, hep-ph/0607068

# Soft Leptogenesis

- The framework: Supersymmetric Standard Model + N's
- Soft SUSY breaking terms ( $A, B, m_{\tilde{w}}$ )
  - $\implies$  New sources of lepton number violation
  - $\implies$  New sources of CP violation
- The lepton asymmetry is generated by sneutrino decays
- Indirect CP violation can play a major role  
(Similar to  $\epsilon$  or to  $S_{\psi K_S}$ )
- Particularly significant for low  $M$   
(No gravitino problem)

Grossman, Kashti, Nir, Roulet, PRL 91 (2003) 251801; JHEP 0411 (2004) 080

D'Ambrosio, Giudice, Raidal, PL B575 (2003) 75

## Conclusions

- $m_\nu \neq 0 \implies$  See-saw  $\implies$  leptogenesis
- Quantitative success depends on unknown values of parameters:
  - $M \gtrsim 10^9 \text{ GeV}$  - gravitino problem?
  - $\tilde{m}_1 \sim 0.01 \text{ eV}$  - plausible
  - $\bar{m} \lesssim 0.12 \text{ eV}$  (not valid with flavor effects) - no strong degeneracy
- Various aspects have been explored/improved/corrected:  
Spectator processes, finite-temperature, flavor...
- Additional subtleties are being discovered
- Interesting variations have been proposed:  
Resonant, Soft,...
- Observation of  $0\nu 2\beta$  decay and/or CPV in  $\nu$  - further support;  
Direct tests are very unlikely